# BU CS 332 – Theory of Computation

https://forms.gle/T38zDHBgd62avxWy7



#### Lecture 16:

More on Reductions

Reading:

Sipser Ch 5.1

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# Reductions

#### Reductions

A reduction from problem A to problem B is an algorithm for problem A which uses an algorithm for problem B as a subroutine

If such a reduction exists, we say "A reduces to B"

Positive uses: If A reduces to B and B is decidable, then A is also decidable

Ex.  $E_{\mathrm{DFA}}$  is decidable  $\Rightarrow EQ_{\mathrm{DFA}}$  is decidable

Negative uses: If A reduces to B and A is undecidable, then B is also undecidable

Ex. UD is undecidable  $\Rightarrow A_{TM}$  is undecidable

#### Two uses of reductions

Negative uses: If A reduces to B and A is undecidable, then B is also undecidable

#### Template for undecidability proof by reduction:

- 1. Suppose to the contrary that B is decidable
- 2. Using a decider for B as a subroutine, construct an algorithm deciding A
- 3. But *A* is undecidable. Contradiction!

Computational problem: Given a program (TM) and input w, does that program halt (either accept or reject) on input w?

#### Formulation as a language:

 $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$ 

Ex. M = "On input x (a natural number written in binary): For each y = 1, 2, 3, ...: If  $y^2 = x$ , accept. Else, continue."

Is  $\langle M, 101 \rangle \in HALT_{TM}$ ?

- a) Yes, because M accepts on input 101
- b) Yes, because *M* rejects on input 101
- c) No, because *M* rejects on input 101
- d) No, because M loops on input  $101\,$



Computational problem: Given a program (TM) and input w, does that program halt (either accept or reject) on input w?

Formulation as a language:  $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$ 

Ex. M = "On input x (a natural number in binary): For each y=1,2,3,...: If  $y^2=x$ , accept. Else, continue."

M' = "On input x (a natural number in binary): For each y=1,2,3,...,x: If  $y^2=x$ , accept. Else, continue. Reject."

 $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that halts on input } w\}$ 

Theorem:  $HALT_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider H for  $HALT_{\rm TM}$ . We construct a decider for V for  $A_{\rm TM}$  as follows:

#### On input $\langle M, w \rangle$ :

- 1. Run H on input  $\langle M, w \rangle$
- 2. If H rejects, reject
- 3. If H accepts, run M on w
- 4. If *M* accepts, accept Otherwise, reject.

Computational problem: Given a program (TM) and input w, does that program halt on input w?

- A central problem in formal verification
- Dealing with undecidability in practice:
  - Use heuristics that are correct on most real instances, but may be wrong or loop forever on others
  - Restrict to a "non-Turing-complete" subclass of programs for which halting is decidable
  - Use a programming language that lets a programmer specify hints (e.g., loop invariants) that can be compiled into a formal proof of halting

# Emptiness testing for TMs

$$E_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$$

Theorem:  $E_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider R for  $E_{\rm TM}$ . We construct a decider for  $A_{\rm TM}$  as follows:

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On input \langle M, w \rangle:
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1. Run *R* on input ???

This is a reduction from  $A_{\rm TM}$  to  $E_{\rm TM}$ 

# Emptiness testing for TMs



$$E_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$$

Theorem:  $E_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider R for  $E_{\rm TM}$ . We construct a decider for  $A_{\rm TM}$  as follows:

On input  $\langle M, w \rangle$ :

Construct a TM N as follows:

- 2. Run R on input  $\langle N \rangle$
- 3. If R, accept. Otherwise, reject

What do we want out of machine *N*?

- a) L(N) is empty iff M accepts w
- b) L(N) is non-empty iff M accepts w
- c) L(M) is empty iff N accepts w
- d) L(M) is non-empty iff N accepts w

This is a reduction from  $A_{\rm TM}$  to  $E_{\rm TM}$ 

# Emptiness testing for TMs

$$E_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$$

Theorem:  $E_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider R for  $E_{\rm TM}$ . We construct a decider for  $A_{\rm TM}$  as follows:

#### On input $\langle M, w \rangle$ :

Construct a TM N as follows:

"On input x:

Run M on w and output the result."

- 2. Run R on input  $\langle N \rangle$
- 3. If *R* rejects, accept. Otherwise, reject

#### This is a reduction from $A_{\rm TM}$ to $E_{\rm TM}$

# Interlude: Formalizing Reductions (Sipser 6.3)



Informally: A reduces to B if a decider for B can be used to construct a decider for A

One way to formalize:

- An *oracle* for language B is a device that can answer questions "Is  $w \in B$ ?"
- An oracle  $TM\ M^B$  is a TM that can query an oracle for B in one computational step

A is Turing-reducible to B (written  $A \leq_T B$ ) if there is an oracle TM  $M^B$  deciding A

# **Equality Testing for TMs**

$$EQ_{\text{TM}} = \{ \langle M_1, M_2 \rangle | M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2) \}$$

Theorem:  $EQ_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider R for  $EQ_{\mathrm{TM}}$ . We construct a decider for  $E_{\mathrm{TM}}$  as follows:

#### On input $\langle M \rangle$ :

1. Construct TMs  $N_1$ ,  $N_2$  as follows:

$$N_1 = N_2 =$$

- 2. Run R on input  $\langle N_1, N_2 \rangle$
- 3. If R accepts, accept. Otherwise, reject.

This is a reduction from  $E_{\mathrm{TM}}$  to  $EQ_{\mathrm{TM}}$ 

# **Equality Testing for TMs**



What do we want out of the machines  $N_1$ ,  $N_2$ ?

a) 
$$L(M) = \emptyset$$
 iff  $N_1 = N_2$ 

a) 
$$L(M) = \emptyset$$
 iff  $N_1 = N_2$  b)  $L(M) = \emptyset$  iff  $L(N_1) = L(N_2)$ 

c) 
$$L(M) = \emptyset$$
 iff  $N_1 \neq N_2$ 

c) 
$$L(M) = \emptyset$$
 iff  $N_1 \neq N_2$  d)  $L(M) = \emptyset$  iff  $L(N_1) \neq L(N_2)$ 

#### On input $\langle M \rangle$ :

Construct TMs  $N_1$ ,  $N_2$  as follows:

$$N_1 =$$

$$N_2 =$$

- 2. Run R on input  $\langle N_1, N_2 \rangle$
- 3. If *R* accepts, accept. Otherwise, reject.

This is a reduction from  $E_{\rm TM}$  to  $EQ_{\rm TM}$ 

# **Equality Testing for TMs**

$$EQ_{\text{TM}} = \{ \langle M_1, M_2 \rangle | M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2) \}$$

Theorem:  $EQ_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider R for  $EQ_{\mathrm{TM}}$ . We construct a decider for  $A_{\mathrm{TM}}$  as follows:

#### On input $\langle M \rangle$ :

1. Construct TMs  $N_1$ ,  $N_2$  as follows:

$$N_1 = N_2 =$$

- 2. Run R on input  $\langle N_1, N_2 \rangle$
- 3. If R accepts, accept. Otherwise, reject.

This is a reduction from  $E_{\mathrm{TM}}$  to  $EQ_{\mathrm{TM}}$ 

# Regular language testing for TMs

 $REG_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular} \}$ 

Theorem:  $REG_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider R for  $REG_{\rm TM}$ . We construct a decider for  $A_{\rm TM}$  as follows:

#### On input $\langle M, w \rangle$ :

1. Construct a TM N as follows:

- 2. Run R on input  $\langle N \rangle$
- 3. If R accepts, accept. Otherwise, reject

This is a reduction from  $A_{\rm TM}$  to  $REG_{\rm TM}$ 

# Regular language testing for TMs

 $REG_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular} \}$ 

Theorem:  $REG_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider R for  $REG_{\rm TM}$ . We construct a decider for  $A_{\rm TM}$  as follows:

#### On input $\langle M, w \rangle$ :

Construct a TM N as follows:

N = "On input x,

- 1. If  $x \in \{0^n 1^n \mid n \ge 0\}$ , accept
- 2. Run TM *M* on input *w*
- 3. If *M* accepts, accept. Otherwise, reject."
- 2. Run R on input  $\langle N \rangle$
- 3. If R accepts, accept. Otherwise, reject

This is a reduction from  $A_{\rm TM}$  to  $REG_{\rm TM}$ 

# Other undecidable problems

## Problems in Language Theory

#### Apparent dichotomy:

- TMs seem to be able to solve problems about the power of weaker computational models (e.g., DFAs)
- TMs can't solve problems about the power of TMs themselves

Question: Are there undecidable problems that do not involve TM descriptions?

A <sub>DFA</sub> decidable	A <sub>TM</sub> undecidable
<b>E</b> <sub>DFA</sub> decidable	<b>E</b> <sub>TM</sub> undecidable
<b>EQ</b> <sub>DFA</sub> decidable	<b>EQ</b> <sub>TM</sub> undecidable

# Undecidability of mathematics [Sipser 6.2]

Peano arithmetic: Formalization of mathematical statements about the natural numbers, using  $+,\times,\leq$ 

Ex: "There exist infinitely many primes"

#### Theorem [Church, Turing]:

TPA =  $\{\langle \varphi \rangle \mid \varphi \text{ is a true statement in PA} \}$  is undecidable

**Proof skeleton:** 

#### Gödel's First Incompleteness Theorem [Sipser 6.2]

Theorem: There exists a true statement  $\varphi$  in Peano arithmetic that is not provable

#### Proof idea:

Suppose for contradiction that every true statement is provable. Then TPA = PPA where

 $PPA = \{ \langle \varphi \rangle \mid \varphi \text{ is a } provable \text{ statement in PA} \}$ 

Claim: PPA is Turing-recognizable

Construct a decider for TPA as follows:

#### A simple undecidable problem

Post Correspondence Problem (PCP) [Sipser 5.2]:

Domino:  $\left[\frac{a}{ab}\right]$ . Top and bottom are strings.

Input: Collection of dominos.

$$\left[\frac{aa}{aba}\right], \left[\frac{ab}{aba}\right], \left[\frac{ba}{aa}\right], \left[\frac{abab}{b}\right]$$

Match: List of some of the input dominos (repetitions allowed) where top = bottom

$$\left[\frac{ab}{aba}\right], \left[\frac{aa}{aba}\right], \left[\frac{ba}{aa}\right], \left[\frac{aa}{aba}\right], \left[\frac{abab}{b}\right]$$

Problem: Does a match exist?

This is undecidable

# Computation History Method

A sequence of configurations  $C_0, ..., C_\ell$  is an accepting computation history for TM M on input w if

- 1.  $C_0$  is the start configuration  $q_0w_1 \dots w_n$
- 2. Every  $C_{i+1}$  legally follows from  $C_i$
- 3.  $C_{\ell}$  is an accepting configuration

Reduction from the undecidable language  $A_{\rm TM}$  to a language L using the following idea:

Given an input  $\langle M, w \rangle$  to  $A_{\rm TM}$ , the ability to solve L enables checking the existence of an accepting computation history for M on w