

# BU CS 332 – Theory of Computation

<https://forms.gle/VQ9JyAvixkrqfUbHA>



## Lecture 17:

- Mapping Reductions

Reading:

Sipser Ch 5.3

Mark Bun

November 9, 2021

# Reductions

A **reduction** from problem  $A$  to problem  $B$  is an algorithm for problem  $A$  which uses an algorithm for problem  $B$  as a subroutine

If such a reduction exists, we say “ $A$  reduces to  $B$ ”

**Positive uses:** If  $A$  reduces to  $B$  and  $B$  is decidable, then  $A$  is also decidable

Ex.  $E_{\text{DFA}}$  is decidable  $\Rightarrow EQ_{\text{DFA}}$  is decidable

**Negative uses:** If  $A$  reduces to  $B$  and  $A$  is undecidable, then  $B$  is also undecidable

Ex.  $E_{\text{TM}}$  is undecidable  $\Rightarrow EQ_{\text{TM}}$  is undecidable

# ▶▶ Warning ▶▶



What's wrong with the following "proof"?

**Bogus "Theorem":**  $A_{TM}$  is not Turing-recognizable

**Bogus "Proof":** Let  $R$  be an alleged recognizer for  $A_{TM}$ . We construct a recognizer  $S$  for unrecognizable language  $A_{TM}$ :

On input  $\langle M, w \rangle$ :

1. Run  $R$  on input  $\langle M, w \rangle$
2. If  $R$  accepts, **reject**. Otherwise, **accept**.

This sure looks like a reduction from  $\overline{A_{TM}}$  to  $A_{TM}$

# Mapping Reductions: Motivation

1. How do we formalize the notion of a reduction?
2. How do we use reductions to show that languages are unrecognizable?
3. How do we protect ourselves from accidentally “proving” bogus statements about recognizability?

# Computable Functions

## Definition:

A function  $f: \Sigma^* \rightarrow \Sigma^*$  is **computable** if there is a TM  $M$  which, given as input any  $w \in \Sigma^*$ , halts with only  $f(w)$  on its tape. (“Outputs  $f(w)$ ”)

# Computable Functions

## Definition:

A function  $f: \Sigma^* \rightarrow \Sigma^*$  is **computable** if there is a TM  $M$  which, given as input any  $w \in \Sigma^*$ , halts with only  $f(w)$  on its tape. (“Outputs  $f(w)$ ”)

**Example 1:**  $f(\langle x, y \rangle) = x + y$

**Example 2:**  $f(\langle M, w \rangle) = \langle M' \rangle$  where  $M$  is a TM,  $w$  is a string, and  $M'$  is a TM that ignores its input and simulates running  $M$  on  $w$

# Mapping Reductions

## Definition:

Language  $A$  is **mapping reducible** to language  $B$ , written

$$A \leq_m B$$

if there is a computable function  $f: \Sigma^* \rightarrow \Sigma^*$  such that for all strings  $w \in \Sigma^*$ , we have  $w \in A \iff f(w) \in B$

# Mapping Reductions



## Definition:

Language  $A$  is **mapping reducible** to language  $B$ , written

$$A \leq_m B$$

if there is a computable function  $f: \Sigma^* \rightarrow \Sigma^*$  such that for all strings  $w \in \Sigma^*$ , we have  $w \in A \iff f(w) \in B$

If  $A \leq_m B$ , which of the following is true?

a)  $\bar{A} \leq_m B$

b)  $A \leq_m \bar{B}$

c)  $\bar{A} \leq_m \bar{B}$

d)  $\bar{B} \leq_m \bar{A}$



# Decidability

**Theorem:** If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is also decidable

**Proof:** Let  $M$  be a decider for  $B$  and let  $f: \Sigma^* \rightarrow \Sigma^*$  be a mapping reduction from  $A$  to  $B$ . Construct a decider for  $A$  as follows:

On input  $w$ :

1. Compute  $f(w)$
2. Run  $M$  on input  $f(w)$
3. If  $M$  accepts, **accept**. If it rejects, **reject**.

# Undecidability

**Theorem:** If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is also decidable

**Corollary:** If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is also undecidable

# Old Proof: Equality Testing for TMs

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $EQ_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{TM}$ . We construct a decider for  $E_{TM}$  as follows:

On input  $\langle M \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$$M_1 = M$$

$M_2 =$  “On input  $x$ ,  
1. Ignore  $x$  and **reject**”

2. Run  $R$  on input  $\langle M_1, M_2 \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

This is a reduction from  $E_{TM}$  to  $EQ_{TM}$

# New Proof: Equality Testing for TMs

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $E_{\text{TM}} \leq_m EQ_{\text{TM}}$  hence  $EQ_{\text{TM}}$  is undecidable

**Proof:** The following TM  $N$  computes the reduction  $f$ :

On input  $\langle M \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$$M_1 = M$$

$M_2 =$  “On input  $x$ ,  
1. Ignore  $x$  and **reject**”

2. Output  $\langle M_1, M_2 \rangle$

# Mapping Reductions: Recognizability

**Theorem:** If  $A \leq_m B$  and  $B$  is recognizable, then  $A$  is also recognizable

**Proof:** Let  $M$  be a recognizer for  $B$  and let  $f: \Sigma^* \rightarrow \Sigma^*$  be a mapping reduction from  $A$  to  $B$ . Construct a recognizer for  $A$  as follows:

On input  $w$ :

1. Compute  $f(w)$
2. Run  $M$  on input  $f(w)$
3. If  $M$  accepts, **accept**. Otherwise, **reject**.

# Unrecognizability

**Theorem:** If  $A \leq_m B$  and  $B$  is recognizable, then  $A$  is also recognizable

**Corollary:** If  $A \leq_m B$  and  $A$  is unrecognizable, then  $B$  is also unrecognizable

**Corollary:** If  $\overline{A_{TM}} \leq_m B$ , then  $B$  is unrecognizable

# Recognizability and $A_{TM}$



Let  $L$  be a language. Which of the following is true?

- a) If  $L \leq_m A_{TM}$ , then  $L$  is recognizable
- b) If  $A_{TM} \leq_m L$ , then  $L$  is recognizable
- c) If  $L$  is recognizable, then  $L \leq_m A_{TM}$
- d) If  $L$  is recognizable, then  $A_{TM} \leq_m L$

**Theorem:**  $L$  is recognizable *if and only if*  $L \leq_m A_{TM}$

# Recognizability and $A_{\text{TM}}$

**Theorem:**  $L$  is recognizable *if and only if*  $L \leq_m A_{\text{TM}}$

**Proof:**



## Example: Another reduction to $EQ_{TM}$

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $A_{TM} \leq_m EQ_{TM}$

**Proof:** The following TM  $N$  computes the reduction  $f$ :



What should the inputs and outputs to  $f$  be?

- a)  $f$  should take as input a pair  $\langle M_1, M_2 \rangle$  and output a pair  $\langle M, w \rangle$
- b)  $f$  should take as input a pair  $\langle M, w \rangle$  and output a pair  $\langle M_1, M_2 \rangle$
- c)  $f$  should take as input a pair  $\langle M_1, M_2 \rangle$  and either accept or reject
- d)  $f$  should take as input a pair  $\langle M, w \rangle$  and either accept or reject

## Example: Another reduction to $EQ_{TM}$

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $A_{TM} \leq_m EQ_{TM}$

**Proof:** The following TM computes the reduction:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1 =$  “On input  $x$ ,

$M_2 =$  “On input  $x$ ,

2. Output  $\langle M_1, M_2 \rangle$

# Consequences of $A_{\text{TM}} \leq_m EQ_{\text{TM}}$

1. Since  $A_{\text{TM}}$  is undecidable,  $EQ_{\text{TM}}$  is also undecidable
2.  $A_{\text{TM}} \leq_m EQ_{\text{TM}}$  implies  $\overline{A_{\text{TM}}} \leq_m \overline{EQ_{\text{TM}}}$   
Since  $\overline{A_{\text{TM}}}$  is unrecognizable,  $\overline{EQ_{\text{TM}}}$  is unrecognizable

# $EQ_{TM}$ itself is also unrecognizable

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $\overline{A_{TM}} \leq_m EQ_{TM}$  hence  $EQ_{TM}$  is unrecognizable

**Proof:** The following TM computes the reduction:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1 =$  “On input  $x$ ,

1. Ignore  $x$
2. Run  $M$  on input  $w$
3. If  $M$  accepts, **accept**.  
Otherwise, **reject**.”

$M_2 =$  “On input  $x$ ,

1. Ignore  $x$  and **reject**”

2. Output  $\langle M_1, M_2 \rangle$