

BU CS 332 – Theory of Computation

Lecture 7:

- More on CFGs
- Pushdown Automata

Reading:

Sipser Ch 2.1-2.3

Midterm I 2/24

My OM 4-6 today

Friday OM 2:30-4:30

MCS B30

Mark Bun
February 12, 2020

Context-Free Grammar (Formal)

A CFG is a 4-tuple $G = (V, \Sigma, R, S)$

- V is a finite set of variables
- Σ is a finite set of terminal symbols (disjoint from V)
- R is a finite set of production rules of the form $A \rightarrow w$, where $A \in V$ and $w \in (V \cup \Sigma)^*$
- $S \in V$ is the start symbol

Context-Free Grammar

Example Grammar G

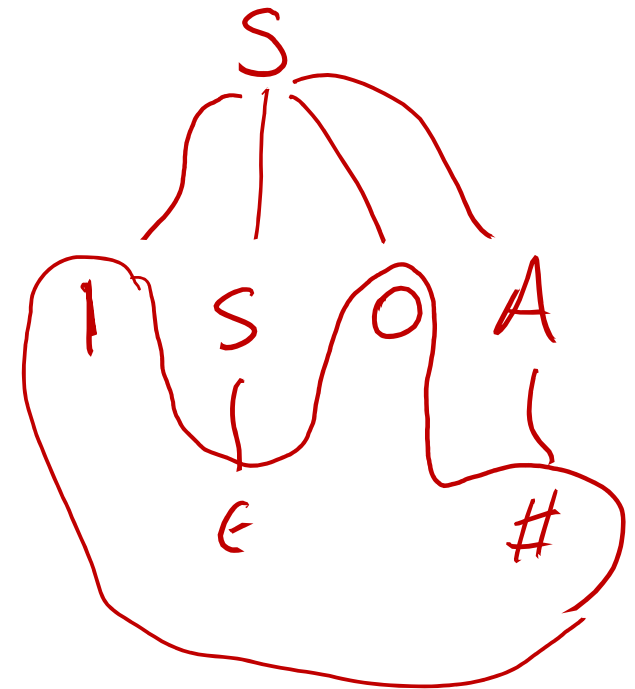
$$S \rightarrow 0S1A \mid 1S0A \mid \varepsilon$$

$$A \rightarrow \# \mid \varepsilon$$

Derivation

$$\begin{aligned} S &\Rightarrow 1S0A \Rightarrow 1\varepsilon 0A = 10A \\ &\Rightarrow 10\# \end{aligned}$$

Parse Tree



Context-Free Languages

Questions about CFLs

L is a *context-free language* if it is the language of some CFG

1. Which languages are *not* context-free?
2. How do we recognize whether $w \in L$?
3. What are the closure properties of CFLs?

~~Pumping Lemma for CFLs~~

Pumping Lemma for context-free languages

Let L be a context-free language.

Then there exists a “pumping length” p such that

For every $w \in L$ where $|w| \geq p$,

w can be split into five parts $w = uvxyz$ where:

1. $|vy| > 0$

2. $|vxy| \leq p$

3. $uv^i xy^i z \in L$ for all $i \geq 0$

Pumping Lemma example

Claim: $L = \{a^n b^n c^n \mid n \geq 0\}$ is not context-free



Proof: Assume L is context-free with pumping length p

1. Find $w \in L$ with $|w| \geq p$ $w = a^p b^p c^p$

2. Show that w cannot be pumped

If $w = uvxyz$ with $|vy| > 0, |vxy| \leq p$, then...

Case 1: v, y both contain only one kind of symbol

Case 2: Either v or y contains two kinds of symbols

Pumping Lemma example

$$\boxed{v = a^n \quad y = c^l}$$
$$v = a^k \quad y = a^l$$

$$uv^2xy^2z$$

has either too many a's or

Claim: $L = \{a^n b^n c^n \mid n \geq 0\}$ is not context-free

Proof: Assume L is context-free with pumping length p too many c's

1. Find $w \in L$ with $|w| \geq p$
2. Show that w cannot be pumped

If $w = uvxyz$ with $|vy| > 0, |vxy| \leq p$, then...

Case 1: v, y both contain only one kind of symbol

\Rightarrow one of the symbols a, b, c is not in either v or y

$$uv^2xy^2z$$

"missed" symbol appears p times
At least one non-missed symbol appear $> p$ times

Case 1: Both v and y each contain ≤ 1 level of symbol

a) $v = a^u, y = a^l$

b) $v = a^u, y = b^l$

~~c) $v = a^u, y = c^l$~~

d) $v = b^u, y = b^l$

e) $v = b^u, y = c^l$

f) $v = c^u, y = c^l$

Not possible

$\Rightarrow x$ contains $b^p \Rightarrow |vxy| > p$

b) i) $k > 0 \mid \overset{w'}{uv^2xy^2z} : \begin{matrix} \# c's = p \\ \# a's > p \end{matrix} \Rightarrow w' \notin L$

ii) $l > 0 \mid \overset{w'}{uv^2xy^2z} : \begin{matrix} \# c's = p \\ \# b's > p \end{matrix} \Rightarrow w' \notin L$

Pumping Lemma example

Claim: $L = \{a^n b^n c^n \mid n \geq 0\}$ is not context-free

Proof: Assume L is context-free with pumping length p

1. Find $w \in L$ with $|w| \geq p$

2. Show that w cannot be pumped

If $w = uvxyz$ with $|vy| > 0, |vxy| \leq p$, then...

Case 2: Either v or y contains two kinds of symbols

a) v contains ab

b) v contains bc

c) y contains ab

d) y contains bc

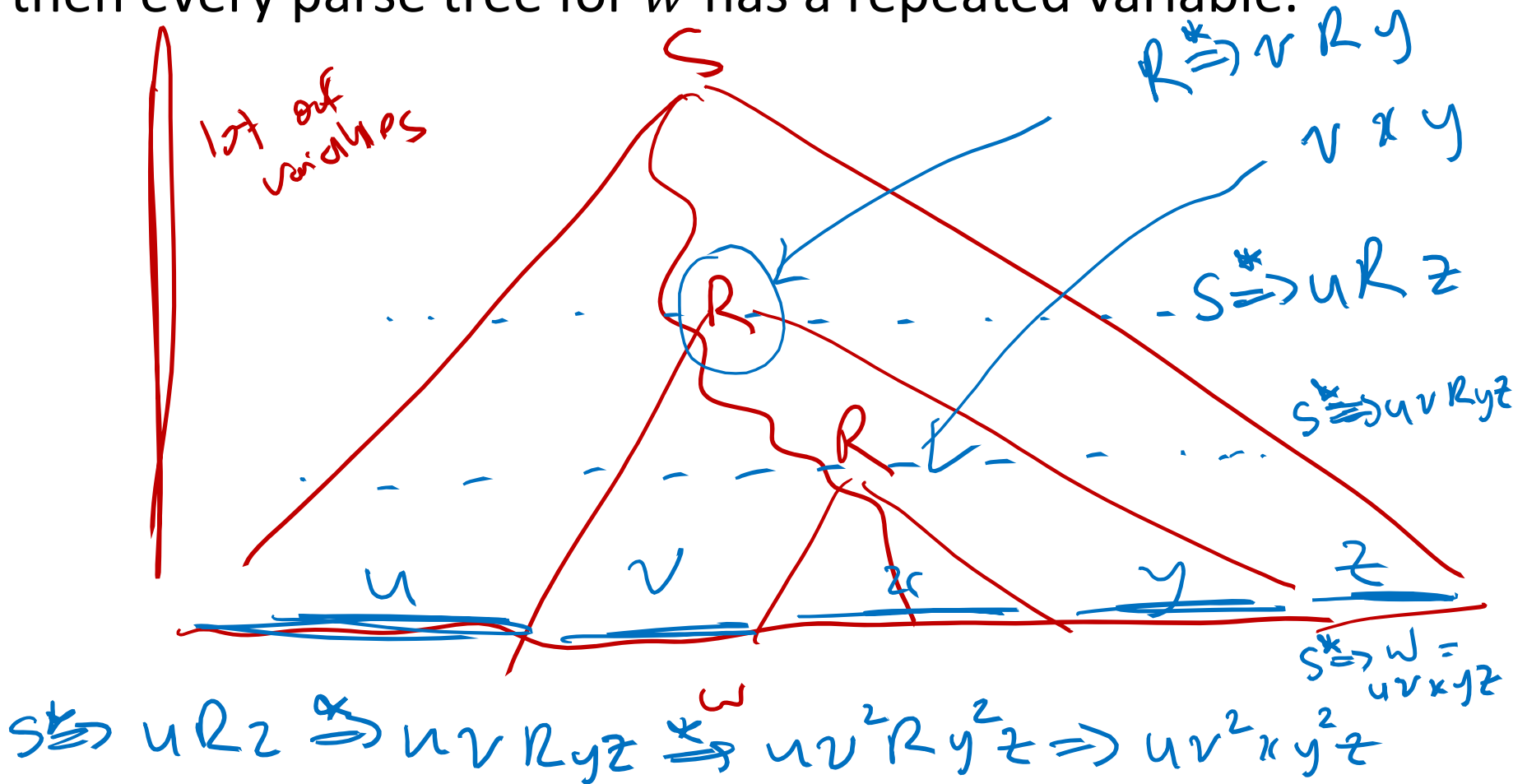
uv^2xy^2z

$uv^2x \underbrace{\dots ab \dots}_y \underbrace{\dots ab \dots}_y z \notin L$

Pumping Lemma: Proof idea

$$\begin{aligned}
 S &\stackrel{*}{\Rightarrow} uRz \\
 &\stackrel{*}{\Rightarrow} uvRyz \\
 &\stackrel{*}{\Rightarrow} uvxyz
 \end{aligned}$$

Let L be a context-free language. If $w \in L$ is long enough, then every parse tree for w has a repeated variable.

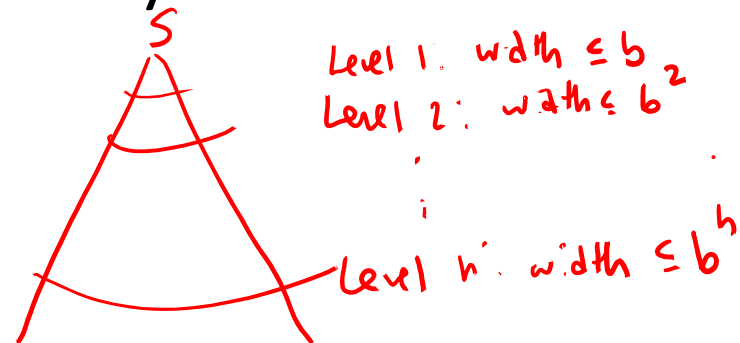


Pumping Lemma Proof

What does “long enough” mean? (How do we choose the pumping length p ?)

$R \rightarrow AxByz \dots \#S$
 $\leq b$ for every rule

- Let G be a CFG for L
- Suppose the right-hand side of every rule in G uses at most b symbols
- Let $p = b^{|V|+1}$



Claim: If $w \in L$ with $|w| \geq p$, then the smallest parse tree for w has height at least $|V| + 1$

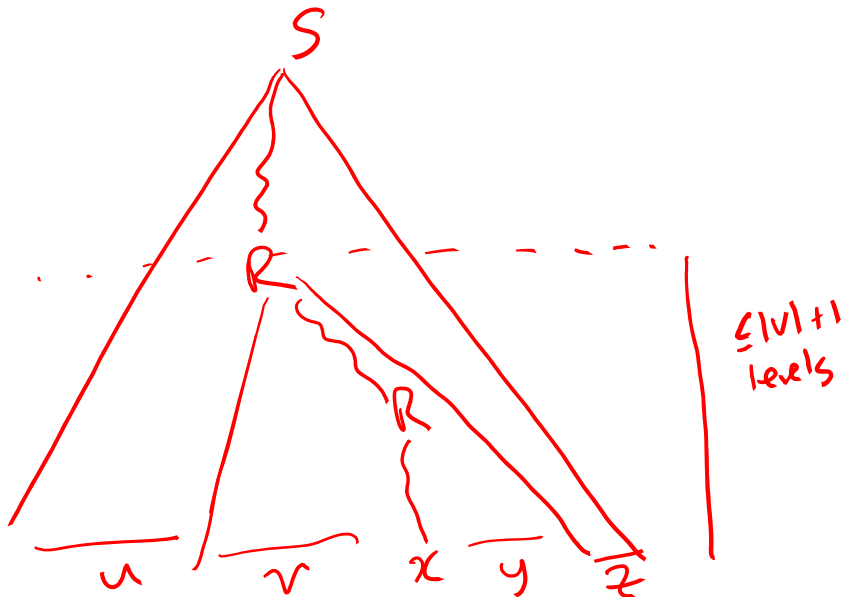
why? If w had a parse tree of height $\leq |V|$, then $|w| \leq b^{|V|} < p$, a contradiction.

Pumping Lemma Proof

Claim: If $w \in L$ with $|w| \geq p$, then the smallest parse tree for w has height at least $|V| + 1$



- By the pigeonhole principle, there is a path down the parse tree with a repeated variable R
- Choose two such occurrences within the bottom $|V| + 1$ levels



- 1) $|vxy| > 0$ because this is the smallest parse tree. If vxy were ϵ , then the parse tree for $w = uxz$ would be smaller
- 2) $|vxy| \leq p$ because the top R is at most $|V| + 1$ levels from the bottom
- 3) $u v^i x y^i z \in L$ for every i because we can apply the rule $R \Rightarrow v R y$ as many times as we want

Context-Free Languages

Questions about CFLs

L is a *context-free language* if it is the language of some CFG

1. Which languages are *not* context-free?
2. How do we recognize whether $w \in L$?
3. What are the closure properties of CFLs?

Pumping Lemma

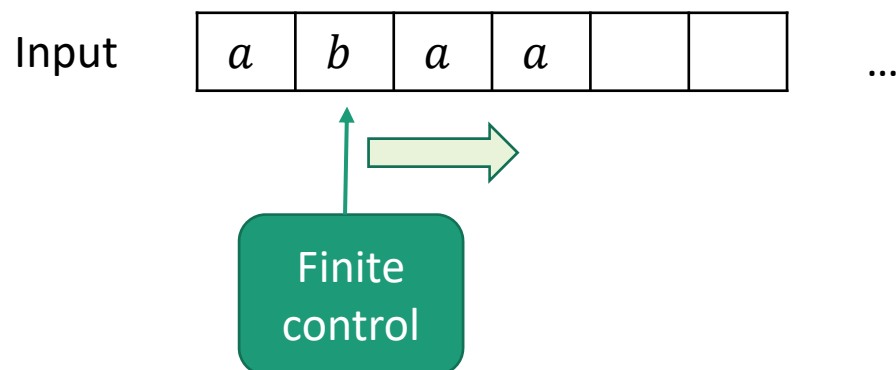
Pushdown Automaton
(PDA)

Pushdown Automata

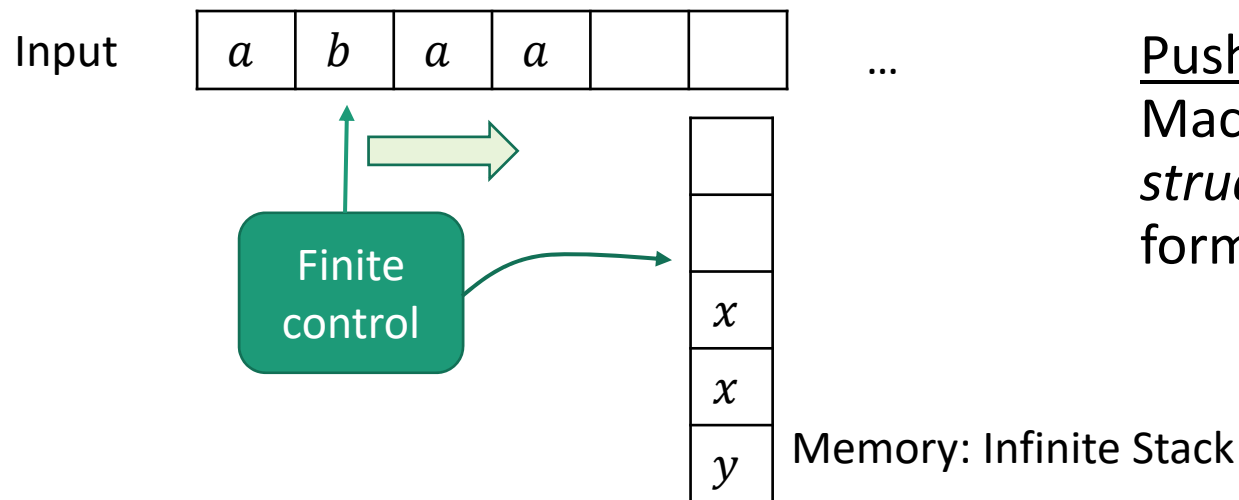
Regular Expressions : Finite Automata ::

Context-Free Languages : ???

Pushdown Automata



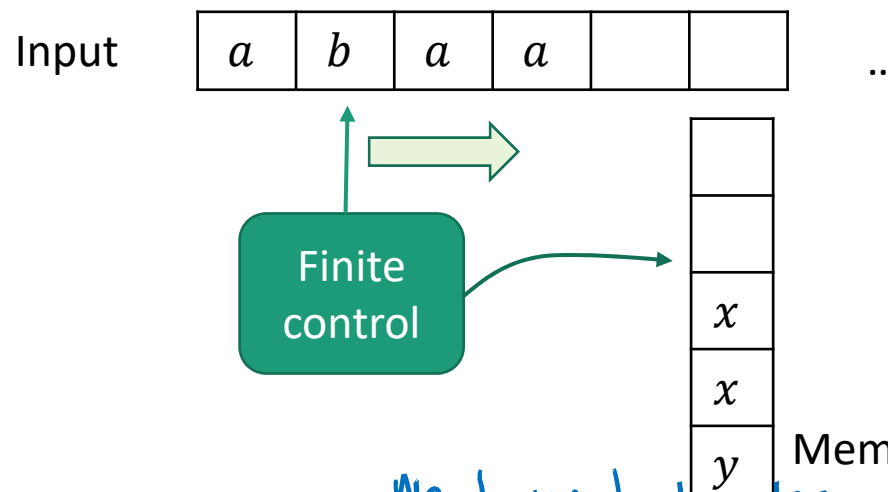
Finite Automata (FAs):
Machine with a *finite* amount of unstructured memory



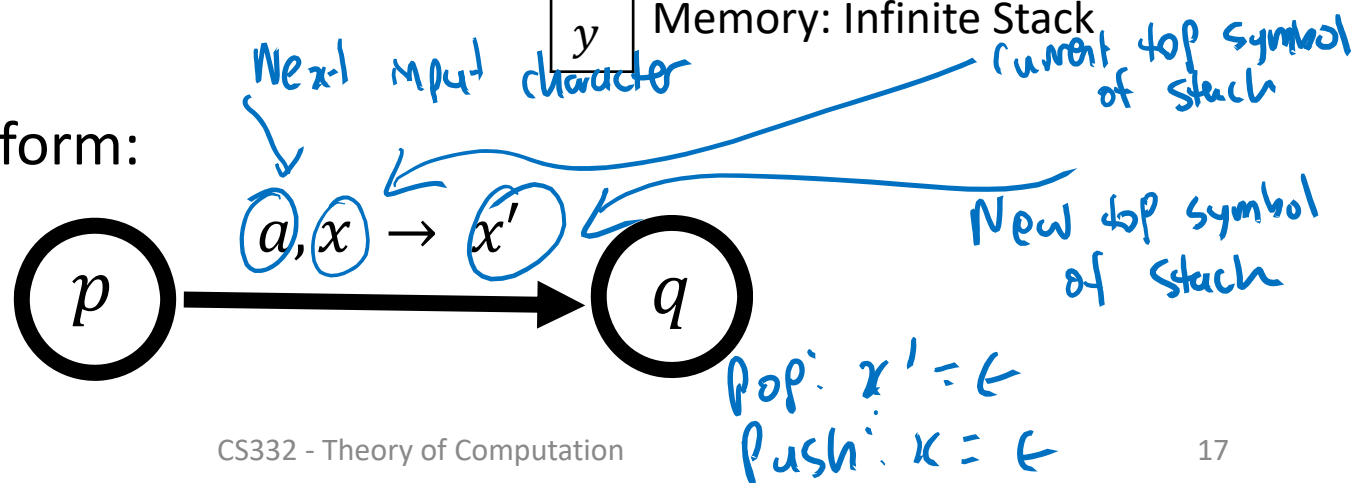
Pushdown Automata (PDAs):
Machine with *unbounded structured* memory in the form of a stack

Pushdown Automaton (the idea)

- **Nondeterministic** finite automaton + stack
- Stack has unlimited size, but machine can only manipulate (push, pop, read) symbol at the top



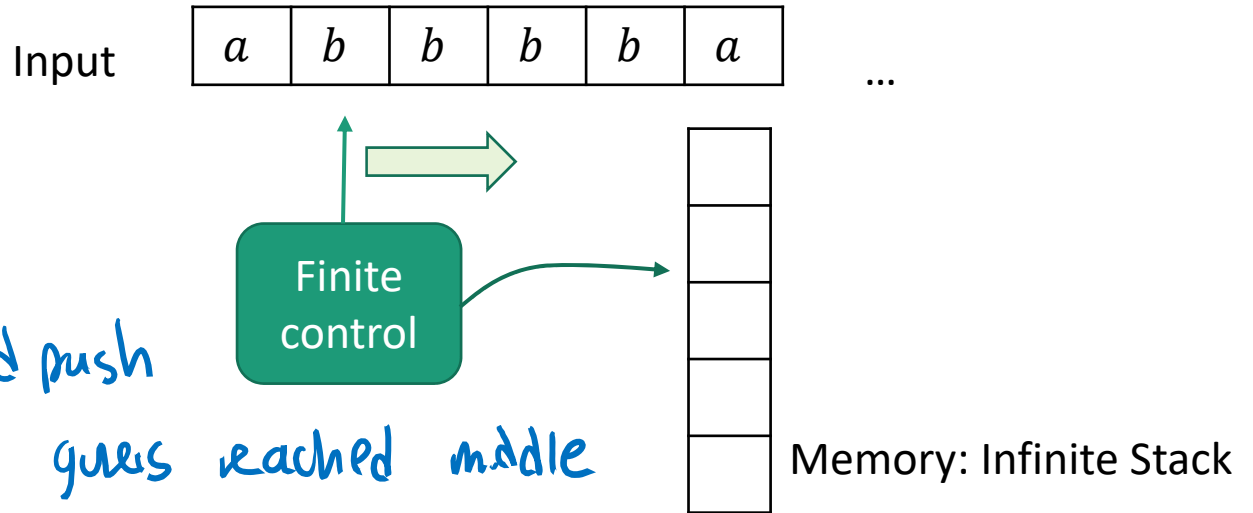
Transitions of the form:



Example: Even Palindromes

Hw: All palindromes

$$L = \{ww^R \mid w \in \{0,1\}^*\}$$



— Push \$

— repeatedly
— Read a character and push

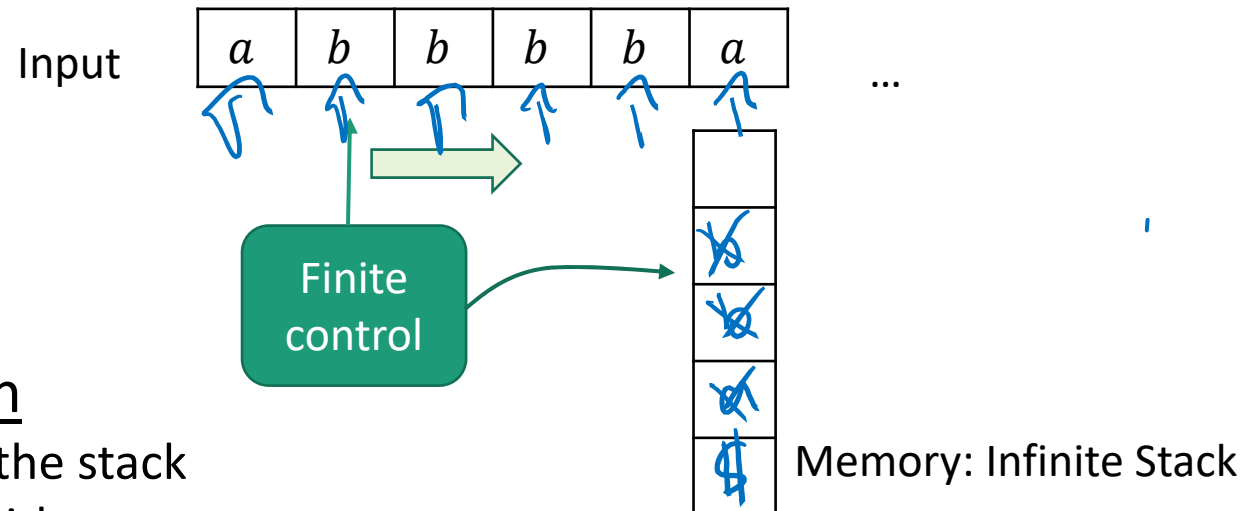
Non-deterministically guess reached middle
& move on

— Repeatedly read a character and check if it matches top of
Stack & pop

— Accept if done reading input & stack is empty
has \$ on top

Example: Even Palindromes

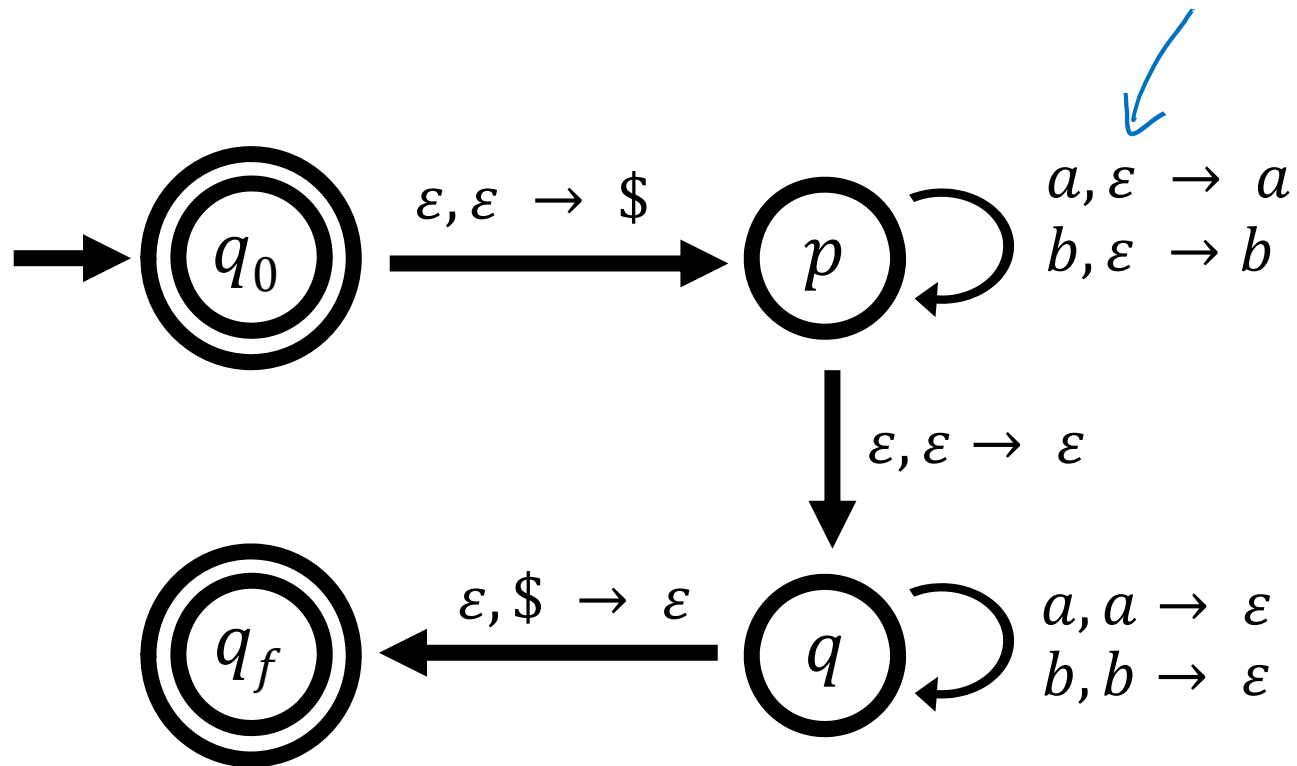
$$L = \{ww^R \mid w \in \{0,1\}^*\}$$



Algorithmic Description

1. Place the marker \$ on the stack
2. Nondeterministically, either
 - a) Read a character and push it to the stack, or
 - b) Go to the next step
3. Nondeterministically, either
 - a) Pop the stack if it matches the next character or
 - b) Go to the next step
4. Accept if the top of the stack is \$

Example: Even Palindromes



Pushdown Automaton (formal)

A PDA is a 6-tuple (sorry) $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$

- Q is a finite set of states
- Σ is the input alphabet
- Γ is the stack alphabet
- $\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow P(Q \times \Gamma_\epsilon)$ is the transition function
- q_0 is the start state
- F is the set of final states

$$\delta(q, a, x) = (p, x')$$

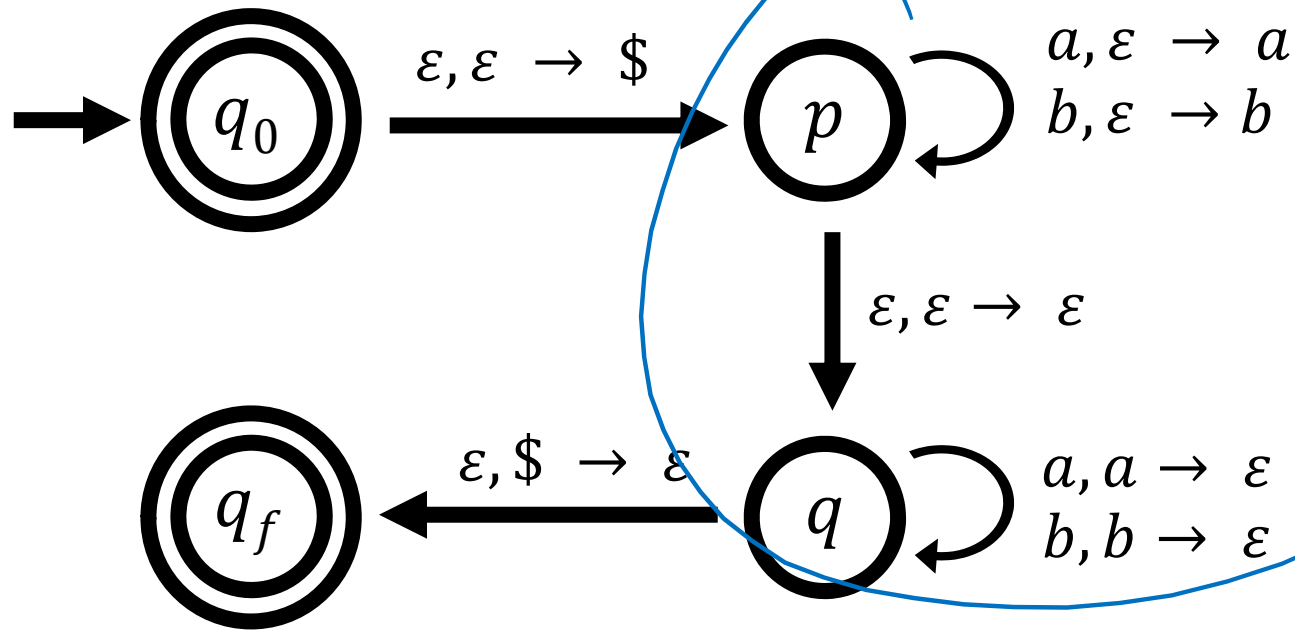
$$\textcircled{q} \xrightarrow{a, x \rightarrow x'} \textcircled{p}$$

$$\Sigma_\epsilon = \Sigma \cup \{\epsilon\}, \quad \Gamma_\epsilon = \Gamma \cup \{\epsilon\}$$

M **accepts** a string w if, starting from q_0 and an empty stack, **there exists** a path to an accept state that can be followed by reading all of w .

Example: Even Palindromes

All the action is here



$$Q = \{q_0, p, q, q_f\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, b, \$\}$$

$$F = \{q_0, q_f\}$$

$$\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow P(Q \times \Gamma_\epsilon)$$

$$\delta(p, b, \epsilon) = \{(p, b)\}$$

$$\delta(q, a, a) = \{(q, \epsilon)\}$$

$$\delta(q, a, b) = \emptyset$$