

# Automatic Database Management System Tuning Through Large-scale Machine Learning

Reed Callahan and Yuhao Bai



# Terms:

## Knobs :

E.g.

The amount of memory to use for caches.

How often data is written to storage.

## Metrics:

E.g.

How fast DBMS can collect new data.

How fast DBMS can respond to requests.

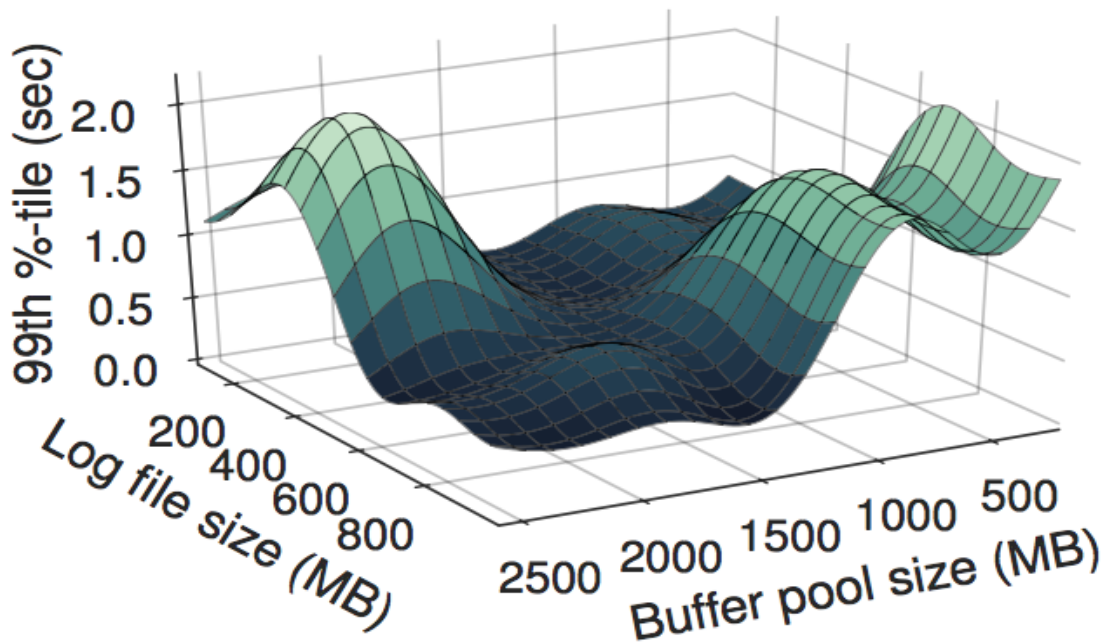


## **Difficulties:**

- Dependencies
- Continuous Settings
- Non-Reusable Configurations
- Tuning Complexity

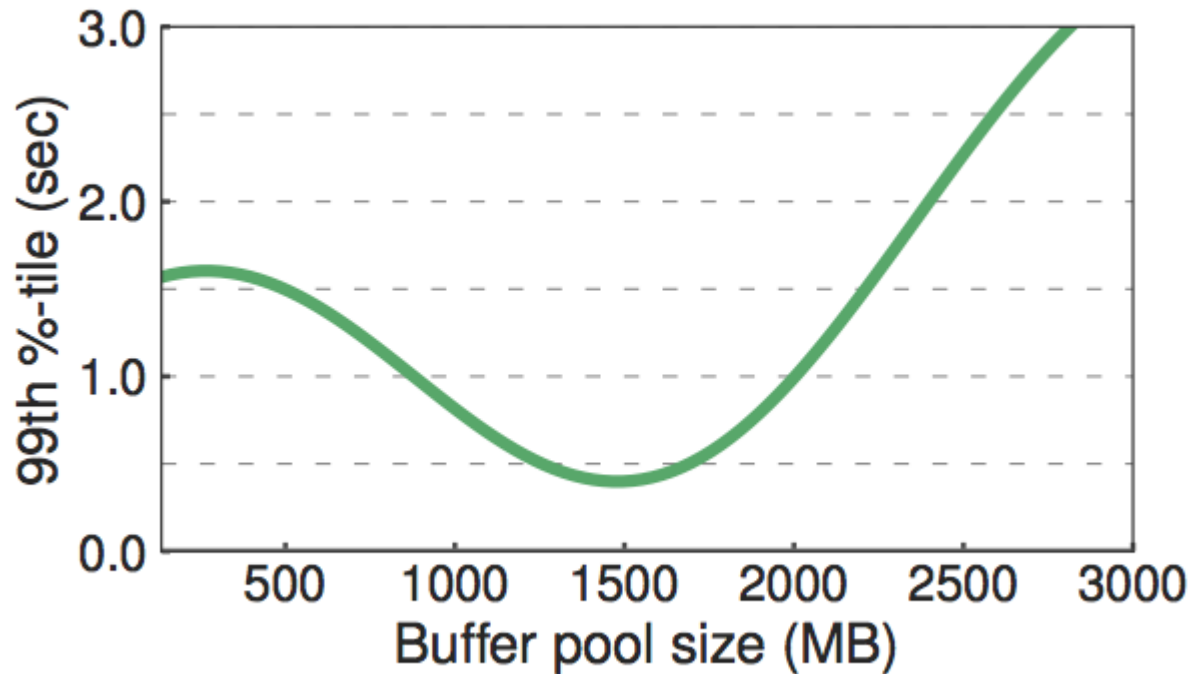


## Dependencies:

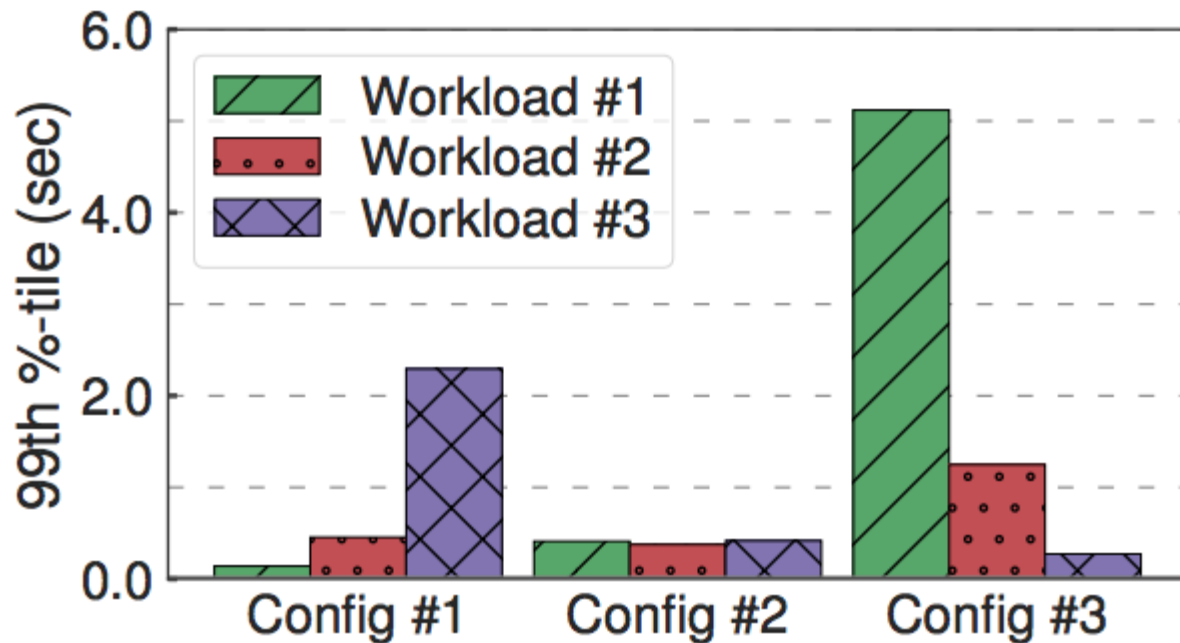




## Continuous Settings

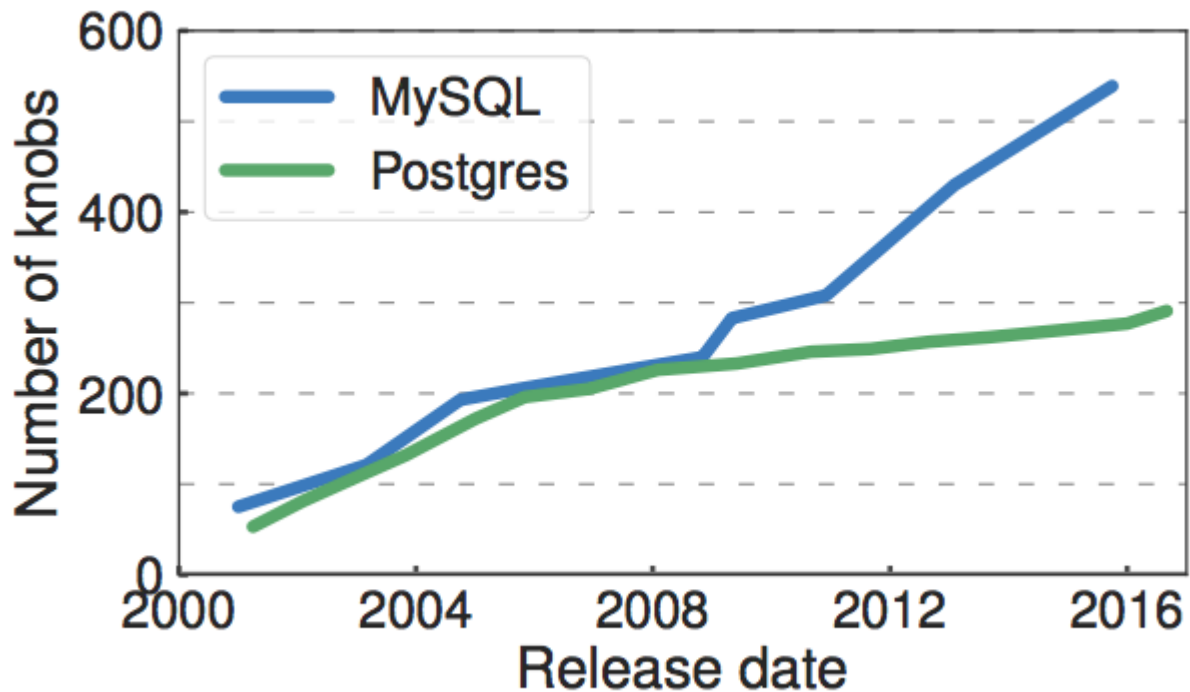


# Non-Reusable Configurations





# Tuning Complexity





# Existing Solutions

## 1. Hiring experts

- Non-Reusable
- High-Cost
- Tuning Complexity

## 2. Existing Automatic Tuning Tools

- Dependencies
- Non-Reusable





## **Goal:**

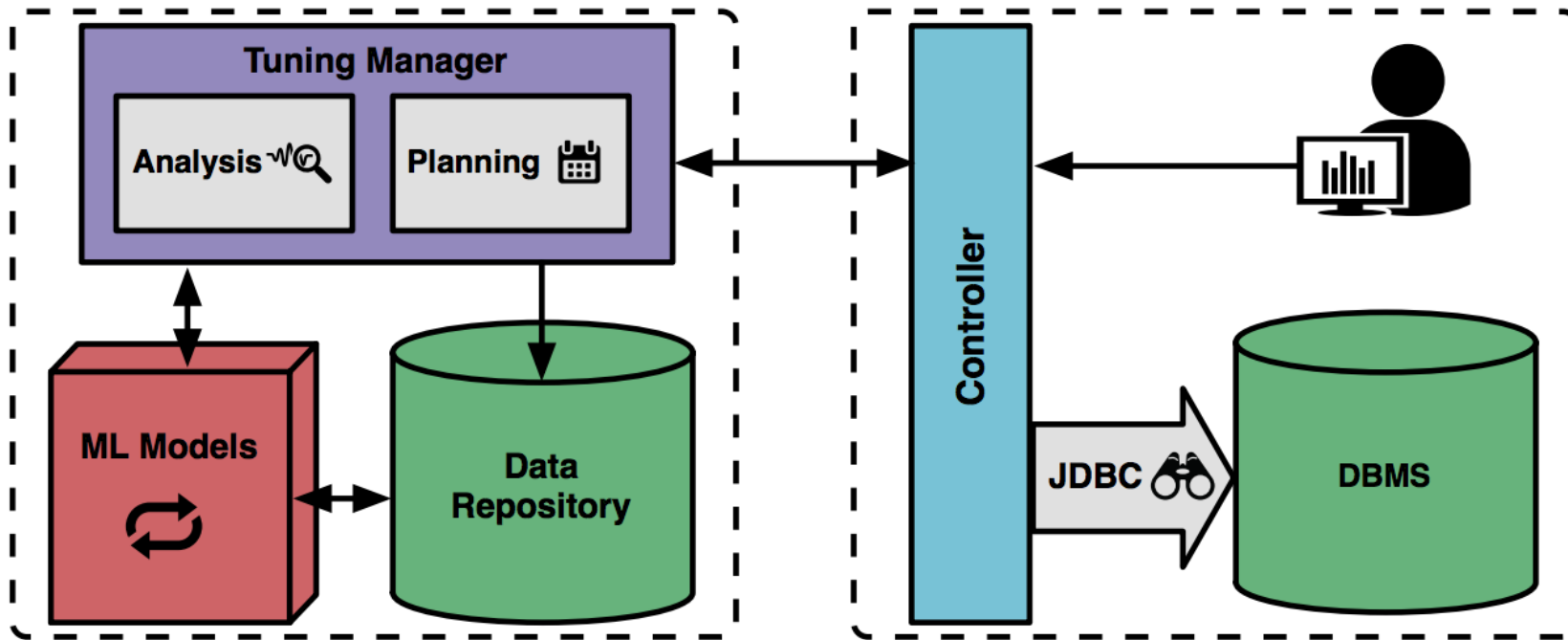
Overcome the four problems we discussed.



## OtterTune

An automated approach that leverages past experience and collects new information to tune DBMS configurations.

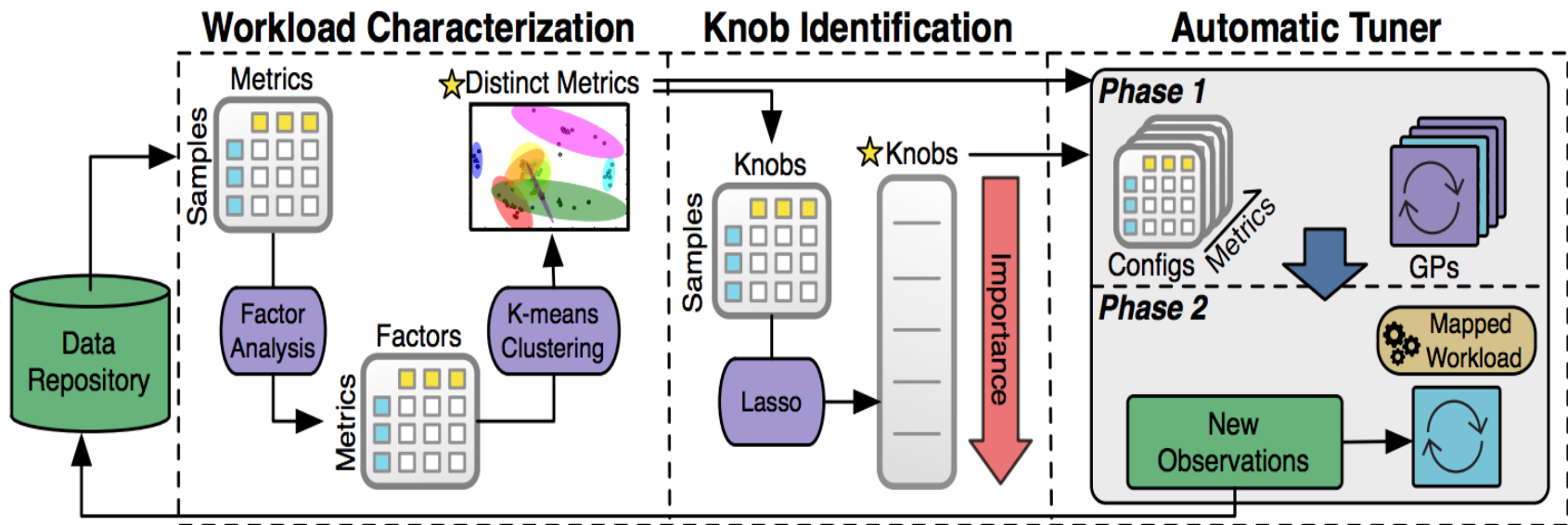
# Architecture





# New Tuning Session:

1. Database Administrator (DBA) tells OtterTune what metric to optimize when selecting a configuration.
2. Controller connects to the DBMS and collects its hardware profile and knob configuration.
3. Controller then starts the first *observation period*.
4. OtterTune measures metrics chosen by the DBA.
5. Stores data in repository.
6. Modeling, Learning, and Recommendation





# Workload Characterization

- Discover a model that best represents the distinguishing aspects of the target workload.
- Identify which previously seen workloads in the repository are similar to it.



# Workload Characterization

- **Statistics Collection**
  - Controller.
  - DBMS's internal runtime metrics.
  - Provide a more accurate representation of a workload because they capture more aspects of its runtime behavior.
  - Metrics are directly affected by the knob's setting.
- **Pruning Redundant Metrics**
  - The smallest set of metrics that capture the characteristics for different workloads.
  - Speed up the process.

	Config1	Config2	Config3	.....	ConfigM
Metric1					
Metric2					
Metric3					
Metric4					
Metric5					
Metric6					
Metric7					
.....					
MetricN					





## Redundant Metrics

- Metrics that provide different granularities for the exact same metric in the system.
  - E.g. The amount of data read in terms of bytes and pages.
- Metrics whose values are strongly correlated.



## Algorithms:

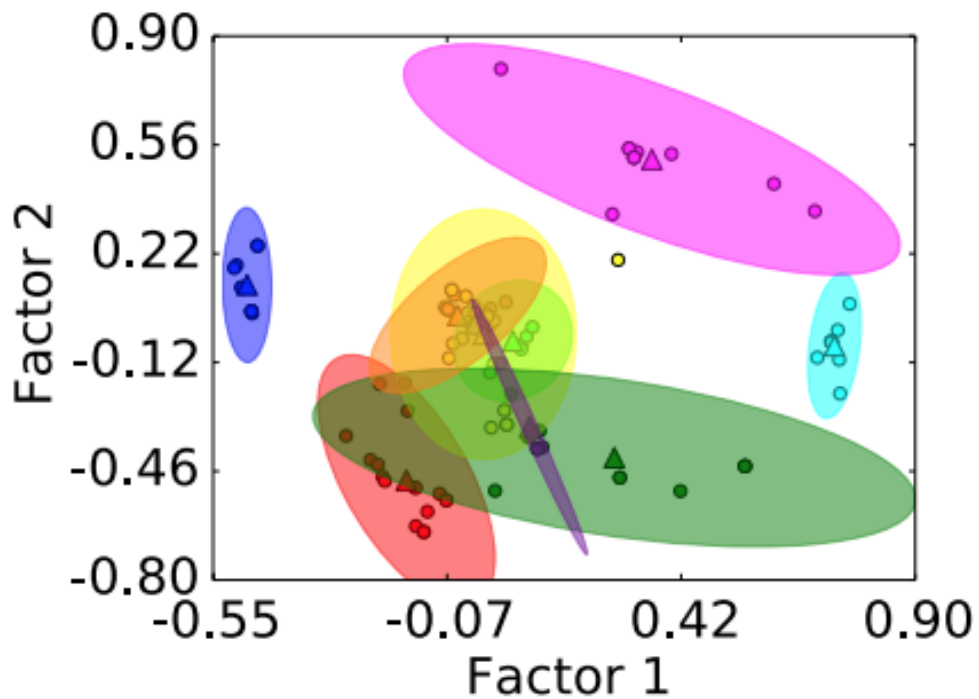
1. Factor Analysis (Dimensionality Reduction): transform the high dimensional DBMS metric data into lower dimensional data.
2. K-Means (Clustering): cluster the lower dimensional data into meaningful groups.

# Dimensionality Reduction (SVD Example)

$$\begin{bmatrix} 1 & 1 & 1 & 0 & 0 \\ 2 & 2 & 2 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 \\ 5 & 5 & 5 & 0 & 0 \\ 0 & 0 & 0 & 2 & 2 \\ 0 & 0 & 0 & 3 & 3 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 0.18 & 0 \\ 0.36 & 0 \\ 0.18 & 0 \\ 0.90 & 0 \\ 0 & 0.53 \\ 0 & 0.80 \\ 0 & 0.27 \end{bmatrix} \times \begin{bmatrix} 9.64 & 0 \\ 0 & 5.29 \end{bmatrix} \times \begin{bmatrix} 0.58 & 0.58 & 0.58 & 0 & 0 \\ 0 & 0 & 0 & 0.71 & 0.71 \end{bmatrix}$$



# Clustering





# Identifying important knobs

- After pruning the metrics, OtterTune identifies which knobs have biggest impact on DBA's target objective function
- While there can be hundreds of knobs on a DBMS, only a subset affect performance
  - Cannot reduce as this will limit configurations that should be considered
- To find positive and negative correlations between knobs and systems performance, OtterTune uses Lasso
  - Lasso is a feature selection technique for linear regression



# Lasso

- Lasso uses ordinary least squares (OLS) to estimate regression weights by minimizing residual squared error
- Uses polynomial features to account for nonlinear correlations and dependencies between knobs
- Reduces effect of irrelevant variables by penalizing models with large weights
- Only keeps non-zero weight features
- Keeps only number of features based on penalty strength
- Lasso is more interpretable, stable and computationally efficient than regularization / other feature selection methods



# Knob Selection

- Lasso uses ordinary least squares (OLS) to estimate regression weights by minimizing residual squared error
- OtterTune now has a ranked list of all knobs
  - Lasso path algorithm orders list of knobs by the strength of statistical evidence that they are relevant
- OtterTune decides how many knobs to use
  - Too many exponentially grows optimization time
  - Too few limits it from finding best configuration
- Automates selection through dynamically increasing the number of knobs used in a tuning session over time



# Automated Tuning

- Two step analysis for each knob configuration
- Step 1: Find workload from a previous tuning session which best represents the target workload.
  - Compares current metrics against previous workloads to see determine those that will react similarly to knob settings.
  - Calculates Euclidean distance between the vector of measurements for the target workload and the corresponding vector for each workload
    - Builds score for each workload by taking average of the distances over all metrics
  - Chooses workload with lowest score (most similar)





# Automated Tuning

- **Step 2:** chooses a configuration that is explicitly selected to maximize the target objective.
  - Path to configurations by Gaussian Process
- **Gaussian Process**
  - Form of regression used to recommend configurations that will improve the target metric
  - returns configuration along with the expected improvement from running this configuration to the client
  - The DBA can use the expected improvement calculation to decide whether they are satisfied with the best configuration that OtterTune has generated thus far.
- Uses Gradient Descent for initialized knob configuration



## Step 2 Continued

- Tries to find a better configuration than the best current configuration. This is done by either of two options:
  1. Exploration: searching an unknown region in its GP (i.e., workloads for which it has little to no data for)
  2. Exploitation: Selecting a configuration that is near the best configuration in its GP.
    - Tries slight modifications to the knobs to see whether it can further improve the performance
- Uses gradient descent to find the local optimum on the surface predicted by the GP model using a set of configurations, called the initialization set, as starting points



# Experimental Evaluation

- Performed testing on three DBMS: MySQL (v5.6), Postgres (v9.3) and Actian Vector (v4.2)
- Over 100K trials per DBMS
- All evaluations were run on Amazon EC2 with two instances
  - Instance 1: OtterTune's controller integrated with the OLTP-Bench framework.
    - Deployed on m4.large instances w/ 4 vCPUs + 16 GB RAM.
  - Instance 2: Target DBMS + tuning manager and repository
    - Target DBMS deployed on m3.xlarge instances w/ 4 vCPUs + 15 GB RAM.
    - Manager deployed on a local server with 20 cores and 128 GB RAM.



# Evaluation Workloads

- YCSB - Yahoo! Cloud Serving Benchmark
  - Six OLTP transaction types that access random tuples based on a Zipfian distribution
  - Database contains a single table of 18m tuples (~18 GB) with 10 attributes
- TPC-C
  - Industry standard for evaluating performance of online transaction processing systems (OLTP)
  - Consists of five transactions with nine tables that simulate an order processing application
  - Database of 200 warehouses (~18 GB) in each experiment
- Wikipedia
  - Used for stress testing
  - The database contains 11 tables and eight different transaction types (100k articles, ~20 GB in total)
- TPC-H
  - Decision support system workload that simulates an OLAP environment with little prior knowledge of the queries
  - Contains eight tables in 3NF schema and 22 queries with varying complexity
  - Scale factor of 10 in each experiment (~10 GB)

# Evaluation Results

- The optimal number of knobs for a tuning session varies per DBMS and workload
- OtterTune is able to tune DBMSs like MySQL and Postgres that have few impactful knobs, as well as DBMSs like Vector that require more knobs to be tuned

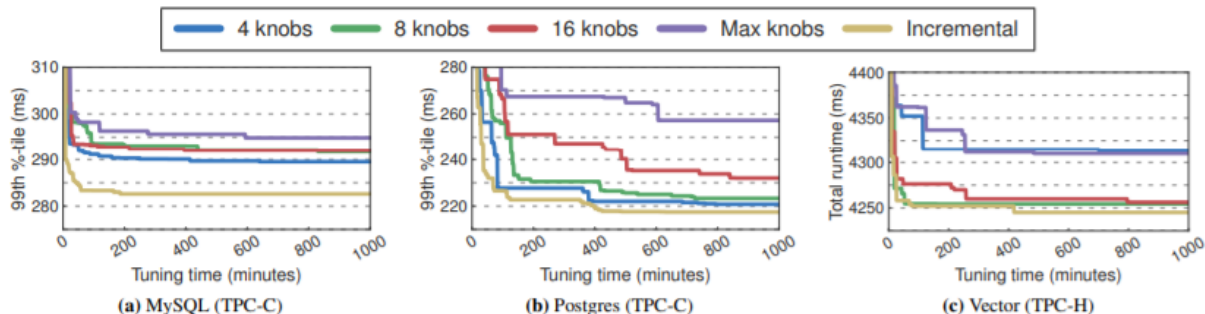
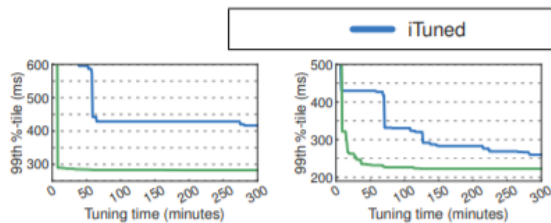


Figure 5: Number of Knobs – The performance of the DBMSs for TPC-C and TPC-H during the tuning session using different configurations generated by OtterTune that only configure a certain number of knobs.

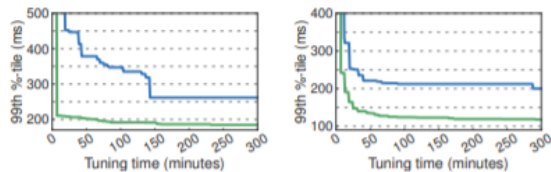
- These results show that increasing the number of knobs that OtterTune considers over time is the best approach because it strikes the right balance between complexity and performance

# Results vs. Current Solution

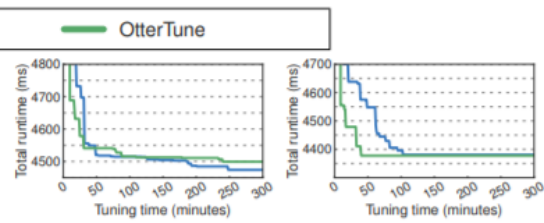
- Workload Execution: Time for DBMS to execute the workload in order to collect new metric data.
- Prep & Reload Config: The time that OtterTune's controller takes to install the next configuration and prepare the DBMS for the next observation period (i.e. restarting)
- Workload Mapping: Time for OtterTune's dynamic mapping scheme to identify the most similar workload
- Config Generation: Time for OtterTune's tuning manager to compute the next configuration for the target DBMS. (Gradient Descent + GP modeling)



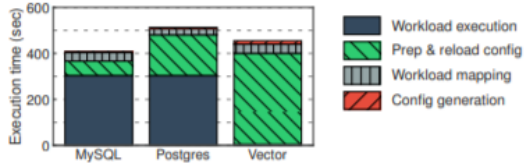
(a) MySQL (b) Postgres  
**Figure 6: Tuning Evaluation (TPC-C)** – A comparison of the OLTP DBMSs for the TPC-C workload when using configurations generated by OtterTune and iTuned.



(a) MySQL (b) Postgres  
**Figure 7: Tuning Evaluation (Wikipedia)** – A comparison of the OLTP DBMSs for the Wikipedia workload when using configurations generated by OtterTune and iTuned.



(a) Vector (TPC-H #1) (b) Vector (TPC-H #2)  
**Figure 8: Tuning Evaluation (TPC-H)** – Performance measurements for Vector running two sub-sets of the TPC-H workload using configurations generated by OtterTune and iTuned.



**Figure 9: Execution Time Breakdown** – The average amount of time that OtterTune spends in the parts of the system during an observation period.

- iTuned cannot reuse training data, so this compares performance between them



# Future Work

- **Approximate or generalize hardware capabilities**
- **Adapt techniques to optimize physical design of database**



# Our Thoughts + Questions?

- Possible work with ITuned to utilize their more advanced algorithms with their training data reuse process?