

# BU CS 332 – Theory of Computation

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## Lecture 20:

- Complexity Class P
- Nondeterministic time, NP

Reading:

Sipser Ch 7.2, 7.3

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# Complexity class P

**Definition:** P is the class of languages decidable in polynomial time on a basic single-tape (deterministic) TM

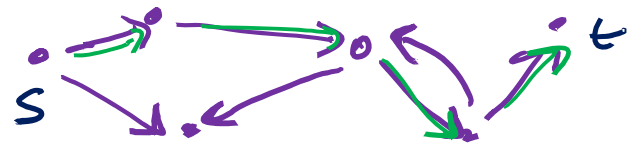
$$P = \bigcup_{k=1}^{\infty} \text{TIME}(n^k) = \text{TIME}(n) \cup \text{TIME}(n^2) \cup \text{TIME}(n^3) \cup \text{TIME}(n^4) \dots$$

- Class doesn't change if we substitute in another reasonable deterministic model (Extended Church-Turing)
- **Cobham-Edmonds Thesis:** Roughly captures class of problems that are feasible to solve on computers

# Describing and analyzing polynomial-time algorithms

- Due to Extended Church-Turing Thesis, we can still use high-level descriptions on multi-tape machines
- Polynomial-time is **robust under composition**:  $\text{poly}(n)$  executions of  $\text{poly}(n)$ -time subroutines run on  $\text{poly}(n)$ -size inputs gives an algorithm running in  $\text{poly}(n)$  time.
  - ⇒ Can freely use algorithms we've seen before as subroutines if we've analyzed their runtime
- Need to be careful about size of inputs! (Assume inputs represented in binary unless otherwise stated.)

# Examples of languages in P



PATH =

$\{\langle G, s, t \rangle \mid G \text{ is a directed graph with a directed path from } s \text{ to } t\}$

Idea: Breadth-first search

Assume  $G$  is presented as adjacency matrix

- " On input  $\langle G, s, t \rangle$ :  $\left. \begin{array}{l} \text{Input length} = |V|^2 + 2\log|V| \\ \text{Runtime} \end{array} \right\} \begin{array}{l} O(|V| \cdot |V|^2) \\ + O(|V|) \\ = O(|V|^3) \end{array}$
1. Mark start vertex  $s$   $] O(|V|)$
  2. For  $i = 1, 2, \dots, |V|$ :  $] \text{Run for } |V| \text{ iterations}$
  3. Traverse adj matrix of  $G$  to mark all neighbors of currently marked vertices  $] O(|V|^2)$
  4. If  $t$  is marked, accept. Otherwise, reject.  $] O(|V|)$

Correctness: There is a path from  $s$  to  $t$  iff  $\leq |V|$  iterations of BFS gets us to  $t$  from  $s$ .

# Examples of languages in P

$E_{\text{DFA}} = \{\langle D \rangle \mid D \text{ is a DFA that recognizes the empty language}\}$

Same alg. as before (can solve w/ BFS or DFS)

i.e. check if it is possible to reach an accept state from start state.

# Examples of languages in P

- $RELPRIME = \{\langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime}\}$

i.e. given  $x, y$ , is it the case that  $\gcd(x, y) = 1$ ?

Euclid's alg. solves in polynomial time

- $PRIMES = \{\langle x \rangle \mid x \text{ is prime}\}$

2006 Gödel Prize citation



The 2006 Gödel Prize for outstanding articles in theoretical computer science is awarded to Manindra Agrawal, Neeraj Kayal, and Nitin Saxena for their paper "PRIMES is in P."

In August 2002 one of the most ancient computational problems was finally solved....

# A polynomial-time algorithm for *PRIMES*?

Consider the following algorithm for *PRIMES*



Encoded in binary

On input  $\langle x \rangle$ :

For  $b = 2, 3, 4, 5, \dots, \sqrt{x}$ :

- Try to divide  $x$  by  $b$
- If  $b$  divides  $x$ , ~~accept~~ reject

If all  $b$  fail to divide  $x$ , ~~reject~~ accept

Input length  $n$

means that  $x$  could be as large as  $2^n$

$\Rightarrow$  # divisions could be as large as  $\sqrt{2^n} = 2^{n/2}$

How many divisions does this algorithm require in terms of  $n = |\langle x \rangle|$ ? a)  $O(\sqrt{n})$  b)  $O(n)$  c)  $2^{O(\sqrt{n})}$  d)  $2^{O(n)}$

# Beyond polynomial time

**Definition:** EXP is the class of languages decidable in exponential time on a basic single-tape (deterministic) TM

$$\text{EXP} = \bigcup_{k=1}^{\infty} \text{TIME}(2^{n^k})$$

$$= \text{TIME}(2^n) \cup \text{TIME}(2^{n^2}) \cup \text{TIME}(2^{n^3}) \cup \dots$$

$$\text{TIME}(10^n) \not\subseteq \text{TIME}(2^n) \quad [\text{via time hierarchy}]$$

$$\begin{aligned} \text{TIME}(10^n) &\subseteq \text{TIME}(2^{n^2}) \\ &= \text{TIME}(2^{n \log_{10} 10}) \end{aligned}$$



# Why study P ?

Criticism of the Cobham-Edmonds Thesis:

- Algorithms running in time  $n^{100}$  aren't really efficient

**Response:** Runtimes often improve with more research

- Does not capture some physically realizable models using randomness, quantum mechanics

**Response:** Randomness may not change P, useful principles

If "hard functions" exist, then every poly-time rand. algorithm can be "derandomized"



$TIME(n)$  vs.  $TIME(n^2)$



$P$  vs.  $EXP$



decidable vs.  
undecidable

# Nondeterministic Time and NP

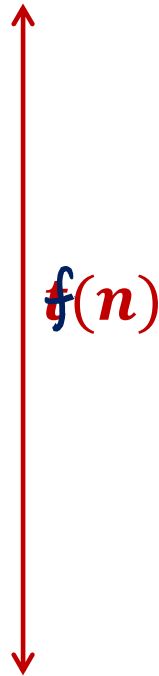
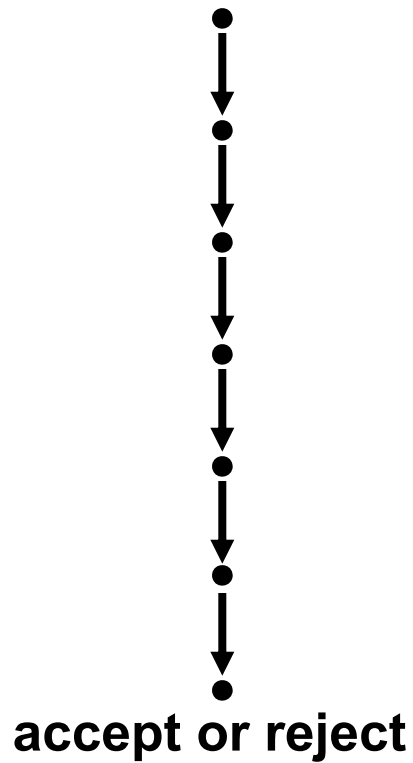
# Nondeterministic time

Let  $f : \mathbb{N} \rightarrow \mathbb{N}$  ← worst-case runtime  
↓ input length

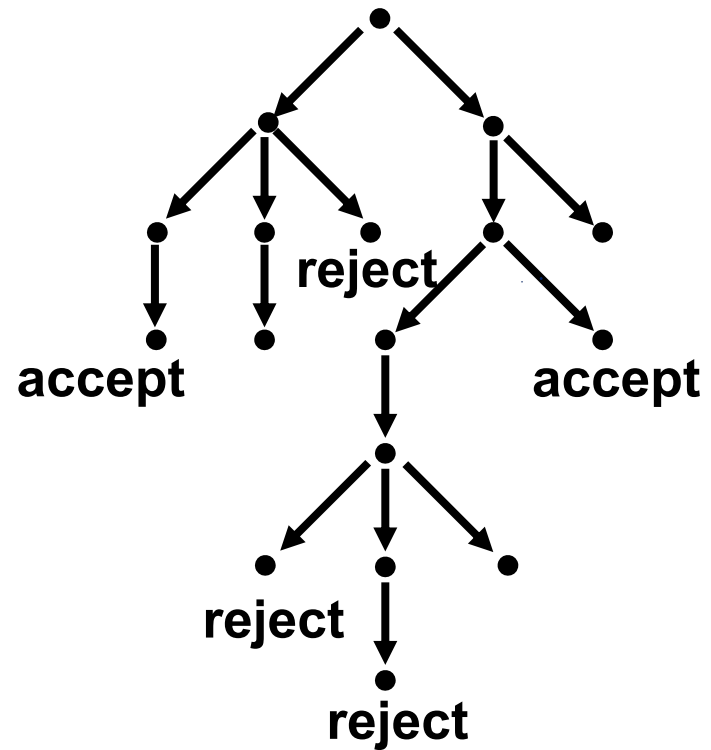
A NTM  $M$  runs in time  $f(n)$  if on **every** input  $w \in \Sigma^n$ ,  
 $M$  halts on  $w$  within at most  $f(n)$  steps on **every**  
**computational branch**

# Deterministic vs. nondeterministic time

## Deterministic



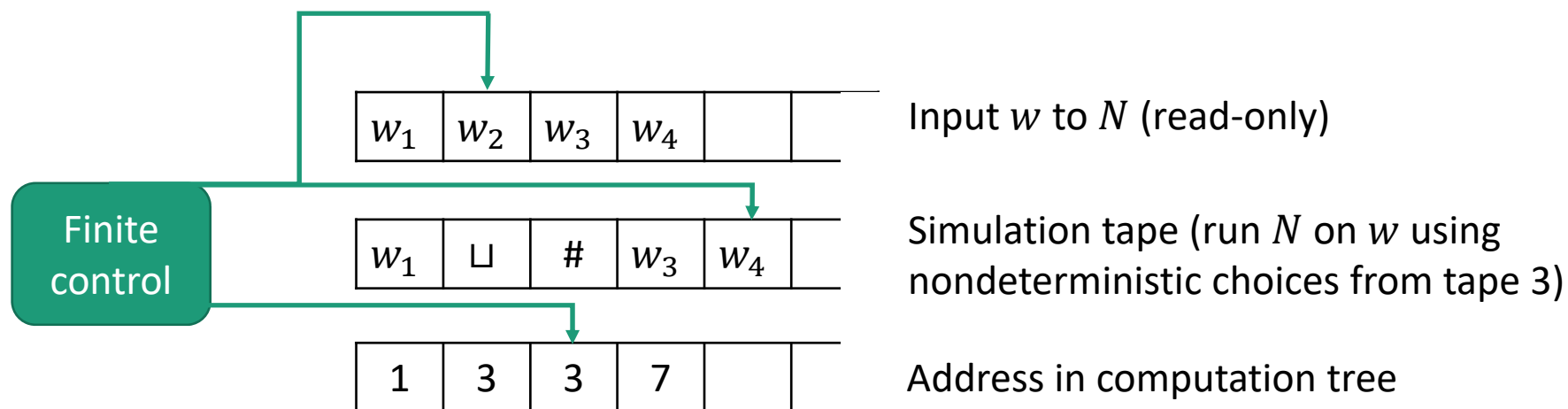
## Nondeterministic



# Deterministic vs. nondeterministic time

**Theorem:** Let  $t(n) \geq n$  be a function. Every NTM running in time  $t(n)$  has an equivalent single-tape TM running in time  $2^{O(t(n))}$

**Proof:** Simulate NTM by 3-tape TM



# Counting leaves



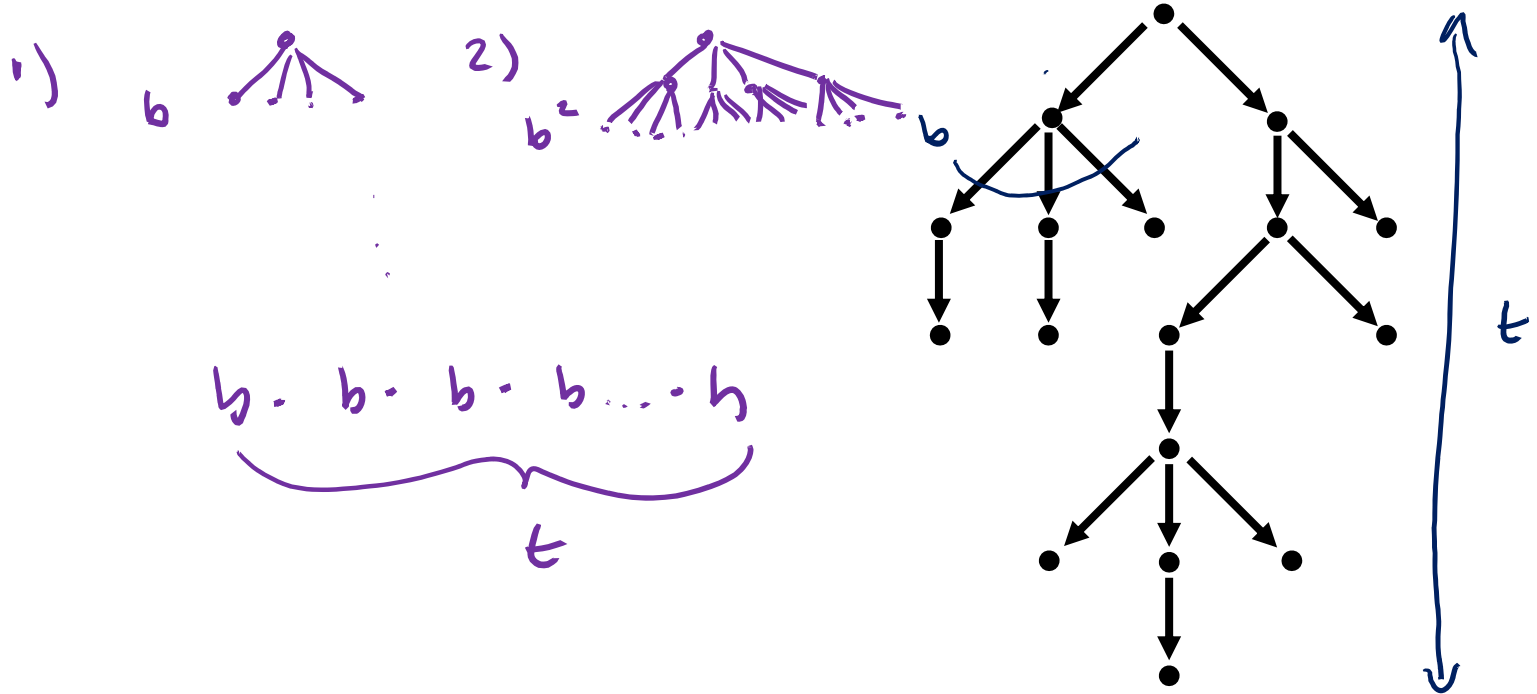
What is the maximum number of leaves in a tree with branching factor  $b$  and depth  $t$ ?

a)  $bt$

b)  $b^t$

c)  $t^b$

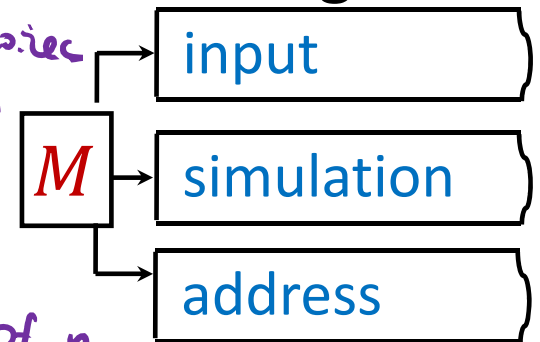
d)  $2^t$



# Deterministic vs. nondeterministic time

**Theorem:** Let  $t(n) \geq n$  be a function. Every NTM running in time  $t(n)$  has an equivalent single-tape TM running in time  $2^{O(t(n))}$

**Proof:** Simulate NTM by 3-tape TM



• # leaves:  $b^{t(n)}$

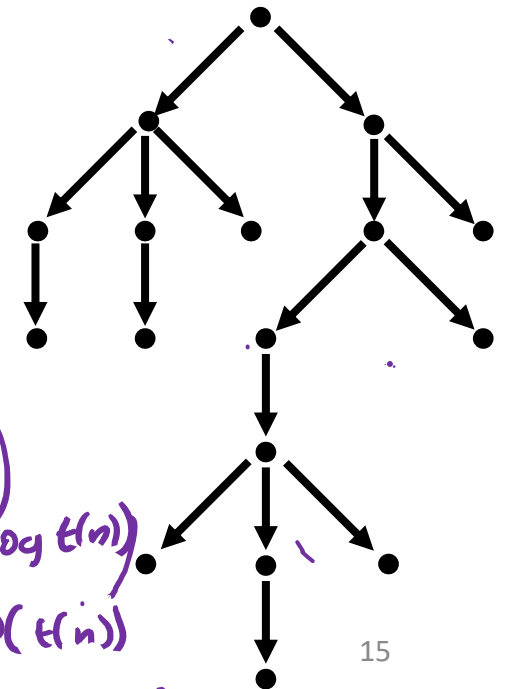
*b = "branching factor" = max # of choices NTM can make in 1 step*

*b is constant independent of n*

Running time:

To simulate one root-to-leaf path:

*Time  $O(t(n))$*



Total time:  $b^{t(n)} \cdot O(t(n)) = O(t(n) \cdot b^{t(n)})$

*$= O(2^{t(n) \log b + \log t(n)}) = 2^{O(t(n))}$*

## Deterministic vs. nondeterministic time

**Theorem:** Let  $t(n) \geq n$  be a function. Every NTM running in time  $t(n)$  has an equivalent single-tape TM running in time  $2^{O(t(n))}$

**Proof:** Simulate NTM by 3-tape TM in time  $2^{O(t(n))}$

We know that a 3-tape TM can be simulated by a single-tape TM with quadratic overhead, hence we get running time

$$(2^{O(t(n))})^2 = 2^{2 \cdot O(t(n))} = 2^{O(t(n))}$$



# Difference in time complexity

Extended Church-Turing Thesis:

At most **polynomial** difference in running time between all (reasonable) deterministic models

At most **exponential** difference in running time between deterministic and nondeterministic models

# Nondeterministic time

Let  $f : \mathbb{N} \rightarrow \mathbb{N}$

A NTM  $M$  runs in time  $f(n)$  if on every input  $w \in \Sigma^n$ ,  $M$  halts on  $w$  within at most  $f(n)$  steps on every computational branch

$\text{NTIME}(f(n))$  is a class (i.e., set) of languages:

A language  $A \in \text{NTIME}(f(n))$  if there exists an NTM  $M$  that

- 1) Decides  $A$ , and
- 2) Runs in time  $O(f(n))$

# NTIME explicitly

A language  $A \in \text{NTIME}(f(n))$  if there exists an NTM  $M$  such that, on every input  $w \in \Sigma^*$

1. Every computational branch of  $M$  halts in either the accept or reject state within  $f(|w|)$  steps

*M is a decider running in time  $f(n)$*

2. If  $w \in A$ , then **there exists** an accepting computational branch of  $M$  on input  $w$

*$\exists$  = M decides A*

3. If  $w \notin A$ , then **every** computational branch of  $M$  rejects on input  $w$



# Complexity class NP

**Definition:** NP is the class of languages decidable in polynomial time on a nondeterministic TM

$$NP = \bigcup_{k=1}^{\infty} NTIME(n^k)$$

Which of the following are definitely true about NP?

a)  $P \subseteq NP$

b)  $NP \subseteq P$

c)  $NP \not\subseteq P$

d)  $NP \subseteq EXP$

e)  $EXP \subseteq NP$

You win \$1M

P : deterministic poly time

EXP: deterministic exponential time

Nondet time can be simulated w/ det time w/ exponential blowup  
 $NTIME(t(n)) \subseteq TIME(2^{O(t(n))})$