

# BU CS 332 – Theory of Computation

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## Lecture 11:

- TM Variants
- Nondeterministic TMs
- Church-Turing Thesis

Reading:

Sipser Ch 3.2

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# Last Time

Formal definition of a TM, configurations, how a TM computes

$(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$

010  $q_5$  10110  
↙

## Recognizability vs. Decidability:

$A$  is **Turing-recognizable** if there exists a TM  $M$  such that

- $w \in A \Rightarrow M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \Rightarrow M$  halts on  $w$  in state  $q_{\text{reject}}$  **OR**  
 $M$  runs forever on  $w$

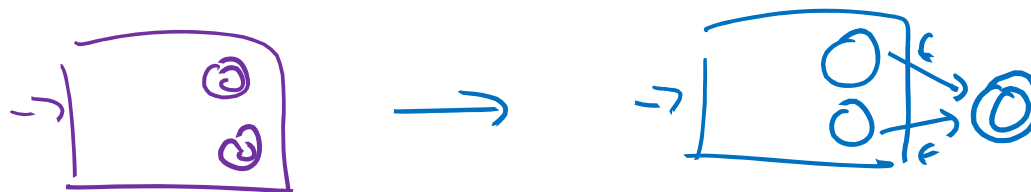
$A$  is **(Turing-)decidable** if there exists a TM  $M$  such that

- $w \in A \Rightarrow M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \Rightarrow M$  halts on  $w$  in state  $q_{\text{reject}}$

# How Robust is the TM Model?

Does changing the model result in different languages being recognizable / decidable?

So far we've seen...



- We can require that NFAs have a single accept state
- Adding nondeterminism does not change the languages recognized by finite automata

Other modifications possible too: E.g., allowing DFAs to have multiple passes over their input does not increase their power

Turing machines have an **astounding** level of robustness

# TMs are equivalent to...

- TMs with “stay put”
  - TMs with 2-way infinite tapes
  - Multi-tape TMs
  - Nondeterministic TMs
  - Random access TMs
  - Enumerators
  - Finite automata with access to an unbounded queue
  - Primitive recursive functions
  - Cellular automata
- ...



# Equivalent TM models

- TMs that are allowed to “stay put” instead of moving left or right

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$

*Handwritten annotations above the equation:*  
current state (above Q), current symbol (above  $\Gamma$ ), next state (above Q), next symbol (above  $\Gamma$ ), movement (above S)

TMs with stay put are *at least* as powerful as basic TMs

(Every basic TM is a TM with stay put that never stays put)

How would you show that TMs with stay put are *no more* powerful than basic TMs?

- a) Convert any basic TM into an equivalent TM with stay put
- b) Convert any TM with stay put into an equivalent basic TM
- c) Construct a language that is recognizable by a TM with stay put, but not by any basic TM
- d) Construct a language that is recognizable by a basic TM, but not by any TM with stay put

# Equivalent TM models

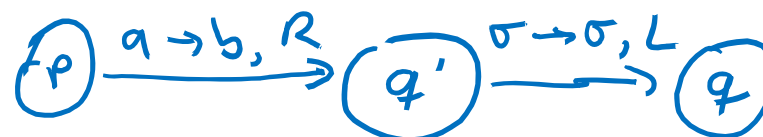
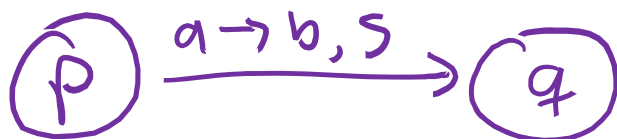
- TMs that are allowed to “stay put” instead of moving left or right

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$

**Proof** that TMs with stay put are no more powerful:

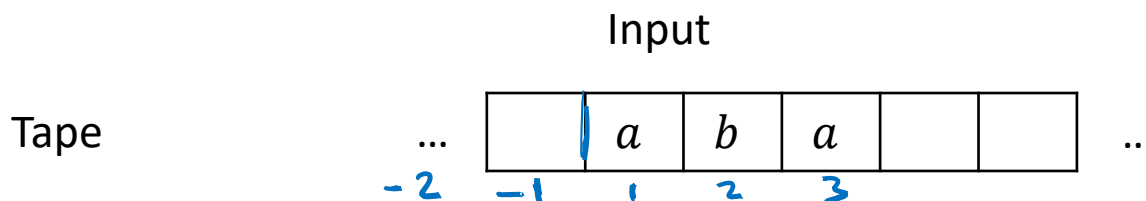
**Simulation:** Convert any TM  $M$  with stay put into an equivalent basic TM  $M'$

Replace every stay put instruction in  $M$  with a move right instruction, followed by a move left instruction in  $M'$



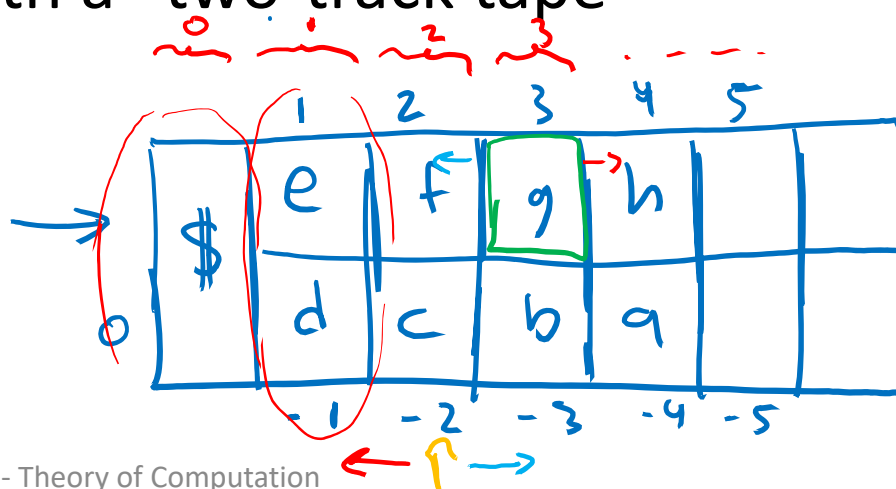
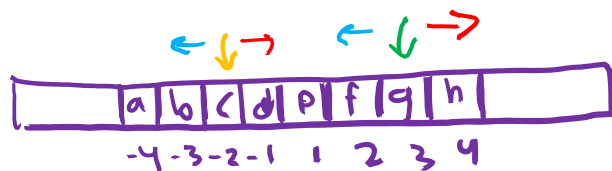
# Equivalent TM models

- TMs with a 2-way infinite tape, unbounded left to right



**Proof** that TMs with 2-way infinite tapes are no more powerful:

**Simulation:** Convert any TM  $M$  with 2-way infinite tape into a 1-way infinite TM  $M'$  with a “two-track tape”



# Implementation-Level Simulation

Given 2-way TM  $M$  construct a basic TM  $M'$  as follows.

TM  $M'$  = “On input  $w = w_1 w_2 \dots w_n$ :

1. Format 2-track tape with contents

$\$, (w_1, \sqcup), (w_2, \sqcup), \dots, (w_n, \sqcup)$   
                  top      bottom

2. To simulate one move of  $M$ :

a) If working on upper track, read/write to the first position of cell under tape head, and move in the same direction as  $M$

b) If working on lower track, read/write to second position of cell under tape head, and move in the opposite direction as  $M$

c) If move results in hitting  $\$,$  switch to the other track. ”



# Formalizing the Simulation

Given 2-way TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , construct  $M' = (Q', \Sigma, \Gamma', \delta', q'_0, q'_{\text{accept}}, q'_{\text{reject}})$

**New tape alphabet:**  $\Gamma' = (\Gamma \times \Gamma) \cup \{\$ \}$

**New state set:**  $Q' = Q \times \{+, -\}$

$(q, -)$  means “ $q$ , working on ~~upper~~ track”

$(q, +)$  means “ $q$ , working on ~~lower~~ track”

**New transitions:**

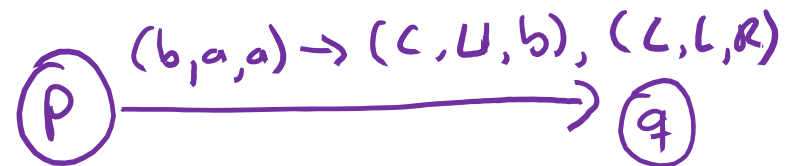
If  $\delta(p, a_-) = (q, b, L)$ , let  $\delta'((p, -), (a_-, a_+)) = ((q, -), (b, a_+), R)$

Also need new transitions for moving right, lower track, hitting \$,  
initializing input into 2-track format

# TMs are equivalent to...

- TMs with “stay put” ✓
- TMs with 2-way infinite tapes ✓
- Multi-tape TMs ←
- Nondeterministic TMs
- Random access TMs
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## A detailed illustration of a Spinosaurus, a large theropod dinosaur. It features a prominent, sail-like structure on its back, a long, pointed snout, and a long, curved tail. The dinosaur is shown in a standing posture, facing left.



**( $k$  can't depend on input or change during computation)**

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# Why are Multi-Tape TMs Helpful?

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Often easier to construct multi-tape TMs

Ex. Decider for  $\{a^i b^j \mid i > j\}$

aaaa bbb  
bbb  
↑↑↑↑

On input  $w$ :

- 1) Scan tape 1 left-to-right to check that  $w \in L(a^* b^*)$
- 2) Scan tape 2 left-to-right to copy all  $b$ 's to tape 2
- 3) Starting from left ends of tapes 1 and 2, scan both tapes to check that every  $b$  on tape 2 has an accompanying  $a$  on tape 1. If not, **reject**.
- 4) Check that the first blank on tape 2 has an accompanying  $a$  on tape 1. If so, **accept**; otherwise, **reject**.

# Why are Multi-Tape TMs Helpful?

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Very helpful for proving **closure properties**

**Ex.** Closure of recognizable languages under union. Suppose  $M_1$  is a single-tape TM recognizing  $L_1$ ,  $M_2$  is a single-tape TM recognizing  $L_2$

Goal: Construct new TM  $M$  recognizing  $L_1 \cup L_2$ .

On input  $w$ :

1) copy  $w$  to tape 2

2) Run  $M_1$  on tape 1  
Run  $M_2$  on tape 2

If either accepts, accept. If both reject, reject.

If  $M_1$  and  $M_2$  are deciders:

$w \in L_1 \cup L_2 \Rightarrow$  either  $M_1(w)$  accepts  
or  $M_2(w)$  accepts  
and both halt.

$\Rightarrow M(w)$  accepts.  
 $w \notin L_1 \cup L_2 \Rightarrow$  both reject  $\Rightarrow M(w)$  rejects

# Why are Multi-Tape TMs Helpful?

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**Ex.** Closure of recognizable languages under union. Suppose  $M_1$  is a single-tape TM recognizing  $L_1$ ,  $M_2$  is a single-tape TM recognizing  $L_2$

On input  $w$ :

1) Scan tapes 1, 2, and 3 left-to-right to copy  $w$  to tapes 2 and 3

2) Repeat forever:

a) Run  $M_1$  for one step on tape 2

b) Run  $M_2$  for one step on tape 3

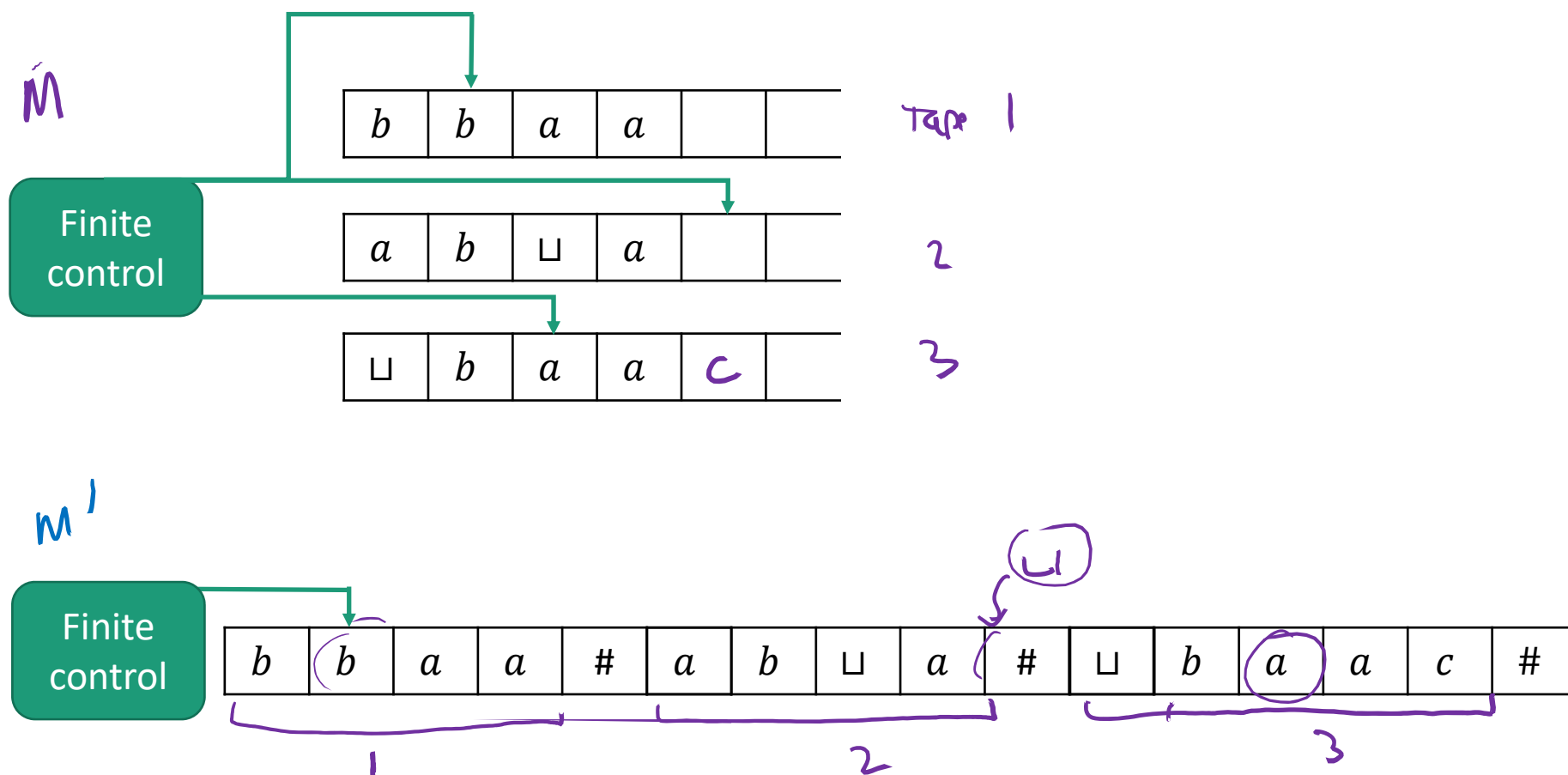
c) If either machine accepts, **accept**

If  $w \in L_1 \cup L_2$ , then  
either  $M_1$  or  $M_2$  accepts

If  $w \notin L_1 \cup L_2$ , then  
neither  $M_1$  nor  $M_2$  will  
accept.

# Multi-Tape TMs are Equivalent to Single-Tape TMs

**Theorem:** Every  $k$ -tape TM  $M$  can be simulated by an equivalent single-tape TM  $M'$



# How to Simulate It

To show that a <sup>multi-tape</sup> TM <sup>"≤"</sup>variant is no more powerful than the basic, single-tape TM:

Show that if  $M$  is any variant machine, there exists a basic, single-tape TM  $M'$  that can simulate  $M$ .

(Usual) parts of the simulation:

- Describe how to initialize the tapes of  $M'$  based on the input to  $M$
- Describe how to simulate one step of  $M$ 's computation using (possibly many steps of)  $M'$



# Simulating Multiple Tapes

## Implementation-Level Description of $M'$

On input  $w = w_1 w_2 \dots w_n$

1. Format tape into  $\# \dot{w}_1 w_2 \dots w_n \# \sqcup \# \sqcup \# \dots \#$

2. For each move of  $M$ :

Scan left-to-right, finding current symbols Find dotted symbols

Scan left-to-right, writing new symbols,  $\# \dot{e} b c d \# \sqcup \# \dot{f} \#$

Scan left-to-right, moving each tape head  $\# e \dot{b} c d \# \sqcup \sqcup \# f \dot{u} \#$

If a tape head goes off the right end, insert blank

If a tape head goes off left end, move back right

indicate where multi-tape heads are  
quote tape boundaries

$\# \dot{a} b c d \# \sqcup \# \dot{u} \#$

# Closure Properties

The Turing-decidable languages are closed under:

- Union
- Concatenation
- Star
- Intersection
- Reverse
- Complement

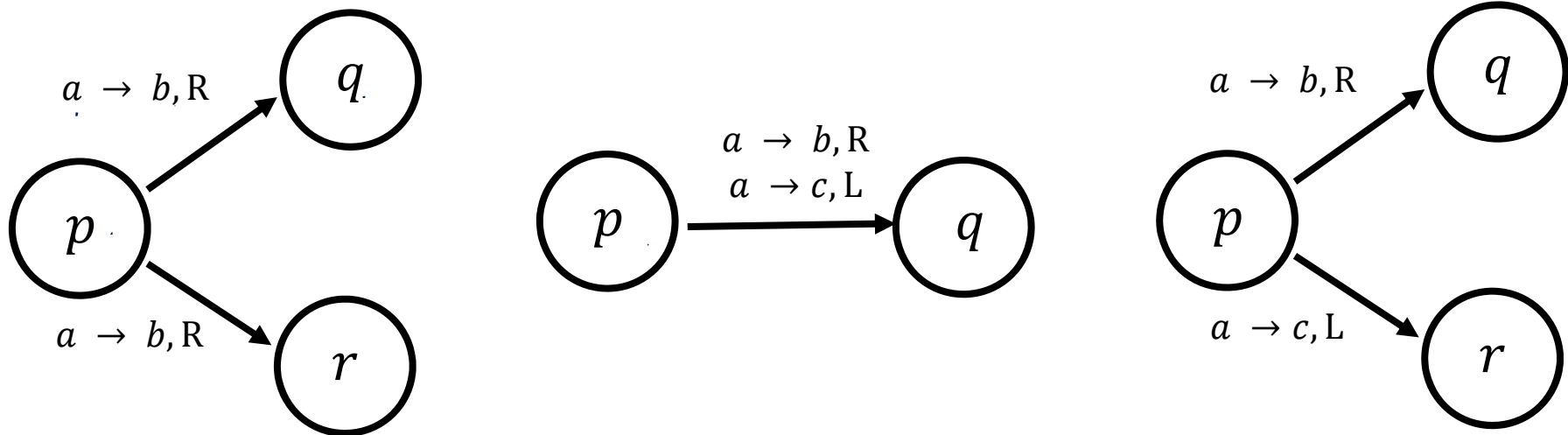
The Turing-recognizable languages are closed under:

- Union
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- Star
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- Reverse

# Nondeterministic TMs

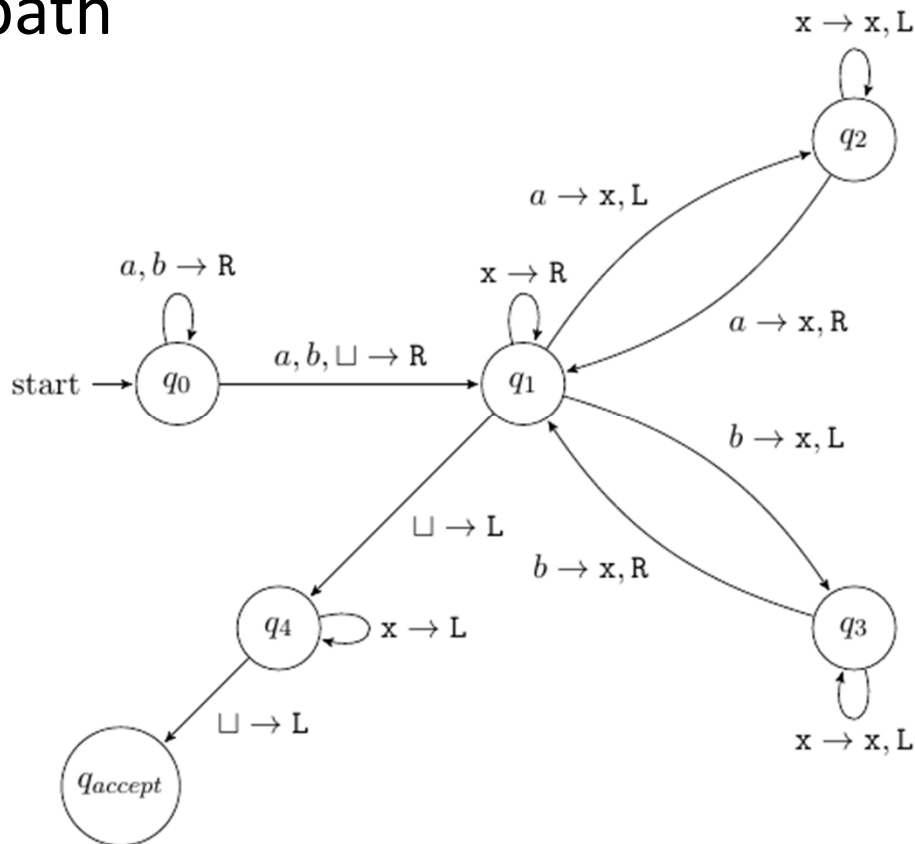
At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting branch.

Transition function  $\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R, S\})$



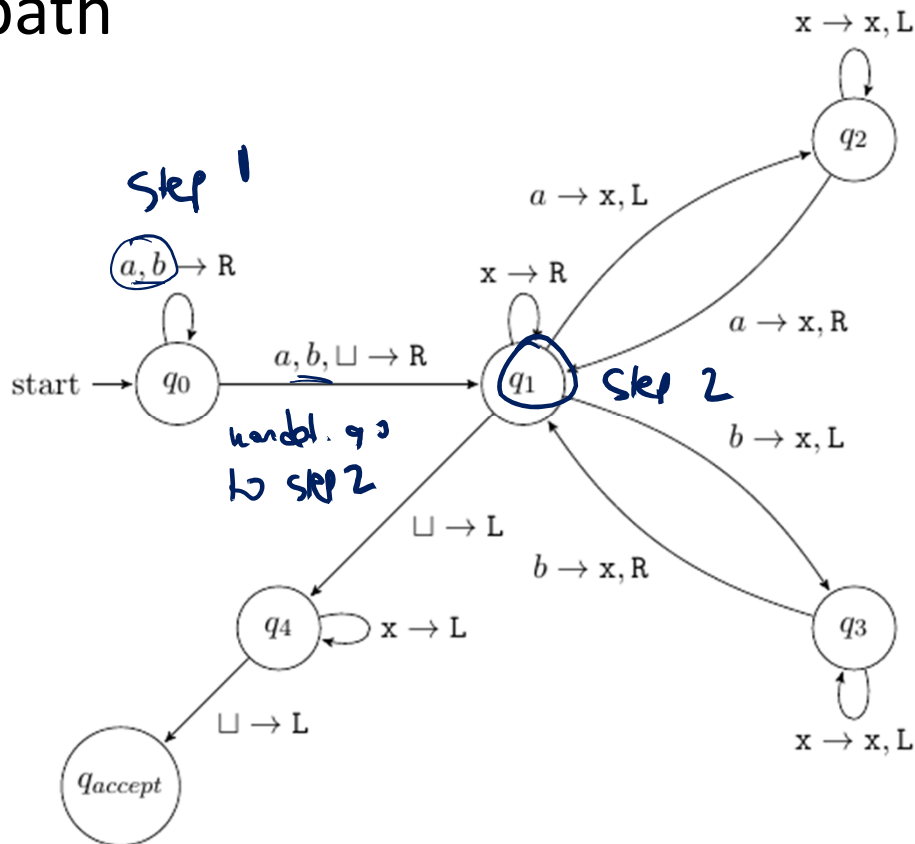
# Nondeterministic TMs

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What is the language recognized by this NTM?

- a)  $\{ ww \mid w \in \{a, b\}^* \}$
- b)  $\{ ww^R \mid w \in \{a, b\}^* \}$
- c)  $\{ ww \mid w \in \{a, b, x\}^* \}$
- d)  $\{ wx^n w^R \mid w \in \{a, b\}^*, n \geq 0 \}$

# Nondeterministic TMs

At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting computation path

Implementation-Level Description

On input string  $w$ :

- 1) Scan tape left-to-right. At some point, nondeterministically go to step 2
- 2)
  - a) Read the next symbol  $s$  and cross it off
  - b) Move the head left repeatedly until a non-X symbol is found. If it matches  $s$ , cross it off. Else, reject.
  - c) Move the head right until a non-X symbol is found. If blank is hit, go to step 3.
  - d) Go back to 2a)
- 3) Check that the entire tape consists of X's. If so, accept. Else, reject.