

# CS 599: Formal Methods in Security and Privacy

Probabilistic Noninterference

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From the previous classes

# An example

Suppose `msg` is the type of  $n$  bit messages, and `xor` is bitwise exclusive or.

```
OneTimePad(m : private msg) : public msg  
  key := $ Uniform({0,1}n);  
  cipher := m xor key;  
  return cipher
```

Learning a ciphertext does not change any a priori knowledge about the likelihood of messages.

# Probabilistic While (PWhile)

```
c ::= abort
    | skip
    | x := e
    | x :=$ d
    | c ; c
    | if e then c else c
    | while e do c
```

$d_1, d_2, \dots$  expressions for sub-distributions

# Semantics of Commands

This is defined on the structure of commands:

$$\{\text{abort}\}_m = \mathbf{0}$$

$$\{\text{skip}\}_m = \text{unit}(m)$$

$$\{x := e\}_m = \text{unit}(m[x \leftarrow \{e\}_m])$$

$$\{x := \$ d\}_m = \text{let } a = \{d\}_m \text{ in } \text{unit}(m[x \leftarrow a])$$

$$\{c; c'\}_m = \text{let } m' = \{c\}_m \text{ in } \{c'\}_{m'}$$

$$\{\text{if } e \text{ then } c_t \text{ else } c_f\}_m = \{c_t\}_m \text{ **if** } \{e\}_m = \text{true}$$

$$\{\text{if } e \text{ then } c_t \text{ else } c_f\}_m = \{c_f\}_m \text{ **if** } \{e\}_m = \text{false}$$

$$\{\text{while } e \text{ do } c\}_m = \dots$$

Today:  
Probabilistic  
Noninterference

# Revisiting the example

```
OneTimePad(m : private msg) : public msg  
  key := $ Uniform({0,1}n);  
  cipher := m xor key;  
  return cipher
```

Learning a ciphertext does not change any a priori knowledge about the likelihood of messages.

How do we formalize this?

# Probabilistic Noninterference

A program  $\text{prog}$  is probabilistically noninterferent if and only if, whenever we run it on two low equivalent memories  $m_1$  and  $m_2$ , we have that the probabilistic distributions we get as outputs are the same on public outputs.



# Low equivalence on distributions

Two distributions over memories  $\mu_1$  and  $\mu_2$  are **low equivalent** if and only if they coincide after projecting out all the private variables.

In symbols:  $\mu_1 \sim_{\text{low}} \mu_2$

# Example: Low equivalence on distributions

Consider memories with  $x$  private and  $y$  public. The distributions  $\mu_1$  and  $\mu_2$  defined as

$$\mu_1([x=2, y=0]) = 2/3, \quad \mu_1([x=3, y=1]) = 1/3$$

and

$$\mu_2([x=1, y=0]) = 1/3, \quad \mu_2([x=5, y=0]) = 1/3,$$

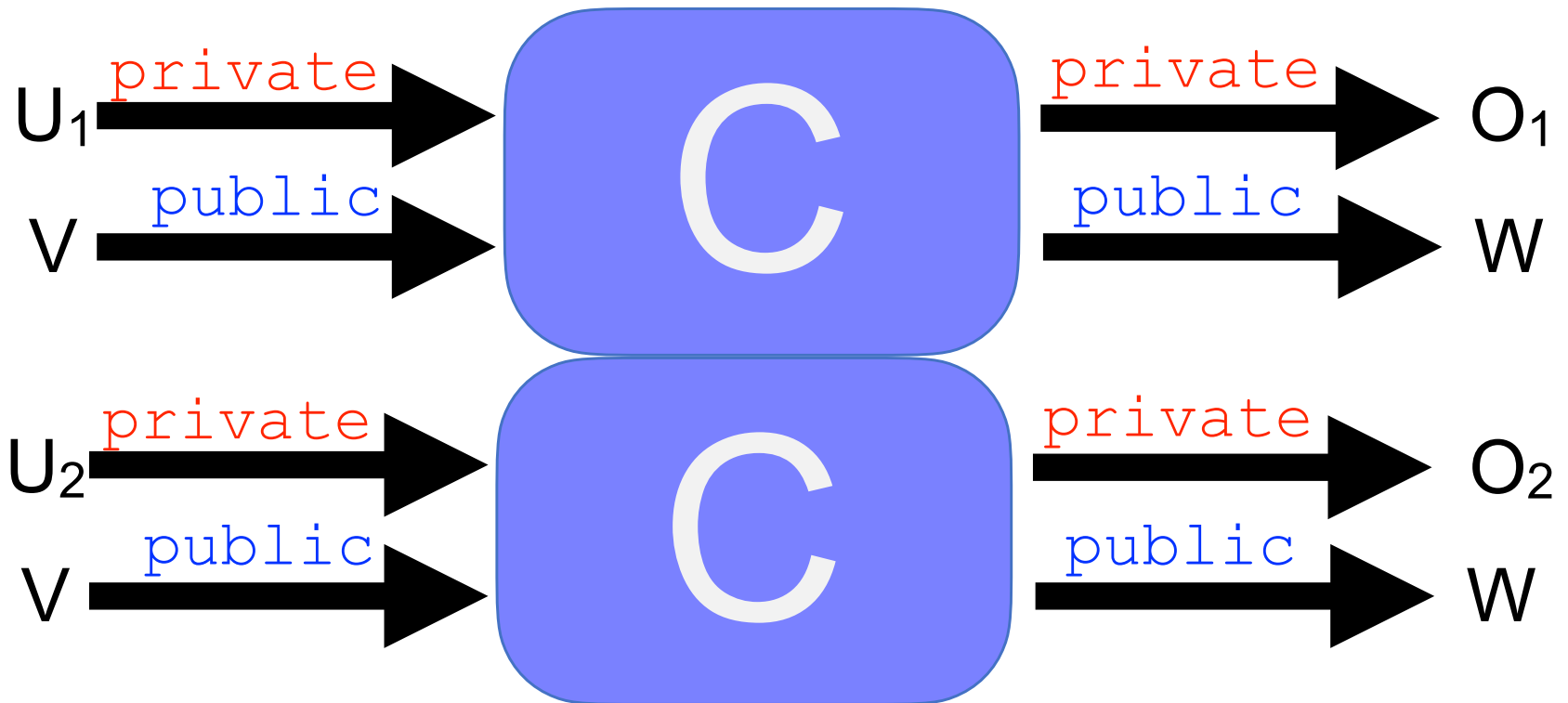
$$\mu_2([x=4, y=1]) = 1/3$$

are low equivalent.

# Noninterference as a Relational Property

In symbols,  $c$  is **noninterferent** if and only if for every  $m_1 \sim_{\text{low}} m_2$  :

$\{c\}_{m_1} = \mu_1$  and  $\{c\}_{m_2} = \mu_2$  implies  $\mu_1 \sim_{\text{low}} \mu_2$



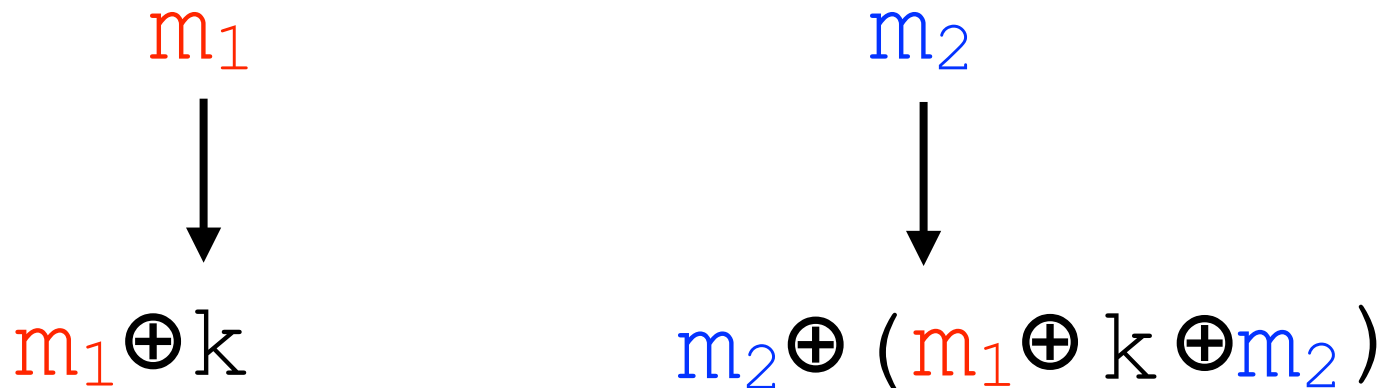
# Revisiting the example

```
OneTimePad(m : private msg) : public msg  
  key := $ Uniform({0,1}n);  
  cipher := m xor key;  
  return cipher
```

How can we prove that this is noninterferent?

# Revisiting the example

```
OneTimePad(m : private msg) : public msg  
  key := $ Uniform({0,1}n);  
  cipher := m xor key;  
  return cipher
```



We will show it is sound to pick, with some restrictions, a *function* of  $k$  as the key for  $m_2$ . What could we choose so that the cipher texts are equal?

# Properties of bitwise xor

$$c \oplus (a \oplus c) = a$$

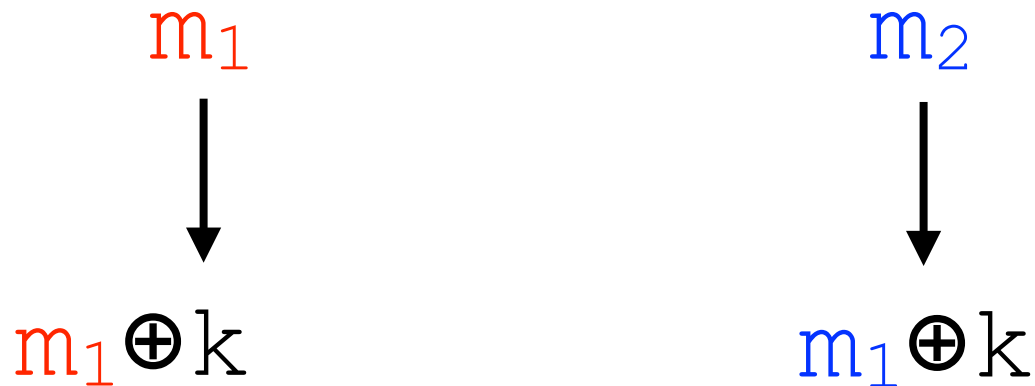
Example:

$$100 \oplus (101 \oplus 100) =$$

$$100 \oplus 001 = 101$$

# Revisiting the example

```
OneTimePad(m : private msg) : public msg  
  key := $ Uniform({0,1}n);  
  cipher := m xor key;  
  return cipher
```



Applying the property above

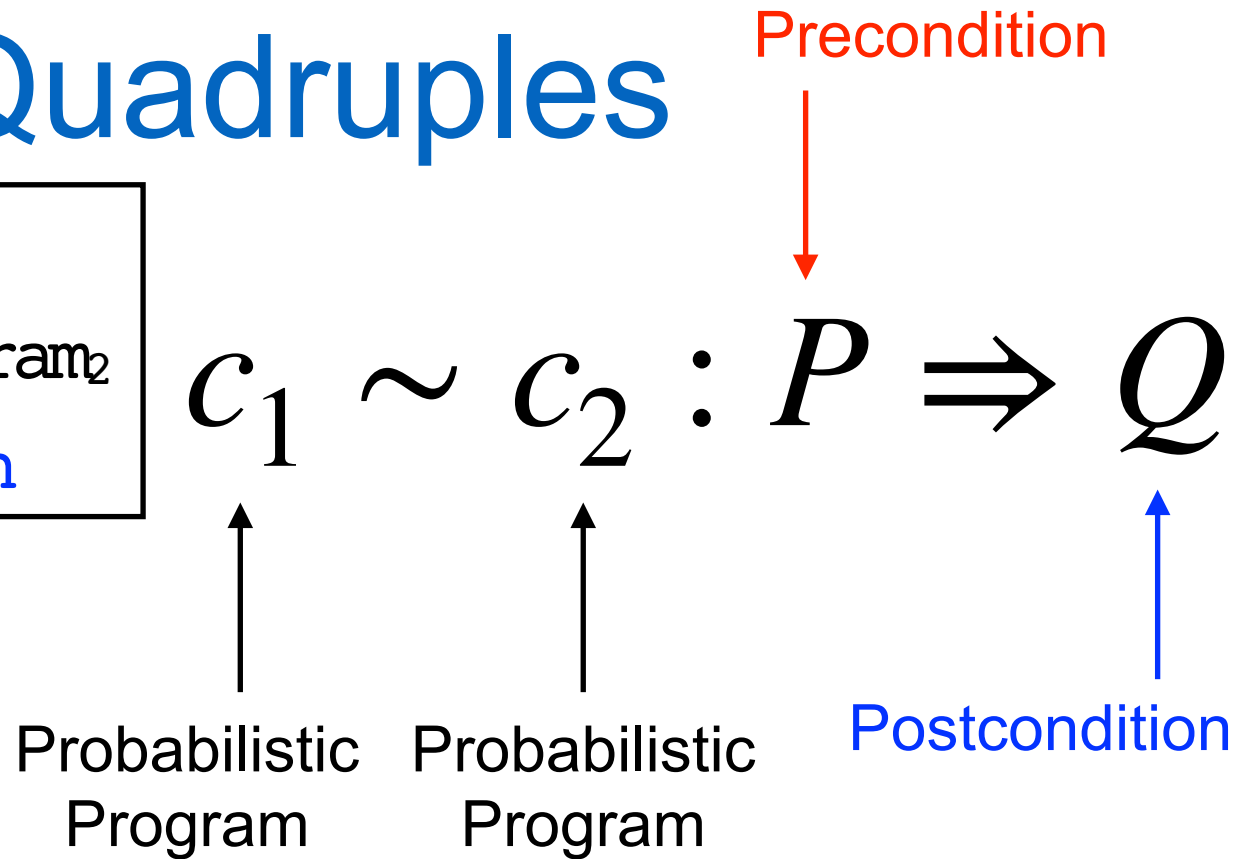
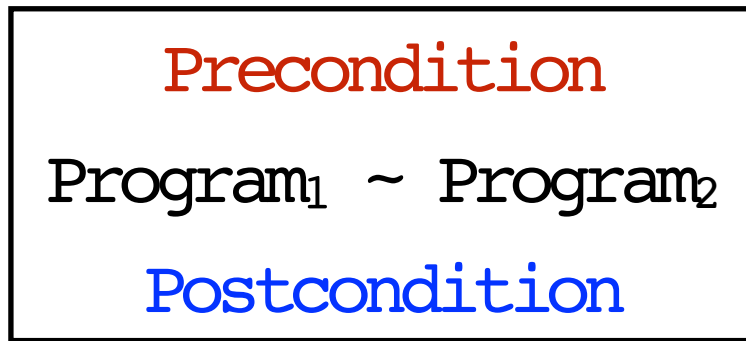
# Noninterference as a Relational Property

$c$  is **noninterferent** if and only if for every  
 $m_1 \sim_{\text{low}} m_2 : \{c\}_{m_1} = \mu_1$  and  $\{c\}_{m_2} = \mu_2$  implies  
 $\mu_1 \sim_{\text{low}} \mu_2$

We will express and prove probabilistic noninterference using Probabilistic Relational Hoare Logic



# Probabilistic Relational Hoare Quadruples



# Validity of Probabilistic Hoare quadruple

We say that the quadruple  $c_1 \sim c_2 : P \Rightarrow Q$  is **valid** if and only if for every pair of memories  $m_1, m_2$  such that  $P(m_1, m_2)$  we have:

$\{c_1\}_{m_1} = \mu_1$  and  $\{c_2\}_{m_2} = \mu_2$  implies  $Q(\mu_1, \mu_2)$ .

Is this correct?!?

# Relational Assertions

$$c_1 \sim c_2 : P \Rightarrow Q$$

logical formula  
over pair of memories  
(i.e., relation over memories)

logical formula  
over ????

We need to lift  $Q$  to be a relation on distributions, and we do this using the notion of a *coupling* between distributions

# Coupling Example 1

00	0.25
01	0.25
10	0.25
11	0.25

$k_1$

relation

$$k_1 = 10 \oplus k_2 \oplus 00$$

$k_2$

00	0.25
01	0.25
10	0.25
11	0.25

$k_2$

$k_1$

	00	01	10	11
00			0.25	
01				0.25
10	0.25			
11		0.25		

# Coupling Example 2

00	0.25
01	0.25
10	0.25
11	0.25

$k_1$

relation

$$k_1 = k_2 \text{ or } k_1 = k_2 \oplus 11$$

$k_2$

00	0.00
01	0.50
10	0.00
11	0.50

$k_2$

$k_1$

	00	01	10	11
00				0.25
01		0.25		
10		0.25		
11				0.25

# Coupling formally

Given two sub-distributions  $\mu_1 \in \mathcal{D}(A)$ , and  $\mu_2 \in \mathcal{D}(B)$ , a **coupling** between them is a joint sub-distribution  $\mu \in \mathcal{D}(A \times B)$  whose *marginal sub-distributions* are  $\mu_1$  and  $\mu_2$ , respectively.

$$\pi_1(\mu)(a) = \sum_b \mu(a, b)$$

$$\pi_2(\mu)(b) = \sum_a \mu(a, b)$$

$$\pi_1(\mu) = \mu_1$$

$$\pi_2(\mu) = \mu_2$$

# R-Coupling

Given two sub-distributions  $\mu_1 \in D(A)$ , and  $\mu_2 \in D(B)$ , an  $R$ -coupling between them, for  $R \subseteq A \times B$ , is a joint sub-distribution  $\mu \in D(A \times B)$  such that:

- 1) the marginal sub-distributions of  $\mu$  are  $\mu_1$  and  $\mu_2$ , respectively,
- 2) the support of  $\mu$  is contained in  $R$ . That is, if  $\mu(a, b) > 0$ , then  $(a, b) \in R$ .

# Relational lifting of a predicate

We say that two sub-distributions  $\mu_1 \in D(A)$  and  $\mu_2 \in D(B)$  are in the relational lifting of the relation  $R \subseteq A \times B$ , denoted  $\mu_1 R^* \mu_2$ , if and only if there exists a sub-distribution  $\mu \in D(A \times B)$  such that:

- 1) if  $\mu(a, b) > 0$ , then  $(a, b) \in R$ .
- 2)  $\pi_1(\mu) = \mu_1$  and  $\pi_2(\mu) = \mu_2$

I.e., there is an R-coupling for  $\mu_1$  and  $\mu_2$



# Consequences of Lifting

Suppose  $E$  and  $F$  are predicates on memories. If we know

$\mu_1 (E\langle 1 \rangle \Leftrightarrow F\langle 2 \rangle) * \mu_2,$

then we can conclude that

$$\text{Pr}_{\mu_1}[E] = \text{Pr}_{\mu_2}[F]$$

# Consequences of Lifting

Suppose  $E$  and  $F$  are predicates on memories. If we know

$\mu_1 (E\langle 1 \rangle \Rightarrow F\langle 2 \rangle) * \mu_2,$

then we can conclude that

$$\text{Pr}_{\mu_1}[E] \leq \text{Pr}_{\mu_2}[F]$$

# Validity of Probabilistic Hoare quadruple

We say that the quadruple  $c_1 \sim c_2 : P \Rightarrow Q$  is **valid** if and only if for every pair of memories  $m_1, m_2$  such that  $P(m_1, m_2)$  we have:

$\{c_1\}_{m_1} = \mu_1$  and  $\{c_2\}_{m_2} = \mu_2$  implies  $Q^*(\mu_1, \mu_2)$ .

# Probabilistic Relational Hoare Logic

## Skip

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$$\vdash \text{skip} \sim \text{skip} : P \Rightarrow P$$

# Probabilistic Relational Hoare Logic Assignment

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$\vdash x_1 := e_1 \sim x_2 := e_2 :$

$P [ e_1 \langle 1 \rangle / x_1 \langle 1 \rangle , e_2 \langle 2 \rangle / x_2 \langle 2 \rangle ] \Rightarrow P$

# Probabilistic Relational Hoare Logic Composition

$$\vdash C_1 \sim C_2 : P \Rightarrow R \quad \vdash C_1' \sim C_2' : R \Rightarrow S$$

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$$\vdash C_1 ; C_1' \sim C_2 ; C_2' : P \Rightarrow S$$

# Probabilistic Relational Hoare Logic

## Consequence

$$\frac{P \Rightarrow S \quad \vdash C_1 \sim C_2 : S \Rightarrow R \quad R \Rightarrow Q}{\vdash C_1 \sim C_2 : P \Rightarrow Q}$$

We can **weaken**  $P$ , i.e. replace it by something that is implied by  $P$ .  
In this case  $S$ .

We can **strengthen**  $Q$ , i.e. replace it by something that implies  $Q$ .  
In this case  $R$ .

# Probabilistic Relational Hoare Logic

## If-then-else

$$P \Rightarrow (e_1 \langle 1 \rangle \Leftrightarrow e_2 \langle 2 \rangle)$$

$$\vdash c_1 \sim c_2 : e_1 \langle 1 \rangle \wedge P \Rightarrow Q$$

$$\vdash c_1' \sim c_2' : \neg e_1 \langle 1 \rangle \wedge P \Rightarrow Q$$

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$$\vdash \begin{array}{l} \text{if } e_1 \text{ then } c_1 \text{ else } c_1' \\ \sim \\ \text{if } e_2 \text{ then } c_2 \text{ else } c_2' \end{array} : P \Rightarrow Q$$



# Probabilistic Relational Hoare Logic

## While

$$P \Rightarrow (e_1 \langle 1 \rangle \Leftrightarrow e_2 \langle 2 \rangle)$$

$$\vdash c_1 \sim c_2 \quad : \quad e_1 \langle 1 \rangle \wedge P \Rightarrow P$$

---

$$\vdash \begin{array}{l} \text{while } e_1 \text{ do } c_1 \\ \sim \\ \text{while } e_2 \text{ do } c_2 \end{array} \quad : \quad P \Rightarrow P \wedge \neg e_1 \langle 1 \rangle$$

# Probabilistic Relational Hoare Logic

## If-then-else - left

$$\vdash c_1 \sim c_2 : e < 1 > \wedge P \Rightarrow Q$$

$$\vdash c_1' \sim c_2 : \neg e < 1 > \wedge P \Rightarrow Q$$

---

$$\vdash \text{if } e \text{ then } c_1 \text{ else } c_1' \sim c_2 : P \Rightarrow Q$$

# Probabilistic Relational Hoare Logic

## If-then-else - right

$$\vdash c_1 \sim c_2 : e \langle 2 \rangle \wedge P \Rightarrow Q$$

$$\vdash c_1 \sim c_2' : \neg e \langle 2 \rangle \wedge P \Rightarrow Q$$

---

$$\vdash \begin{array}{c} c_1 \\ \sim \\ \text{if } e \text{ then } c_2 \text{ else } c_2' \end{array} : P \Rightarrow Q$$

# Probabilistic Relational Hoare Logic

## Assignment - left

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$$\vdash x := e \sim \text{skip} :$$
$$P[e \langle 1 \rangle / x \langle 1 \rangle] \Rightarrow P$$

How about the random  
assignment?

# Probabilistic Relational Hoare Logic

## Random Assignment

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$\vdash x_1 := \$ d_1 \sim x_2 := \$ d_2 : ?? \Rightarrow Q$

# We would like to have:

for all  $m_1, m_2, P(m_1, m_2) \Rightarrow$   
let  $a = \{d_1\}_{m_1}$  in unit ( $m_1 [x_1 \leftarrow a]$ )  
 $Q^*$   
let  $a = \{d_2\}_{m_2}$  in unit ( $m_2 [x_2 \leftarrow a]$ )

---

$\vdash x_1 := \$ d_1 \sim x_2 := \$ d_2 : P \Rightarrow Q$

What is the problem with this rule?

# Restricted Probabilistic Expressions

We consider a restricted set of expressions denoting probability distributions.

$$d ::= f(d_1, \dots, d_k)$$

Where  $f$  is a distribution declaration

Some expression examples similar to the previous

`uniform({0,1}128)`    `bernoulli(.5)`    `laplace(0,1)`

Notice that we don't need a memory anymore to interpret them



# Isomorphisms on Sub-distributions

Given two sub-distributions  $\mu_1 \in D(A)$  and  $\mu_2 \in D(B)$ , we say that a mapping  $h:A \rightarrow B$  is an *isomorphism* between  $\mu_1$  and  $\mu_2$  ( $h \triangleleft (\mu_1, \mu_2)$ ) if and only iff:

- 1)  $h$  is a bijective map between elements in  $\text{supp}(\mu_1)$  and  $\text{supp}(\mu_2)$ ,
- 2) for all  $a \in A$ ,  $\mu_1(a) = \mu_2(h(a))$

# Probabilistic Relational Hoare Logic

## Random Assignment

$P = h \triangleleft (d_1, d_2) \wedge$

$\forall v,$

$v \in \text{supp}(d_1)$

$\Rightarrow$

$Q[v/x_1 \langle 1 \rangle, h(v)/x_2 \langle 2 \rangle]$

we let  $h$ 's definition refer  
to program variables tagged  
with  $\langle 1 \rangle$  or  $\langle 2 \rangle$

---

$\vdash x_1 := \$ d_1 \sim x_2 := \$ d_2 : P \Rightarrow Q$

# Back to our example

```
OneTimePad(m : private msg) : public msg  
  key := $ Uniform({0,1}n);  
  cipher := m xor key;  
  return cipher
```

$m\langle 1 \rangle$



$m\langle 1 \rangle \oplus k$

$m\langle 2 \rangle$



$m\langle 2 \rangle \oplus (m\langle 1 \rangle \oplus k \oplus m\langle 2 \rangle)$

# Back to our example

$d_1 = \text{Uniform}(\{0, 1\}^n)$

$d_2 = \text{Uniform}(\{0, 1\}^n)$

$$h(k) = (m\langle 1 \rangle \oplus k \oplus m\langle 2 \rangle)$$

Is this an isomorphism from  $d_1$  to  $d_2$ ?

- 1) Is it bijective between elements in the support of  $d_1$  and  $d_2$ ?
- 2) Is it true that for all  $v \in \{0, 1\}^n$ ,  $d_1(v) = d_2(h(v))$ ?

**Yes, it's an isomorphism!**

# Back to our example

$$h(k) = m\langle 1 \rangle \oplus k \oplus m\langle 2 \rangle, \quad d_1 = d_2 = \text{Uniform}(\{0, 1\}^n)$$

$$P = h \triangleleft (d_1, d_2) \wedge$$

$$\forall v, v \in \text{support}(d_1) \Rightarrow$$

$$m\langle 1 \rangle \oplus k_1\langle 1 \rangle = m\langle 2 \rangle \oplus k_2\langle 2 \rangle [v/k_1\langle 1 \rangle, h(v)/k_2\langle 2 \rangle]$$

---

$$\vdash k_1 := \$\text{Uniform}(\{0, 1\}^n) \sim k_2 := \$\text{Uniform}(\{0, 1\}^n) :$$

$$P \Rightarrow m\langle 1 \rangle \oplus k_1\langle 1 \rangle = m\langle 2 \rangle \oplus k_2\langle 2 \rangle$$

# Back to our example

$$h(k) = m\langle 1 \rangle \oplus k \oplus m\langle 2 \rangle, \quad d_1 = d_2 = \text{Uniform}(\{0, 1\}^n)$$

$$P = h \triangleleft (d_1, d_2) \wedge$$

$$\forall v, v \in \text{support}(d_1) \Rightarrow$$

$$m\langle 1 \rangle \oplus v = m\langle 2 \rangle \oplus (m\langle 1 \rangle \oplus v \oplus m\langle 2 \rangle)$$

---

$$\vdash k_1 := \$\text{Uniform}(\{0, 1\}^n) \sim k_2 := \$\text{Uniform}(\{0, 1\}^n) :$$

$$P \Rightarrow m\langle 1 \rangle \oplus k_1\langle 1 \rangle = m\langle 2 \rangle \oplus k_2\langle 2 \rangle$$

# Back to our example

$$P = h \triangleleft (d_1, d_2) \wedge \forall v, v \in \text{support}(d_1) \Rightarrow m \langle 1 \rangle \oplus v = m \langle 2 \rangle \oplus (m \langle 1 \rangle \oplus v \oplus m \langle 2 \rangle)$$

$$\text{True} \Rightarrow P$$

$$\vdash k_1 := \$\text{Uniform}(\{0, 1\}^n) \sim k_2 := \$\text{Uniform}(\{0, 1\}^n) : \\ P \Rightarrow m \langle 1 \rangle \oplus k_1 \langle 1 \rangle = m \langle 2 \rangle \oplus k_2 \langle 2 \rangle$$

---

$$\vdash k_1 := \$\text{Uniform}(\{0, 1\}^n) \sim k_2 := \$\text{Uniform}(\{0, 1\}^n) : \\ \text{True} \Rightarrow m \langle 1 \rangle \oplus k_1 \langle 1 \rangle = m \langle 2 \rangle \oplus k_2 \langle 2 \rangle$$

By Consequence

# Soundness

If we can derive  $\vdash C_1 \sim C_2 : P \Rightarrow Q$  through the rules of the logic, then the quadruple  $C_1 \sim C_2 : P \Rightarrow Q$  is valid.



Completeness?