

BU CS 332 – Theory of Computation

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Lecture 15:

- Undecidability
- Reductions

Reading:

Sipser Ch 4.2, 5.1

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November 1, 2022

Where we are and where we're going

Church-Turing thesis: TMs capture all algorithms

Consequence: studying the limits of TMs reveals the limits of computation

Last time: Countability, uncountability, and diagonalization

Existential proof that there are undecidable and unrecognizable languages

All languages over $\{0,1\}$ is uncountable.

All TMs is countable

Today: An explicit undecidable language

Reductions: Relate decidability / undecidability of different problems

An Explicit Undecidable Language

Last time:

Theorem: Let X be any set. Then the power set $P(X)$ does **not** have the same size as X .

- 1) Assume, for the sake of contradiction, that there is a bijection $f: X \rightarrow P(X)$
- 2) “Flip the diagonal” to construct a set $S \in P(X)$ such that $f(x) \neq S$ for every $x \in X$

Elements of X

	Is x_1 in $f(x)$?	Is x_2 in $f(x)$?	...
x_1	Y N	N	N
x_2	N	N Y	N
x_3	N	N	Y N
\vdots			

$S = \{x_2, \dots\}$

- 3) Conclude that f is not onto, contradicting assumption that f is a bijection

Specializing the proof

Theorem: Let X be the set of all TM deciders. Then there exists an undecidable language in $P(\{0, 1\}^*)$

- 1) Assume, for the sake of contradiction, that $L: X \rightarrow P(\{0, 1\}^*)$ is onto *$L(M)$:- language decided by TM M*
- 2) “Flip the diagonal” to construct a language $UD \in P(\{0, 1\}^*)$ such that $L(M) \neq UD$ for every $M \in X$
- 3) Conclude that L is not onto, a contradiction

An explicit undecidable language

TM M					
M_1					
M_2					
M_3					
M_4					
\vdots					

Why is it possible to enumerate all TMs like this?

- a) The set of all TMs is finite
- b) The set of all TMs is countably infinite
- c) The set of all TMs is uncountable



An explicit undecidable language

What happens when I run TM M_1 on input $\langle M_1 \rangle$
 Run TM M_1 on input $\langle M_2 \rangle$
 Record Y for accept
 N for reject or loop

TM M	$M(\langle M_1 \rangle)?$	$M(\langle M_2 \rangle)?$	$M(\langle M_3 \rangle)?$	$M(\langle M_4 \rangle)?$		$D(\langle D \rangle)?$
M_1	N Y	N	Y	Y	...	
M_2	N	Y N	Y	Y		
M_3	Y	Y	N Y	N		
M_4	N	N	Y	N		
\vdots					\ddots	
D						Y N N Y

$UD = \{ \langle M \rangle \mid M \text{ is a TM that does not accept on input } \langle M \rangle \}$

Claim: UD is undecidable
 E.g. for this table $UD = \{ \langle M_2 \rangle, \langle M_3 \rangle, \dots \}$

Assume $F + S \circ C$ D decides UD

Case 1: $D(\langle D \rangle)$ accepts $\Rightarrow \langle D \rangle \notin UD$ by construction of UD
 contradicts assumption that D behaves correctly on $\langle D \rangle$

Case 2: $D(\langle D \rangle)$ does not accept $\Rightarrow \langle D \rangle \in UD$ by construction

again contradicts correctness of D on $\langle D \rangle \leftarrow$

An explicit undecidable language

Theorem: $UD = \{\langle M \rangle \mid M \text{ is a TM that does not accept on input } \langle M \rangle\}$ is undecidable

Proof: Suppose for contradiction, that TM D decides UD

Examine what happens when we run $D(\langle D \rangle)$.

Case 1: $D(\langle D \rangle)$ accepts $\Rightarrow \langle D \rangle \notin UD$ by def. of UD

$\Rightarrow D$ produces wrong answer on $\langle D \rangle$ {accepts something it should reject}
Contradicts assumption that D decides UD . \times .

Case 2: $D(\langle D \rangle)$ rejects $\Rightarrow \langle D \rangle \in UD$ by def. of UD

$\Rightarrow D$ rejects $\langle D \rangle$ when it's supposed to accept

Contradicts D being a decider for UD . \times .

A more useful undecidable language

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

Theorem: A_{TM} is undecidable

Proof: Assume for the sake of contradiction that TM H decides A_{TM} :

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w \\ \text{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

Idea: Show that H can be used to construct a decider for the (undecidable) language UD -- a contradiction.

A more useful undecidable language

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

Proof (continued):

Suppose, for contradiction, that H decides A_{TM}

Consider the following TM D :

“On input $\langle M \rangle$ where M is a TM:

1. Run H on input $\langle M, \langle M \rangle \rangle$
2. If H accepts, **reject**. If H rejects, **accept**.”

Claim: D decides $UD = \{\langle M \rangle \mid \text{TM } M \text{ does not accept } \langle M \rangle\}$

- 1) $\langle M \rangle \in UD \Rightarrow M$ does not accept $\langle M \rangle \Rightarrow \langle M, \langle M \rangle \rangle \notin A_{\text{TM}}$
 $\Rightarrow H(\langle M, \langle M \rangle \rangle)$ rejects $\Rightarrow D$ accepts ✓
- 2) $\langle M \rangle \notin UD \Rightarrow M$ accepts on input $\langle M \rangle \Rightarrow \langle M, \langle M \rangle \rangle \in A_{\text{TM}}$
 $\Rightarrow H(\langle M, \langle M \rangle \rangle)$ accepts $\Rightarrow D$ rejects ✓

...but this language is undecidable $\Rightarrow D$ decides UD

Unrecognizable Languages

Theorem: A language L is decidable if and only if L and \bar{L} are both Turing-recognizable.

Corollary: $\overline{A_{TM}}$ is unrecognizable

Proof: A_{TM} is undecidable \Rightarrow either A_{TM} or $\overline{A_{TM}}$ is unrecognizable.
We know A_{TM} is recognizable by UTM $\Rightarrow \overline{A_{TM}}$ is unrecognizable.

Proof of Theorem: \Rightarrow

Let L be decidable. Then L is recognizable.

$\hookrightarrow \bar{L}$ is decidable $\Rightarrow \bar{L}$ is recognizable
(decidable langs are closed under complement)

Unrecognizable Languages

Theorem: A language L is decidable if and only if L and \bar{L} are both Turing-recognizable.

Proof continued: \Leftarrow

Let L and \bar{L} both be recognizable.

Let M recognize L and M' recognize \bar{L} .

Goal: Construct a decider N for L .

Attempt 1:

On input w :

- 1) Run M on w . If accepts, accept.
- 2) Run M' on w . If accepts, reject.

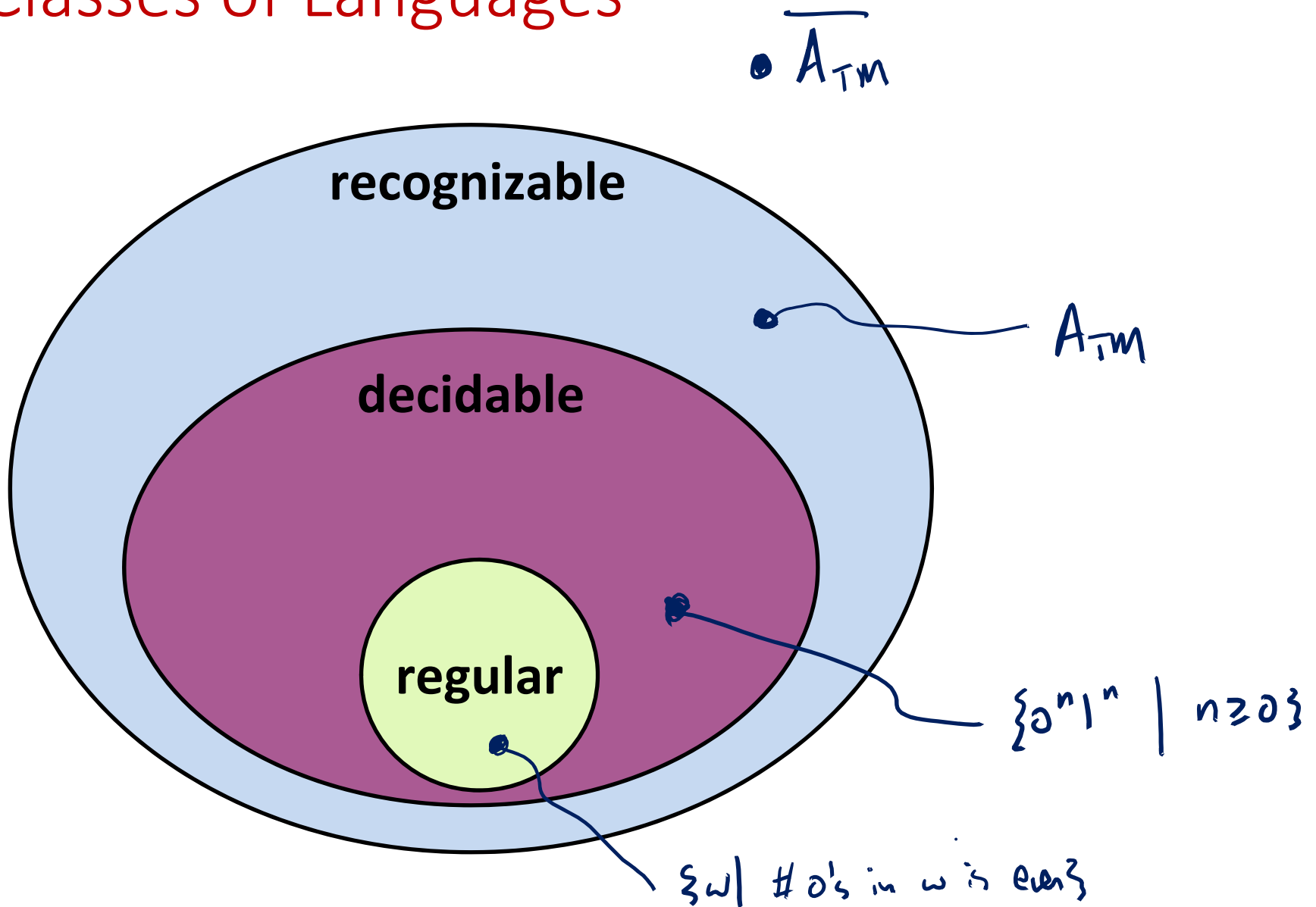
Problem: If $w \notin L$ and M loops on w , then N loops on w .

Attempt 2:

On input w :

- 1) Repeat forever:
 - a) Run M for one step on w . If accepts, accept.
 - b) Run M' for one step on w . If accepts, reject.

Classes of Languages



Reductions

Scientists vs. Engineers

A computer scientist and an engineer are stranded on a desert island. They find two palm trees with one coconut on each. The engineer climbs a tree, picks a coconut and eats.



The computer scientist climbs the second tree, picks a coconut, climbs down, climbs up the first tree and places it there, declaring success.

“Now we’ve reduced the problem to one we’ve already solved.”
(Please laugh)

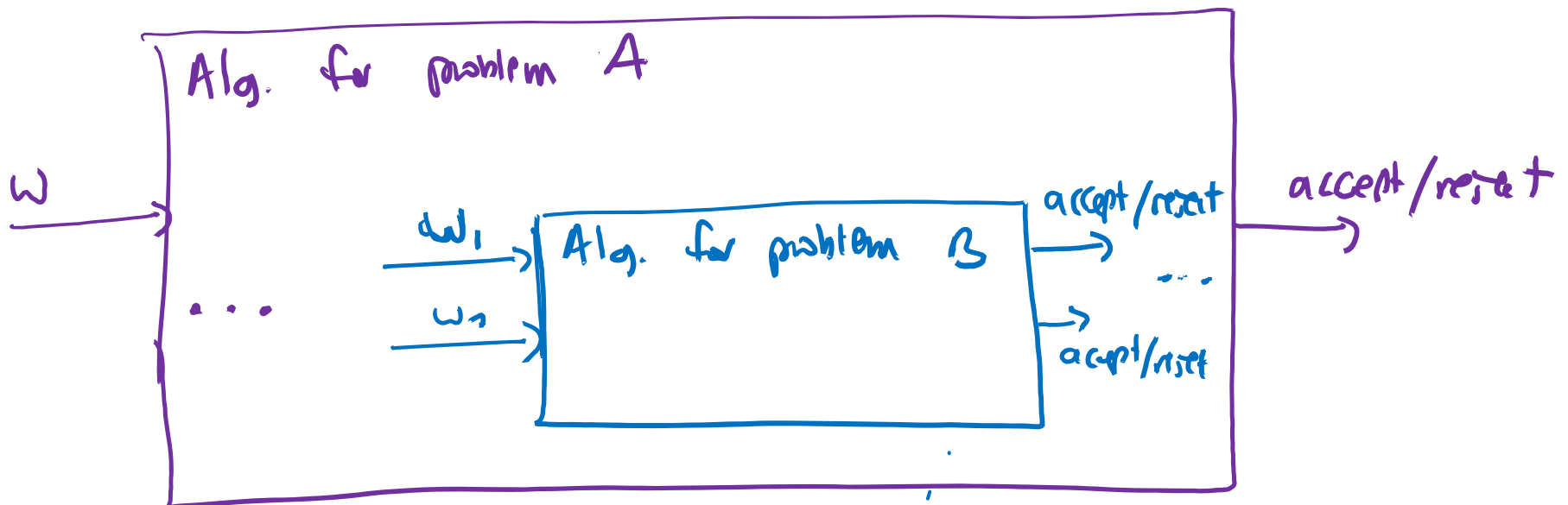
Reductions

conc. from tree 2

reco. from tree 1.

A **reduction** from problem **A** to problem **B** is an algorithm solving problem **A** which uses an algorithm solving problem **B** as a subroutine

If such a reduction exists, we say “**A** reduces to **B**”



Reductions

A **reduction** from problem A to problem B is an algorithm solving problem A which uses an algorithm solving problem B as a subroutine

If such a reduction exists, we say “ A reduces to B ”

If A reduces to B , and B is decidable, what can we say about A ?

- a) A is decidable
- b) A is undecidable
- c) A might be either decidable or undecidable



Two uses of reductions

Positive uses: If A reduces to B and B is decidable, then A is also decidable

$$E_{DFA} = \{ \langle D \rangle \mid L(D) = \emptyset \}$$

$$EQ_{DFA} = \{ \langle D_1, D_2 \rangle \mid D_1, D_2 \text{ are DFAs and } L(D_1) = L(D_2) \}$$

Theorem: EQ_{DFA} is decidable

Proof: The following TM decides EQ_{DFA}

“Reduction”

On input $\langle D_1, D_2 \rangle$, where $\langle D_1, D_2 \rangle$ are DFAs:

1. Construct a DFA D that recognizes the symmetric difference $L(D_1) \triangle L(D_2)$
2. Run the decider for E_{DFA} on $\langle D \rangle$ and return its output

Two uses of reductions

Negative uses: If A reduces to B and A is undecidable, then B is also undecidable

$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input } w\}$

Suppose H decides A_{TM}

Consider the following TM D .

✓ Reduction from
UD to A_{TM}

On input $\langle M \rangle$ where M is a TM:

1. Run H on input $\langle M, \langle M \rangle \rangle$
2. If H accepts, **reject**. If H rejects, **accept**.

Claim: D decides

$UD = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle\}$

Two uses of reductions

Negative uses: If A reduces to B and A is undecidable, then B is also undecidable

Template for undecidability proof by reduction:

1. Suppose to the contrary that B is decidable
2. Using a decider for B as a subroutine, construct an algorithm deciding A
3. But A is undecidable. Contradiction!