

# BU CS 332 – Theory of Computation

## Lecture 25:

- Final review

Reading:

Sipser Ch 7.1-8.2, 9.1

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# Final Topics

# Everything from Midterms 1 and 2

- **Midterm 1 topics:** DFAs, NFAs, regular expressions, distinguishing set method  
(more detail in lecture 8 notes)
- **Midterm 2 topics:** Turing machines, TM variants, Church-Turing thesis, decidable languages, countable and uncountable sets, undecidability, reductions, unrecognizability  
(more detail in lecture 16 notes)

# Mapping Reducibility (5.3)

- Understand the definition of a computable function
- Understand the definition of a mapping reduction
- Know how to use mapping reductions to prove decidability, undecidability, recognizability, and unrecognizability

$$A \leq_m B$$

$$B \text{ recognizable} \Rightarrow A \text{ recognizable}$$

$$A \text{ unrecognizable} \Rightarrow B \text{ unrecognizable}$$

# Time and Complexity (7.1)

- Asymptotic notation: Big-Oh, little-oh
- Know the definition of running time for a TM and of time complexity classes (TIME / NTIME)
- Understand how to simulate multi-tape TMs and NTMs using single-tape TMs and know how to analyze the running time overhead

## P and NP (7.2, 7.3)

- Know the definitions of P and NP as time complexity classes
- Know how to analyze the running time of algorithms to show that languages are in P / NP
- Understand the verifier interpretation of NP and why it is equivalent to the NTM definition
- Know how to construct verifiers and analyze their runtime

# NP-Completeness (7.4, 7.5)

- Know the definition of poly-time reducibility
- Understand the definitions of NP-hardness and NP-completeness
- Understand the statement of the Cook-Levin theorem (don't need to know its proof) *SAT is NP-complete*
- Understand several canonical NP-complete problems and the relevant reductions: SAT, 3SAT, CLIQUE, INDEPENDENT-SET, VERTEX-COVER, HAMPATH, SUBSET-SUM

# Space Complexity (8.1, 8.2)

- Know the definition of running space for a TM and of space complexity classes (SPACE / NSPACE)
- Understand the known relationships between space complexity classes and time complexity classes
- Understand the statement of Savitch's Theorem
- Know the definitions of PSPACE and NPSPACE and the relationship between them (i.e.,  $PSPACE = NPSPACE$ )

$$TIME(f(n)) \subseteq SPACE(f(n)) \subseteq TIME(2^{O(f(n))})$$

$$NSPACE(f(n)) \subseteq SPACE(f(n)^2)$$



# Hierarchy Theorems (9.1)

- Formal statements of time and space hierarchy theorems and how to apply them
- How to use hierarchy theorems to prove statements like  $P \neq EXP$

E.g. THT  $f(n) = o\left(\frac{g(n)}{\log g(n)}\right) \Rightarrow$

$$TIME(f(n)) \subsetneq TIME(g(n)) \quad \text{and}$$

$$TIME(g(n)) \not\subset TIME(f(n))$$

$$\left\{ \text{Use compactly: } TIME(f(n)) \subsetneq TIME(g(n)) \right\}$$

# Things we didn't get to talk about

- Additional classes between NP and PSPACE (polynomial hierarchy)
- Logarithmic space
- Relativization and the limits of diagonalization
- Boolean circuits
- Randomized algorithms / complexity classes
- Interactive and probabilistic proof systems
- Complexity of counting

[https://cs-people.bu.edu/mbun/courses/535\\_F20/](https://cs-people.bu.edu/mbun/courses/535_F20/)

# Theory and Algorithms Courses after 332

- Algorithms
  - CS 530/630 (Advanced algorithms)
  - CS 531 (Optimization algorithms)
  - CS 537 (Randomized algorithms)
- Complexity
  - CS 535 (Complexity theory)
- Cryptography
  - CS 538 (Foundations of crypto)
- Topics (CS 591)

E.g., Privacy in machine learning, algorithms and society, sublinear algorithms, new developments in theory of computing, communication complexity

# Algorithms and Theory Research Group

- <https://www.bu.edu/cs/research/theory/>
- Weekly seminar: Mondays at 11  
<https://www.bu.edu/cs/algorithms-and-theory-seminar/>

Great way to learn about research in theory of computation!

# Tips for Preparing Exam Solutions

# Designing (nondeterministic) time/space-bounded deciders

High level description

The following algorithm decides  $EC$  in polynomial time:

“On input  $\langle A, C, e, p \rangle$ , four binary integers:

1. Let  $r \leftarrow 1$ .

...

6. If  $C = r \pmod p$ , *accept*; otherwise *reject*.”

The algorithm is called *repeated squaring*.

Let  $T(d)$  denote a polynomial upper bound on the running time of basic procedures for multiplication and modular operations on  $d$ -bit numbers. Then steps 4 and 5 of the algorithm each take at most  $O(T(\log A) + T(\log p))$  time because  $r$  is never larger than  $p$ . In addition, the total number of multiplication and modular operations is  $O(k) = O(\log e)$ . Therefore, the total running time of the algorithm is polynomial in  $O((\log e) \cdot (T(\log A) + T(\log p)))$  which is polynomial in  $n$ . Hence, the total running time is polynomial. **Note that without performing mod  $p$  operation in Steps 4 and 5,**

Explanation of correctness should go here (I was bad)

Explanation of runtime

- Key components: High-level description of algorithm, explanation of correctness, analysis of running time and/or space usage

# Designing NP verifiers

Certificate

Verifier

Explain correctness

Explain poly runtime

For simplicity in analyzing our algorithm, suppose each  $S_i$  be encoded as an  $n$  bit string, where the  $j$ 'th bit is set to 1 if  $j \in S_i$  and is set to 0 otherwise. We will use a similar encoding for our certificate.

We give a poly-time verifier for  $MS$  as follows. The certificate is a set  $T$  encoded as an  $n$  bit string with at most  $k$  1's. Our verifier is as follows.

“On input  $\langle S_1, \dots, S_m, n, k; T \rangle$ :

1. Scan  $T$  to check that it encodes a list of at most  $k$  distinct elements of  $[n]$ . *Reject* if not.
2. For  $i = 1, \dots, m$ :
3. Scan  $S_i$  and scan  $T$  to check that they intersect. If not, *Reject*
4. *Accept*.”

Correctness: If  $\langle S_1, \dots, S_m, n, k \rangle \in MS$ , then there exists a set  $T$  of size at most  $k$  that intersects every set. The certificate which encodes this set will result in the algorithm successfully passing every check in step 3, so the algorithm will accept. On the other hand, if  $\langle S_1, \dots, S_m, n, k \rangle \notin MS$ , then every set of size at most  $k$  will fail to intersect at least one  $S_i$ , so every certificate will lead to rejection.

Runtime: The encoding we are using for each set ensures that the length of the input is at least  $mn$ . Describing a certificate  $T$  takes  $n$  bits, which is hence polynomial in the input length. The loop in step 2 runs for  $m$  steps and the loop in step 3 runs for  $O(n^2)$  steps, so the total runtime of the algorithm is  $O(mn^2)$ . This is polynomial in the input length, which again, is at least  $mn$ .

- Key components: Description of certificate, high-level description of algorithm, explanation of correctness, analysis of running time

# NP-completeness proofs

To show a language  $L$  is NP-complete:

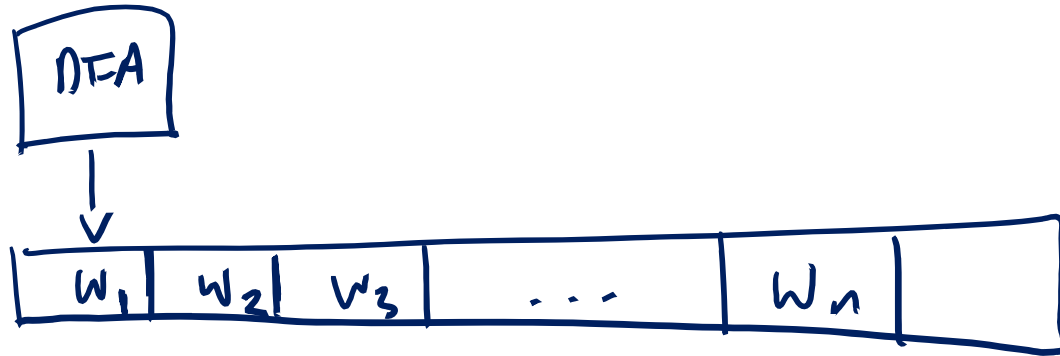
- 1) Show  $L$  is in NP (follow guidelines from previous two slides)
- 2) Show  $L$  is NP-hard (usually) by giving a poly-time reduction  $A \leq_p L$  for some NP-complete language  $A$ 
  - High-level description of algorithm computing reduction
  - Explanation of correctness: Why is  $w \in A$  iff  $f(w) \in L$  for your reduction  $f$ ?
  - Analysis of running time



# Practice Problems

What is the time complexity of regular languages?

If  $L$  is regular,  $\exists$  DFA recognizing  $L$



Think of a DFA as a special case of a TM that runs in exactly  $n$  steps on every input of length  $n$ . In other words, runs in linear time  $n$

$L$  is regular  $\Rightarrow L \in \text{TIME}(n)$

Thm:

$L \in \text{TIME}(f(n))$  for  $f(n) = o(n \log n)$

$\Rightarrow L$  is regular

## Sample Final Problem 2

$A \in NP$ , but  $A$  is not generated by a regex  
i.e.  $A$  is not regular

E.g.  $A = \{0^n 1^n \mid n \geq 0\}$  {know this is not regular}

To show  $A \in NP$ :

Enough to construct a poly time verifier for  $A$ :

Certificate: Number  $n$  representing # of 0's and # of 1's

Verifier  $V(\langle w ; n \rangle)$ :

- 1) Scan  $w$  to check that it starts w/  $n$  0's
- 2) Keep scanning to check that it ends w/  $n$  1's
- 3) Accept if checks pass.

Can also show  $A \in P$  ( Fact that  $P \subseteq NP$   
implies  $A \in NP$  )

2-tape TM deciding  $A$  in deterministic poly-time :

On input  $w$ :

- 1) Check that  $w \in L(0^*1^*)$  // One linear scan
- 2) Copy all 1's to tape 2
- 3) Scan tapes 1 and 2 in parallel to check  
 $\# 0's = \# 1's$ .

b)  $B$  is recognizable, but  $B$  is not in EXP

BE EXP means:  $B$  is decidable

by a TM running in time

$O(2^{cn})$  for some const.  $c$

$B = A_{TM}$

Know:

$A_{TM}$  is recognizable, but

$A_{TM}$  not decidable  $\Rightarrow A_{TM}$  not in EXP

c)  $A_{TM} \leq_m C$  but  $C$  is not recognizable

[Note: If  $L \leq_m A_{TM}$ , then  $L$  is recognizable]  
But not relevant here

1st

Try:

$$C = \overline{A_{TM}}$$

$$A_{TM} \leq_m \overline{A_{TM}}$$

Can't work: Suppose for contradiction  $A_{TM} \leq_m \overline{A_{TM}}$ .

Then  $\overline{A_{TM}} \leq_m A_{TM}$  (since  $A \leq_m B \Rightarrow \overline{A} \leq_m \overline{B}$ )

$\Rightarrow \overline{A_{TM}}$  is recognizable by purple note.

$\Rightarrow A_{TM}$  is recognizable and  $\overline{A_{TM}}$  is recognizable  
( $\cup_{TM}$ )

$\Rightarrow A_{TM}$  is decidable  $\times$ .

2nd Thm:  $C = EQ_{TM}$

(Cool Fact) :  $EQ_{TM}$  and  $\overline{EQ_{TM}}$  are both undecidable

Claim:  $A_{TM} \leq_m EQ_{TM}$

Mapping reduction from  $A_{TM}$  to  $EQ_{TM}$ :

" On input  $\langle M, w \rangle$ :

1. Construct TM  $N_1$ :  
On input  $x$ :  
Run  $M$  on  $w$ , output result
2. Construct TM  $N_2$ :  
Accept
3. Output  $\langle N_1, N_2 \rangle$  "

Want:  $M$  accepts  $w$

$$\Leftrightarrow L(N_1) = L(N_2)$$

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$M$  accepts  $w \Rightarrow$

$$L(N_1) = \Sigma_1^*$$

$M$  does not accept  $w \Rightarrow$

$$L(N_1) = \emptyset$$

$$L(N_2) = \Sigma_1^*$$



Use a mapping reduction to show that  
 $ALL_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \Sigma^*\}$  is  
co-unrecognizable

Use a mapping reduction to show that  
 $ALL_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \Sigma^*\}$  is  
unrecognizable

Give examples of the following languages: 1) A language in P. 2) A decidable language that is not in P. 3) A language for which it is unknown whether it is in P.

Give an example of a problem that is solvable in polynomial-time, but which is not in P

Let  $L =$

$\{\langle w_1, w_2 \rangle \mid \exists \text{ strings } x, y, z \text{ such that } w_1 = xyz$   
and  $w_2 = xy^Rz\}$ . Show that  $L \in P$ .

Which of the following operations is P closed under? Union, concatenation, star, intersection, complement.

Prove that  $LPATH = \{\langle G, s, t, k \rangle \mid G \text{ is an directed graph containing a simple path from } s \text{ to } t \text{ of length } \geq k\}$  is in NP

Prove that *LPATH* is NP-hard



Which of the following operations is NP closed under? Union, concatenation, star, intersection, complement.



# Which of the following statements are true?

•  $SPACE(2^n) = SPACE(2^{n+1})$  Equal

$2^n \subseteq 2^{n+1}$  means  
 $SPACE(2^n) \subseteq SPACE(2^{n+1})$

Is  $SPACE(2^{n+1}) \subseteq SPACE(2^n)$ ?

" $SPACE(2 \cdot 2^n) = SPACE(2^n)$ "

→  $SPACE(2^n) = SPACE(3^n)$  Not Equal

$SPACE(2^n) \subseteq SPACE(3^n)$ , but  
 $SPACE(3^n) \not\subseteq SPACE(2^n)$

$f(n) = 2^n$   
 $g(n) = 3^n$       $2^n = o(3^n)$  b/c  $\lim_{n \rightarrow \infty} \frac{2^n}{3^n} = 0$

•  $NSPACE(n^2) = SPACE(n^5)$  Not Equal

$NSPACE(n^2) \subseteq SPACE(n^4)$  (Switch)

$\not\subseteq SPACE(n^5)$  (SHT +  
 $n^4 = o(n^5)$ )

Recall:  $SPACE(f(n))$   
 $= \{A \mid A \text{ is decidable in space } O(f(n))\}$

SHT: If  $f(n) = o(g(n))$   
 then  $SPACE(f(n)) \subsetneq SPACE(g(n))$

Switch:  
 $NSPACE(f(n)) \subseteq SPACE(f(n)^2)$

