

# List-Decoding of Linear Functions and Analysis of a 2-Round Zero Knowledge Argument

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# De-randomization and 2-Round Zero Knowledge



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# This paper

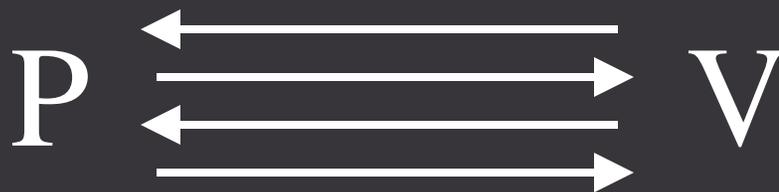
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- Dwork-Stockmeyer: 2-round ZK in non-standard model
- This paper: understand hardness assumption
  - Weaker assumptions (worst-case hardness)
  - Uniform protocols
  - Simpler proofs
- Main tools:
  - List-decoding results for code of all **linear** functions  $\{0,1\}^k \rightarrow \{0,1\}^k$
- New use of de-randomization in cryptography  
[...,Lu'02,...,BOV'03,...]

# Zero-Knowledge Arguments [GMR,BCC]

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- Interactively prove a statement without leaking any extra information
- Extensively studied
- Building block for other protocols
- **Round complexity:** number of messages



# Standard Computational Model

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**Honest** Prover & Verifier are PPT (prob poly-time)

**Cheating** Prover & Verifier need **super-poly** time

■ 4 rounds... possible [FS]



■ 3 rounds... open



■ 2 rounds... impossible [GO]



# Dwork-Stockmeyer: 2-round ZK

- Different model (following [DN,DNS,...]):
  - **fixed polynomial bound** on prover's resources (space,time)
  - **Verifier & simulator** are PPT

- 2 round argument for NP:



- Example: **D.S.** protocol with linear functions:
  - Honest prover needs  $O^*(k)$  space and time
  - Cheating prover needs  $k^2$  **space at runtime**
- Tradeoff: physical understanding vs. efficiency

# De-randomization and 2-round ZK

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- Ronen S: “There must be an extractor there.”
- Average-case hardness via list-decoding
  - Better reductions
  - Uniform protocols
  - Simpler proofs
- New facts about linear functions

# Outline

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- Basic idea behind DS protocol
- Our Goal:
  - linear functions hard for resources  $< k^2$
- List-Decoding Functions
- Combinatorial result: advice-bounded provers
- Complexity-theoretic result: small circuit provers

# Dwork-Stockmeyer: 2-round ZK

Public function  $f : \{0,1\}^k \rightarrow \{0,1\}^k$

**P**

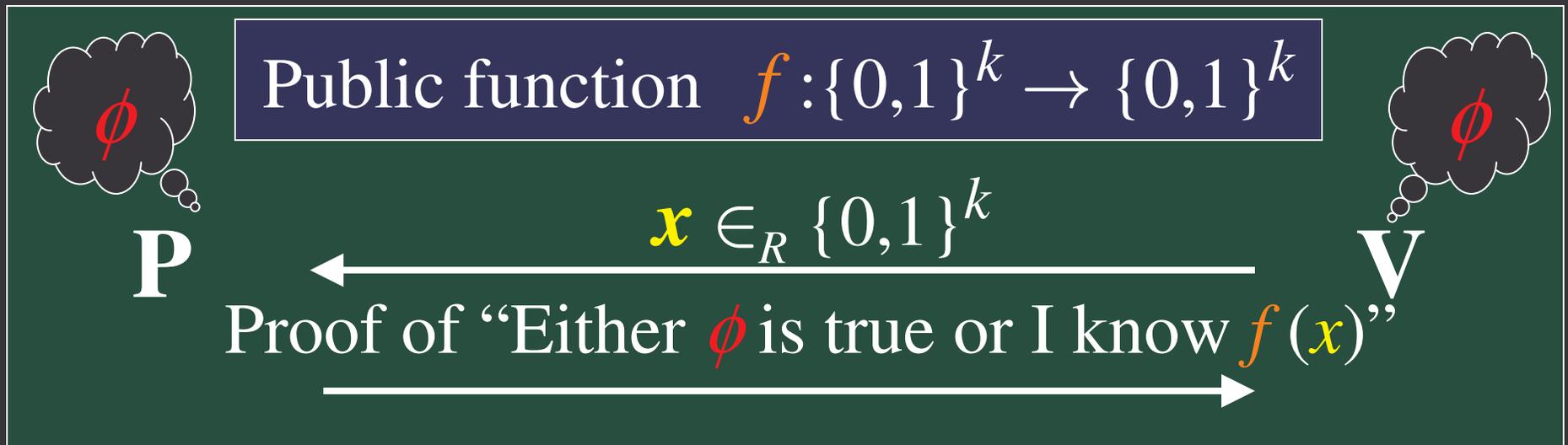
challenge

**V**

response

Limited  
resources

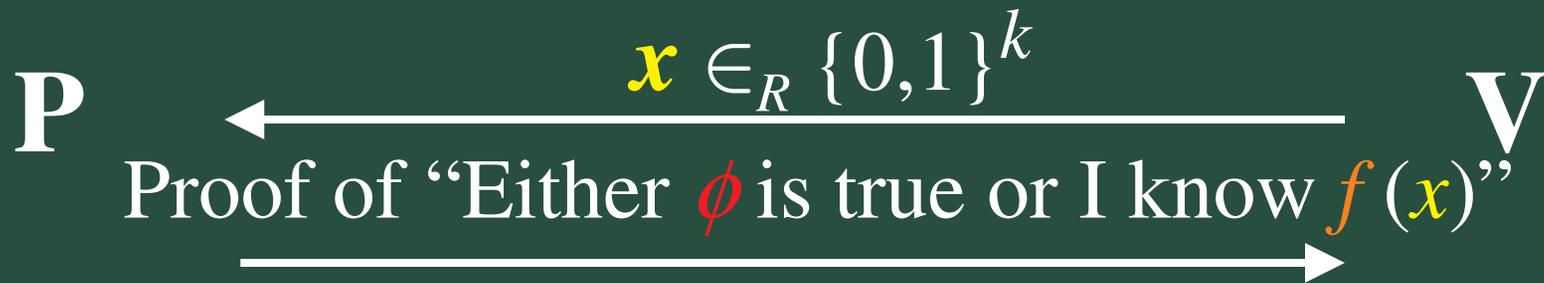
# Dwork-Stockmeyer: 2-round ZK



- Proof takes only  $O^*(k)$  bits
- Cheating prover must compute  $f(x)$  on the fly
- Soundness  $\Leftrightarrow f$  is **hard on average** for **P**
- Hardness not enough...

# Proof efficiency

Public function  $f: \{0,1\}^k \rightarrow \{0,1\}^k$



□ For proof to be easy:

■  $f$  is **linear**

$$\begin{pmatrix} f(x) \end{pmatrix} = \begin{pmatrix} \mathbf{M}_f \\ k \times k \end{pmatrix} \begin{pmatrix} x \end{pmatrix}$$

# Our Goal: Hard Linear Functions

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$$f(x) = \begin{matrix} k \times k \\ \mathbf{M}_f \end{matrix} \begin{matrix} \\ x \end{matrix}$$

- Hard for prover\*:  $\text{Prob}_x[ \mathbf{P}(x) = f(x) ] \leq \epsilon$
- Always easy with  $k^2$  space
  - We want hardness for  $< k^2$  resources (e.g.  $k^{3/2}$ )
- Two models:
  - **Advice-bounded** prover: cannot store all of  $\mathbf{M}_f$
  - **Time-bounded** prover: circuit size  $< k^2$

# Results

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## □ Advice-bounded provers

- Random function hard for prover with advice  $< k^2$  bits
- Simpler proof of DS result

## □ Time-bounded provers

- Security under worst-case hardness assumption
- Assume:  $\exists h \in \text{DTIME}(2^{O(n)})$   
worst-case hard for MAM-circuits of size  $2^{n(\frac{1}{2} + \gamma)}$
- Uniform protocol secure against prover with size  $k^{1+2\gamma}$

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- ✓  Basic idea behind DS protocol
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# Linear Functions as Codewords

	Coding space	Distance
Usual notion	Strings $\Sigma^N$	Hamming
De-randomization	Functions $\{0,1\}^k \rightarrow \{0,1\}^k$	$\text{Prob}_x[f(x) \neq g(x)]$

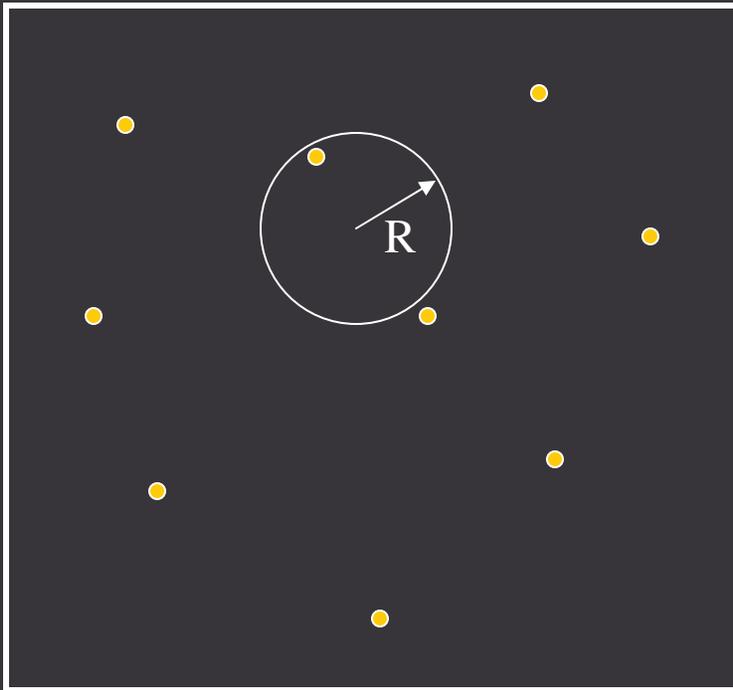
- Conceptually different
- Technically identical

$g \rightarrow (g(0\dots 00), g(0\dots 01), g(0\dots 10), \dots, g(1\dots 11))$

vector with entries in  $\Sigma = \{0,1\}^k$

# List-Decodable Codes

- Codewords are functions  $\{0,1\}^k \rightarrow \{0,1\}^k$
- $\text{Distance}(f, g) = \Pr_x [f(x) \neq g(x)]$

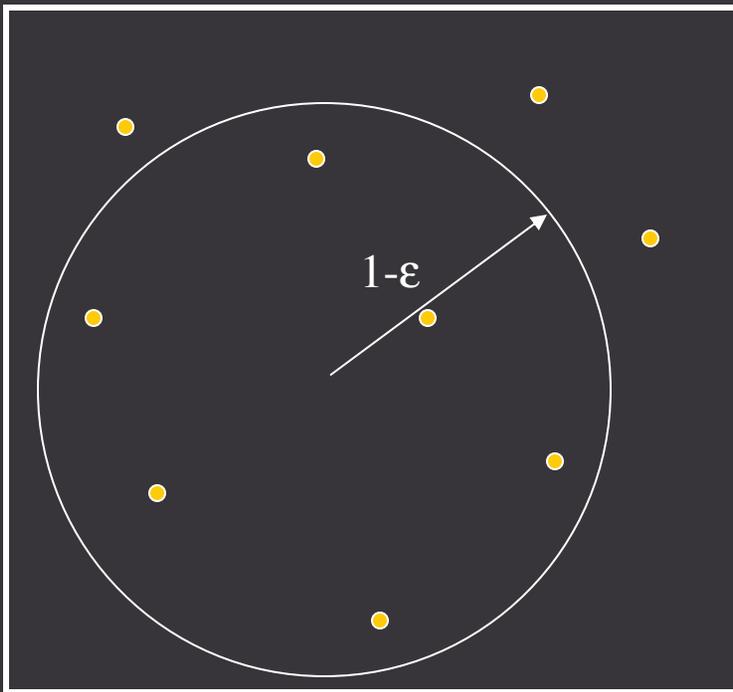


## Error-Correcting Code:

Every ball of radius  $R$  contains at most one point

# List-Decodable Codes

- Codewords are functions  $\{0,1\}^k \rightarrow \{0,1\}^k$
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## Error-Correcting Code:

Every ball of radius  $R$  contains at most one point

## List-Decodable Code:

Every ball of radius  $1-\epsilon$  contains at most  $t(\epsilon)$  points

# Why List Decodability?

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□ Fix  $g: \{0,1\}^k \rightarrow \{0,1\}^k$

**Q:** How many  $k \times k$  matrices  $M$  such that

$$\Pr_x [ g(x) = M.x ] \geq \epsilon ?$$

**A:**  $(1/\epsilon)^{2k}$  = small polynomial number of matrices

□ Fix prover  $P$  who wants to cheat

**Q:** How many functions  $f$  such that

$$P \text{ can cheat w. prob. } \geq \epsilon ?$$

□ Same question! (almost...  $P$  can be randomized)

# Advice-Bounded Provers

List-decodable codes  
give incompressible  
functions

- Suppose that prover's advice is at most  $A < k^2$  bits
  - As much pre-processing as desired
  - Only keeps  $A$  bits about  $f$  (e.g. smart card)
- How many  $f$  s.t.  $\exists$  prover who cheats w. prob.  $\geq \epsilon$ ?
  - Each prover can cheat for  $(1/\epsilon)^{2k}$  linear functions\*
  - Prover described by advice:  $2^A$  possible provers
  - Describe any "cheatable"  $f$  using  $A + 2k \log(1/\epsilon)$  bits
  - As long as  $A < k^2 - 2k \log(1/\epsilon) - 100$  bits,  
Prob. that random function is "cheatable" at most  $2^{-100}$

# Proving List-Decodability

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□ Fix  $g: \{0,1\}^k \rightarrow \{0,1\}^k$

**Q:** How many  $k \times k$  matrices  $M$  such that

$$\Pr_x [ g(x) = M.x ] \geq \epsilon ?$$

**A:**  $(1/\epsilon)^{2k}$  = small polynomial number of matrices

□ Usual proof technique (Johnson bound) fails

□ Problem: min. distance of code is  $1/2$

■ (Flip one bit in a matrix)

□ We want list-decoding radius  $1-\epsilon$ .

# Proof\* that list size is $(1/\varepsilon)^{2k+1}$

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- Meshulam, Shpilka:  $\exists$  subspace  $V$  of matrices s.t.  
 $\forall M, M' \in V, \Pr_x[M \cdot x \neq M' \cdot x] \geq 1 - \varepsilon^2$
- $\text{Dimension}(V) = k^2 - 2k \log(1/\varepsilon)$
- Apply **Johnson bound** to  $V$ :  
Ball of radius  $1 - \varepsilon$  contains  $O(1/\varepsilon)$  elements of  $V$
- $V$  has  $(1/\varepsilon)^{2k}$  cosets, each with min. distance  $1 - \varepsilon^2$
- Ball of radius  $1 - \varepsilon$  contains  $1/\varepsilon$  from each coset
- Total number of functions is  $(1/\varepsilon)^{2k+1}$

# Advice-Bounded Provers

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- Linear functions form a list-decodable code
- Random matrix is secure against advice-bounded provers
- Resulting protocol is non-uniform
  - Different matrix for every setting of  $k$
  - No compact description of matrix
- Uniform protocol?
  - No! Advice-bounded prover has time to reconstruct the whole matrix

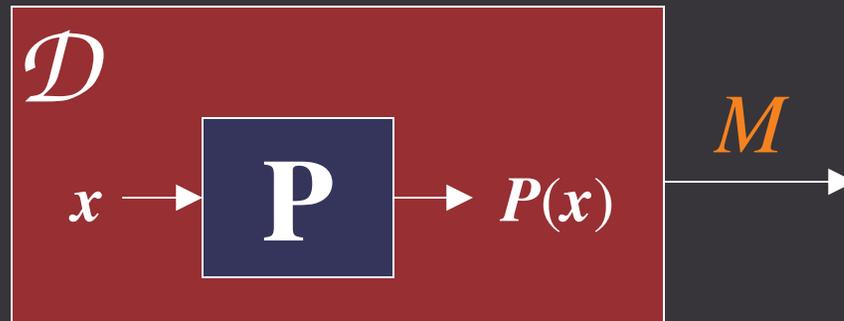
# Outline

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- ✓  Basic idea behind DS protocol
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# A Basic Decoder

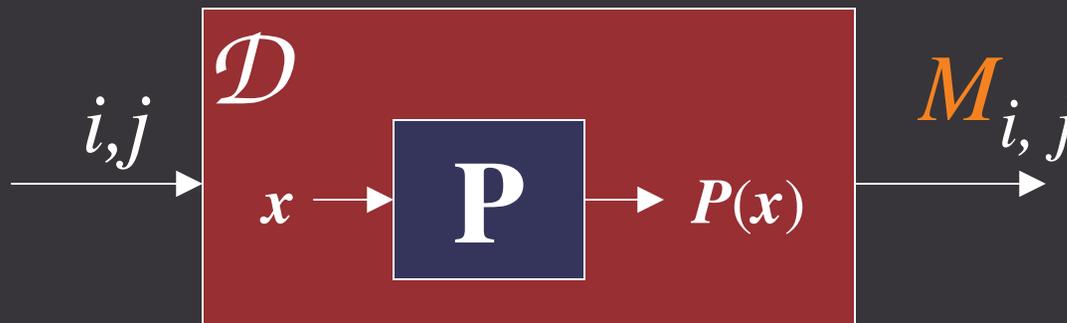
- Suppose  $\Pr_x[\mathbf{P}(x) = M.x] \geq \varepsilon$
- Then  $\mathcal{D}^{\mathbf{P}}() = M$



$$\text{time}(\mathcal{D}^{\mathbf{P}}) > k^2$$

# A Better Decoder: Output 1 bit

- Suppose  $\Pr_x[\mathbf{P}(x) = M.x] \geq \epsilon$
- Then  $\mathcal{D}^{\mathbf{P}}(i, j) = M_{i, j}$

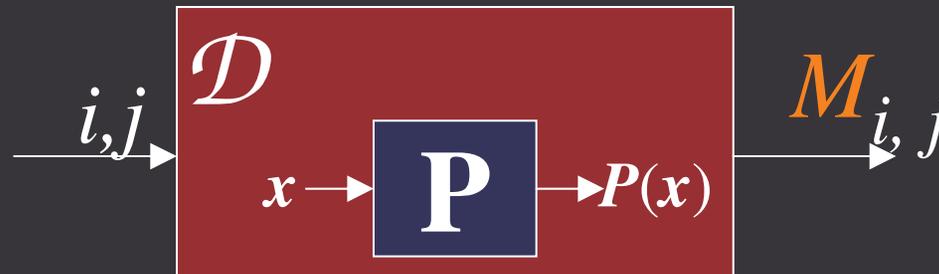


time ( $\mathcal{D}^{\mathbf{P}}$ ) can be very low...  $O(\text{time}(\mathbf{P}) k^\delta)$

Why does this help?

# Hardness-Randomness Paradigm

- Suppose  $h: \{0,1\}^{2 \log k} \rightarrow \{0,1\}$   
is hard for circuits of size  $k^{3/2}$  (note:  $k^2$  is trivial)
- Use  $M = \text{TT}(h)$   
 $\text{TT}(h) = (h(0\dots 00), h(0\dots 01), h(0\dots 10), \dots, h(1\dots 11))$
- $\mathcal{P}$  cheats in time  $< k^{3/2} - \delta$   
 $\Rightarrow \mathcal{D}$  computes  $M_{i,j} = h(i, j)$  in time  $< k^{3/2}$

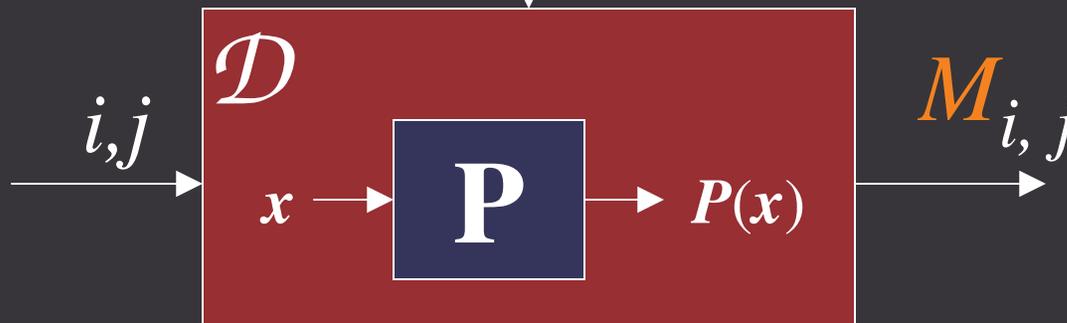


# Our Decoder: Uses Extra Help

- Suppose  $\Pr_x[\mathbf{P}(x) = M.x] \geq \epsilon$
- Then  $\mathcal{D}^{\mathbf{P}}(i, j) = M_{i, j}$

Need to assume  
hardness for non-  
deterministic circuits  
(MAM)

non-determinism  
non-uniform advice



time ( $\mathcal{D}^{\mathbf{P}}$ ) can be very low...  $O(\text{time}(\mathbf{P}) k^\delta)$

# Results

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- Connection to list-decoding (standard)
- Advice-bounded provers
  - Random function hard for prover with advice  $< k^2$  bits
  - Simpler proof of DS result
- Time-bounded provers
  - Assume:  $\exists h \in \text{DTIME}(2^{O(n)})$   
worst-case hard for MAM-circuits of size  $2^{n(\frac{1}{2} + \gamma)}$
  - Uniform protocol secure against prover with size  $k^{1+2\gamma}$

# Conclusions

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- Better understanding of DS model & protocol
- Open questions
  1. Better decoding → nicer assumptions
  2. Increase to arbitrary polynomial gap
    - Possible if one assumes completely malleable encryption
  3. Other uses of de-randomization in crypto

# Questions?

