# OpenMatch: Open-set Consistency Regularization for Semi-supervised Learning with Outliers [Link]

#### Kuniaki Saito<sup>1</sup>, Dongyun Kim<sup>1</sup>, Kate Saenko<sup>1,2</sup>

1: Boston University, 2: MIT-IBM Watson AI Lab







## Semi-supervised Learning with outliers

• Towards more realistic semi-supervised learning

#### Standard Semi-supervised Learning Set-up

Semi-supervised Learning with outliers (Open-set SSL)

Bird







Same categories

Unlabeled Data





Bear



Outliers (Novel Class)

### **Goal and Requirements**

- Correctly classify inliers
- Detect outliers



Separate inliers and outliers, computing SSL loss only for unlabeled inliers

- Semi-supervised learning
  - FixMatch [Sohn et.al., NeurIPS2020]
  - Pseudo-labeling

Risk of assigning pseudo-labels to outliers.

- Semi-supervised learning with outliers
  - MTC [Yu et.al., ECCV2020]
    - Thresholding is not robust to various number of outliers.
  - D3LS [Guo et.al., ICML2020]
    - No objective to ensure separation between inliers and outliers.

### **Goal and Requirements**

- Correctly classify inliers
- Detect outliers



Separate inliers and outliers, computing SSL loss only for unlabeled inliers

**1. Learning such representations** 

2. A threshold to pick inliers

- New Framework, OpenMatch, for Open-set SSL
  - Outlier Detector based on one-vs-all classification network.
  - Soft consistency regularization with outlier detector improves outlier detection.
  - FixMatch for pseudo-inliers.
- SOTA performance in Open-set SSL
  - Accuracy to classify inliers
  - Separation between inliers and outliers
- Effectiveness in novelty detection
  - Detect outliers unseen in unlabeled data

### Outlier Detector with one-vs-all classification network

- One-vs-All Network [Saito et.al, Arxiv 2021]
  - Train K one-vs-all classifier
  - Treat one class as positive, others as negative
  - Outlier Detector providing a threshold



**One-vs-All Classifiers** 

### **Open-set Soft Consistency Regularization**

- Enhance smoothness over data augmentation.
- For better outlier detection.



### Pseudo label vs Soft Consistency





#### **Overall Training**





No. of labeled samples per class



No. of labeled samples per class



No. of labeled samples per class



No. of labeled samples per class

### Accuracy for inliers

		CIFAR10			CIFAR100		ImageINet-30
6/4			55 / 45		80 / 20		20 / 10
50	100	400	50	100	50	100	10 %
$\begin{array}{c} 35.7{\scriptstyle\pm1.1} \\ 43.2{\scriptstyle\pm1.2} \\ 20.3{\scriptstyle\pm0.9} \end{array}$	$\begin{array}{c} 30.5{\scriptstyle\pm0.7}\\ 29.8{\scriptstyle\pm0.6}\\ 13.7{\scriptstyle\pm0.9}\end{array}$	$20.0{\scriptstyle \pm 0.3}\\16.3{\scriptstyle \pm 0.5}\\9.0{\scriptstyle \pm 0.5}$	$\begin{array}{c} 37.0 {\pm} 0.8 \\ 35.4 {\pm} 0.7 \\ 33.5 {\pm} 1.2 \end{array}$	$\begin{array}{c} 27.3 {\pm} 0.5 \\ 27.3 {\pm} 0.8 \\ 27.9 {\pm} 0.5 \end{array}$	$\begin{array}{c} 43.6{\scriptstyle\pm0.5}\\ 41.2{\scriptstyle\pm0.7}\\ 40.1{\scriptstyle\pm0.8}\end{array}$	$\begin{array}{c} 34.7 {\scriptstyle \pm 0.4} \\ 34.1 {\scriptstyle \pm 0.4} \\ 33.6 {\scriptstyle \pm 0.3} \end{array}$	$20.9{\scriptstyle\pm1.0}\\12.9{\scriptstyle\pm0.4}\\13.6{\scriptstyle\pm0.7}$
$10.4{\scriptstyle \pm 0.9}$	7.1±0.5	5.9±0.5	$\textbf{27.7}{\scriptstyle \pm 0.4}$	$24.1{\scriptstyle \pm 0.6}$	$\textbf{33.4}{\scriptstyle \pm 0.2}$	$\textbf{29.5}{\scriptstyle \pm 0.3}$	$10.4 \pm 1.0$
	AUR	OC to de	tect outl	iers			
CIFAR10			CIFA	R100	CIFAR100		ImageNet-30
6/4			55 / 45		80 / 20		20 / 10
50	100	400	50	100	50	100	10 %
$63.9 \pm 0.5$ $56.1 \pm 0.6$	$64.7 \pm 0.5$ $60.4 \pm 0.4$ $98.2 \pm 0.2$	$76.8 \pm 0.4$ $71.8 \pm 0.4$	76.6±0.9 72.0±1.3	$79.9 \pm 0.9$ $75.8 \pm 1.2$ $80.7 \pm 4.6$	$70.3 \pm 0.5$ $64.3 \pm 1.0$ $79.4 \pm 2.5$	$73.9 \pm 0.9$ 66.1 ± 0.5 73.2 ± 2.5	$80.3 \pm 1.0$ $88.6 \pm 0.5$ $93.8 \pm 0.8$
	$50$ $35.7 \pm 1.1$ $43.2 \pm 1.2$ $20.3 \pm 0.9$ $10.4 \pm 0.9$ $50$ $63.9 \pm 0.5$ $56.1 \pm 0.6$ $96.6 \pm 0.6$	$ \begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	$     \begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$			$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	$ \begin{array}{c ccccc} 6/4 & 55/45 & 80/20 \\ \hline 50 & 100 & 400 & 50 & 100 & 50 & 100 \\ \hline 35.7 \pm 1.1 & 30.5 \pm 0.7 & 20.0 \pm 0.3 \\ 43.2 \pm 1.2 & 29.8 \pm 0.6 & 16.3 \pm 0.5 & 37.0 \pm 0.8 & 27.3 \pm 0.5 \\ 43.2 \pm 1.2 & 29.8 \pm 0.6 & 16.3 \pm 0.5 & 35.4 \pm 0.7 & 27.3 \pm 0.8 \\ \hline 43.2 \pm 0.9 & 13.7 \pm 0.9 & 9.0 \pm 0.5 & 33.5 \pm 1.2 & 27.9 \pm 0.5 & 43.6 \pm 0.3 & 34.7 \pm 0.4 \\ \hline 40.1 \pm 0.8 & 33.6 \pm 0.3 & 33.5 \pm 1.2 & 27.9 \pm 0.5 & 33.4 \pm 0.2 & 29.5 \pm 0.3 \\ \hline 10.4 \pm 0.9 & 7.1 \pm 0.5 & 5.9 \pm 0.5 & 27.7 \pm 0.4 & 24.1 \pm 0.6 & 33.4 \pm 0.2 & 29.5 \pm 0.3 \\ \hline AUROC \text{ to detect outliers} & \hline \\ \hline CIFAR10 & CIFAR100 & CIFAR100 & CIFAR100 \\ \hline 6/4 & 55/45 & 80/20 & \\ \hline 50 & 100 & 400 & 50 & 100 & 50 & 100 \\ \hline 63.9 \pm 0.5 & 64.7 \pm 0.5 & 76.8 \pm 0.4 & 76.6 \pm 0.9 & 79.9 \pm 0.9 & 50.1 \pm 0.6 & 60.4 \pm 0.4 & 71.8 \pm 0.4 & 72.0 \pm 1.3 & 75.8 \pm 1.2 & 64.3 \pm 1.0 & 66.1 \pm 0.5 & 96.6 \pm 0.6 & 98.2 \pm 0.3 & 98.9 \pm 0.1 & 81.2 \pm 3.4 & 80.7 \pm 4.6 & 79.4 \pm 2.5 & 73.2 \pm 3.5 \\ \hline \end{array}$

87.0±1.1 86.5±2.1

86.2±0.6 86.8±1.4

96.4±0.7

 $99.3{\scriptstyle\pm0.3}\quad99.7{\scriptstyle\pm0.2}\quad99.3{\scriptstyle\pm0.2}$ 

OpenMatch

### Accuracy for inliers

Dataset	CIFAR10			CIFAR100		CIFAR100		ImageNet-30
No. of Known / Unknown	6/4		55 / 45		80 / 20		20 / 10	
No. of labeled samples	50	100	400	50	100	50	100	10 %
Labeled Only FixMatch [35] MTC [44]	$\begin{array}{c} 35.7{\scriptstyle\pm1.1} \\ 43.2{\scriptstyle\pm1.2} \\ 20.3{\scriptstyle\pm0.9} \end{array}$	$\begin{array}{c} 30.5{\scriptstyle\pm0.7}\\ 29.8{\scriptstyle\pm0.6}\\ 13.7{\scriptstyle\pm0.9}\end{array}$	$\begin{array}{c} 20.0{\pm}0.3\\ 16.3{\pm}0.5\\ 9.0{\pm}0.5\end{array}$	$\begin{array}{c} 37.0 {\pm} 0.8 \\ 35.4 {\pm} 0.7 \\ 33.5 {\pm} 1.2 \end{array}$	$27.3{\scriptstyle \pm 0.5}\\27.3{\scriptstyle \pm 0.8}\\27.9{\scriptstyle \pm 0.5}$	$\begin{array}{c} 43.6{\scriptstyle\pm0.5}\\ 41.2{\scriptstyle\pm0.7}\\ 40.1{\scriptstyle\pm0.8}\end{array}$	$\begin{array}{c} 34.7 {\pm} 0.4 \\ 34.1 {\pm} 0.4 \\ 33.6 {\pm} 0.3 \end{array}$	$20.9{\scriptstyle\pm1.0}\\12.9{\scriptstyle\pm0.4}\\13.6{\scriptstyle\pm0.7}$
OpenMatch	10.4±0.9	$7.1 \pm 0.5$	<b>5.9</b> ±0.5	27.7±0.4	$24.1{\scriptstyle \pm 0.6}$	33.4±0.2	29.5±0.3	<b>10.4</b> ±1.0

#### AUROC to detect outliers

Dataset	CIFAR10			CIFAR100		CIFAR100		ImageNet-30
No. of Known / Unknown	6/4		55 / 45		80 / 20		20 / 10	
No. of labeled samples	50	100	400	50	100	50	100	10 %
Labeled Only FixMatch [35] MTC [44]	$\begin{array}{c} 63.9{\scriptstyle\pm0.5}\\ 56.1{\scriptstyle\pm0.6}\\ 96.6{\scriptstyle\pm0.6}\end{array}$	$\begin{array}{c} 64.7{\scriptstyle\pm0.5}\\ 60.4{\scriptstyle\pm0.4}\\ 98.2{\scriptstyle\pm0.3}\end{array}$	$76.8{\scriptstyle\pm0.4}\atop71.8{\scriptstyle\pm0.4}\\98.9{\scriptstyle\pm0.1}$	$76.6{\scriptstyle\pm0.9}\\72.0{\scriptstyle\pm1.3}\\81.2{\scriptstyle\pm3.4}$	$79.9{\scriptstyle\pm0.9}\\75.8{\scriptstyle\pm1.2}\\80.7{\scriptstyle\pm4.6}$	$70.3{\scriptstyle\pm0.5}\atop_{{\scriptstyle64.3\pm1.0}}\\79.4{\scriptstyle\pm2.5}$	$\begin{array}{c} 73.9 {\scriptstyle \pm 0.9} \\ 66.1 {\scriptstyle \pm 0.5} \\ 73.2 {\scriptstyle \pm 3.5} \end{array}$	$\begin{array}{c} 80.3{\scriptstyle\pm1.0}\\ 88.6{\scriptstyle\pm0.5}\\ 93.8{\scriptstyle\pm0.8}\end{array}$
OpenMatch	99.3±0.3	99.7±0.2	99.3±0.2	87.0±1.1	$86.5{\scriptstyle\pm2.1}$	86.2±0.6	86.8±1.4	96.4±0.7

OpenMatch is effective in various settings w.r.t both accuracy and AUROC.

## Ablation Study for Our Consistency Regularization

- AUROC
- SOCR = Soft Open-set Consistency Regularization

Dataset	CIFAR10		CIFA	R100	ImageNet-30
No. Known / Unknown	6,	6/4		/ 20	20 / 10
No. Labeled samples	50	400	50	100	10 %
without SOCR with SOCR	$\begin{array}{c} 60.5{\scriptstyle\pm2.8}\\ \textbf{81.3}{\scriptstyle\pm2.9}\end{array}$	$\begin{array}{c} 75.8{\scriptstyle\pm0.8}\\ \textbf{96.8}{\scriptstyle\pm0.6}\end{array}$	$\begin{array}{c} \textbf{70.4}{\scriptstyle\pm0.1} \\ \textbf{78.9}{\scriptstyle\pm0.1} \end{array}$	$\begin{array}{c} 73.2{\scriptstyle\pm0.2}\\ \textbf{85.0}{\scriptstyle\pm0.8}\end{array}$	$81.3 \pm 0.4$ <b>89.3</b> $\pm 0.3$

OpenMatch is effective in various settings w.r.t both accuracy and AUROC.

## Ablation Study for FixMatch, SOCR

• FixMatch for pseudo-inliers can improve outlier detection



#### Red: Inliers, Blue: Outliers

## OSSL model detects "unseen" outliers?

- Evaluate a model on outliers unseen in unlabeled data
- Train on cifar10, evaluate AUROC
- Supervised model is trained on all labeled inliers in training data

		Unseen Out-liers						
Method	CIFAR10	SVHN	LSUN	ImageNet	CIFAR100	MEAN		
Labeled Only FixMatch [35] MTC [44] OpenMatch	$\begin{array}{c} 64.7 \pm 1.0 \\ 60.4 \pm 0.4 \\ 98.2 \pm 0.3 \\ \textbf{99.7 \pm 0.1} \end{array}$	$\begin{array}{c} 83.6{\pm}1.0\\79.9{\pm}1.0\\87.6{\pm}0.5\\\textbf{93.0{\pm}0.4}\end{array}$	$\begin{array}{c} 78.9 \pm 0.9 \\ 67.7 \pm 2.0 \\ 82.8 \pm 0.6 \\ \textbf{92.7} \pm \textbf{0.3} \end{array}$	$\begin{array}{c} 80.5{\scriptstyle\pm0.8}\\ 76.9{\scriptstyle\pm1.1}\\ 96.5{\scriptstyle\pm0.1}\\ \textbf{98.7}{\scriptstyle\pm0.1}\end{array}$	$\begin{array}{c} 80.4{\scriptstyle\pm0.5}\\ 71.3{\scriptstyle\pm1.1}\\ 90.0{\scriptstyle\pm0.3}\\ \textbf{95.8}{\scriptstyle\pm0.4}\end{array}$	$\begin{array}{c} 80.8 \pm 0.8 \\ 73.9 \pm 1.3 \\ 89.2 \pm 0.4 \\ \textbf{95.0 \pm 0.3} \end{array} \hspace{0.15cm} 5.8 \end{array}$		
Supervised	$89.4{\scriptstyle \pm 1.0}$	$95.6{\scriptstyle \pm 0.5}$	$89.5{\scriptstyle \pm 0.7}$	$90.8 \pm 0.4$	$90.4 \pm 1.0$	$91.6{\scriptstyle \pm 0.6}$		

### Conclusion

- OpenMatch for Open-set Semi-supervised Learning
- Soft Open-set Consistency Regularization for outlier detection
- SOTA performance in OSSL
- Useful to detect outliers "unseen" in unlabeled data