

# CSE660

# Differential Privacy

August 30, 2017

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# Data



**Aol.**

# Statistics over Data



**NETFLIX**

Google

# Is this data private?

	D1	D2	D3	D4	D5	D6	D6	D7	D8	D9	D10	D11	D12	D13	D14	D15
I1	0	0	0	1	0	0	0	0	1	0	0	1	1	0	0	1
I2	1	0	1	1	1	0	1	0	1	0	1	0	0	1	0	0
I3	0	1	0	1	1	1	0	1	0	0	0	1	0	0	1	0
I4	1	0	1	0	0	1	1	0	1	1	0	0	0	0	1	1
I5	0	0	0	1	1	0	1	1	0	1	0	1	0	1	0	0
I6	0	0	1	1	0	1	1	0	1	1	0	0	1	0	1	0
I7	1	1	0	0	1	0	1	1	1	0	1	0	1	0	0	1
I8	0	0	0	0	1	1	0	0	0	0	0	0	1	0	0	0
I9	0	1	0	0	1	0	1	1	0	1	1	1	0	1	1	0
I10	1	0	1	0	0	1	1	0	0	0	0	0	0	1	0	1
I11	0	1	0	1	1	0	0	1	0	1	0	1	0	1	1	0
I12	1	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0
I13	1	1	1	0	1	1	1	1	0	0	1	0	1	0	1	0
I14	0	1	1	0	0	0	0	1	0	0	0	1	0	0	1	0
I15	0	1	0	1	0	1	1	0	1	0	1	0	1	0	0	1

# How about if we also have this data?<sup>4</sup>

	ID	Name
1	I1	Alice
2	I2	Bob
3	I3	Cynthia
4	I4	Dan
5	I5	Eve
6	I6	Frank
7	I7	Guy
8	I8	Hannah
9	I9	Ivan
10	I10	Jon
11	I11	Ken
12	I12	Lou
13	I13	Mike
14	I14	Noa
15	I15	Omer

	ID	Disease
1	D1	AMAN
2	D2	Behcet
3	D3	Celiac
4	D4	Dermatitis
5	D5	Evans synd.
6	D6	Fibrosis
7	D7	Graves' dis.
8	D8	Henoch-Schonlein
9	D9	IGA Neph.
10	D10	Juv. Diabetes
11	D11	Kawasaki dis.
12	D12	Lichen planus
13	D13	Myositis
14	D14	Narcolepsy
15	D15	Optic Neuritis

# How about if we also have this data?

	D2	D3	D5	D6	D8	D10	D12	D14	D15
Alice	0	1	1	0	1	1	0	0	0
Cynthia	1	0	1	1	0	0	0	1	0
Eve	0	0	1	0	0	0	0	0	0
Frank	0	1	0	1	1	0	1	1	0
Guy	1	0	1	0	1	1	1	0	1
Ivan	1	0	1	0	0	1	0	1	0
Jon	0	1	0	1	0	0	0	0	1
Lou	0	0	0	0	0	1	0	0	0
Omer	1	0	0	1	1	1	1	0	1

# Database

- We can think about a database as a list of records from some universe set:

$$D \in \mathcal{X}^n$$

- Sometimes we will think to them as functions

$$D(k) \in \mathcal{X}$$

- and sometimes we will write elements explicitly

$$(d_1, \dots, d_n) \in \mathcal{X}^n$$

# Counting Queries

- A **counting query**  $q : \mathcal{X}^n \rightarrow [0, 1]$  is a function counting the fraction of people in a dataset satisfying the **predicate**  $q : \mathcal{X} \rightarrow \{0, 1\}$
- In symbols:

$$q(D) = \frac{1}{n} \sum_{i=1}^n q(d_i)$$

- Notice that we take a normalized count, which also corresponds to the average.

# Example 1

Let's consider an arbitrary universe domain  $\mathcal{X}$  and let's consider the following predicate for  $y \in \mathcal{X}$

$$q_y(x) = \begin{cases} 1 & \text{if } y = x \\ 0 & \text{otherwise} \end{cases}$$

we call a **point function** the associated counting query

$$q_y : \mathcal{X}^n \rightarrow [0, 1]$$

**Question:** Suppose that we answer all the point function queries for  $y \in \mathcal{X}$ . What well know statistics do we obtain?



# Example 1

$$X = \{0, 1\}^3$$

$$D \in X^{10} =$$

	D1	D2	D3
I1	0	0	0
I2	1	0	1
I3	0	1	0
I4	1	0	1
I5	0	0	0
I6	0	0	1
I7	1	1	0
I8	0	0	0
I9	0	1	0
I10	1	0	1

$$q_{000}(D) = .3$$

$$q_{001}(D) = .1$$

$$q_{010}(D) = .2$$

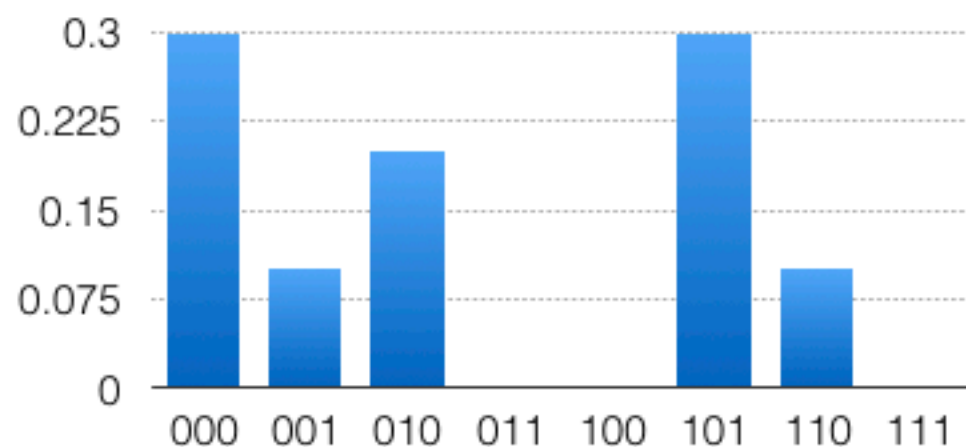
$$q_{011}(D) = 0$$

$$q_{100}(D) = 0$$

$$q_{101}(D) = .3$$

$$q_{110}(D) = .1$$

$$q_{111}(D) = 0$$



# Example 1

**Question:** Suppose that we answer all the point function queries for  $y \in \mathcal{X}$ . What well known statistics do we obtain?

**Answer:** Histogram of the universe and of the database.

# Example II

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Let's consider an arbitrary **ordered** universe domain  $\mathcal{X}$  and let's consider the following predicate for  $y \in \mathcal{X}$

$$q_y(x) = \begin{cases} 1 & \text{if } x \leq y \\ 0 & \text{otherwise} \end{cases}$$

we call a **threshold function** the associated counting query

$$q_y : \mathcal{X}^n \rightarrow [0, 1]$$

**Question:** Suppose that we answer all the threshold function queries for  $y \in \mathcal{X}$ . What well know statistics do we obtain?

# Example II

$X = \{0, 1\}^3$   
 with order  
 given by the  
 corresponding  
 binary encoding.

$D \in X^{10} =$

	D1	D2	D3
I1	0	0	0
I2	1	0	1
I3	0	1	0
I4	1	0	1
I5	0	0	0
I6	0	0	1
I7	1	1	0
I8	0	0	0
I9	0	1	0
I10	1	0	1

$$q_{000}(D) = .3$$

$$q_{001}(D) = .4$$

$$q_{010}(D) = .6$$

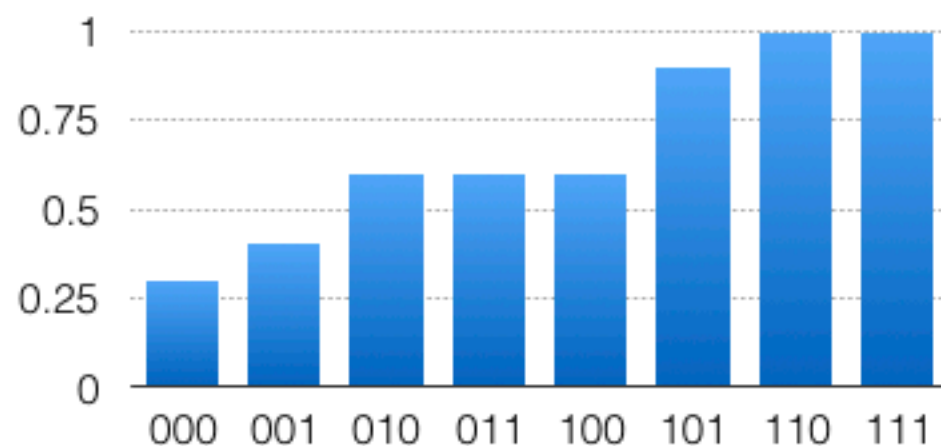
$$q_{011}(D) = .6$$

$$q_{100}(D) = .6$$

$$q_{101}(D) = .9$$

$$q_{110}(D) = 1$$

$$q_{111}(D) = 1$$



# Example II

**Question:** Suppose that we answer all the threshold function queries for  $y \in \mathcal{X}$ . What well known statistics do we obtain?

**Answer:** CDF of the universe and of the database.

# Example III

Let's consider the universe domain  $\mathcal{X} = \{0, 1\}^d$  and let's consider the following predicate for an index  $1 \leq j \leq d$

$$q_j(x) = x_j$$

we call an **attribute mean function** the associated counting query

$$q_j : \mathcal{X}^n \rightarrow [0, 1]$$

**Question:** Which statistics does correspond to releasing all the attribute mean functions?

# Example III

$$X = \{0, 1\}^3$$

$$D \in X^{10} =$$

	D1	D2	D3
I1	0	0	0
I2	1	0	1
I3	0	1	0
I4	1	0	1
I5	0	0	0
I6	0	0	1
I7	1	1	0
I8	0	0	0
I9	0	1	0
I10	1	0	1
margin	4	3	4

$$q_1(D) = .4$$

$$q_2(D) = .3$$

$$q_3(D) = .4$$

# Example III

**Question:** Which statistics does correspond to releasing all the attribute mean functions?

**Answer:** (1-way) Marginals of the distribution



# Example IV

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Let's consider the universe domain  $\mathcal{X} = \{0, 1\}^d$  and let's consider  $\vec{v} \in \{1, \bar{1}, \dots, d, \bar{d}\}^k$  with  $1 \leq k \leq d$  and

$$q_{\vec{v}}(x) = q_{v_1}(x) \wedge q_{v_2}(x) \wedge \dots \wedge q_{v_k}(x)$$

where  $q_j(x) = x_j$  and  $q_{\bar{j}}(x) = \neg x_j$

We call a **conjunction** or k-way marginal the associated counting query

$$q_{\vec{v}} : \mathcal{X}^n \rightarrow [0, 1]$$

**Question:** Which statistics does correspond to releasing conjunctions?

# Example IV

$$X = \{0, 1\}^3$$

$$D \in X^{10} =$$

	D1	D2	D3
I1	0	0	0
I2	1	0	1
I3	0	1	0
I4	1	0	1
I5	0	0	0
I6	0	0	1
I7	1	1	0
I8	0	0	0
I9	0	1	0
I10	1	0	1

$$k=2$$

$$q_{12}(D) = .1$$

$$q_{1/2}(D) = .3$$

$$q_{13}(D) = .3$$

$$q_{1/3}(D) = .1$$

$$q_{/12}(D) = .2$$

$$q_{/13}(D) = .1$$

$$q_{/1/2}(D) = .4$$

$$q_{/1/3}(D) = .5$$

	D1	/D1
D2	0.1	0.2
/D2	0.3	0.4

# Example IV

**Question:** Which statistics does correspond to releasing conjunctions?

**Answer:** contingency tables

# Linear Queries

- A **linear query**  $q : \mathcal{X}^n \rightarrow [0, 1]$  is a function averaging the value of a function  $q : \mathcal{X} \rightarrow [0, 1]$  over the elements of the dataset.
- In symbols:

$$q(D) = \frac{1}{n} \sum_{i=1}^n q(d_i)$$

# Example 1

Let's consider the domain  $\mathcal{X} = \{0, 1\}^d$  and let's consider the following predicate for  $y \in \mathcal{X}$

$$q_y(x) = \begin{cases} .5 * y_1 & \text{if } y = x \\ 0 & \text{otherwise} \end{cases}$$

# Example 1

$$X = \{0, 1\}^3$$

$$D \in X^{10} =$$

	D1	D2	D3
I1	0	0	0
I2	1	0	1
I3	0	1	0
I4	1	0	1
I5	0	0	0
I6	0	0	1
I7	1	1	0
I8	0	0	0
I9	0	1	0
I10	1	0	1

$$q_{000}(D) = 0$$

$$q_{100}(D) = 0$$

$$q_{001}(D) = 0$$

$$q_{101}(D) = .15$$

$$q_{010}(D) = 0$$

$$q_{110}(D) = .05$$

$$q_{011}(D) = 0$$

$$q_{111}(D) = 0$$

# Sum queries

- Let's denote by  $I \subseteq [n]$  a subset  $I$  of

$$\{0, \dots, n\}$$

- A **sum query**  $q_I : \{0, 1\}^k \rightarrow \mathbb{N}^k$  is defined as

$$q_I(D) = \sum_{i \in I} d_i$$

# Example

$$X = \{0, 1\}^3 \quad D \in X^{10} =$$

	D1	D2	D3
I1	0	0	0
I2	1	0	1
I3	0	1	0
I4	1	0	1
I5	0	0	0
I6	0	0	1
I7	1	1	0
I8	0	0	0
I9	0	1	0
I10	1	0	1

$$q_{\{1,2,3\}}(D) = (1, 1, 1)$$

$$q_{\{1,2,4\}}(D) = (2, 0, 2)$$

$$q_{\{5,8\}}(D) = (0, 0, 0)$$

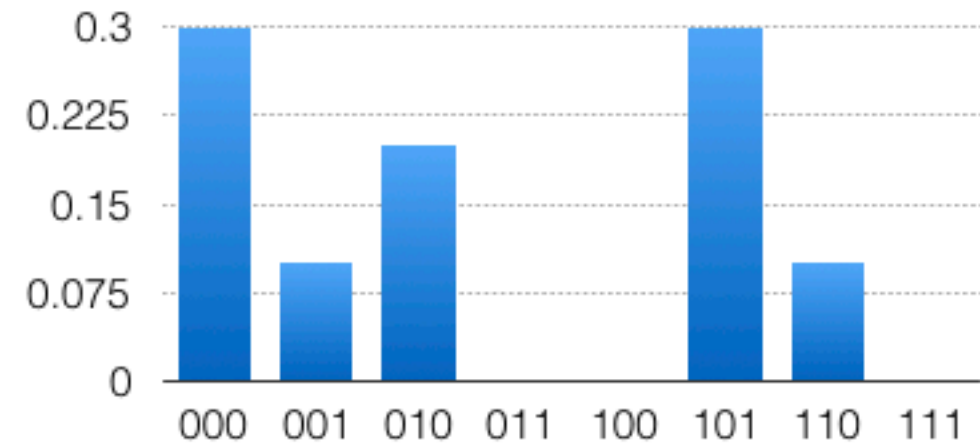
$$q_{\{2,4,7,10\}}(D) = (4, 1, 3)$$



**Question:** Is releasing the result of counting queries private?

Intuitively no, by knowing the results of the statistics we can learn a lot about individuals

# Example



	D1	D2	D3
I1	0	0	0
I2	1	0	1
I3	0	1	0
I4	1	0	1
I5	0	0	0
I6	0	0	1
I7	1	1	0
I8	0	0	0
I9	0	1	0
I10	1	0	1

**Question:** Is releasing the result of linear or sum queries private?

Intuitively no, by knowing the results of the statistics we can learn a lot about individuals

**Question:** How can we make statistical queries private?



# Randomized Algorithms

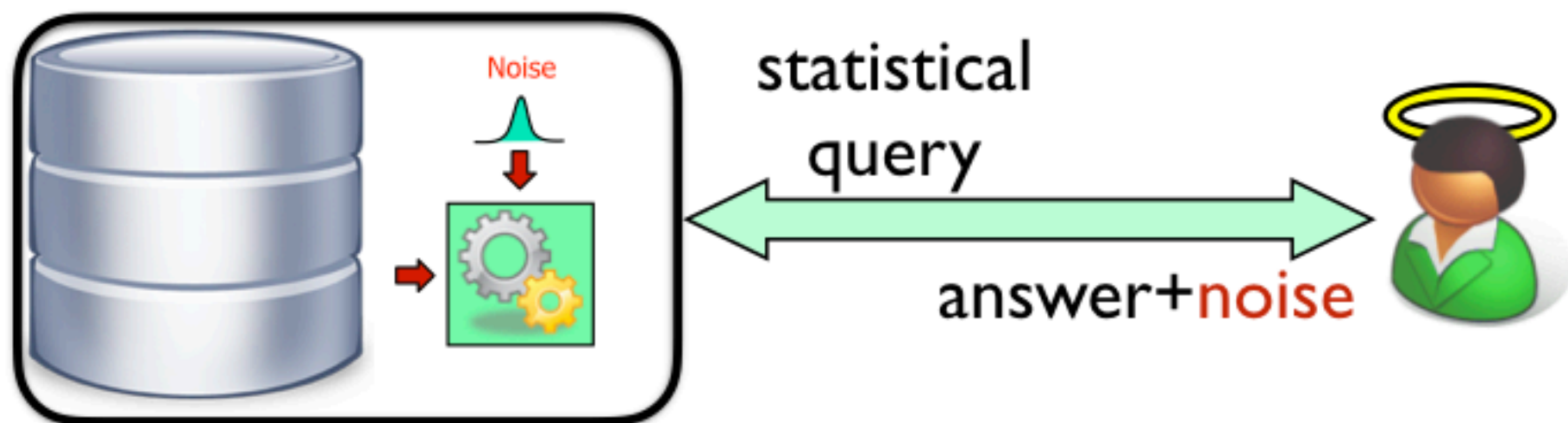


- Given a discrete set  $B$  the **probability simplex** over  $B$ , denoted  $\Delta(B)$  is defined as:

$$\Delta(B) = \left\{ x \in \mathbb{R}^{|B|} : \forall i, x_i \geq 0, \text{ and } \sum_{i=1}^{|B|} x_i = 1 \right\}$$

- A **randomized algorithm**  $\mathcal{M}$  is an algorithm associated with a total map  $M : A \rightarrow \Delta(B)$   
On input  $a \in A$  the algorithm outputs  $\mathcal{M}(a) = b$  with probability  $(M(a))_b$ .  
The probability space is over the coin flips of the algorithm.

# Private Statistical database



**Question:** What kind of noise?

# Sum queries

- Let's denote by  $I \subseteq [n]$  a subset  $I$  of  $\{0, \dots, n\}$

- A **sum query**  $q_I : 0, 1^k \rightarrow \mathbb{N}^k$  is defined as

$$q_I(D) = \sum_{i \in I} d_i$$

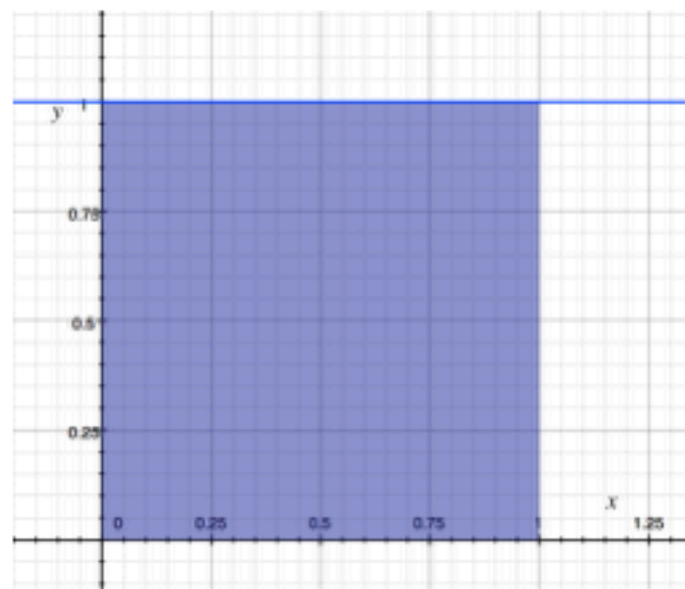
# Uniform Noise

- Given a query  $q$  we want to add noise to create a new randomized query:

$$q^*(D) = q(D) + Y$$

- One way to do this is to sample  $Y$  from the uniform distribution:

$$Y \sim U[0,1]$$





**Question:** Does this approach protect privacy?

**We first need to define what we mean by privacy.**

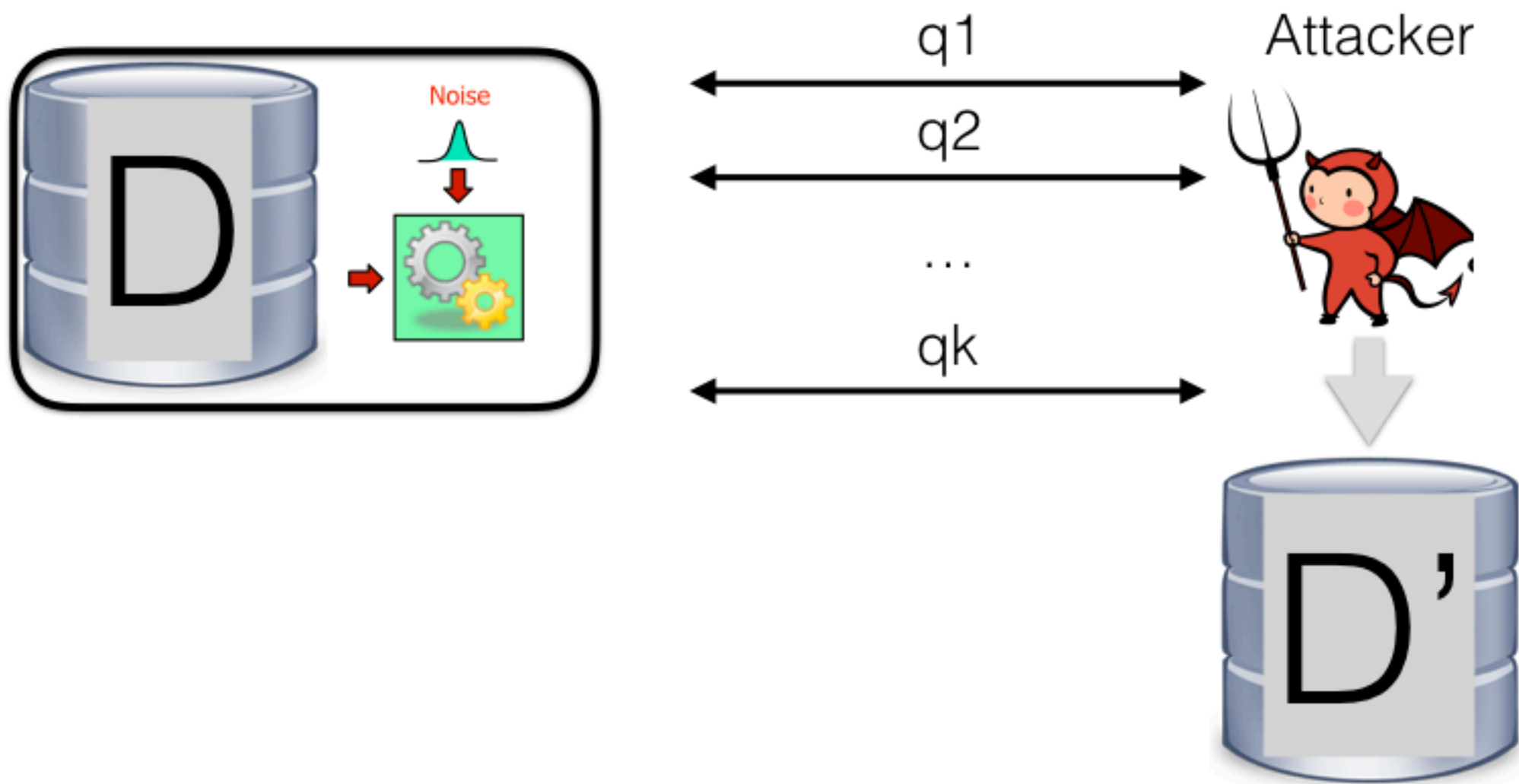
# Blatantly non-privacy

- We can consider a protection mechanism as **blatantly non-private** if it allows to reconstruct the database.
- This may not be a complete reconstruction but an approximate one.

# Reconstruction attack

- Consider an **adversary A** (an algorithm) that has access to some data  $D$  through a privacy mechanism  $q^*$ .
- The goal of the **adversary** is to output some data  $D'$  that is as similar as possible to  $D$ .
- To output  $D'$  the **adversary** can interact several times with  $q^*$ .

# Reconstruction attack



# Reconstruction attack



We say that the attacker **wins** if

$$d(\text{D}, \text{D}') \sim 0$$

In our case we can use Hamming distance

# Blatantly non-privacy

The privacy mechanism  $M:\{0,1\}^n \rightarrow R$  is **blatantly non-private** if an adversary can build a candidate database  $D' \in \{0,1\}^n$ , that agrees with the real database  $D$  in all but  $o(n)$  entries:

$$d_H(D, D') \in o(n)$$

# Additive Noise Perturbation

- We say that  $M$  is a privacy mechanism obtained by adding noise if for every query  $q$ ,  $M$  creates a new randomized query:

$$q^*(D) = q(D) + Y$$

- We say that a mechanism  $M$  add noise within perturbation  $\epsilon$  iff for every  $q$  and every  $D$ :

$$|q^*(D) - q(D)| \leq \epsilon$$



# Reconstruction attack with<sup>41</sup> exponential adversary

Let  $M:\{0,1\}^n \rightarrow \mathbb{R}$  be a privacy mechanism adding noise within  $E$  perturbation. Then there is an adversary that can reconstruct the database within  $4E$  positions.

# Proof

**Query phase:** For each  $S \subseteq [n]$  let  $a_S^* = q_S^*(D)$ .

**Rule out phase:** For each  $D' \in \{0,1\}^n$ :  
if there exists  $S$  such that  $|q_S(D') - a_S^*| > E$  then rule out  $D'$ .

**Output phase:** Output a database  $D'$  that was not ruled out.

Notice that since for the real database we clearly have

$$|q_S(D) - q_S^*(D)| \leq E$$

the procedure clearly return a candidate output in an exponential number of steps.

We now want to show that  $d_H(D, D') \leq 4E$

# Proof

Let 's consider  $D$  to be the real dataset and  $D'$  to be the outputted one. Consider the sets of indices

$$S = \{ i \mid D(i)=0 \} \quad \text{and} \quad T = \{ i \mid D(i)=1 \}$$

Since  $D'$  was not ruled out we have

$$|q_S^*(D) - q_S(D')| \leq E$$

but by definition we also have

$$|q_S^*(D) - q_S(D)| \leq E$$

so by triangle inequality  $|q_S(D) - q_S(D')| \leq 2E$ . Since  $q_S(D)=0$ , we have that on the indices in  $S$  the Hamming distance between  $D$  and  $D'$  is at most  $2E$ .

We can apply a similar reasoning to  $T$ . So overall  $D$  and  $D'$  differ in at most  $4E$  positions.

# Reconstruction attack with<sup>44</sup> exponential adversary

Let  $M:\{0,1\}^n \rightarrow \mathbb{R}$  be a privacy mechanism adding noise within  $\epsilon = o(n)$  perturbation. Then  $M$  is blatantly non-private against an adversary  $A$  running in exponential time.

# Reconstruction attack with<sup>45</sup> polynomial adversary

Let  $M:\{0,1\}^n \rightarrow R$  be a privacy mechanism adding noise within  $\mathbf{E}=\mathbf{o}(\sqrt{n})$  perturbation. Then we can show  $M$  blatantly non-private against an adversary  $A$  running in polynomial time and **answering  $n$  queries.**

[DinurNissim'02, DworkYekhanin'08]

# Number of queries

A privacy mechanism can answer with perturbation  $\sqrt{n}$  at most a number of queries sublinear in  $n$ .

**Question:** Why error  $\sqrt{n}$  is a good reference?

# Sample error

- Suppose that a database contains  $n$  individuals drawn uniformly at random from a population of size  $N \gg n$ .
- Suppose we are interested in a medical condition that affects a fraction  $p$  of the population.
- Then we expect the number of individuals in the dataset with condition  $p$  is
$$np \pm \Theta(\sqrt{n})$$
- The sampling error is of the order of  $\sqrt{n}$ .

We would like the noise we introduce for privacy to be smaller than the sampling error.

# Fundamental Law of Information Reconstruction

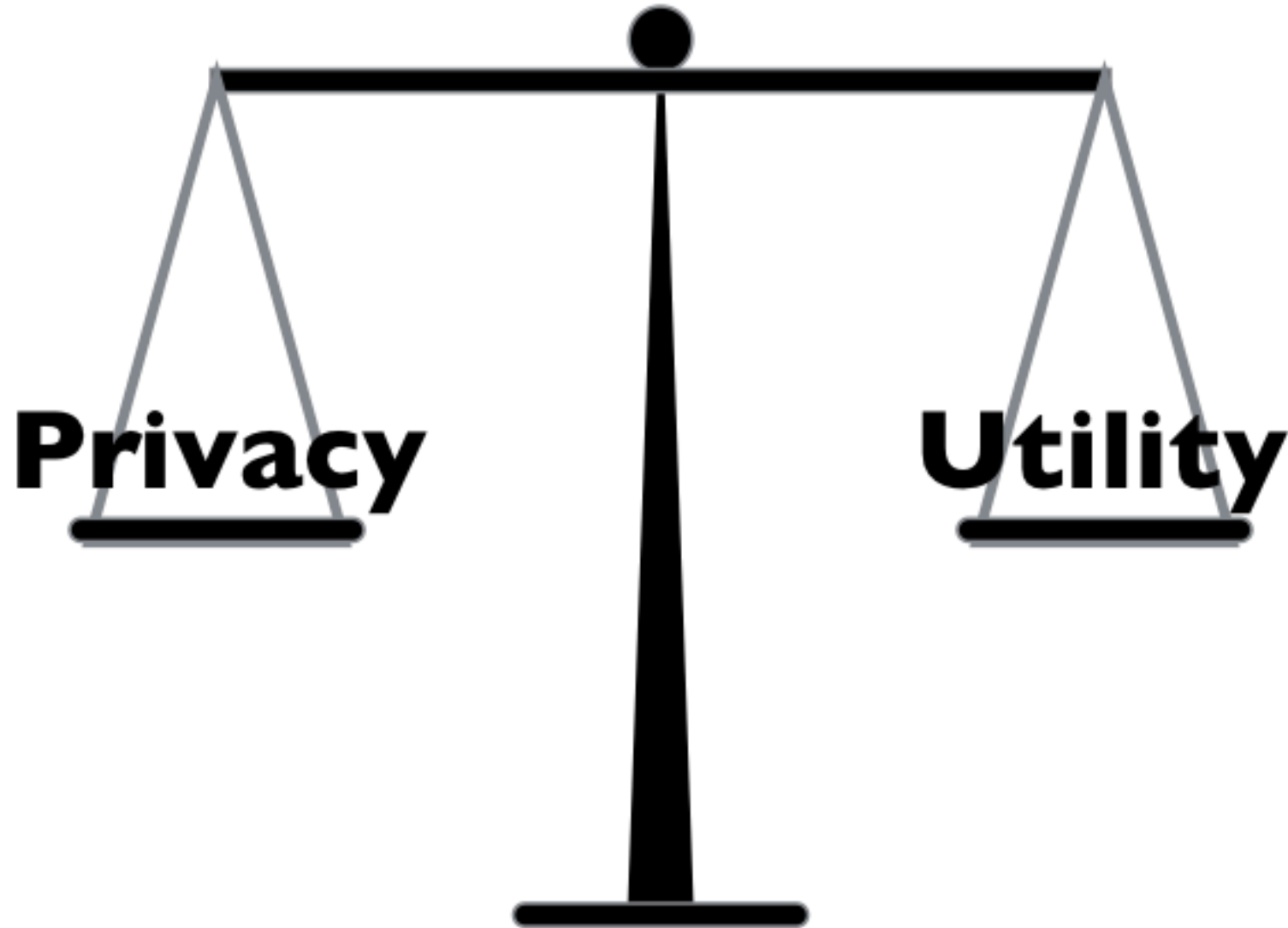
The release of **too many** overly **accurate** statistics gives privacy violations.



[DinurNissim02]



# Privacy vs Utility



# Privacy

Preventing blatantly non-privacy is a low bar for a privacy mechanism.

However, we should expect that the previous results apply to other stronger notions, in particular Differential Privacy.