

BU CS 332 – Theory of Computation

<https://forms.gle/T38zDHBgd62avxWy7>



Lecture 15:

- Review mid-semester feedback
- More on Reductions

Reading:

Sipser Ch 5.1

Mark Bun

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What helps you learn best?

- Discussion sections (17)
- In-class examples / walkthroughs (12)
- Lectures in general (10)
- Use of slides, annotations (8)
- Interaction in lecture, polls (6)
- Homework – useful, appropriate length/difficulty (6)
- Office hours (4)
- Course organization, perspective (2)
- Piazza use (2)
- Automata Tutor, TM simulator (1)
- Reading (1)

What hinders your learning?

- Automata Tutor / Morphett (1)
- Turing machines (1)
- Annotation readability (4)
- Not enough concrete examples in class (3)
- Identifying differences in definitions / types (1)
- Practice problems not exhaustive of material (1)
- Slides difficult to understand (2)
- Polls not useful (1)
- Hard to see or hear from back (2)
- Chalkboard use (2)
- Classroom distractions (1)
- Lectures boring (2)
- Classroom too warm (1)
- Lecture pace too fast (1)
- Can't make office hours (4)
- Environment not collaborative (1)
- Required discussions (1)
- Discussions in general (1)
- Discussion pace too slow (1)
- Lack of synchronization between discussion and lecture (1)
- Can't understand what HW problems are asking for (2)
- Proofs, proof assignments on homework (1)
- Homework too time-consuming, too difficult (3)
- Transferring lecture knowledge to homework (2)
- Grading (2)

Suggestions for course improvement

- More office hours (1)
- Zoom office hours (2)
- Don't require discussions / lecture attendance (1)
- Extend "late submission" deadline (1)
- Release grade statistics (1)
- Point to outside references (1)
- More examples (2)
- More polls, interaction (1)
- Slower lectures with more pauses (1)
- Introduce more material during lectures (1)
- More examples in class that are similar to homework (1)
- Review prerequisite material when needed (1)
- Clarify what parts of the material are most important (1)
- Record lectures (4)
- More programming examples (1)
- Use a mic (1)
- More in-class problem solving (1)
- Give more intuition leading into proofs before giving the proofs (1)
- More programming examples / exercises (2)
- More proof-based problem-solving examples (1)
- Fewer discussion problems / more time to discuss each (1)
- Synchronize discussion with previous lectures (1)
- More explanation of solutions during discussion (1)
- Shorter, but more difficult homework (1)
- Longer, but easier, homework (2)
- Make difficulty of lectures / homework closer (1)
- More homework hints (1)
- More practice problems (1)

Clarity of expectations

- Seems mostly clear
- Participation: Base grade determined by polls, discussion worksheets; other participation is “bonus”
- Reminder of resources to take advantage of:
 - Sipser textbook
 - Lectures (slides, recordings)
 - Discussions (in-class meetings, posted slides)
 - Homework feedback, **posted solutions**
 - Office hours
 - Piazza
- See Lecture 1, Slides 13-17 for more advice

Suggestions for self-improvement

- Keep up with readings (17)
- Review lecture / discussion materials (7)
- Attend more office hours (7)
- Time management (6)
- Do example problems in Sipser (5)
- Participate in class more actively (2)
- More organized note-taking (1)

Proposed Course Modifications

- Poll for more office hours
- Synchronize lecture / discussion / homework cycle correctly
- Homework more approachable and useful
 - Gradient from easier (mechanical) to harder (creative) questions
 - Mechanical problems closer to discussion / lecture examples

Reductions

Reductions

A **reduction** from problem A to problem B is an algorithm for problem A which uses an algorithm for problem B as a subroutine

If such a reduction exists, we say “ A reduces to B ”

Positive uses: If A reduces to B and B is decidable, then A is also decidable

Ex. E_{DFA} is decidable $\Rightarrow EQ_{\text{DFA}}$ is decidable

Negative uses: If A reduces to B and A is undecidable, then B is also undecidable

Ex. A_{TM} is undecidable $\Rightarrow HALT_{\text{TM}}$ is decidable

Halting Problem

Computational problem: Given a program (TM) and input w , does that program halt (either accept or reject) on input w ?

Formulation as a language:

$$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$$

Ex. $M =$ “On input x (a natural number in binary):

For each $y = 1, 2, 3, \dots$:

If $y^2 = x$, **accept**. Else, continue.”

$M' =$ “On input x (a natural number in binary):

For each $y = 1, 2, 3, \dots, x$:

If $y^2 = x$, **accept**. Else, continue.

Reject.”

Halting Problem

$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$

Theorem: $HALT_{TM}$ is undecidable

Proof: Suppose for contradiction that there exists a decider H for $HALT_{TM}$. We construct a decider for V for A_{TM} as follows:

On input $\langle M, w \rangle$:

1. Run H on input $\langle M, w \rangle$
2. If H rejects, **reject**
3. If H accepts, run M on w
4. If M accepts, **accept**
Otherwise, **reject**.

This is a reduction from A_{TM} to $HALT_{TM}$

Halting Problem

Computational problem: Given a program (TM) and input w , does that program halt on input w ?

- A central problem in formal verification
- Dealing with undecidability in practice:
 - Use heuristics that are correct on most real instances, but may be wrong or loop forever on others
 - Restrict to a “non-Turing-complete” subclass of programs for which halting is decidable
 - Use a programming language that lets a programmer specify hints (e.g., loop invariants) that can be compiled into a formal proof of halting

Emptiness testing for TMs

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

Theorem: E_{TM} is undecidable

Proof: Suppose for contradiction that there exists a decider R for E_{TM} . We construct a decider for A_{TM} as follows:

On input $\langle M, w \rangle$:

1. Run R on input ???

This is a reduction from A_{TM} to E_{TM}

Emptiness testing for TMs



$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

Theorem: E_{TM} is undecidable

Proof: Suppose for contradiction that there exists a decider R for E_{TM} . We construct a decider for A_{TM} as follows:

On input $\langle M, w \rangle$:

1. Construct a TM N as follows:

2. Run R on input $\langle N \rangle$

3. If R , **accept**. Otherwise, **reject**

What do we want out of machine N ?

- a) $L(N)$ is empty iff M accepts w
- b) $L(N)$ is non-empty iff M accepts w
- c) $L(M)$ is empty iff N accepts w
- d) $L(M)$ is non-empty iff N accepts w

This is a reduction from A_{TM} to E_{TM}

Emptiness testing for TMs

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

Theorem: E_{TM} is undecidable

Proof: Suppose for contradiction that there exists a decider R for E_{TM} . We construct a decider for A_{TM} as follows:

On input $\langle M, w \rangle$:

1. Construct a TM N as follows:

“On input x :

Run M on w and output the result.”

2. Run R on input $\langle N \rangle$

3. If R rejects, **accept**. Otherwise, **reject**

This is a reduction from A_{TM} to E_{TM}

Interlude: Formalizing Reductions (Sipser 6.3)



Informally: A reduces to B if a decider for B can be used to construct a decider for A

One way to formalize:

- An *oracle* for language B is a device that can answer questions “Is $w \in B$?”
- An *oracle TM* M^B is a TM that can query an oracle for B in one computational step

A is **Turing-reducible** to B (written $A \leq_T B$) if there is an oracle TM M^B deciding A

Equality Testing for TMs

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

Theorem: EQ_{TM} is undecidable

Proof: Suppose for contradiction that there exists a decider R for EQ_{TM} . We construct a decider for E_{TM} as follows:

On input $\langle M \rangle$:

1. Construct TMs N_1, N_2 as follows:

$$N_1 =$$

$$N_2 =$$

2. Run R on input $\langle N_1, N_2 \rangle$

3. If R accepts, **accept**. Otherwise, **reject**.

This is a reduction from E_{TM} to EQ_{TM}

Equality Testing for TMs



What do we want out of the machines N_1, N_2 ?

- a) $L(M) = \emptyset$ iff $N_1 = N_2$ b) $L(M) = \emptyset$ iff $L(N_1) = L(N_2)$
c) $L(M) = \emptyset$ iff $N_1 \neq N_2$ d) $L(M) = \emptyset$ iff $L(N_1) \neq L(N_2)$

On input $\langle M \rangle$:

1. Construct TMs N_1, N_2 as follows:

$N_1 =$

$N_2 =$

2. Run R on input $\langle N_1, N_2 \rangle$

3. If R accepts, **accept**. Otherwise, **reject**.

This is a reduction from E_{TM} to EQ_{TM}

Equality Testing for TMs

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

Theorem: EQ_{TM} is undecidable

Proof: Suppose for contradiction that there exists a decider R for EQ_{TM} . We construct a decider for A_{TM} as follows:

On input $\langle M \rangle$:

1. Construct TMs N_1, N_2 as follows:

$$N_1 =$$

$$N_2 =$$

2. Run R on input $\langle N_1, N_2 \rangle$

3. If R accepts, **accept**. Otherwise, **reject**.

This is a reduction from E_{TM} to EQ_{TM}

Regular language testing for TMs

$$REG_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular}\}$$

Theorem: REG_{TM} is undecidable

Proof: Suppose for contradiction that there exists a decider R for REG_{TM} . We construct a decider for A_{TM} as follows:

On input $\langle M, w \rangle$:

1. Construct a TM N as follows:
2. Run R on input $\langle N \rangle$
3. If R accepts, **accept**. Otherwise, **reject**

This is a reduction from A_{TM} to REG_{TM}

Regular language testing for TMs

$$REG_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular}\}$$

Theorem: REG_{TM} is undecidable

Proof: Suppose for contradiction that there exists a decider R for REG_{TM} . We construct a decider for A_{TM} as follows:

On input $\langle M, w \rangle$:

1. Construct a TM N as follows:

$N =$ “On input x ,

1. If $x \in \{0^n 1^n \mid n \geq 0\}$, accept

2. Run TM M on input w

3. If M accepts, **accept**. Otherwise, **reject**.”

2. Run R on input $\langle N \rangle$

3. If R accepts, **accept**. Otherwise, **reject**

This is a reduction from A_{TM} to REG_{TM}

Other undecidable problems

Problems in Language Theory

Apparent dichotomy:

- TMs seem to be able to solve problems about the power of weaker computational models (e.g., DFAs)
- TMs can't solve problems about the power of TMs themselves

Question: Are there undecidable problems that do not involve TM descriptions?

A_{DFA} decidable	A_{TM} undecidable
E_{DFA} decidable	E_{TM} undecidable
EQ_{DFA} decidable	EQ_{TM} undecidable

Undecidability of mathematics [Sipser 6.2]

Peano arithmetic: Formalization of mathematical statements about the natural numbers, using $+$, \times , \leq

Ex: “There exist infinitely many primes”

Theorem [Church, Turing]:

$\text{TPA} = \{ \langle \varphi \rangle \mid \varphi \text{ is a true statement in PA} \}$ is undecidable

Proof skeleton:

Gödel's First Incompleteness Theorem [Sipser 6.2]

Theorem: There exists a true statement φ in Peano arithmetic that is not provable

Proof idea:

Suppose for contradiction that every true statement is provable. Then $\text{TPA} = \text{PPA}$ where

$\text{PPA} = \{ \langle \varphi \rangle \mid \varphi \text{ is a } \textit{provable} \text{ statement in PA} \}$

Claim: PPA is Turing-recognizable

A simple undecidable problem

Post Correspondence Problem (PCP) [Sipser 5.2]:

Domino: $\begin{bmatrix} a \\ ab \end{bmatrix}$. Top and bottom are strings.

Input: Collection of dominos.

$$\begin{bmatrix} aa \\ aba \end{bmatrix}, \begin{bmatrix} ab \\ aba \end{bmatrix}, \begin{bmatrix} ba \\ aa \end{bmatrix}, \begin{bmatrix} abab \\ b \end{bmatrix}$$

Match: List of some of the input dominos (repetitions allowed) where top = bottom

$$\begin{bmatrix} ab \\ aba \end{bmatrix}, \begin{bmatrix} aa \\ aba \end{bmatrix}, \begin{bmatrix} ba \\ aa \end{bmatrix}, \begin{bmatrix} aa \\ aba \end{bmatrix}, \begin{bmatrix} abab \\ b \end{bmatrix}$$

Problem: Does a match exist?

This is undecidable

Computation History Method

A sequence of configurations C_0, \dots, C_ℓ is an **accepting computation history** for TM M on input w if

1. C_0 is the start configuration $q_0 w_1 \dots w_n$
2. Every C_{i+1} legally follows from C_i
3. C_ℓ is an accepting configuration

Reduction from the undecidable language A_{TM} to a language L using the following idea:

Given an input $\langle M, w \rangle$ to A_{TM} , the ability to solve L enables checking the existence of an accepting computation history for M on w