

# BU CS 332 – Theory of Computation

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## Lecture 10:

- Turing Machines
- TM Variants and Closure Properties

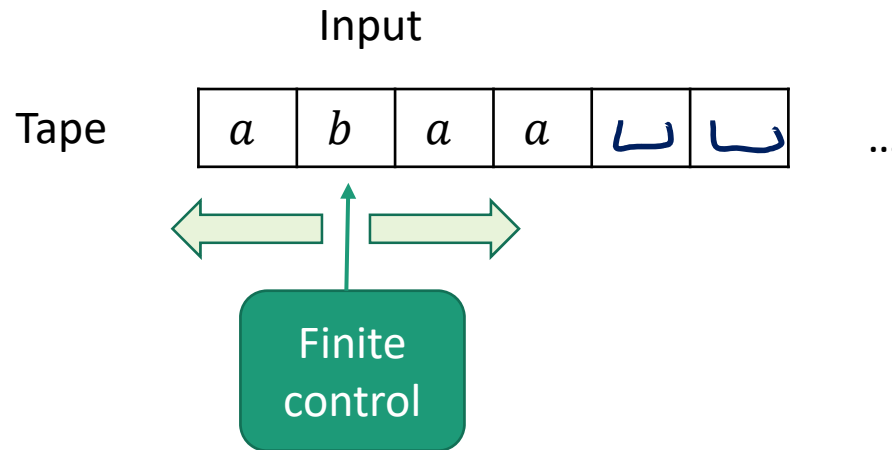
Reading:

Sipser Ch 3.1-3.3

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October 13, 2022

MW 4 deadline  
pushed to  
Friday 11:59PM

# The Basic Turing Machine (TM)



- Input is written on an infinitely long tape
- Head can both read and write, and move in both directions
- Computation halts as soon as control reaches “accept” or “reject” state

# Three Levels of Abstraction

## High-Level Description

An algorithm (like CS 330)

## Implementation-Level Description

Describe (in English) the instructions for a TM

- How to move the head
- What to write on the tape

## Low-Level Description

State diagram or formal specification

# Example

Determine if a string  $w \in \{0\}^*$  is in the language

$$A = \{0^{2^n} \mid n \geq 0\}$$

*Not a regular language*

## High-Level Description



Repeat the following forever:

- If there is exactly one 0 in  $w$ , **accept**
- If there is an odd ( $> 1$ ) number of 0s in  $w$ , **reject**
- Delete half of the 0s in  $w$

# Example

Determine if a string  $w \in \{0\}^*$  is in the language

$$A = \{0^{2^n} \mid n \geq 0\}$$

## Implementation-Level Description

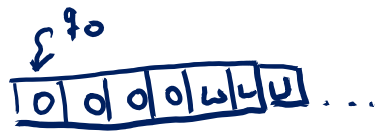
1. While moving the tape head left-to-right:
  - a) Cross off every other 0 *(replace every other 0 w/ X)*
  - b) If there is exactly one 0 when we reach the right end of the tape, **accept**
  - c) If there is an odd ( $> 1$ ) number of 0s when we reach the right end of the tape, **reject**
2. Return the head to the left end of the tape
3. Go back to step 1

# Example

Determine if a string  $w \in A = \{0^{2^n} \mid n \geq 0\}$

## Low-Level Description

Ex: Input 0000



$q_0$  0000

$\sqcup q_1$  000

$\sqcup \times q_3$  00

$\sqcup x$  0  $q_4$  0

$\sqcup x$  0  $x q_3$

$\sqcup x$  0  $q_2$   $x \sqcup$

$\sqcup x q_2$  0  $x$

$\sqcup q_2$   $x$  0  $x$

$q_2 \sqcup x$  0  $x$

$\sqcup q_1$   $x$  0  $x$

$\sqcup x q_1$  0  $x$

$\sqcup x x q_3$   $x$

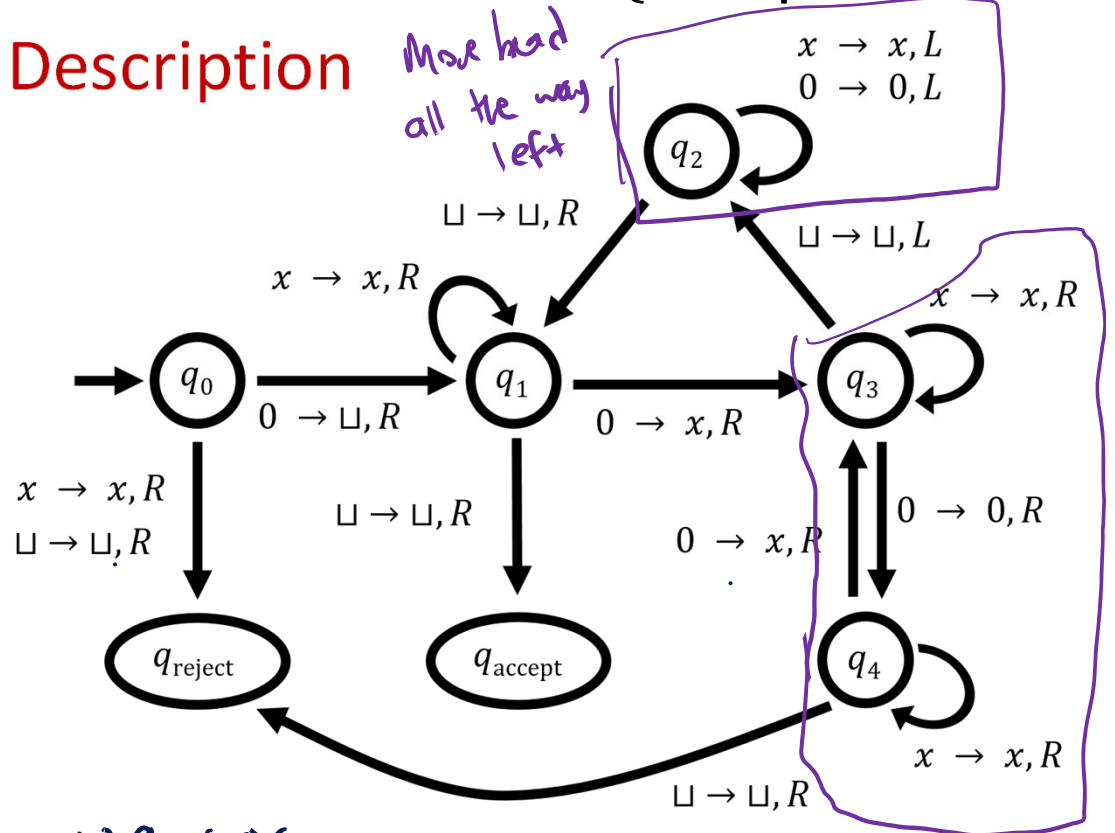
$\sqcup x x x q_3$

$\sqcup x x q_2$   $x$

$\sqcup x q_2$   $x x$

$\sqcup q_2$   $x x x$

$q_2 \sqcup x x x$



$\sqcup q_1$   $x x x$

$\sqcup x q_1$   $x x$

$\sqcup x x q_1$   $x$

$\sqcup x x x q_1$

$\sqcup x x x \sqcup q_{accept}$

Accept!

# Differences between TMs and Finite Automata

TMs can move their head in both directions

TMs can write

TMs have unlimited <sup>memory</sup> in the form of the tape

TMs make a decision when they explicitly reach

$q_{accept}$  or  $q_{reject}$  vs. FAs make a decision when they reach the end of the input

TMs can loop forever w/o halting

TMs have one accept (and one reject) state

# Formal Definition of a TM

A TM is a 7-tuple  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$

- $Q$  is a finite set of states
- $\Sigma$  is the input alphabet (does **not** include  $\sqcup$ )
- $\Gamma$  is the tape alphabet (contains  $\sqcup$  and  $\Sigma$ )
- $\delta$  is the transition function

e.g.  $\Sigma = \{0, 1\}$      $\Gamma = \{0, 1, \sqcup, x\}$

( $\Sigma \subseteq \Gamma$ )  
e.g.  $x \in \Gamma$

...more on this later

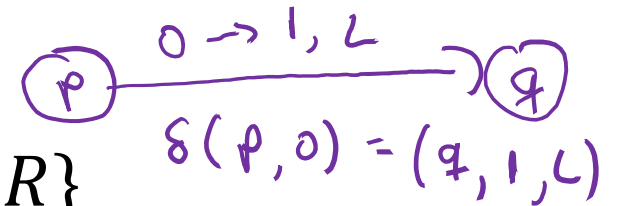
- $q_0 \in Q$  is the start state
- $q_{\text{accept}} \in Q$  is the accept state
- $q_{\text{reject}} \in Q$  is the reject state ( $q_{\text{reject}} \neq q_{\text{accept}}$ )

# TM Transition Function

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

Handwritten annotations for the transition function:

- current state (points to  $Q$ )
- current symbol (points to  $\Gamma$ )
- next state (points to  $Q$ )
- symbol to write (points to  $\Gamma$ )
- head movement (points to  $\{L, R\}$ )



$L$  means “move left” and  $R$  means “move right”

$\delta(p, a) = (q, b, R)$  means:

- Replace  $a$  with  $b$  in current cell
- Transition from state  $p$  to state  $q$
- Move tape head right

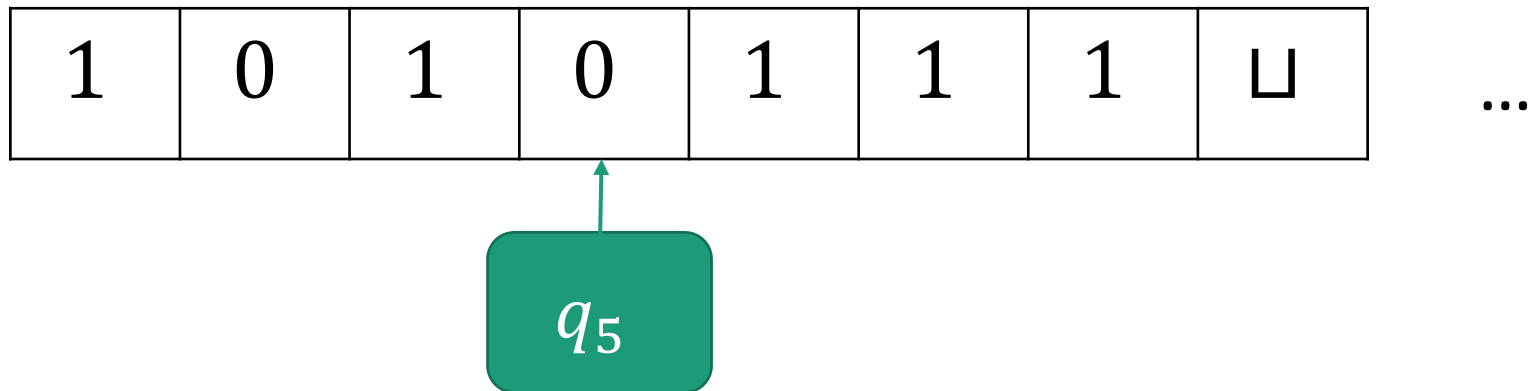
$\delta(p, a) = (q, b, L)$  means:

- Replace  $a$  with  $b$  in current cell
- Transition from state  $p$  to state  $q$
- Move tape head left UNLESS we are at left end of tape, in which case don't move

# Configuration of a TM

A string that captures the **state** of a TM together with the **contents of the tape**

1 0 1  $q_5$  0 1 1 1

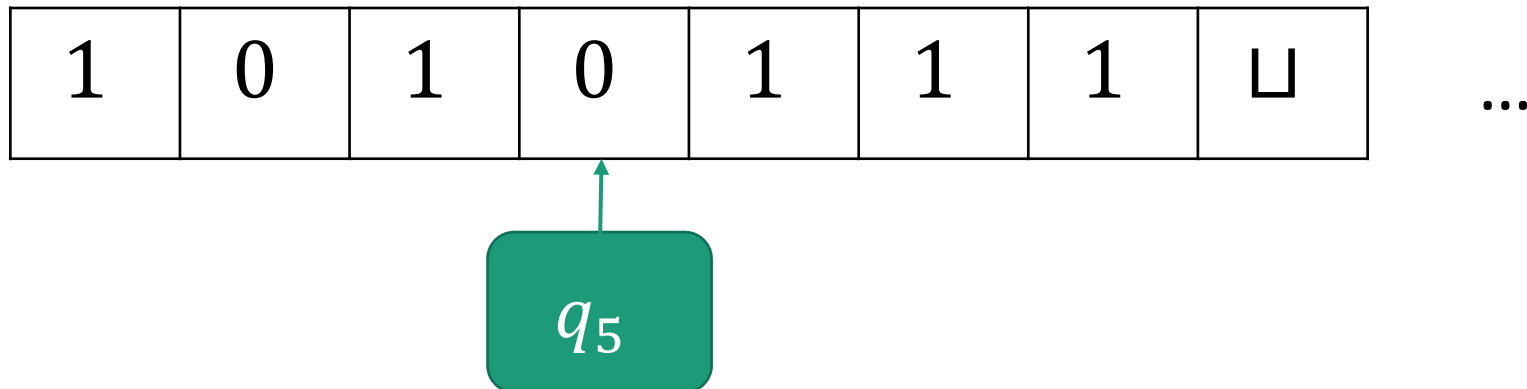


# Configuration of a TM: Formally

A **configuration** is a string  $uqv$  where  $q \in Q$  and  $u, v \in \Gamma^*$

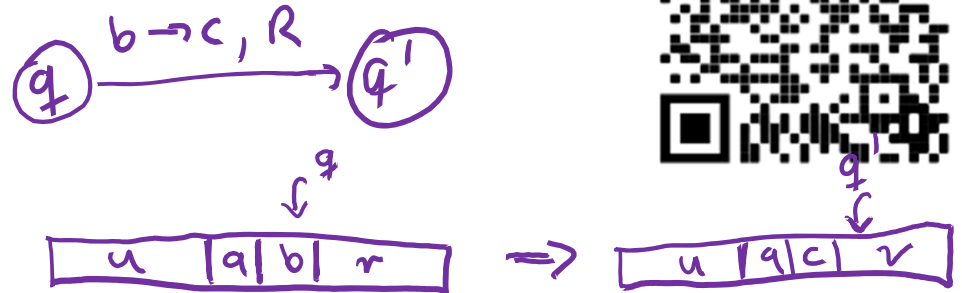
- Tape contents =  $uv$  (followed by infinitely many blanks  $\sqcup$ )
- Current state =  $q$
- Tape head on first symbol of  $v$  ( *$q$  written @ left of tape head location*)

Example:  $101q_50111$



# How a TM Computes

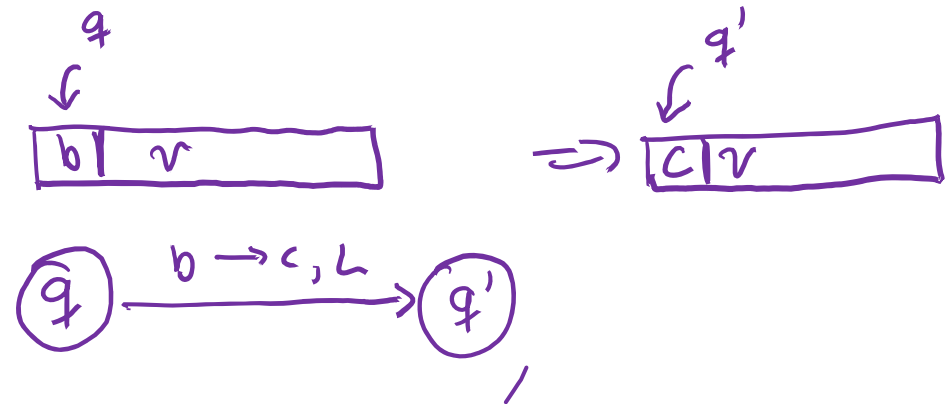
**Start** configuration:  $q_0w$



One step of computation:

- If  $\delta(q, b) = (q', c, R)$ , then  $uaqbv$  yields  $uacq'v$
- If  $\delta(q, b) = (q', c, L)$ , then  $uaqbv$  yields  $uq'acv$
- If we are at the left end of the tape in configuration  $qbv$ , what configuration do we reach if  $\delta(q, b) = (q', c, L)$ ?

- $cq'v$
- $q'cv$
- $q' \sqcup cv$
- $q'cbv$



# How a TM Computes

**Start** configuration:  $q_0w$

One step of computation:

- If  $\delta(q, b) = (q', c, R)$ , then  $uaqbv$  yields  $uacq'v$
- If  $\delta(q, b) = (q', c, L)$ , then  $uaqbv$  yields  $uq'acv$
- If  $\delta(q, b) = (q', c, L)$ , then  $qbv$  yields  $q'cv$

**Accepting** configuration:  $q = q_{\text{accept}}$

**Rejecting** configuration:  $q = q_{\text{reject}}$

# How a TM Computes

$M$  **accepts** input  $w$  if there exists a sequence of configurations  $C_1, \dots, C_k$  such that:

- $C_1 = q_0 w$
- $C_i$  yields  $C_{i+1}$  for every  $i$  [ TM goes from config.  $C_i$  to  $C_{i+1}$  in one transition ]
- $C_k$  is an accepting configuration

$L(M)$  = the language recognized by  $M$

$L(M)$  = the set of all strings  $w$  which  $M$  accepts


$A$  is **Turing-recognizable** if  $A = L(M)$  for some TM  $M$ :

- $w \in A \implies M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on  $w$  in state  $q_{\text{reject}}$  OR  
 $M$  runs forever on  $w$


# Recognizers vs. Deciders

$L(M)$  = the set of all strings  $w$  which  $M$  accepts

$A$  is **Turing-recognizable** if  $A = L(M)$  for some TM  $M$ :

- $w \in A \Rightarrow M$  halts on  $w$  in state  $q_{\text{accept}}$
  - $w \notin A \Rightarrow M$  halts on  $w$  in state  $q_{\text{reject}}$  **OR**  $M$  runs forever on  $w$
- 

$A$  is **(Turing-)decidable** if  $A = L(M)$  for some TM  $M$   
which halts on every input

- $w \in A \Rightarrow M$  halts on  $w$  in state  $q_{\text{accept}}$
  - $w \notin A \Rightarrow M$  halts on  $w$  in state  $q_{\text{reject}}$
- 

# Recognizers vs. Deciders



Which of the following is true about the relationship between decidable and recognizable languages?

languages recognized by  
TM deciders


languages recognized by arbitrary TMs

- a) The decidable languages are a subset of the recognizable languages  $\text{Decidable langs} \subseteq \text{Recognizable langs}$
- b) The recognizable languages are a subset of the decidable languages
- c) They are incomparable: There might be decidable languages which are not recognizable and vice versa

## Example: Arithmetic on a TM

The following TM decides  $MULT = \{a^i b^j c^k \mid i \times j = k\}$ :


On input string  $w$ : *High-level*

1. Check  $w$  is formatted correctly *i.e.  $w \in L(a^* b^* c^*)$*
2. For each  $a$  appearing in  $w$ :  

3. For each  $b$  appearing in  $w$ :
4. Attempt to cross off a  $c$ . If none exist, **reject**.
5. If all  $c$ 's are crossed off, **accept**. Else, **reject**.

## Example: Arithmetic on a TM

The following TM decides  $MULT = \{a^i b^j c^k \mid i \times j = k\}$ :

On input string  $w$ : *Implementation - level*

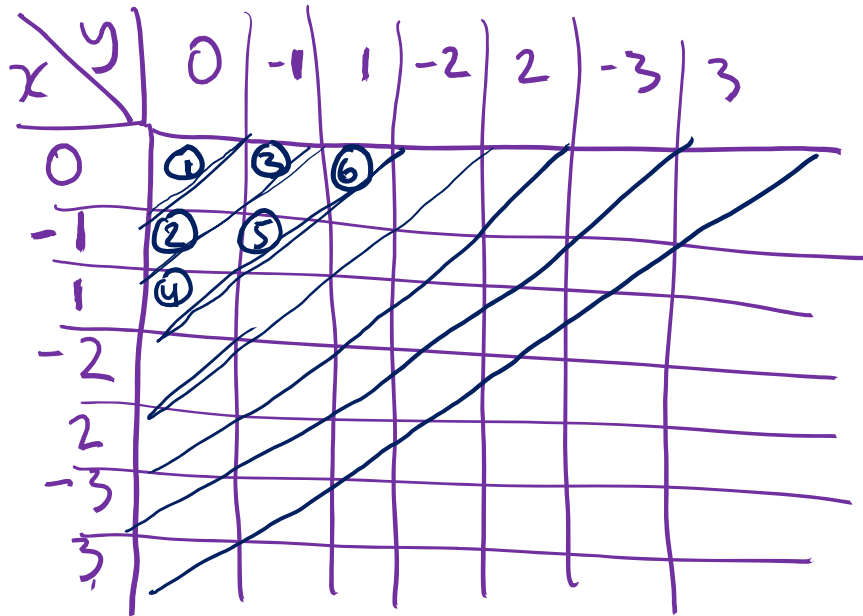
1. Scan the input from left to right to determine whether it is a member of  $L(a^* b^* c^*)$  *Can be done by a DFA*
2. Return head to left end of tape  *$\Rightarrow$  can be done in one read-only pass by a TM*
3. Cross off an  $a$  if one exists. Scan right until a  $b$  occurs. Shuttle between  $b$ 's and  $c$ 's crossing off one of each until all  $b$ 's are gone. **Reject** if all  $c$ 's are gone but some  $b$ 's remain.  

4. Restore crossed off  $b$ 's. If any  $a$ 's remain, repeat step 3.
5. If all  $c$ 's are crossed off, **accept**. Else, **reject**.

# Back to Hilbert's Tenth Problem

**Computational Problem:** Given a Diophantine equation, does it have a solution over the integers?

$$L = \{ p(x_1, \dots, x_n) \mid n \geq 0, \exists (x_1, \dots, x_n) \in \mathbb{Z}^n \text{ s.t. } p(x_1, \dots, x_n) = 0 \}$$

- $L$  is Turing-recognizable Special case:  $L_2 = \{ p(x, y) \mid \dots \}$



Alg: For each cell  $(x, y)$  in grid, test if  $p(x, y) = 0$ ; if so, accept

Analysis: If  $p \in L_2$   
then  $\exists (x, y) \in \text{grid}$  s.t.  
 $p(x, y) = 0 \Rightarrow \text{alg. accepts}$   
if  $p \notin L_2$ , alg. loops forever

- $L$  is **not** decidable (1949-70)

