

# BU CS 332 – Theory of Computation

<https://forms.gle/PaSZkLFEFkaohxmL9>



## Lecture 16:

- More on Reductions

Reading:

Sipser Ch 5.1

HW 7 —

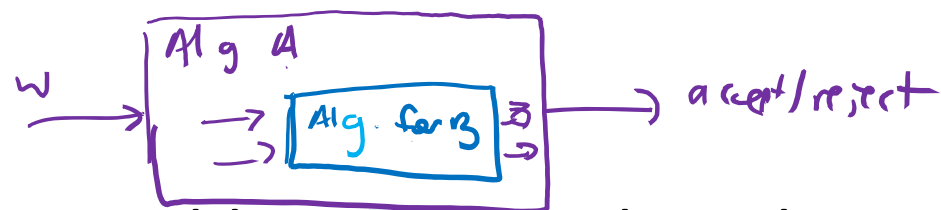
Due Saturday 11:59 PM  
11/5

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November 3, 2022

# Reductions

# Reductions



A **reduction** from problem  $A$  to problem  $B$  is an algorithm for problem  $A$  which uses an algorithm for problem  $B$  as a subroutine

If such a reduction exists, we say “ $A$  reduces to  $B$ ”

**Positive uses:** If  $A$  reduces to  $B$  and  $B$  is decidable, then  $A$  is also decidable

Ex.  $\underline{E_{DFA}}$  is decidable  $\Rightarrow \underline{EQ_{DFA}}$  is decidable

**Negative uses:** If  $A$  reduces to  $B$  and  $A$  is undecidable, then  $B$  is also undecidable

Ex.  $UD$  is undecidable  $\Rightarrow A_{TM}$  is undecidable

# Two uses of reductions

**Negative uses:** If  $A$  reduces to  $B$  and  $A$  is undecidable, then  $B$  is also undecidable

## Template for undecidability proof by reduction:

1. Suppose to the contrary that  $B$  is decidable
2. Using a decider for  $B$  as a subroutine, construct an algorithm deciding  $A$
3. But  $A$  is undecidable. Contradiction!

# Halting Problem

**Computational problem:** Given a program (TM) and input  $w$ , does that program halt (either accept or reject) on input  $w$ ?

**Formulation as a language:**

$$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$$

**Ex.**  $M$  = “On input  $x$  (a natural number written in binary):

For each  $y = 1, 2, 3, \dots$ :

5  
|| If  $y^2 = x$ , **accept**. Else, continue.”

Is  $\langle M, 101 \rangle \in HALT_{TM}$ ?

- a) Yes, because  $M$  accepts on input 101
- b) Yes, because  $M$  rejects on input 101
- c) No, because  $M$  rejects on input 101
- d) No, because  $M$  loops on input 101



# Halting Problem

**Computational problem:** Given a program (TM) and input  $w$ , does that program halt (either accept or reject) on input  $w$ ?

**Formulation as a language:**

$$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$$

**Ex.**  $M$  = “On input  $x$  (a natural number in binary):

For each  $y = 1, 2, 3, \dots$ :

If  $y^2 = x$ , **accept**. Else, continue.”

$M'$  = “On input  $x$  (a natural number in binary):

For each  $y = 1, 2, 3, \dots, x$ :

If  $y^2 = x$ , **accept**. Else, continue.

**Reject.**”

$\langle M', 1017 \rangle$   
 $\in HALT_{TM}$   
 $\langle M', w \rangle \in HALT_{TM}$   
 $\forall w$

$$A_{TM} = \{ \langle M, w \rangle \mid \text{TM } M \text{ accepts on input } w \}$$

# Halting Problem

$$HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on input } w \}$$

**Theorem:**  $HALT_{TM}$  is undecidable

$H(\langle M, w \rangle)$  accepts if  $M$  halts on  $w$   
rejects if  $M$  loops on  $w$

**Proof:** Suppose for contradiction that there exists a decider  $H$  for  $HALT_{TM}$ . We construct a decider for  $V$  for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

TM	V
1. Run $H$ on input $\langle M, w \rangle$	
2. If $H$ rejects, <b>reject</b>	
3. If $H$ accepts, run $M$ on $w$	
4. If $M$ accepts, <b>accept</b>	
Otherwise, <b>reject</b> .	

Claim: If  $H$  decides  $HALT_{TM}$ , then  $V$  decides  $A_{TM}$

1)  $\langle M, w \rangle \in A_{TM} \Rightarrow M \text{ accepts on } w$   
 $\Rightarrow \langle M, w \rangle \in HALT_{TM} \Rightarrow H(\langle M, w \rangle) \text{ accepts}$   
 Alg. goes to step 3, where  $M$  accepts  $w$   
 $\Rightarrow V$  accepts in step 4.

2)  $\langle M, w \rangle \notin A_{TM}$   
 a)  $M$  loops on  $w \Rightarrow \langle M, w \rangle \notin HALT_{TM}$   
 $\Rightarrow H(\langle M, w \rangle) \text{ rejects}$   
 $\Rightarrow V$  rejects in step 2  
 b)  $M$  rejects on  $w \Rightarrow \langle M, w \rangle \in HALT_{TM}$   
 $\Rightarrow H(\langle M, w \rangle) \text{ accepts} \Rightarrow$  Alg. goes to step 3 where  $M$  rejects  $w \Rightarrow V$  rejects.

Use  $M$  to check if  $M$  halts on  $w$ .

Simulate  $M$  on  $w$ , which terminates because we know  $M$  halts on  $w$ .

This is a reduction from  $A_{TM}$  to  $HALT_{TM}$

# Halting Problem

**Computational problem:** Given a program (TM) and input  $w$ , does that program halt on input  $w$ ?

- A central problem in formal verification
- Dealing with undecidability in practice:
  - Use heuristics that are correct on most real instances, but may be wrong or loop forever on others
  - Restrict to a “non-Turing-complete” subclass of programs for which halting is decidable
  - Use a programming language that lets a programmer specify hints (e.g., loop invariants) that can be compiled into a formal proof of halting



# Emptiness testing for TMs

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

**Theorem:**  $E_{\text{TM}}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $E_{\text{TM}}$ . We construct a decider for  $A_{\text{TM}}$  as follows:

On input  $\langle M, w \rangle$ :

1. Run  $R$  on input ???  $M_2$

$M_2 =$  On input  $x$ :

If  $x = w$ : Run  $M$  on  $w$ . If accept, accept.  
Else: reject.

This is a reduction from  $A_{\text{TM}}$  to  $E_{\text{TM}}$

# Emptiness testing for TMs



$$E_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

**Theorem:**  $E_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $E_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct a TM  $N$  as follows:

If  $\langle M, w \rangle \in A_{TM} \Rightarrow L(N) \neq \emptyset \Rightarrow R \text{ rejects } \langle N \rangle$   
 $\Rightarrow \text{decider accepts}$   
If  $\langle M, w \rangle \notin A_{TM} \Rightarrow L(N) = \emptyset \Rightarrow R \text{ accepts } \langle N \rangle$   
 $\Rightarrow \text{decider rejects}$

2. Run  $R$  on input  $\langle N \rangle$

3. If  $R$  **rejects**, **accept**. Otherwise, **reject**

What do we want out of machine  $N$ ?

- a)  $L(N)$  is empty iff  $M$  accepts  $w$
- b)**  $L(N)$  is non-empty iff  $M$  accepts  $w$
- c)  $L(M)$  is empty iff  $N$  accepts  $w$
- d)  $L(M)$  is non-empty iff  $N$  accepts  $w$

This is a reduction from  $A_{TM}$  to  $E_{TM}$

# Emptiness testing for TMs

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

**Theorem:**  $E_{\text{TM}}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $E_{\text{TM}}$ . We construct a decider for  $A_{\text{TM}}$  as follows:

On input  $\langle \underline{M}, \underline{w} \rangle$ :

1. Construct a TM  $N$  as follows:

“On input  $x$ : Ignore  $x$

Run  $M$  on  $w$  and output the result.”

2. Run  $R$  on input  $\langle N \rangle$

3. If  $R$  rejects, **accept**. Otherwise, **reject**

Claim: This TM decides  $A_{\text{TM}}$

1) If  $\langle M, w \rangle \in A_{\text{TM}}$

$\Rightarrow L(N) = \Sigma^*$  ←

$\Rightarrow R(\langle N \rangle)$  rejects

$\Rightarrow$  TM overall accepts

2) If  $\langle M, w \rangle \notin A_{\text{TM}}$

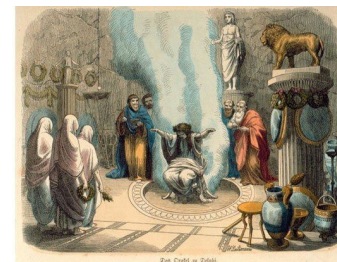
$\Rightarrow L(N) = \emptyset$

$\Rightarrow R(\langle N \rangle)$  accepts

$\Rightarrow$  TM overall rejects

This is a reduction from  $A_{\text{TM}}$  to  $E_{\text{TM}}$

# Interlude: Formalizing Reductions (Sipser 6.3)



**Informally:**  $A$  reduces to  $B$  if a decider for  $B$  can be used to construct a decider for  $A$

One way to formalize:

- An *oracle* for language  $B$  is a device that can answer questions “Is  $w \in B$ ?”
- An *oracle TM*  $M^B$  is a TM that can query an oracle for  $B$  in one computational step

$A$  is **Turing-reducible** to  $B$  (written  $A \leq_T B$ ) if there is an oracle TM  $M^B$  deciding  $A$

# Equality Testing for TMs

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $EQ_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{TM}$ . We construct a decider for  $E_{TM}$  as follows:

On input  $\langle M \rangle$ :

1. Construct TMs  $N_1, N_2$  as follows:

$$N_1 =$$

$$N_2 =$$

2. Run  $R$  on input  $\langle N_1, N_2 \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

This is a reduction from  $E_{TM}$  to  $EQ_{TM}$



# Equality Testing for TMs

What do we want out of the machines  $N_1, N_2$ ?

- a)  $L(M) = \emptyset$  iff  $N_1 = N_2$       b)  $L(M) = \emptyset$  iff  $L(N_1) = L(N_2)$   
 c)  $L(M) = \emptyset$  iff  $N_1 \neq N_2$       d)  $L(M) = \emptyset$  iff  $L(N_1) \neq L(N_2)$

On input  $\langle M \rangle$ : # instance of  $E_{TM}$ , i.e. want to accept  $\Leftrightarrow \underline{L(M) = \emptyset}$

1. Construct TMs  $N_1, N_2$  as follows:

$N_1 =$  "On input  $x$ :  
reject"

$L(N_1) = \emptyset$

$N_2 = M$

$L(N_2) = L(M)$

2. Run  $R$  on input  $\langle N_1, N_2 \rangle$  #  $R(\langle N_1, N_2 \rangle)$  accepts  $\Leftrightarrow \underline{L(N_1) = L(N_2)}$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

This is a reduction from  $E_{TM}$  to  $EQ_{TM}$

# Equality Testing for TMs

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $EQ_{\text{TM}}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{\text{TM}}$ . We construct a decider for  $A_{\text{TM}}$  as follows:

On input  $\langle M \rangle$ :

1. Construct TMs  $N_1, N_2$  as follows:

$$N_1 =$$

$$N_2 =$$

2. Run  $R$  on input  $\langle N_1, N_2 \rangle$
3. If  $R$  accepts, **accept**. Otherwise, **reject**.

This is a reduction from  $E_{\text{TM}}$  to  $EQ_{\text{TM}}$

# Regular language testing for TMs

$$REG_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular}\}$$

**Theorem:**  $REG_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $REG_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct a TM  $N$  as follows:
2. Run  $R$  on input  $\langle N \rangle$
3. If  $R$  accepts, **accept**. Otherwise, **reject**

This is a reduction from  $A_{TM}$  to  $REG_{TM}$



# Regular language testing for TMs

$$REG_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular}\}$$

**Theorem:**  $REG_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $REG_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct a TM  $N$  as follows:

$N =$  “On input  $x$ ,

1. If  $x \in \{0^n 1^n \mid n \geq 0\}$ , accept

2. Run TM  $M$  on input  $w$

3. If  $M$  accepts, **accept**. Otherwise, **reject**.”

2. Run  $R$  on input  $\langle N \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**

This is a reduction from  $A_{TM}$  to  $REG_{TM}$