

# BU CS 332 – Theory of Computation

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## Lecture 10:

- Turing Machines
- TM Variants and Closure Properties

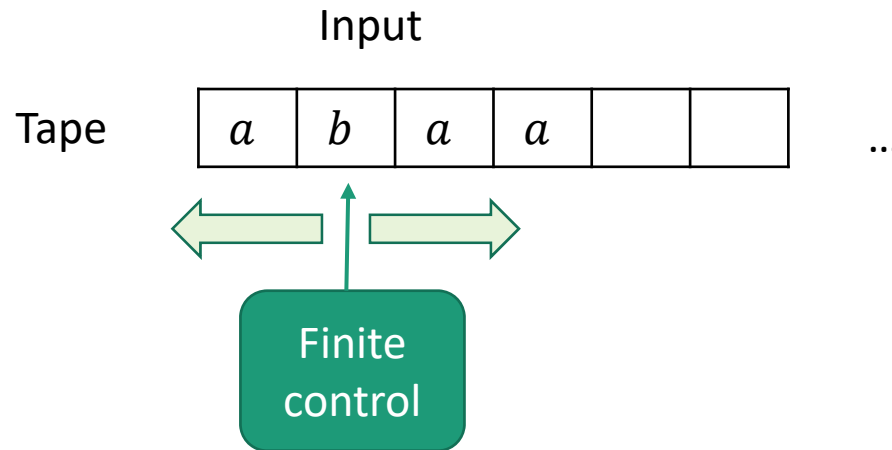
Reading:

Sipser Ch 3.1-3.3

Mark Bun

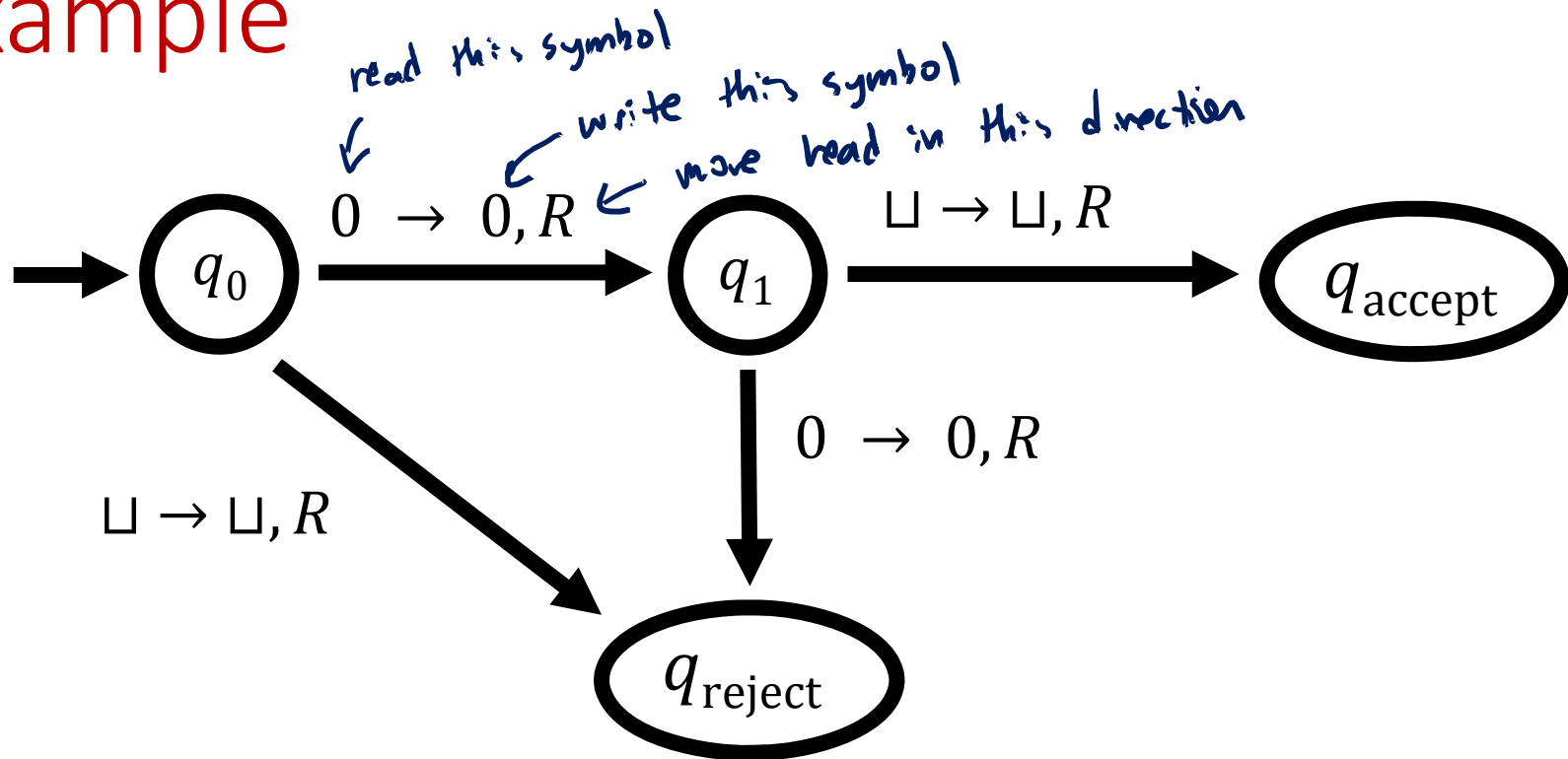
October 7, 2021

# The Basic Turing Machine (TM)



- Input is written on an infinitely long tape
- Head can both read and write, and move in both directions
- Computation halts as soon as control reaches “accept” or “reject” state

# Example



# Formal Definition of a TM

A TM is a 7-tuple  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$

- $Q$  is a finite set of states
- $\Sigma$  is the input alphabet (does **not** include  $\sqcup$ )
- $\Gamma$  is the tape alphabet (contains  $\sqcup$  and  $\Sigma$ )
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function

$$\delta(q, a) = (q', b, m)$$

Handwritten annotations for the transition function equation above:  
-  $q$ : current state  
-  $a$ : cur. symbol  
-  $q'$ : new state  
-  $b$ : new symbol  
-  $m$ : direction head moves (L or R)

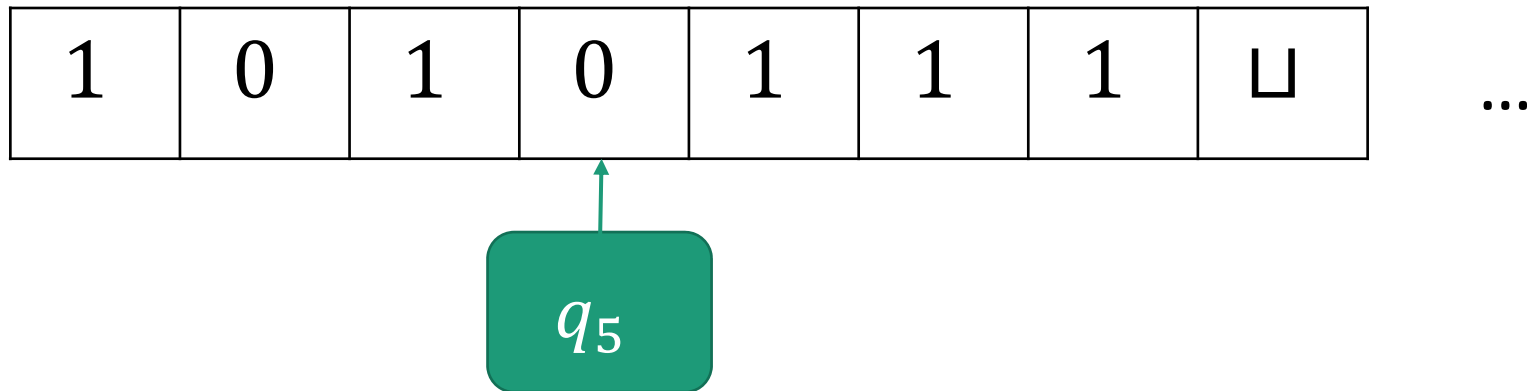
- $q_0 \in Q$  is the start state
- $q_{\text{accept}} \in Q$  is the accept state
- $q_{\text{reject}} \in Q$  is the reject state ( $q_{\text{reject}} \neq q_{\text{accept}}$ )

# Configuration of a TM: Formally

A **configuration** is a string  $uqv$  where  $q \in Q$  and  $u, v \in \Gamma^*$

- Tape contents =  $uv$  (followed by blanks  $\sqcup$ )
- Current state =  $q$
- Tape head on first symbol of  $v$

Example:  $101q_50111$



# How a TM Computes

**Start** configuration:  $q_0 w$



One step of computation:

- If  $\delta(q, b) = (q', c, R)$ , then  $uaqbv$  yields  $uacq'v$
- If  $\delta(q, b) = (q', c, L)$ , then  $uaqbv$  yields  $uq'acv$
- If  $\delta(q, b) = (q', c, L)$ , then  $qbv$  yields  $q'cv$

**Accepting** configuration:  $q = q_{\text{accept}}$

**Rejecting** configuration:  $q = q_{\text{reject}}$

# How a TM Computes

$M$  **accepts** input  $w$  if there is a sequence of configurations  $C_1, \dots, C_k$  such that:

- $C_1 = q_0w$       $C_1$  is start configuration
- $C_i$  yields  $C_{i+1}$  for every  $i$      Can get from  $C_i$  to  $C_{i+1}$  by
- $C_k$  is an accepting configuration     one step of computation

$L(M)$  = the set of all strings  $w$  which  $M$  accepts

$A$  is **Turing-recognizable** if  $A = L(M)$  for some TM  $M$ :

- $w \in A \implies M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on  $w$  in state  $q_{\text{reject}}$  **OR**  
 $M$  runs forever on  $w$

# Recognizers vs. Deciders

$L(M)$  = the set of all strings  $w$  which  $M$  accepts

$A$  is **Turing-recognizable** if  $A = L(M)$  for some TM  $M$ :

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 $M$  runs forever on  $w$

$A$  is **(Turing-)decidable** if  $A = L(M)$  for some TM  $M$   
**which halts on every input**

- $w \in A \implies M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on  $w$  in state  $q_{\text{reject}}$





## Recognizers vs. Deciders

(A TM decider for language  $A$  is also a TM recognizer for  $A$ )  $\Rightarrow$  (A decidable  $\Rightarrow$  A recognizable)  
 $\Rightarrow$  decidable langs.  $\subseteq$  recognizable langs.

Which of the following is true about the relationship between decidable and recognizable languages?

- a) The decidable languages are a subset of the recognizable languages
- b) The recognizable languages are a subset of the decidable languages
- c) They are incomparable: There might be decidable languages which are not recognizable and vice versa

## Example: Arithmetic on a TM

The following TM decides  $MULT = \{a^i b^j c^k \mid i \times j = k\}$ :

*High-level description*  
On input string  $w$ :

1. Check  $w$  is formatted correctly
2. For each  $a$  appearing in  $w$ :
3. For each  $b$  appearing in  $w$ :
4. Attempt to cross off a  $c$ . If none exist, **reject**.
5. If all  $c$ 's are crossed off, **accept**. Else, **reject**.

*Check if  $w \in L(a^* b^* c^*)$   
Can do w/ DFA  $\Rightarrow$  can  
do in one read-only pass  
of a TM*

*$i \times j = k$*

*$i \times j = k$*

*$i \times j < k$*

# Example: Arithmetic on a TM

The following TM decides  $MULT = \{a^i b^j c^k \mid i \times j = k\}$ :

On input string  $w$ : *Implement arithmetic level*

1. Scan the input from left to right to determine whether it is a member of  $L(a^* b^* c^*)$
2. Return head to left end of tape
3. Cross off an  $a$  if one exists. Scan right until a  $b$  occurs. Shuttle between  $b$ 's and  $c$ 's crossing off one of each until all  $b$ 's are gone. **Reject** if all  $c$ 's are gone but some  $b$ 's remain.
4. Restore crossed off  $b$ 's. If any  $a$ 's remain, repeat step 3.
5. If all  $c$ 's are crossed off, **accept**. Else, **reject**.

*~~a~~ ~~b~~ ~~b~~ ~~c~~ ~~c~~ ~~c~~*

*~~a~~ ~~a~~ ~~b~~ ~~b~~ ~~c~~ ~~c~~ ~~c~~*

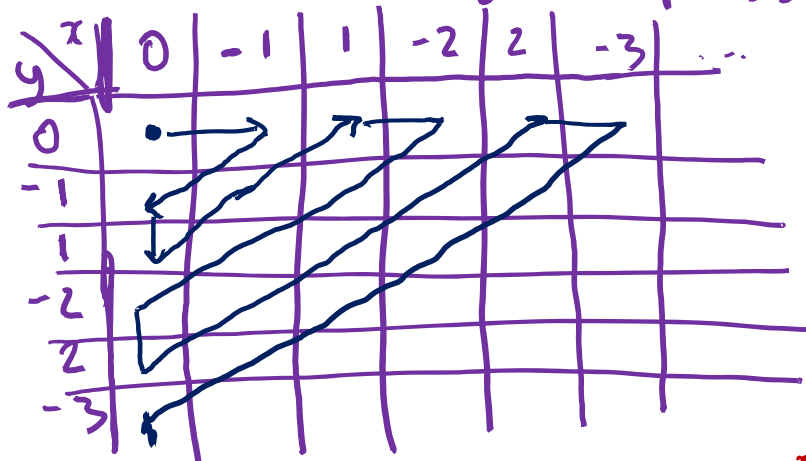
# Back to Hilbert's Tenth Problem

**Computational Problem:** Given a Diophantine equation, does it have a solution over the integers?

$$L = \left\{ p(z_1, \dots, z_n) \mid \begin{array}{l} p \text{ is a polynomial w/ integer coeffs. and} \\ \exists (z_1, \dots, z_n) \in \mathbb{Z}^n \text{ s.t. } p(z_1, \dots, z_n) = 0 \end{array} \right\}$$

- $L$  is Turing-recognizable

Special case:  $L_2 = \left\{ p(x, y) \mid \exists x, y \ p(x, y) = 0 \right\}$



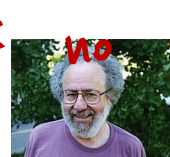
Alg: On input  $p$ :

- Test  $p(0,0) \stackrel{?}{=} 0$ , if so, accept
- Test  $p(-1,0) \stackrel{?}{=} 0$ , if so, accept
- Test  $p(0,-1) \stackrel{?}{=} 0$ , ...

Analysis:

- If  $\exists x, y$  s.t.  $p(x, y) = 0$ , alg. will eventually find it and accept

- If ~~no solution exists~~, alg. looks forever

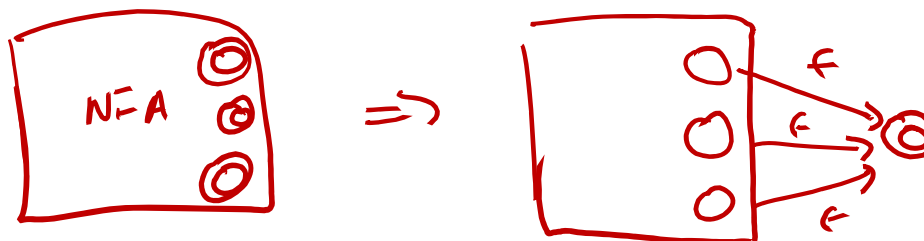


- $L$  is **not** decidable (1949-70)

# TM Variants

# How Robust is the TM Model?

Does changing the model result in different languages being recognizable / decidable?



So far we've seen...

- We can require that NFAs have a single accept state
- Adding nondeterminism does not change the languages recognized by finite automata *subset construction*

Other modifications possible too: E.g., allowing DFAs to have multiple passes over their input does not increase their power

Turing machines have an **astounding** level of robustness

# TMs are equivalent to...

- TMs with “stay put”
- TMs with 2-way infinite tapes
- Multi-tape TMs
- Nondeterministic TMs
- Random access TMs
- Enumerators
- Finite automata with access to an unbounded queue
- Primitive recursive functions  $\lambda$  calculus
- Cellular automata
- ...



# Equivalent TM models

- TMs that are allowed to “stay put” instead of moving left or right

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$

“stay put”

TMs with stay put are *at least* as powerful as basic TMs

(Every basic TM is a TM with stay put that never stays put)

How would you show that TMs with stay put are *no more* powerful than basic TMs?

- Convert any basic TM into an equivalent TM with stay put
- b) Convert any TM with stay put into an equivalent basic TM
- Construct a language that is recognizable by a TM with stay put, but not by any basic TM
- Construct a language that is recognizable by a basic TM, but not by any TM with stay put

“TM with stay put can be more powerful than basic TM”

“Basic TM can be more powerful than TM w/ stay put”



# Equivalent TM models

- TMs that are allowed to “stay put” instead of moving left or right

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$

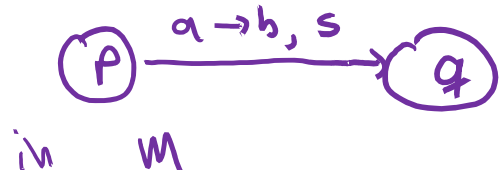
**Proof** that TMs with stay put are no more powerful:

**Simulation:** Convert any TM  $M$  with stay put into an equivalent basic TM  $M'$

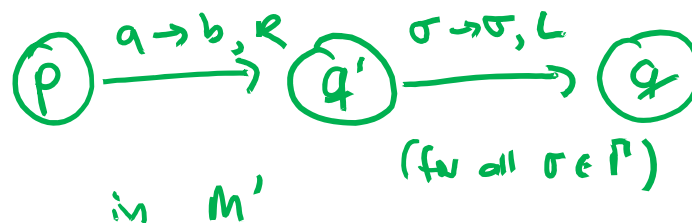
“Implementation level”

Replace every stay put instruction in  $M$  with a move right instruction, followed by a move left instruction in  $M'$

If I have

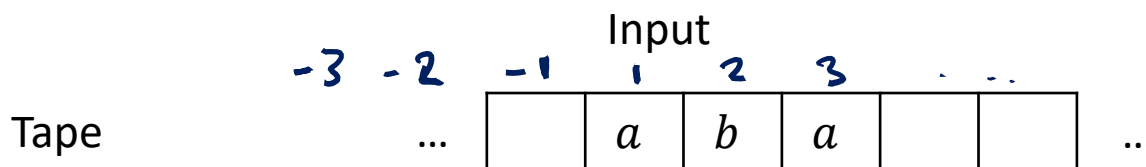


$\Rightarrow$



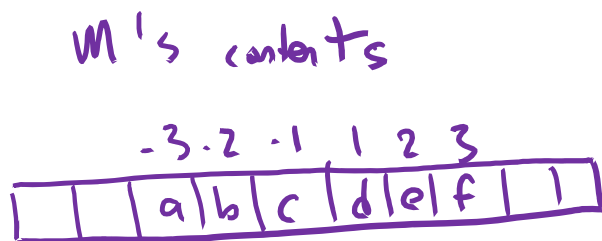
# Equivalent TM models

- TMs with a 2-way infinite tape, unbounded left to right

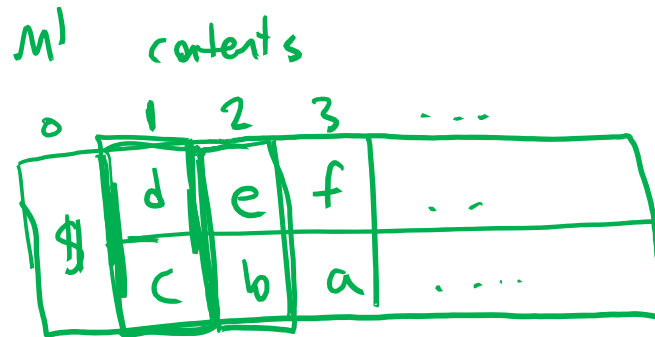


**Proof** that TMs with 2-way infinite tapes are no more powerful:

**Simulation:** Convert any TM  $M$  with 2-way infinite tape into a 1-way infinite TM  $M'$  with a “two-track tape”



⇒



# Implementation-Level Simulation

Given 2-way TM  $M$  construct a basic TM  $M'$  as follows.

TM  $M'$  = "On input  $w = w_1w_2 \dots w_n$ :

1. Format 2-track tape with contents

$\$, (w_1, \underline{\quad}), (w_2, \underline{\quad}), \dots, (w_n, \underline{\quad})$

means

\$	$w_1$	$w_2$	...	$w_n$	...
	$\underline{\quad}$	$\underline{\quad}$		$\underline{\quad}$	

How to format input

2. To simulate one move of  $M$ :

a) If working on upper track, read/write to the first position of cell under tape head, and move in the same direction as  $M$

b) If working on lower track, read/write to second position of cell under tape head, and move in the opposite direction as  $M$

c) If move results in hitting \$, switch to the other track. "

# Formalizing the Simulation

Given 2-way TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , construct  $M' = (Q', \Sigma, \Gamma', \delta', q'_0, q'_{\text{accept}}, q'_{\text{reject}})$

**New tape alphabet:**  $\Gamma' = (\Gamma \times \Gamma) \cup \{\$\}$

**New state set:**  $Q' = Q \times \{+, -\}$

$(q, -)$  means “ $q$ , working on upper track”

$(q, +)$  means “ $q$ , working on lower track”

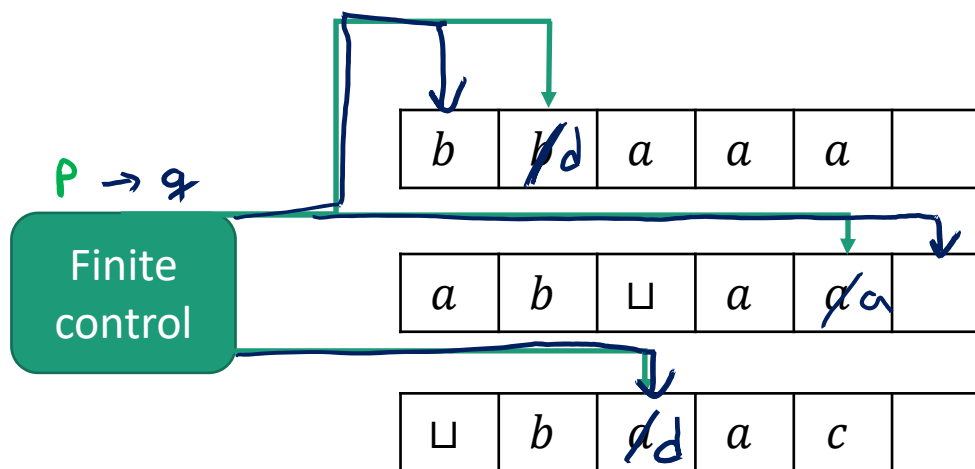
**New transitions:**

If  $\delta(p, a_-) = (q, b, L)$ , let  $\delta'((p, -), (a_-, a_+)) = ((q, -), (b, a_+), R)$

Also need new transitions for moving right, lower track, hitting \$,  
initializing input into 2-track format

# Multi-Tape TMs

$$\delta(p, (b, a, a)) = (q, (d, a, d), (L, R, S))$$



Convention:

Input written on tape 1,  
read-only

} "work tapes"

Fixed number of tapes  $k$

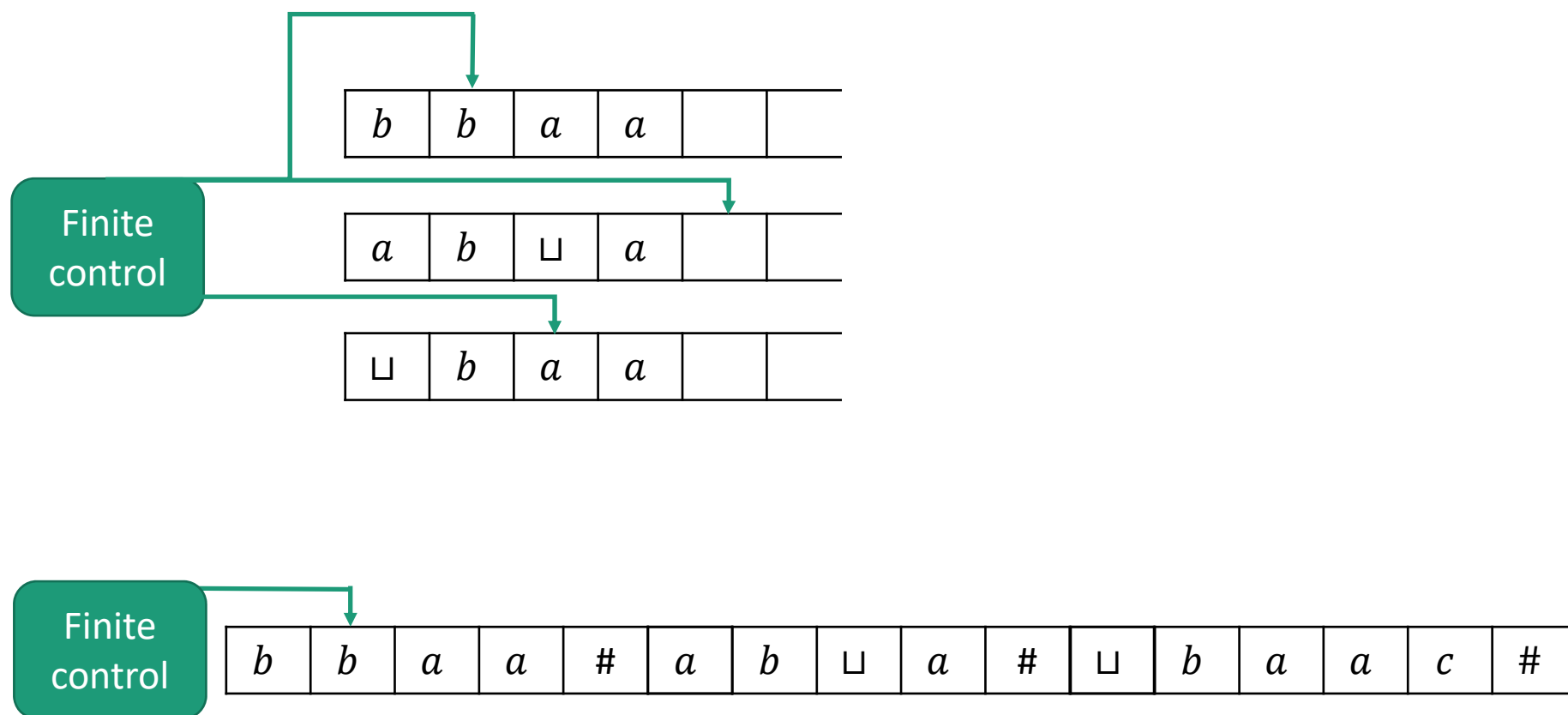
( $k$  can't depend on input or change during computation)

$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, S\}^k$$

$\nwarrow$  (current state)  $\nwarrow$   $u$  symbols to read ( $a_1, \dots, a_u$ )  $\nwarrow$  new state  $\nwarrow$  write  $k$  symbols ( $b_1, \dots, b_k$ )  $\nwarrow$   $k$  left/right/stay instructions

# Multi-Tape TMs are Equivalent to Single-Tape TMs

**Theorem:** Every  $k$ -tape TM  $M$  can be simulated by an equivalent single-tape TM  $M'$



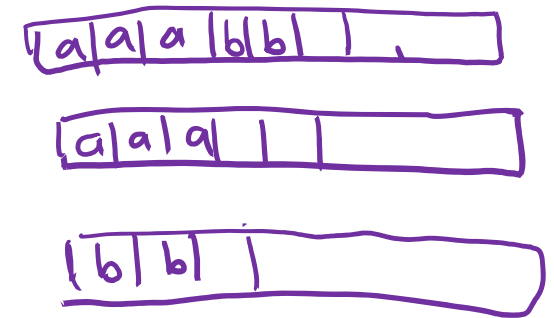
# Why are Multi-Tape TMs Helpful?

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Often easier to construct multi-tape TMs

**Ex.** Decider for  $\{a^i b^j \mid i > j\}$

Three tape TM decider



On input  $w$ : ( $w$  written on tape 1)

1) Format check: Reject if  $w \notin L(a^*b^+)$

2) Copy all  $a$ 's from  $w$  to tape 2

3) Copy all  $b$ 's from  $w$  to tape 3

4) Return heads to left ends of tapes 2 and 3

Scan tapes 2 & 3 left-to-right. If hit a blank on tape 3, accept iff still any  $a$ 's left on tape 2.