

# BU CS 332 – Theory of Computation

<https://forms.gle/zQ6NcWNc98FhDGnH9>



## Lecture 19:

- Time/Space Hierarchies
- Complexity Class P

Reading:

Sipser Ch 9.1, 7.2

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# Last Time

- Asymptotic notation
- Analyzing time / space usage of Turing machines (algorithms)
- Multi-tape TMs can solve problems faster than single-tape TMs  
E.g.,  $A = \{0^m 1^m \mid m \geq 0\}$  can be decided in  $O(n)$  time on a 2-tape TM, but cannot be decided in  $o(n \log n)$  time on a basic TM

# Time complexity

**Time complexity** of a TM (algorithm) = maximum number of steps it takes on a worst-case input

Formally: Let  $f : \mathbb{N} \rightarrow \mathbb{N}$ . A TM  $M$  runs in time  $f(n)$  if on every input  $w \in \Sigma^n$ ,  $M$  halts on  $w$  within at most  $f(n)$  steps

*input length*  
↓  
*max running time on inputs of that length*

A language  $A \in \text{TIME}(f(n))$  if there exists a basic single-tape (deterministic) TM  $M$  that

- 1) Decides  $A$ , and
- 2) Runs in time  $O(f(n))$

# Single vs. Multi-Tape

**Theorem:** Let  $t(n) \geq n$  be a function. Every multi-tape TM running in time  $t(n)$  has an equivalent single-tape TM running in time  $O(t(n)^2)$

$$t(n) = n^2$$

Suppose  $B$  is decidable in time  $O(n^2)$  on a 42-tape TM. What is the best upper bound you can give on the runtime of a basic single-tape TM deciding  $B$ ?

a)  $O(n^2)$

b)  $O(n^4) \rightarrow O((n^2)^2) = O(n^4)$

c)  $O(n^{84})$

d)  $2^{O(n)}$



# Single vs. Multi-Tape

**Theorem:** Let  $t(n) \geq n$  be a function. Every multi-tape TM running in time  $t(n)$  has an equivalent single-tape TM running in time  $O(t(n)^2)$

**Proof idea:**

We already saw how to **simulate** a multi-tape TM with a single-tape TM

Need a runtime analysis of this construction

# Simulating Multiple Tapes

(Implementation-Level Description)

On input  $w = w_1 w_2 \dots w_n$

1. Format tape into  $\# \overbrace{w_1 w_2 \dots w_n}^{\text{tape 1}} \# \underbrace{\square}_{\text{tape 2}} \# \underbrace{\square}_{\text{tape 3}} \# \dots \# \underbrace{\square}_{\text{tape } k} \#$   $O(n+k)$  time

2. For each move of  $M$ :

Scan left-to-right, finding current symbols  
Scan left-to-right, writing new symbols,  
Scan left-to-right, moving each tape head

} up to  $k \cdot t(n)$  cells to scan

If a tape head goes off the right end, insert blank

If a tape head goes off left end, move back right

# Single vs. Multi-Tape

**Theorem:** Let  $t(n) \geq n$  be a function. Every multi-tape TM running in time  $t(n)$  has an equivalent single-tape TM running in time  $O(t(n)^2)$

**Proof:** Time analysis of simulation

- Time to initialize (i.e., format tape):  $O(n + k)$
- Time to simulate one step of multi-tape TM:  $O(k \cdot t(n))$

Why? In the worst case, each multi-tape may use  $t(n)$  cells [ a TM running in time  $t(n)$  touches up to  $t(n)$  cells / tape ]

- Number of steps to simulate:  $t(n)$

$$\Rightarrow \text{Total time: } O(n+k) + \underbrace{t(n)}_{\# \text{ steps}} \cdot \underbrace{O(k \cdot t(n))}_{\text{time / step}} = O(t(n))^2 \quad (\text{absorbing } k \text{ into } O)$$

# Extended Church-Turing Thesis

Every “reasonable” (physically realizable) model of computation can be simulated by a basic, single-tape TM with only a **polynomial** slowdown.

E.g., doubly infinite TMs, multi-tape TMs, RAM TMs

Does **not** include nondeterministic TMs (not reasonable)

**Possible counterexamples?** Randomized computation, parallel computation, DNA computing, quantum computation

*Less controversial version of ECT:*

*All deterministic, sequential models can be simulated w/ poly. slowdown*

# Space complexity

**Space complexity** of a TM (algorithm) = maximum number of tape cells it uses on a worst-case input

Formally: Let  $f : \mathbb{N} \rightarrow \mathbb{N}$ . A TM  $M$  runs in space  $f(n)$  if on every input  $w \in \Sigma^n$ ,  $M$  halts on  $w$  using at most  $f(n)$  cells

A language  $A \in \text{SPACE}(f(n))$  if there exists a basic single-tape (deterministic) TM  $M$  that

- 1) Decides  $A$ , and
- 2) Runs in ~~time~~  $O(f(n))$   
space



# How does space relate to time?

Which of the following is true for every function

$$f(n) \geq n?$$

- a)  $TIME(f(n)) \subseteq SPACE(f(n))$
- b)  $SPACE(f(n)) \subseteq TIME(f(n))$
- c)  $TIME(f(n)) = SPACE(f(n))$
- d) None of the above

False

Hopcroft - Paul-Valiant '77

$$TIME(f(n)) \subseteq SPACE(f(n)/\log f(n)) \neq SPACE(f(n))$$

Want: IF  $A \in TIME(f(n))$ ,  
then  $A \in SPACE(f(n))$

IF  $A \in TIME(f(n))$ ,  $\exists$  TM  
M running in time  $O(f(n))$   
deciding A.

M also decides A in  
Space  $O(f(n))$ .

$\Rightarrow A \in SPACE(f(n))$ .

# Back to our example

$$A = \{0^m 1^m \mid m \geq 0\}$$

$\emptyset \emptyset \emptyset \quad \gamma \gamma \gamma$

$M =$  "On input  $w$ :

1. Scan input and reject if not of the form  $0^*1^*$
2. While input contains both 0's and 1's:  
Cross off one 0 and one 1
3. **Accept** if no 0's and no 1's left. Otherwise, **reject**."

$M$  runs in  
space  $O(n)$   
 $\Rightarrow A \in$   
 $SPACE(n)$

**Theorem:** Let  $s(n) \geq n$  be a function. Every multi-tape TM running in space  $s(n)$  has an equivalent single-tape TM running in space  $O(s(n))$

# Hierarchy Theorems

# More time, more problems

We know, e.g., that  $TIME(n^2) \subseteq TIME(n^3)$

(Anything we can do in quadratic time we can do in cubic time)

**Question:** Are there problems that we can solve in cubic time that we cannot solve in quadratic time?

**Theorem:** There is a language  $L \in TIME(n^3)$ ,  
but  $L \notin TIME(n^2)$

“Time hierarchy”:

$TIME(n) \subsetneq TIME(n^2) \subsetneq TIME(n^3) \subsetneq TIME(n^4) \dots$

$TIME(n)$  is a subset of  $TIME(n^2)$ , and  $TIME(n) \neq TIME(n^2)$

# Diagonalization redux

TM $M$	$M(\langle M_1 \rangle)?$	$M(\langle M_2 \rangle)?$	$M(\langle M_3 \rangle)?$	$M(\langle M_4 \rangle)?$		$D(\langle D \rangle)?$
$M_1$	<del>Y</del> N	N	Y	Y	...	
$M_2$	N	<del>N</del> Y	Y	Y		
$M_3$	Y	Y	<del>Y</del> N	N		
$M_4$	N	N	Y	<del>N</del> Y		
$\vdots$					$\ddots$	
$D$						

$UD = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle\}$

$L = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle$   
**within  $n^{2.5}$  steps**  $n = |\langle M \rangle|$

# An explicit separating language

**Theorem:**  $L = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle \text{ within } n^{2.5} \text{ steps}\}$

is in  $TIME(n^3)$ , but not in  $TIME(n^2)$

**Proof Sketch:** In  $TIME(n^3)$

On input  $\langle M \rangle$ :

Simulator of TMs can be done with low time

1. Simulate  $M$  on input  $\langle M \rangle$  for  $n^{2.5}$  steps

overhead  
(logarithmic)

2. If  $M$  accepts, **reject**. If  $M$  rejects or did not yet halt, **accept**.

$O(n^{2.5} \log n)$   
time

## An explicit separating language

**Theorem:**  $L = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle \text{ within } n^{2.5} \text{ steps}\}$

is in  $TIME(n^3)$ , but not in  $TIME(n^2)$

**Proof Sketch:** Not in  $TIME(n^2)$

Suppose for contradiction that  $D$  decides  $L$  in time  $O(n^2)$

Case 1) If  $\langle D \rangle \in L$ , then  $D$  does not accept  $\langle D \rangle$  in time  $n^{2.5}$  ✗

Case 2) If  $\langle D \rangle \notin L$ , then  $D$  accepts  $\langle D \rangle$  in time  $n^{2.5}$  ✗

# Time and space hierarchy theorems

- For every\* function  $t(n) \geq n \log n$ , a language exists that is decidable in  $t(n)$  time, but not in  $o\left(\frac{t(n)}{\log t(n)}\right)$  time.  
 $\text{TIME}\left(o\left(\frac{t(n)}{\log t(n)}\right)\right) \subsetneq \text{TIME}(t(n))$

- Ex.  $\text{TIME}(n^2) \subsetneq \text{TIME}(n^3)$ ,  $\text{TIME}(n^2 / \log^2 n) \subsetneq \text{TIME}(n^2)$
- For every\* function  $s(n) \geq \log n$ , a language exists that is decidable in  $s(n)$  space, but not in  $o(s(n))$  space.

\*“time constructible” and “space constructible”, respectively

# Complexity Class P

# Time and space complexity

## The basic questions

1. How do we measure complexity?
2. Asymptotic notation
3. How robust is the TM model when we care about measuring complexity?
4. How do we mathematically capture our intuitive notion of “efficient algorithms”?

# Complexity class P

**Definition:** P is the class of languages decidable in polynomial time on a basic single-tape (deterministic) TM

$$P = \bigcup_{k=1}^{\infty} \text{TIME}(n^k)$$

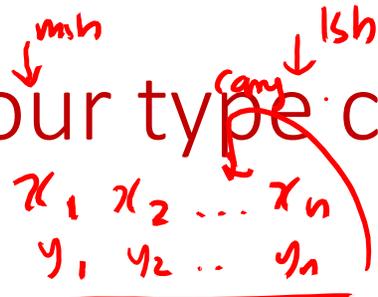
||

$$= \text{TIME}(n) \cup \text{TIME}(n^2) \cup \text{TIME}(n^3) \cup \dots$$

- Class doesn't change if we substitute in another reasonable deterministic model (Extended Church-Turing)
- **Cobham-Edmonds Thesis:** Roughly captures class of problems that are feasible to solve on computers



# Check your type checker: P



Consider the following computational problem: Given two numbers  $x, y$  (written in binary), output their sum  $x + y$  (in binary). Which of the following is true?

*There is a linear time 2-tape TM alg. (grade-school addition)*

- a) This is a problem in P
- b) This problem is not in P because it cannot be solved by a Turing machine (i.e., it's undecidable)
- c) This problem is not in P because it cannot be solved in polynomial time
- d) This problem is not in P because it is not a decision problem (i.e., does not correspond to a language)**

*E.g.  $\{ \langle x, y, z \rangle \mid x + y = z \}$  is a decision problem/language*

# A note about encodings

We'll still use the notation  $\langle \cdot \rangle$  for “any reasonable” encoding of the input to a TM...but now we have to be more careful about what we mean by “reasonable”

How long is the encoding of a  $V$ -vertex,  $E$ -edge graph...

... as an adjacency matrix?  $O(V^2)$   
... as an adjacency list?  $O(V + E)$  } polynomial difference

How long is the encoding of a natural number  $k$

[ ... in binary?  $\lceil \log_2 k \rceil$   
... in decimal?  $\lceil \log_{10} k \rceil$   
... in unary?  $k$  ] > constant factor difference  
> exponential gap

# Describing and analyzing polynomial-time algorithms

- Due to Extended Church-Turing Thesis, we can still use high-level descriptions on multi-tape machines
- Polynomial-time is **robust under composition**:  $\text{poly}(n)$  executions of  $\text{poly}(n)$ -time subroutines run on  $\text{poly}(n)$ -size inputs gives an algorithm running in  $\text{poly}(n)$  time.
  - ⇒ Can freely use algorithms we've seen before as subroutines if we've analyzed their runtime
- Need to be careful about size of inputs! (Assume inputs represented in binary unless otherwise stated.)