

CS 591: Formal Methods in Security and Privacy

Example in Hoare Logic and Non-interference

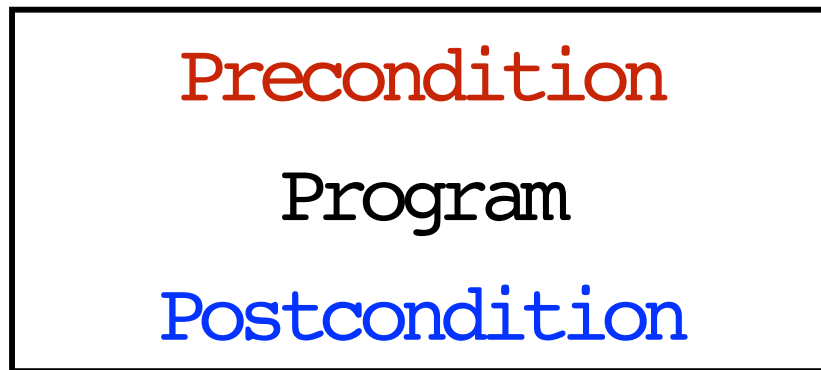
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From the previous classes

Specifications - Hoare triple

Precondition
(a logical formula)



$$c : P \Rightarrow Q$$

Program

Postcondition
(a logical formula)

Validity of Hoare triple

We say that the triple $c : P \Rightarrow Q$ is **valid**
if and only if

for every memory m such that $P(m)$
and memory m' such that $\{c\}_m = m'$
we have $Q(m')$.

Is this condition easy to check?

Semantics of Commands

This is defined on the structure of commands:

$$\{\text{abort}\}_m = \perp$$

$$\{\text{skip}\}_m = m$$

$$\{x := e\}_m = m[x \leftarrow \{e\}_m]$$

$$\{c; c'\}_m = \{c'\}_{m'} \quad \text{If } \{c\}_m = m'$$

$$\{c; c'\}_m = \perp \quad \text{If } \{c\}_m = \perp$$

$$\{\text{if } e \text{ then } c_t \text{ else } c_f\}_m = \{c_t\}_m \quad \text{If } \{e\}_m = \text{true}$$

$$\{\text{if } e \text{ then } c_t \text{ else } c_f\}_m = \{c_f\}_m \quad \text{If } \{e\}_m = \text{false}$$

$$\{\text{while } e \text{ do } c\}_m = \sup_{n \in \text{Nat}} \{\text{while}_n e \text{ do } c\}_m$$

where

$\text{while}_n e \text{ do } c = \text{while}^n e \text{ do } c; \text{if } e \text{ then abort else skip}$

and

Semantics of Commands

This is defined on the structure of commands:

$$\{\text{abort}\}_m = \perp$$

$$\{\text{skip}\}_m = m$$

$$\{x := e\}_m = m[x \leftarrow \{e\}_m]$$

$$\{c; c'\}_m = \{c'\}_{m'} \quad \text{If } \{c\}_m = m'$$

$$\{c; c'\}_m = \perp \quad \text{If } \{c\}_m = \perp$$

$$\{\text{if } e \text{ then } c_t \text{ else } c_f\}_m = \{c_t\}_m \quad \text{If } \{e\}_m = \text{true}$$

$$\{\text{if } e \text{ then } c_t \text{ else } c_f\}_m = \{c_f\}_m \quad \text{If } \{e\}_m = \text{false}$$

$$\{\text{while } e \text{ do } c\}_m = \sup_{n \in \text{Nat}} \{\text{while}_n e \text{ do } c\}_m$$

where

$\text{while}_n e \text{ do } c = \text{while}^n e \text{ do } c; \text{if } e \text{ then abort else skip}$

and $\text{while}^0 e \text{ do } c = \text{skip}$

$\text{while}^{n+1} e \text{ do } c = \text{if } e \text{ then } (c; \text{while}^n e \text{ do } c) \text{ else skip}$

Rules of Hoare Logic:

$$\frac{}{\vdash \text{skip} : P \Rightarrow P}$$

$$\frac{}{\vdash x := e : P[e/x] \Rightarrow P}$$

$$\frac{\vdash c : P \Rightarrow R \quad \vdash c' : R \Rightarrow Q}{\vdash c ; c' : P \Rightarrow Q}$$

$$\frac{P \Rightarrow S \quad \vdash c : S \Rightarrow R \quad R \Rightarrow Q}{\vdash c : P \Rightarrow Q}$$

$$\frac{}{\vdash \text{if } e \text{ then } c_1 \text{ else } c_2 : P \Rightarrow Q}$$

$$\vdash c : e \wedge P \Rightarrow P$$

$$\frac{}{\vdash \text{while } e \text{ do } c : P \Rightarrow P \wedge \neg e}$$

Rules of Hoare Logic:

$$\frac{}{\vdash \text{skip} : P \Rightarrow P}$$

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$$\frac{\vdash c : P \Rightarrow R \quad \vdash c' : R \Rightarrow Q}{\vdash c ; c' : P \Rightarrow Q}$$

$$\frac{P \Rightarrow S \quad \vdash c : S \Rightarrow R \quad R \Rightarrow Q}{\vdash c : P \Rightarrow Q}$$

$$\frac{\vdash c_1 : e \wedge P \Rightarrow Q}{\vdash \text{if } e \text{ then } c_1 \text{ else } c_2 : P \Rightarrow Q}$$

$$\frac{\vdash c : e \wedge P \Rightarrow P}{\vdash \text{while } e \text{ do } c : P \Rightarrow P \wedge \neg e}$$

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$$\frac{\vdash c : P \Rightarrow R \quad \vdash c' : R \Rightarrow Q}{\vdash c ; c' : P \Rightarrow Q}$$

$$\frac{P \Rightarrow S \quad \vdash c : S \Rightarrow R \quad R \Rightarrow Q}{\vdash c : P \Rightarrow Q}$$

$$\frac{\vdash c_1 : e \wedge P \Rightarrow Q \quad \vdash c_2 : \neg e \wedge P \Rightarrow Q}{\vdash \text{if } e \text{ then } c_1 \text{ else } c_2 : P \Rightarrow Q}$$

$$\frac{\vdash c : e \wedge P \Rightarrow P}{\vdash \text{while } e \text{ do } c : P \Rightarrow P \wedge \neg e}$$

Correctness of a rule

$$\frac{\vdash c_1 : P_1 \Rightarrow Q_1 \quad \dots \quad \vdash c_n : P_n \Rightarrow Q_n}{\vdash c : P \Rightarrow Q}$$

We say that a rule is **correct** if given **valid triples** as described by the assumption(s), we can prove the **validity of the triple** in the conclusion.

Today 1: More Hoare Logic

Another example

\vdash $\begin{array}{l} x := 3; \\ y := 1; \\ \text{while } x > 1 \text{ do} \\ \quad y := y + 1; \\ \quad x := x - 1; \end{array} : \{true\} \Rightarrow \{y = 3\}$

What can be a good Invariant?

Another example

\vdash $\boxed{\begin{array}{l} x := 3; \\ y := 1; \\ \text{while } x > 1 \text{ do} \\ \quad y := y + 1; \\ \quad x := x - 1; \end{array}}$: $\{true\} \Rightarrow \{y = 3\}$

What can be a good Invariant?

$$\text{Inv} = \{y = 4 - x \wedge x \geq 1\}$$

Another example

$$\vdash x := 3; y := 1 : \{true\} \Rightarrow \{x = 3 \wedge 1 = 1 \wedge y = 4 - x\}$$

Another example

$$\vdash x := 3 : \{true\} \Rightarrow \{x = 3\}$$

$$\vdash y := 1 : \{x = 3\} \Rightarrow \{x = 3 \wedge y = 1\}$$

$$\vdash x := 3; y := 1 : \{true\} \Rightarrow \{x = 3 \wedge y = 1\} \quad x = 3 \wedge y = 1 \Rightarrow x = 3 \wedge 1 = 1 \wedge y = 4 - x$$

$$\vdash x := 3; y := 1 : \{true\} \Rightarrow \{x = 3 \wedge 1 = 1 \wedge y = 4 - x\}$$

Another example

$$\begin{array}{c} \text{true} \Rightarrow 3 = 3 \quad \vdash x := 3 : \{3 = 3\} \Rightarrow \{x = 3\} \quad x = 3 \Rightarrow x = 3 \wedge 1 = 1 \quad \vdash y := 1 : \{x = 3 \wedge 1 = 1\} \Rightarrow \{x = 3 \wedge y = 1\} \\ \hline \vdash x := 3 : \{\text{true}\} \Rightarrow \{x = 3\} \quad \vdash y := 1 : \{x = 3\} \Rightarrow \{x = 3 \wedge y = 1\} \\ \hline \vdash x := 3; y := 1 : \{\text{true}\} \Rightarrow \{x = 3 \wedge y = 1\} \quad x = 3 \wedge y = 1 \Rightarrow x = 3 \wedge 1 = 1 \wedge y = 4 - x \\ \hline \vdash x := 3; y := 1 : \{\text{true}\} \Rightarrow \{x = 3 \wedge 1 = 1 \wedge y = 4 - x\} \end{array}$$

Another example

$$x = 3 \wedge y = 1 \wedge y = 4 - x \Rightarrow y = 4 - x \wedge x \geq 1$$

$$\vdash \begin{array}{l} \text{while } x > 1 \text{ do} \\ \quad y := y+1; \\ \quad x := x-1 \end{array} : \{y = 4 - x \wedge x \geq 1\} \Rightarrow \{y = 4 - x \wedge x = 1\} \quad y = 4 - x \wedge x = 1 \Rightarrow y = 3$$

$$\vdash \begin{array}{l} \text{while } x > 1 \text{ do} \\ \quad y := y+1; \\ \quad x := x-1 \end{array} : \{x = 3 \wedge y = 1 \wedge y = 4 - x\} \Rightarrow \{y = 3\}$$

Another example

$$\vdash \begin{array}{l} y := y+1; \\ x := x-1 \end{array} : \{y = 4 - x \wedge x \geq 1 \wedge x > 1\} \Rightarrow \{y = 4 - x \wedge x \geq 1\}$$

$$\vdash \begin{array}{l} \text{while } x > 1 \text{ do: } \\ y := y+1; \\ x := x-1 \end{array} : \{y = 4 - x \wedge x \geq 1\} \Rightarrow \{y = 4 - x \wedge x \geq 1 \wedge \neg(x > 1)\} \\ \{y = 4 - x \wedge x \geq 1 \wedge \neg(x > 1)\} \Rightarrow \{y = 4 - x \wedge x = 1\}$$

$$x = 3 \wedge y = 1 \wedge y = 4 - x \Rightarrow y = 4 - x \wedge x \geq 1$$

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$$\vdash \begin{array}{l} \text{while } x > 1 \text{ do} \\ y := y+1; \\ x := x-1 \end{array} : \{x = 3 \wedge y = 1 \wedge y = 4 - x\} \Rightarrow \{y = 3\}$$

Another example

$$y = 4 - x \wedge x \geq 1 \wedge x > 1 \Rightarrow y + 1 = 4 - (x - 1) \wedge x - 1 \geq 1$$

$$\vdash \frac{y := y+1;}{x := x-1} : \{y + 1 = 4 - (x - 1) \wedge x - 1 \geq 1\} \Rightarrow \{y = 4 - x \wedge x \geq 1\}$$

$$\vdash \frac{y := y+1;}{x := x-1} : \{y = 4 - x \wedge x \geq 1 \wedge x > 1\} \Rightarrow \{y = 4 - x \wedge x \geq 1\}$$

$$\text{while } x > 1 \text{ do: } \{y = 4 - x \wedge x \geq 1\} \Rightarrow \{y = 4 - x \wedge x \geq 1 \wedge \neg(x > 1)\}$$

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$$x = 3 \wedge y = 1 \wedge y = 4 - x \Rightarrow y = 4 - x \wedge x \geq 1$$

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$$\vdash \frac{\text{while } x > 1 \text{ do}}{y := y+1;}{x := x-1} : \{x = 3 \wedge y = 1 \wedge y = 4 - x\} \Rightarrow \{y = 3\}$$

Another example

$$\vdash y := y+1 : \{y+1 = 4 - (x-1) \wedge x-1 \geq 1\} \Rightarrow \{y = 4 - (x-1) \wedge x-1 \geq 1\}$$

$$\vdash x := x-1 : \{y = 4 - (x-1) \wedge x-1 \geq 1\} \Rightarrow \{y = 4 - x \wedge x \geq 1\}$$

$$y = 4 - x \wedge x \geq 1 \wedge x > 1 \Rightarrow y + 1 = 4 - (x - 1) \wedge x - 1 \geq 1$$

$$\vdash \begin{array}{l} y := y+1; \\ x := x-1 \end{array} : \{y + 1 = 4 - (x - 1) \wedge x - 1 \geq 1\} \Rightarrow \{y = 4 - x \wedge x \geq 1\}$$

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$$x = 3 \wedge y = 1 \wedge y = 4 - x \Rightarrow y = 4 - x \wedge x \geq 1$$

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Another example

$$\begin{array}{l} \vdash \begin{array}{l} x := 3; \\ y := 1; \end{array} : \{true\} \Rightarrow \{x = 3 \wedge 1 = 1 \wedge y = 4 - x\} \quad \vdash \begin{array}{l} \text{while } x > 1 \text{ do} \\ \quad y := y + 1; \\ \quad x := x - 1; \end{array} : \{x = 3 \wedge y = 1 \wedge y = 4 - x\} \Rightarrow \{y = 3\} \end{array}$$

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What happens if the loop
does not end?

Another example

\vdash `while true do skip`: $\{true\} \Rightarrow \{false\}$

Can we prove it?

Another example

$\vdash \boxed{\text{while true do skip}} : \{true\} \Rightarrow \{false\}$

Can we prove it?

What can be a good Invariant?

Another example

$\vdash \boxed{\text{while true do skip}} : \{true\} \Rightarrow \{false\}$

Can we prove it?

What can be a good Invariant?

$Inv = \{true\}$

Another example

$\vdash \boxed{\text{while true do skip}} : \{true\} \Rightarrow \{false\}$

$\vdash c : true \wedge true \Rightarrow true$

$\vdash \text{while true do skip} : true \Rightarrow true \wedge \neg true$

Partial vs Total correctness

Partial correctness: the definition of validity requires **the postcondition to hold only if the program terminates.**

Total correctness: the definition of validity requires the program to **terminate and the postcondition to hold.**

Total Correctness

Validity of Hoare triple

We say that the triple $c : P \Rightarrow Q$ is **valid**
if and only if

for every memory m such that $P(m)$
there exists a memory m' such that
 $\{c\}_{m=m'}$ and $Q(m')$.

Total Correctness

Hoare Logic

- All the rules except the ones for **abort** and **while** support total correctness.
- We could give a **total correctness** rule for while.

How do we know that these
are the right rules?

Soundness

If we can derive $\vdash c : P \Rightarrow Q$ through the rules of the logic, then the triple

$c : P \Rightarrow Q$ is valid.

Are the rules we presented
sound?

Completeness

If a triple $\mathcal{C} : P \Rightarrow Q$ is valid, then
we can derive $\vdash_{\mathcal{C}} : P \Rightarrow Q$ through
the rules of the logic.

Are the rules we presented
complete?

Relative Completeness

 $P \Rightarrow S$ $\vdash C : S \Rightarrow R$ $R \Rightarrow Q$

 $\vdash C : P \Rightarrow Q$

Relative Completeness

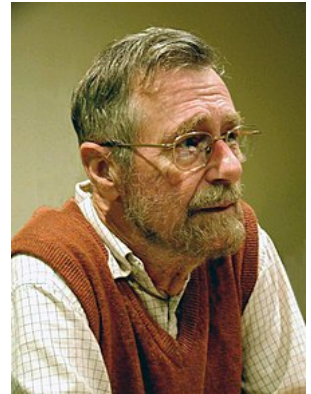
$$\frac{P \Rightarrow S \quad \vdash c : S \Rightarrow R \quad R \Rightarrow Q}{\vdash c : P \Rightarrow Q}$$

$$\vdash c : P \Rightarrow Q$$

If a triple $c : Pre \Rightarrow Post$ is valid, and we have an oracle to derive all the true statements of the form $P \Rightarrow S$ and of the form $R \Rightarrow Q$, which we can use in applications of the conseq rule, then we can derive $\vdash c : Pre \Rightarrow Post$ through the rules of the logic.

Today 2: weakest precondition calculus

Predicate Transformer Semantics



Given a program c and an assertion P we can define an assertion $wp(c, P)$ which is the weakest precondition of c and P , i.e. $c : wp(c, P) \Rightarrow P$ is a **valid triple**, and for every triple $c : Q \Rightarrow P$ we have $Q \Rightarrow wp(c, P)$

Weakest precondition

This is defined on the structure of commands:

$$\text{wp}(\text{abort}, P) = \text{false}$$

$$\text{wp}(\text{skip}, P) = P$$

$$\text{wp}(x := e, P) = P[x \leftarrow \{e\}_m]$$

$$\text{wp}(c; c', P) = \text{wp}(c, \text{wp}(c', P))$$

$$\text{wp}(\text{if } e \text{ then } c_t \text{ else } c_f, P) = (e \Rightarrow \text{wp}(c_t, P)) \wedge (\neg e \Rightarrow \text{wp}(c_f, P))$$

$$\text{wp}(\text{while } e \text{ do } c, P) = \exists_{n \in \text{Nat}} P_n \text{ where}$$

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$$\text{wp}(\text{if } e \text{ then } c_t \text{ else } c_f, P) = (e \Rightarrow \text{wp}(c_t, P)) \wedge (\neg e \Rightarrow \text{wp}(c_f, P))$$

$$\text{wp}(\text{while } e \text{ do } c, P) = \exists_{n \in \text{Nat}} P_n \text{ where}$$

$$P_0 = \neg e \wedge P$$

$$P_{n+1} = e \wedge \text{wp}(c, P_n)$$

Today 3: security as information flow control

Some Examples of Security Properties

- Access Control
- Encryption
- Malicious Behavior Detection
- Information Filtering
- Information Flow Control

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- Access Control
- Encryption
- Malicious Behavior Detection
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Private vs Public

We want to distinguish **confidential information** that need to be kept secret from **nonconfidential information** that can be accessed by everyone.

We assume that every variable is tagged with one either **public** or **private**.

`x:public`

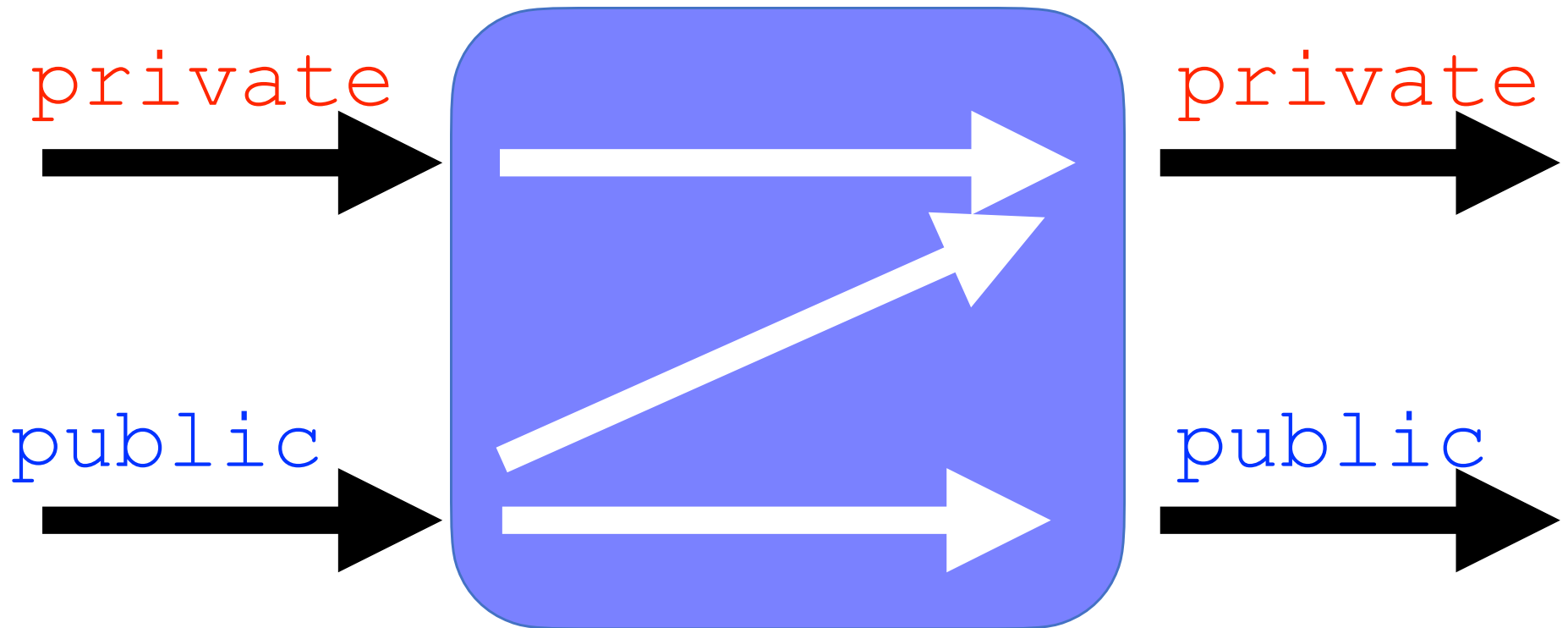
`x:private`

Information Flow Control

We want to guarantee that **confidential information** do not flow in what is considered **nonconfidential**.

Information Flow Control

We want to guarantee that **confidential information** do not flow in what is considered **nonconfidential**.



Is this program secure?

```
x:private  
y:public  
  
x:=y
```

Is this program secure?

```
x:private  
y:public  
  
x:=y
```

Secure

Is this program secure?

```
x:private  
y:public  
  
y:=x
```

Is this program secure?

```
x:private  
y:public  
  
y:=x
```

Insecure

Is this program secure?

```
x:private
```

```
y:public
```

```
y := x;
```

```
y := 5
```

Is this program secure?

```
x:private  
y:public  
  
y:=x;  
y:=5
```

Secure

Is this program secure?

```
x:private
```

```
y:public
```

```
if y mod 3 = 0 then
```

```
  x:=1
```

```
else
```

```
  x:=0
```

Is this program secure?

```
x:private  
y:public
```

```
if y mod 3 = 0 then  
  x:=1  
else  
  x:=0
```

Secure

Is this program secure?

```
x:private
```

```
y:public
```

```
if x mod 3 = 0 then
```

```
  y:=1
```

```
else
```

```
  y:=0
```

Is this program secure?

```
x:private  
y:public
```

```
if x mod 3 = 0 then  
  y:=1  
else  
  y:=0
```

Insecure

How can we formulate a policy that forbids flows from private to public?

Low equivalence

Two memories m_1 and m_2 are **low equivalent** if and only if they coincide in the value that they assign to public variables.

In symbols: $m_1 \sim_{\text{low}} m_2$

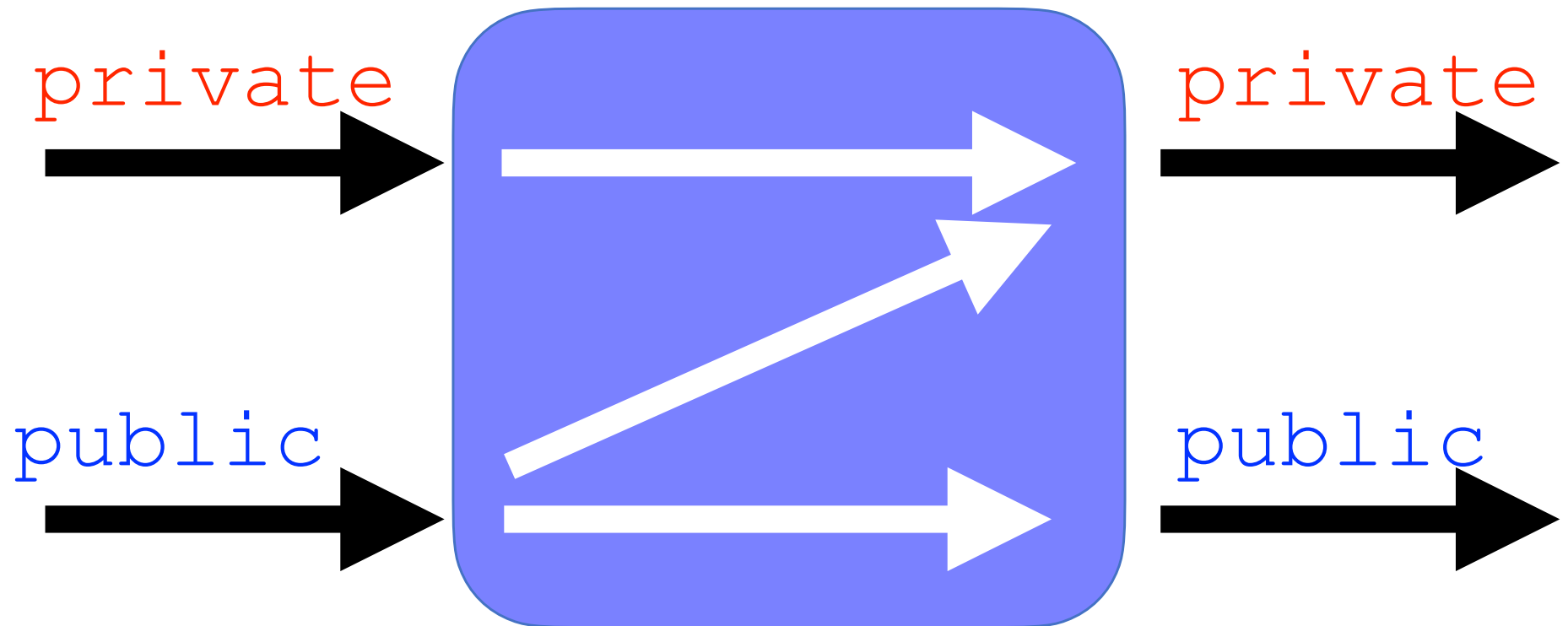
Noninterference

A program `prog` is **noninterferent** if and only if, whenever we run it on two memories m_1 and m_2 that are **low equivalent**, we obtain two memories m_1' and m_2' which are also **low equivalent**.

Noninterference

In symbols

$m_1 \sim_{\text{low}} m_2$ and $\{c\}_{m_1} = m_1'$ and $m_2' \{c\}_{m_2} = m_2'$
implies $m_1' \sim_{\text{low}} m_2'$



Does this program satisfy
noninterference?

```
x:private  
y:public  
  
x := y
```

Does this program satisfy
noninterference?

```
x:private  
y:public  
  
x := y
```

Yes

Does this program satisfy noninterference?

```
x:private  
y:public  
  
x := y
```

Yes

$m^{in}_1 = [x=n_1, y=k]$

Does this program satisfy noninterference?

```
x:private  
y:public  
  
x := y
```

Yes

$m^{in}_1 = [x=n_1, y=k]$

$m^{in}_2 = [x=n_2, y=k]$

Does this program satisfy noninterference?

```
x: private  
y: public  
  
x := y
```

Yes

$m_1^{\text{in}} = [x=n_1, y=k]$

$m_1^{\text{out}} = [x=k, y=k]$

$m_2^{\text{in}} = [x=n_2, y=k]$

$m_2^{\text{out}} = [x=k, y=k]$

Does this program satisfy noninterference?

```
x:private  
y:public  
  
y:=x
```

Does this program satisfy noninterference?

```
x:private  
y:public  
  
y:=x
```

No

Does this program satisfy noninterference?

```
x:private  
y:public  
  
y := x
```

No

$m_1^{in} = [x=n_1, y=k]$

Does this program satisfy noninterference?

```
x:private  
y:public  
  
y := x
```

No

$m^{in}_1 = [x=n_1, y=k]$

$m^{in}_2 = [x=n_2, y=k]$

Does this program satisfy noninterference?

```
x: private  
y: public  
  
y := x
```

No

$m_1^{\text{in}} = [x=n_1, y=k]$

$m_1^{\text{out}} = [x=n_1, y=n_1]$

$m_2^{\text{in}} = [x=n_2, y=k]$

$m_2^{\text{out}} = [x=n_2, y=n_2]$

Does this program satisfy noninterference?

```
x:private  
y:public  
  
y:=x  
y:=5
```

Does this program satisfy noninterference?

```
x:private  
y:public
```

```
y := x  
y := 5
```

Yes

Does this program satisfy noninterference?

```
x: private  
y: public
```

```
y := x  
y := 5
```

Yes

$m_1^{in} = [x = n_1, y = k]$

Does this program satisfy noninterference?

```
x: private  
y: public
```

```
y := x  
y := 5
```

Yes

$m^{in}_1 = [x = n_1, y = k]$

$m^{in}_2 = [x = n_2, y = k]$

Does this program satisfy noninterference?

```
x: private  
y: public
```

```
y := x  
y := 5
```

Yes

$m_1^{\text{in}} = [x=n_1, y=k]$

$m_2^{\text{in}} = [x=n_2, y=k]$

$m_1^{\text{out}} = [x=n_1, y=5]$

$m_2^{\text{out}} = [x=n_2, y=5]$

Does this program satisfy noninterference?

```
x:private  
y:public  
if y mod 3 = 0 then  
  x:=1  
else  
  x:=0
```

Does this program satisfy noninterference?

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x:private  
y:public  
if y mod 3 = 0 then  
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  x:=0
```

Yes

Does this program satisfy noninterference?

```
x:private
y:public
if y mod 3 = 0 then
  x:=1
else
  x:=0
```

Yes

$m^{in}_1 = [x=n_1, y=6]$

Does this program satisfy noninterference?

```
x:private
y:public
if y mod 3 = 0 then
  x:=1
else
  x:=0
```

Yes

$m^{in}_1 = [x=n_1, y=6]$

$m^{in}_2 = [x=n_2, y=6]$

Does this program satisfy noninterference?

```
x:private
y:public
if y mod 3 = 0 then
  x:=1
else
  x:=0
```

Yes

$m^{\text{in}}_1 = [x=n_1, y=6]$

$m^{\text{in}}_2 = [x=n_2, y=6]$

$m^{\text{out}}_1 = [x=1, y=6]$

$m^{\text{out}}_2 = [x=1, y=6]$

Does this program satisfy noninterference?

```
x:private
y:public
if x mod 3 = 0 then
  y:=1
else
  y:=0
```

Does this program satisfy noninterference?

```
x:private  
y:public  
if x mod 3 = 0 then  
  y:=1  
else  
  y:=0
```

No

Does this program satisfy noninterference?

```
x:private  
y:public  
if x mod 3 = 0 then  
  y:=1  
else  
  y:=0
```

No

$m^{in}_1 = [x=6, y=k]$

Does this program satisfy noninterference?

```
x:private
y:public
if x mod 3 = 0 then
  y:=1
else
  y:=0
```

No

$m^{in}_1 = [x=6, y=k]$

$m^{in}_2 = [x=5, y=k]$

Does this program satisfy noninterference?

```
x:private
y:public
if x mod 3 = 0 then
  y:=1
else
  y:=0
```

No

$m^{\text{in}}_1 = [x=6, y=k]$

$m^{\text{in}}_2 = [x=5, y=k]$

$m^{\text{out}}_1 = [x=6, y=1]$

$m^{\text{out}}_2 = [x=5, y=0]$

Does this program satisfy noninterference?

```
s1:public
s2:private
r:private
i:public

proc Compare (s1:list[n] bool, s2:list[n] bool)
  i:=0;
  r:=0;
  while i<n /\ r=0 do
    if not(s1[i]=s2[i]) then
      r:=1
    i:=i+1
```

Does this program satisfy noninterference?

```
s1:public
s2:private
r:private
i:public

proc Compare (s1:list[n] bool, s2:list[n] bool)
  i:=0;
  r:=0;
  while i<n /\ r=0 do
    if not(s1[i]=s2[i]) then
      r:=1
    i:=i+1
```

No

How can we prove our
programs noninterferent?