

BU CS 332 – Theory of Computation

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Lecture 12:

- Decidable Languages
- Universal TM

Reading:

Sipser Ch 4.1

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Last Time

Nondeterministic TMs

An NTM N accepts input w if when run on w it accepts on at least one computational branch

Church-Turing Thesis

v1: The basic TM (and all equivalent models) capture our intuitive notion of algorithms

v2: Any physically realizable model of computation can be simulated by the basic TM

Decidable Languages

1928 – The *Entscheidungsproblem*

The “Decision Problem”

TM
Is there an algorithm which takes as input a formula (in first-order logic) and decides whether it's logically valid?

W “mathematical statement”



is the statement true or false?

Question: Can computers automate mathematicians?

Question: Can we automate theorems about regular languages?

Questions about regular languages

- Given a DFA D and a string w , does D accept input w ?
- Given a DFA D , does D recognize the empty language?
- Given DFAs D_1, D_2 , do they recognize the same language?

(Same questions apply to NFAs, regexes)

Goal: Formulate each of these questions as a language, and decide them using Turing machines

Questions about regular languages

Design a TM which takes as input a DFA D and a string w , and determines whether D accepts w

How should the input to this TM be represented?

Let $D = (Q, \Sigma, \delta, q_0, F)$. List each component of the tuple separated by #

- Represent Q by ,-separated binary strings
- Represent Σ by ,-separated binary strings
- Represent $\delta : Q \times \Sigma \rightarrow Q$ by a ,-separated list of triples $(p, a, q), \dots$

Denote the **encoding** of D, w by $\langle D, w \rangle$

Example

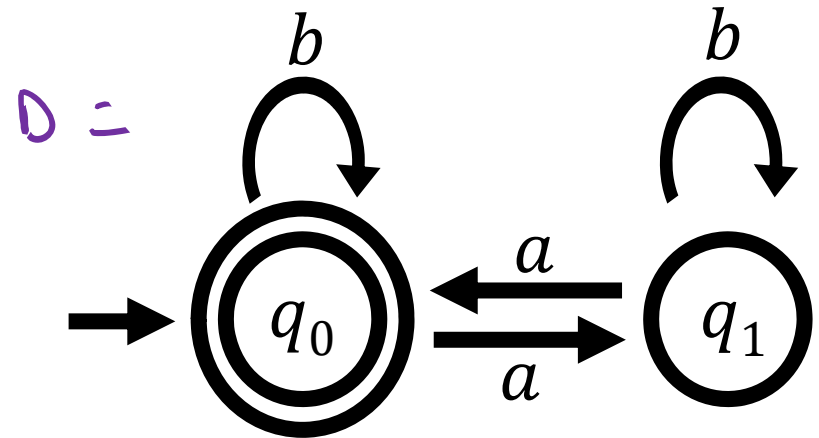
$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

$$\delta(q_0, a) = q_1, \quad \delta(q_0, b) = q_0, \dots$$

start q_0

$$F = \{q_1\}$$



$$\langle D \rangle = \underbrace{0, 1}_Q \# \underbrace{0, 1}_{\Sigma} \# \underbrace{(0, 0, 1), (0, 1, 0), (1, 0, 0), (1, 1, 1)}_{\delta}$$

$$\# \underbrace{0}_q \# \underbrace{1}_F$$

Alphabet of encoded NFA:
 $\{0, 1, \#, (,)\}$

Representation independence

Computability (i.e., decidability and recognizability) is **not** affected by the precise choice of encoding

M decides language about DFAs under encoding $\langle \cdot \rangle$
let $[\cdot]$ be a different encoding
Why? A TM can always convert between different (reasonable) encodings *N decides language under encoding $[\cdot]$:*

On input $[D]$:

1) convert $[D]$ to $\langle D \rangle$

2) Run M on input $\langle D \rangle$, accept if it accepts, reject otherwise.

From now on, we'll take $\langle \quad \rangle$ to mean "any reasonable encoding"

A “universal” algorithm for recognizing regular languages

$$A_{\text{DFA}} = \{ \langle D, w \rangle \mid \text{DFA } D \text{ accepts } w \}$$

Computational problem:

Given DFA D , string w
Does D accept w ?

Theorem: A_{DFA} is decidable

Tape 2:

w_1	w_2	...	w_i	...	w_n
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Tape 3:

q	δ	...
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Proof: Define a (high-level) 3-tape TM M on input $\langle D, w \rangle$:

1. Check if $\langle D, w \rangle$ is a valid encoding (reject if not)

2. Simulate D on w , i.e.,

Omit if you want, understood to be a part of any algorithm

- Tape 2: Maintain w and head location of D

- Tape 3: Maintain state of D , update according to δ

3. **Accept** if D ends in an accept state, **reject** otherwise

Analysis: 1) $\langle D, w \rangle \in A_{\text{DFA}} \Rightarrow D$ ends in accept on input $w \Rightarrow M$ ^{accepts}

2) $\langle D, w \rangle \notin A_{\text{DFA}} \Rightarrow$ either improperly formatted, or D ends in reject $\Rightarrow M$ rejects

Other decidable languages

$$A_{\text{DFA}} = \{\langle D, w \rangle \mid \text{DFA } D \text{ accepts } w\}$$

$$A_{\text{NFA}} = \{\langle N, w \rangle \mid \text{NFA } N \text{ accepts } w\}$$

$$A_{\text{REX}} = \{\langle R, w \rangle \mid \text{regular expression } R \text{ generates } w\}$$



NFA Acceptance

Your TM should: Accept $\langle N, w \rangle$ if N accepts w
Reject $\langle N, w \rangle$ if N does not accept w

Which of the following describes a **decider** for $A_{\text{NFA}} = \{\langle N, w \rangle \mid \text{NFA } N \text{ accepts } w\}$?

- a) Using a deterministic TM, simulate N on w , always making the first nondeterministic choice at each step. Accept if it accepts, and reject otherwise.
- b) Using a deterministic TM, simulate all possible choices of N on w for 1 step of computation, 2 steps of computation, etc. Accept whenever some simulation accepts.

$N = \rightarrow \bigcirc \curvearrowright \epsilon \quad w = 0$

- c) Use the subset construction to convert N to an equivalent DFA M . Simulate M on w , accept if it accepts, and reject otherwise.

Regular Languages are Decidable

Theorem: Every regular language L is decidable

Proof 1: If L is regular, it is recognized by a DFA D . Convert this DFA to a TM M . Then M decides L .

Proof 2: If L is regular, it is recognized by a DFA D . The following TM M_D decides L .

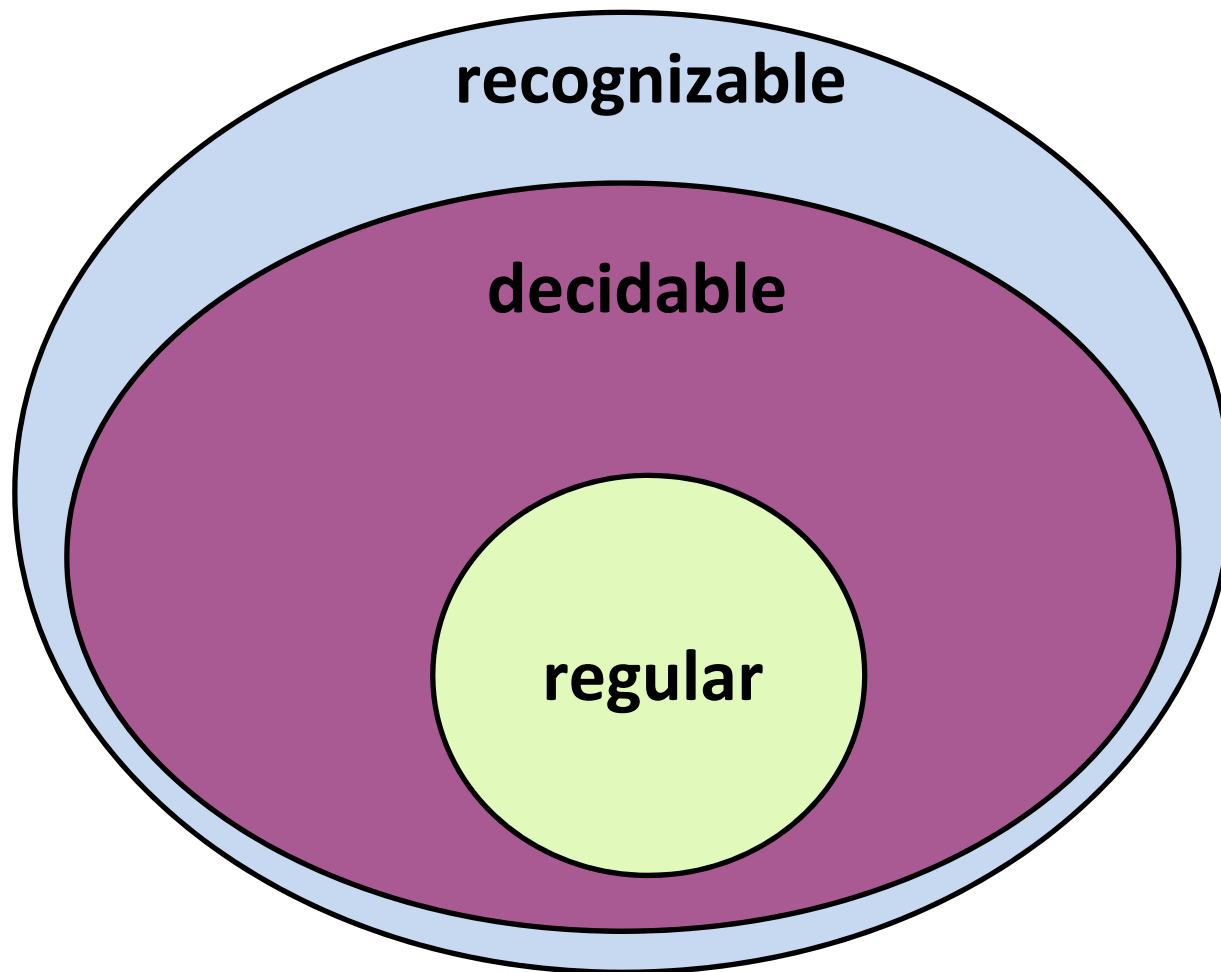
On input w :

1. Run the decider for A_{DFA} on input $\langle D, w \rangle$
2. Accept if the decider accepts; reject otherwise

Analysis: 1) If $w \in L$, then D accepts $w \Rightarrow \langle D, w \rangle \in A_{DFA} \Rightarrow M_D$ accepts

2) If $w \notin L$, then D does not accept $w \Rightarrow \langle D, w \rangle \notin A_{DFA} \Rightarrow M_D$ rejects

Classes of Languages



More Decidable Languages: Emptiness Testing

Theorem: $E_{\text{DFA}} = \{\langle D \rangle \mid D \text{ is a DFA such that } L(D) = \emptyset\}$ is decidable

Given DFA D , is it the case that D accepts no strings?

Proof: The following TM decides E_{DFA}

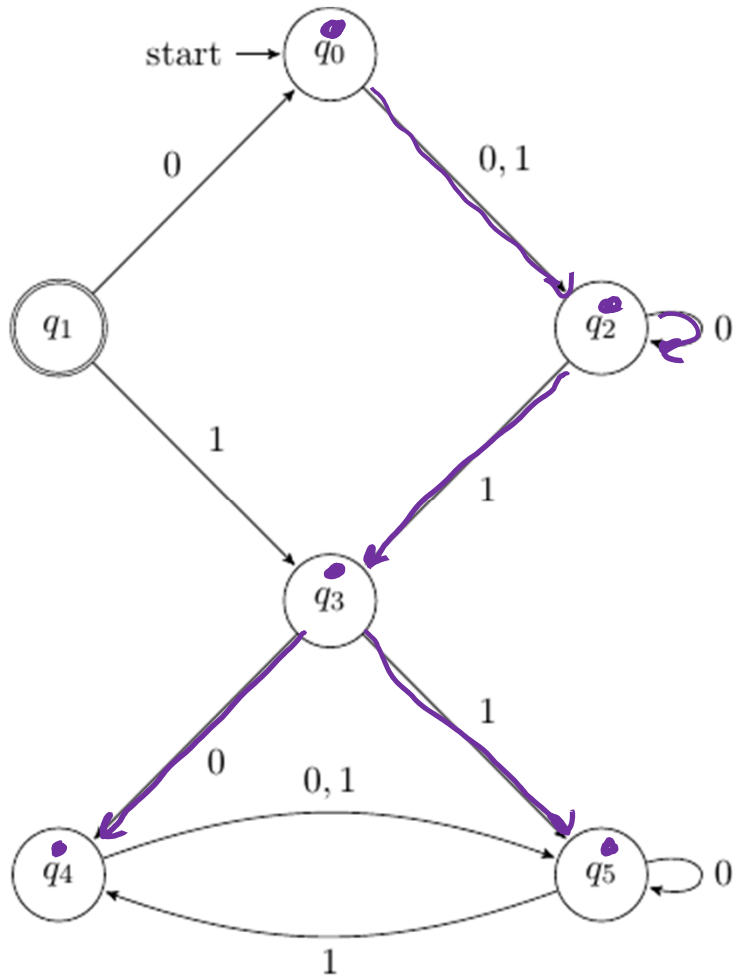
- If $L(D) = \emptyset$, there are no reachable accept states \Rightarrow TM accepts
- If $L(D) \neq \emptyset$, \exists a reachable accept state \Rightarrow TM rejects

On input $\langle D \rangle$, where D is a DFA with k states:

1. Perform k steps of breadth-first search on state diagram of D to determine if an accept state is reachable from the start state
2. **Reject** if a DFA accept state is reachable; **accept** otherwise

E_{DFA} Example

$D =$



BFS traversal.

q_0

q_2

q_3

q_4, q_5

No accept states are reachable

$\Rightarrow L(D) = \emptyset$

reject input $\langle D \rangle$.

New Deciders from Old: Equality Testing

$$EQ_{\text{DFA}} = \{\langle D_1, D_2 \rangle \mid D_1, D_2 \text{ are DFAs and } L(D_1) = L(D_2)\}$$

Theorem: EQ_{DFA} is decidable

$$A \Delta B = \{(w \in A \text{ and } w \notin B) \text{ or } (w \in B \text{ and } w \notin A)\}$$

Proof: The following TM decides EQ_{DFA}

On input $\langle D_1, D_2 \rangle$, where $\langle D_1, D_2 \rangle$ are DFAs:

1. Construct DFA D recognizing the **symmetric difference**

$$L(D_1) \Delta L(D_2) = \{w \mid \text{exactly one of } D_1, D_2 \text{ accept } w\}$$

2. Run the decider for E_{DFA} on $\langle D \rangle$ and return its output

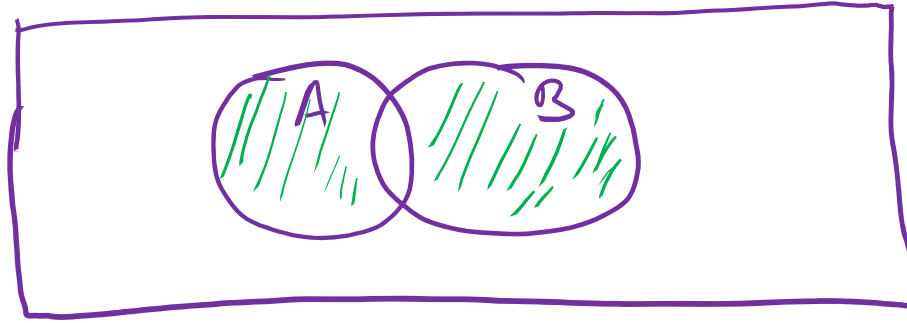
Analysis:

1) If $L(D_1) = L(D_2)$, then $L(D_1) \Delta L(D_2) = \emptyset \Rightarrow$ decider for E_{DFA} accepts \Rightarrow TM accepts

2) If $L(D_1) \neq L(D_2) \Rightarrow L(D_1) \Delta L(D_2) \neq \emptyset \Rightarrow$ decider for E_{DFA} rejects \Rightarrow TM rejects

Symmetric Difference

$$A \Delta B = \{w \mid w \in A \text{ or } w \in B \text{ but not both}\}$$



$$\begin{aligned} A \Delta B &= (A \setminus B) \cup (B \setminus A) \\ &= (A \cap \bar{B}) \cup (B \cap \bar{A}) \end{aligned}$$

If A, B recognized by DFAs, can use complement/intersection/union constructions + subset construction to get DFA for $A \Delta B$.

Universal Turing Machine

Meta-Computational Languages

$$A_{\text{DFA}} = \{\langle D, w \rangle \mid \text{DFA } D \text{ accepts } w\}$$

$$A_{\text{TM}} = \{\langle M, w \rangle \mid \text{TM } M \text{ accepts } w\}$$

$$E_{\text{DFA}} = \{\langle D \rangle \mid \text{DFA } D \text{ recognizes the empty language } \emptyset\}$$

$$E_{\text{TM}} = \{\langle M \rangle \mid \text{TM } M \text{ recognizes the empty language } \emptyset\}$$

$$EQ_{\text{DFA}} = \{\langle D_1, D_2 \rangle \mid D_1 \text{ and } D_2 \text{ are DFAs, } L(D_1) = L(D_2)\}$$

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs, } L(M_1) = L(M_2)\}$$

The Universal Turing Machine



$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

Theorem: A_{TM} is Turing-recognizable

Computational problem:

Given TM M and input w
does M accept w ?

The following “Universal TM” U recognizes A_{TM}

On input $\langle M, w \rangle$:

1. Simulate running M on input w
2. If M accepts, **accept**. If M rejects, **reject**.

Analysis:

- 1) If $\langle M, w \rangle \in A_{TM} \Rightarrow M \text{ accepts } w \Rightarrow U \text{ accepts } \langle M, w \rangle$
- 2) If $\langle M, w \rangle \notin A_{TM} \Rightarrow \text{either } \begin{cases} M \text{ rejects } w \Rightarrow U \text{ rejects } \langle M, w \rangle \\ M \text{ loops on } w \Rightarrow U \text{ loops on } \langle M, w \rangle \end{cases}$



Universal TM and A_{TM}

Why is the Universal TM not a decider for A_{TM} ?

The following “Universal TM” U recognizes A_{TM}

On input $\langle M, w \rangle$:

1. Simulate running M on input w
2. If M accepts, **accept**. If M rejects, **reject**.

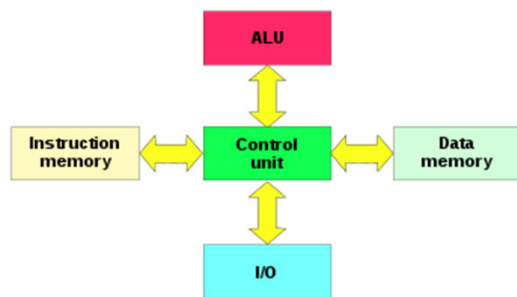
- a) It may reject inputs $\langle M, w \rangle$ where M accepts w
- b) It may accept inputs $\langle M, w \rangle$ where M rejects w
- c) It may loop on inputs $\langle M, w \rangle$ where M loops on w
- d) It may loop on inputs $\langle M, w \rangle$ where M accepts w

More on the Universal TM

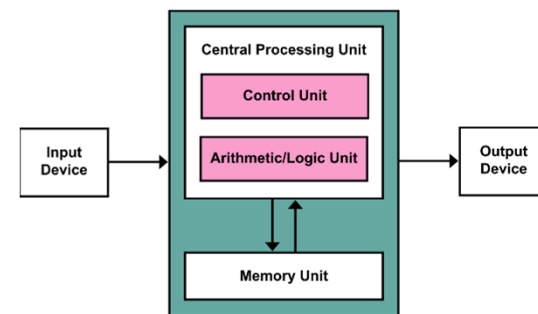
"It is possible to invent a single machine which can be used to compute any computable sequence. If this machine **U** is supplied with a tape on the beginning of which is written the S.D ["standard description"] of some computing machine **M**, then **U** will compute the same sequence as **M**."

- Turing, "On Computable Numbers..." 1936

- Foreshadowed general-purpose programmable computers
- No need for specialized hardware: Virtual machines as software



Harvard architecture:
Separate instruction and data pathways



von Neumann architecture:
Programs can be treated as data

Undecidability

A_{TM} is Turing-recognizable via the Universal TM

...but it turns out A_{TM} (and $E_{\text{TM}}, EQ_{\text{TM}}$) is **undecidable**

i.e., computers cannot solve these problems no matter how much time they are given