

BU CS 332 – Theory of Computation

Lecture 8:

- Equivalence between PDAs and CFGs
- Closure Properties

Reading:

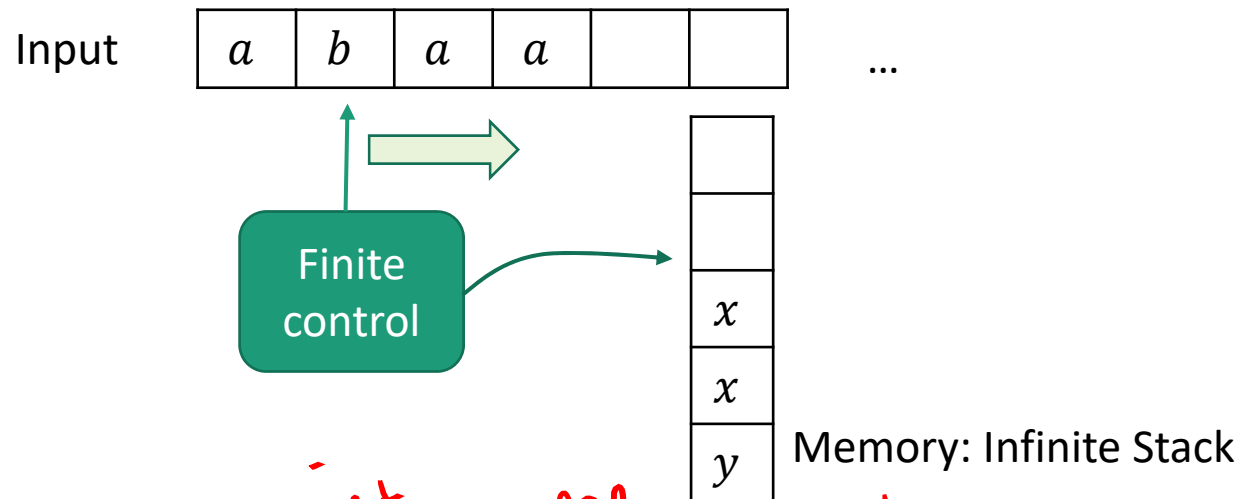
Sipser Ch 2.2

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February 18, 2020

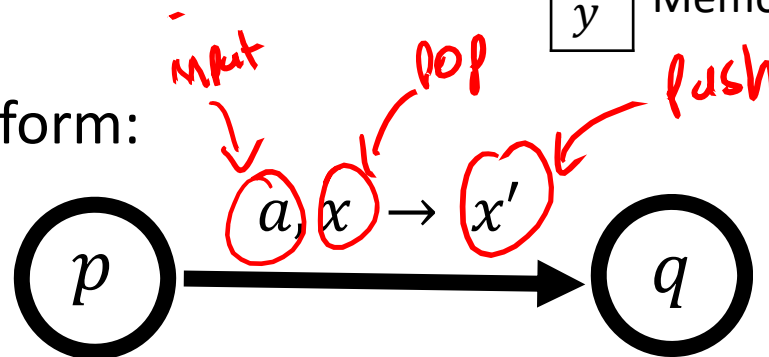


Pushdown Automaton (the idea)

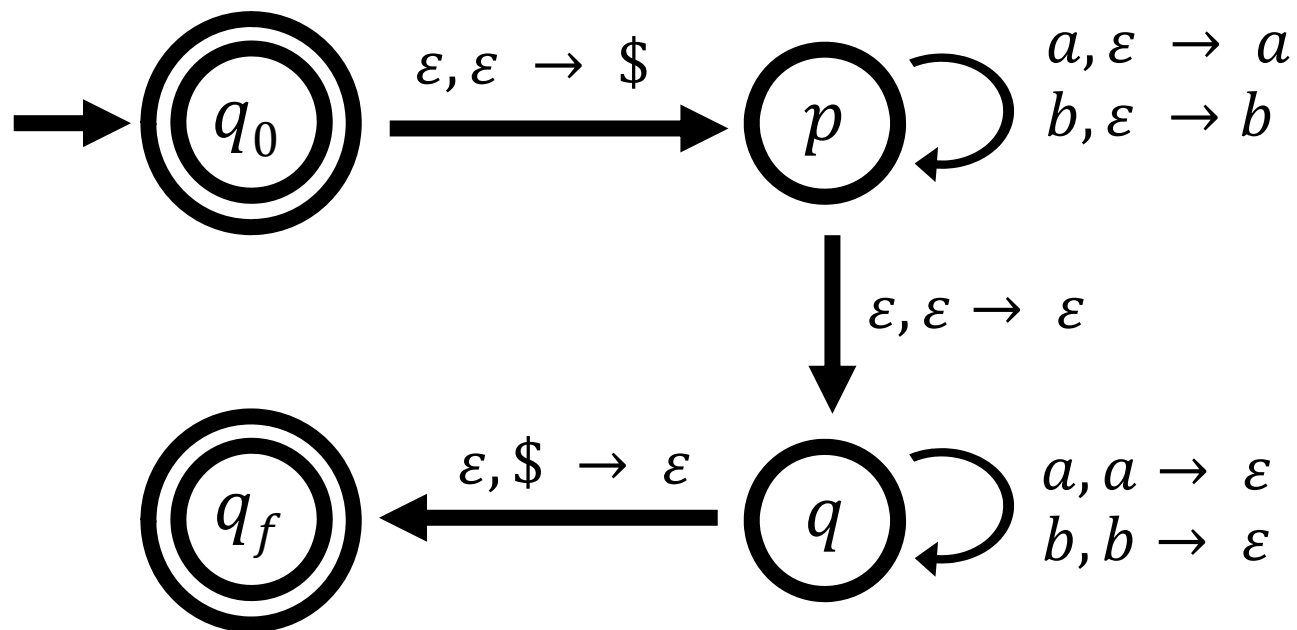
- **Nondeterministic** finite automaton + stack
- Stack has unlimited size, but machine can only manipulate (push, pop, read) symbol at the top



Transitions of the form:



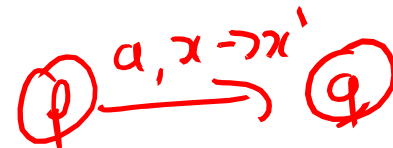
Example: Even Palindromes



Pushdown Automaton (formal)

A PDA is a 6-tuple $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$

- Q is a finite set of states
- Σ is the input alphabet
- Γ is the stack alphabet
- $\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow P(Q \times \Gamma_\epsilon)$ is the transition function
- q_0 is the start state
- F is the set of final states



M **accepts** a string w if, starting from q_0 and an empty stack, **there exists** a path to an accept state that can be followed by reading all of w .

Example

$L = \{w \mid w \text{ has an equal number of } a\text{'s and } b\text{'s}\}$

a b b b a a
↑ ↑ pop
push



Algorithmic description

1. Push $\$$ to stack

2. Repeat indefinitely:

Do one of the following:

- Read character x & push to the stack
- Match next character to stack & pop it

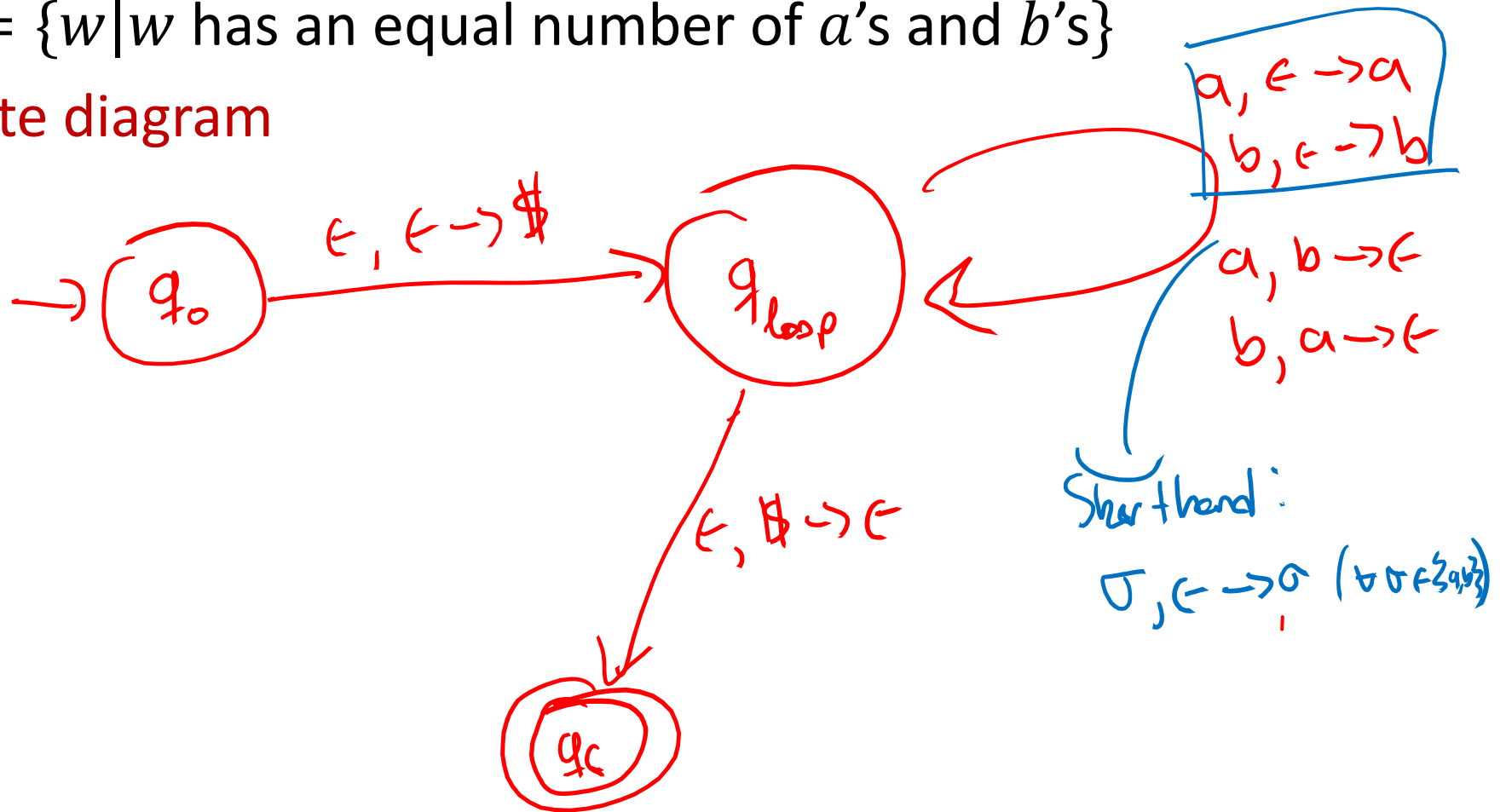
Next char = a, stack = b
Next char = b, stack = a

→ Accept if $\$$ on the stack

Example

$L = \{w \mid w \text{ has an equal number of } a\text{'s and } b\text{'s}\}$

State diagram



CFGs vs. PDAs

The language $L(M)$ of a PDA M is the set of all strings it accepts.

Theorem: The class of languages recognized by PDAs is exactly the context-free languages.

Theorem 1: Every CFG has an equivalent PDA

Theorem 2: Every PDA has an equivalent CFG

CFG \rightarrow PDA

CFG \rightarrow PDA Conversion

Suppose language L is generated by CFG $G = (V, \Sigma, R, S)$

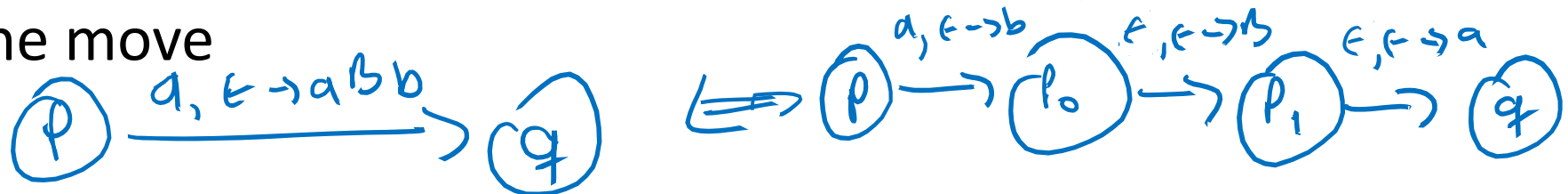
Goal: Construct a PDA $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$ recognizing L

Idea: M will guess the steps of the CFG derivation of its input w , and use its stack to check the derivation



A helpful intermediate abstraction

Generalized PDA: Can push an entire string to the stack in one move

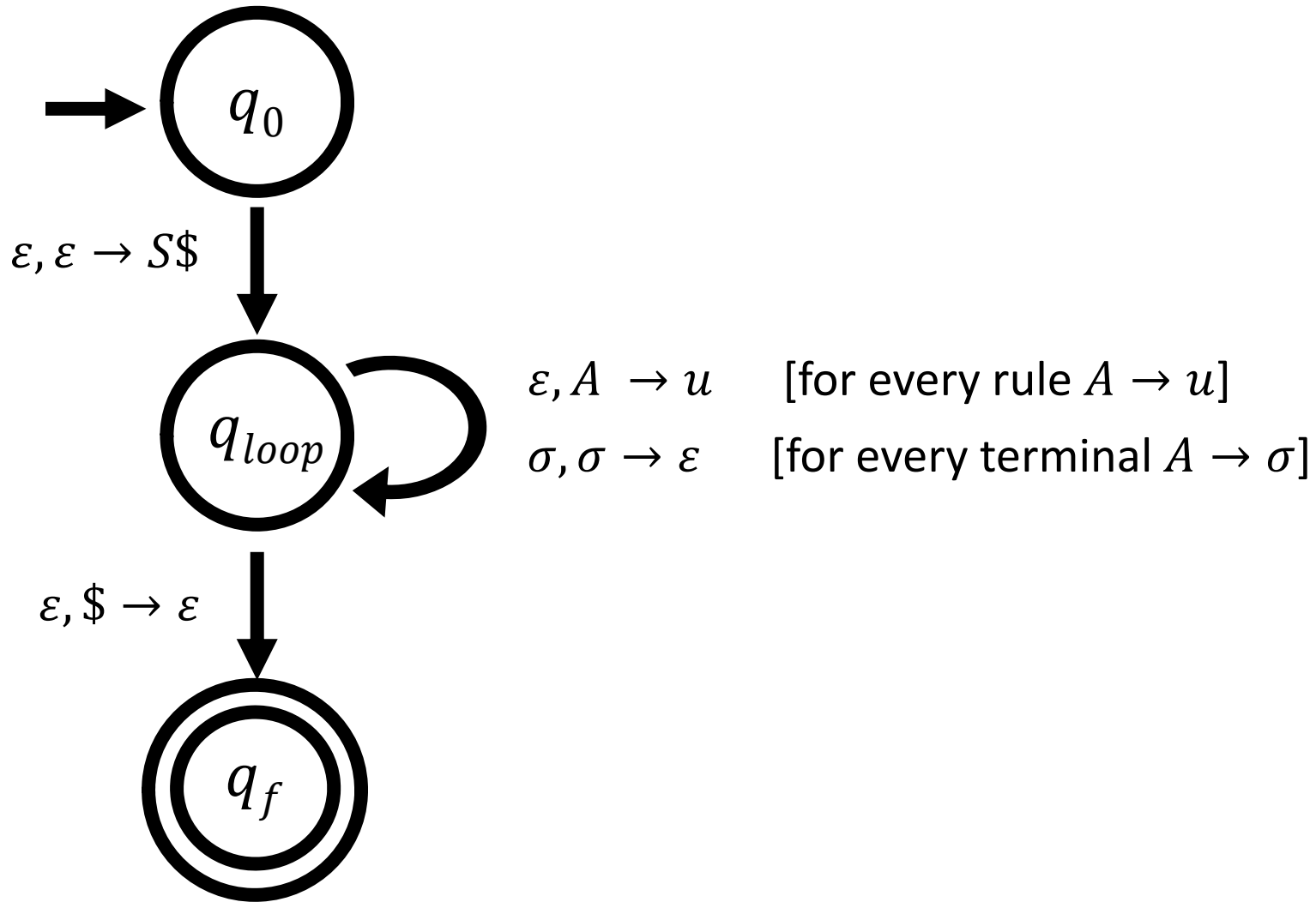


Algorithmic Description



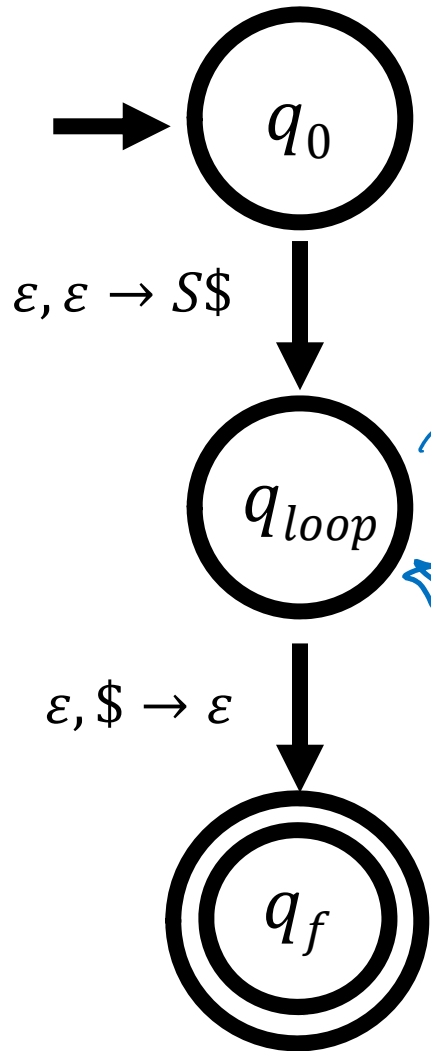
1. Place \$ and the start variable S on the stack
2. Repeat forever:
 - a) If the top of the stack holds variable A :
Nondeterministically guess a rule $(A \rightarrow u) \in R$
Replace A with u on the stack
 - b) If the top of the stack holds terminal σ :
Pop σ and verify that it matches the next input char
 - c) If the top of the stack holds \$:
Accept if the input is empty

State Diagram



Example

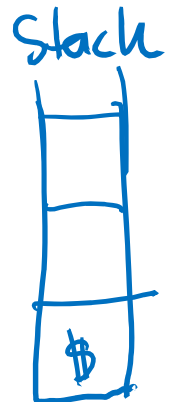
$$S \rightarrow aTb$$
$$T \rightarrow Ta \mid \epsilon$$



$\epsilon, S \rightarrow aTb$
 $\epsilon, T \rightarrow \epsilon$
 $\epsilon, T \rightarrow Ta$
 $a, a \rightarrow \epsilon$
 $b, b \rightarrow \epsilon$

$S \Rightarrow aTb$
 $\Rightarrow aTab$
 $\Rightarrow \underline{a a b}$

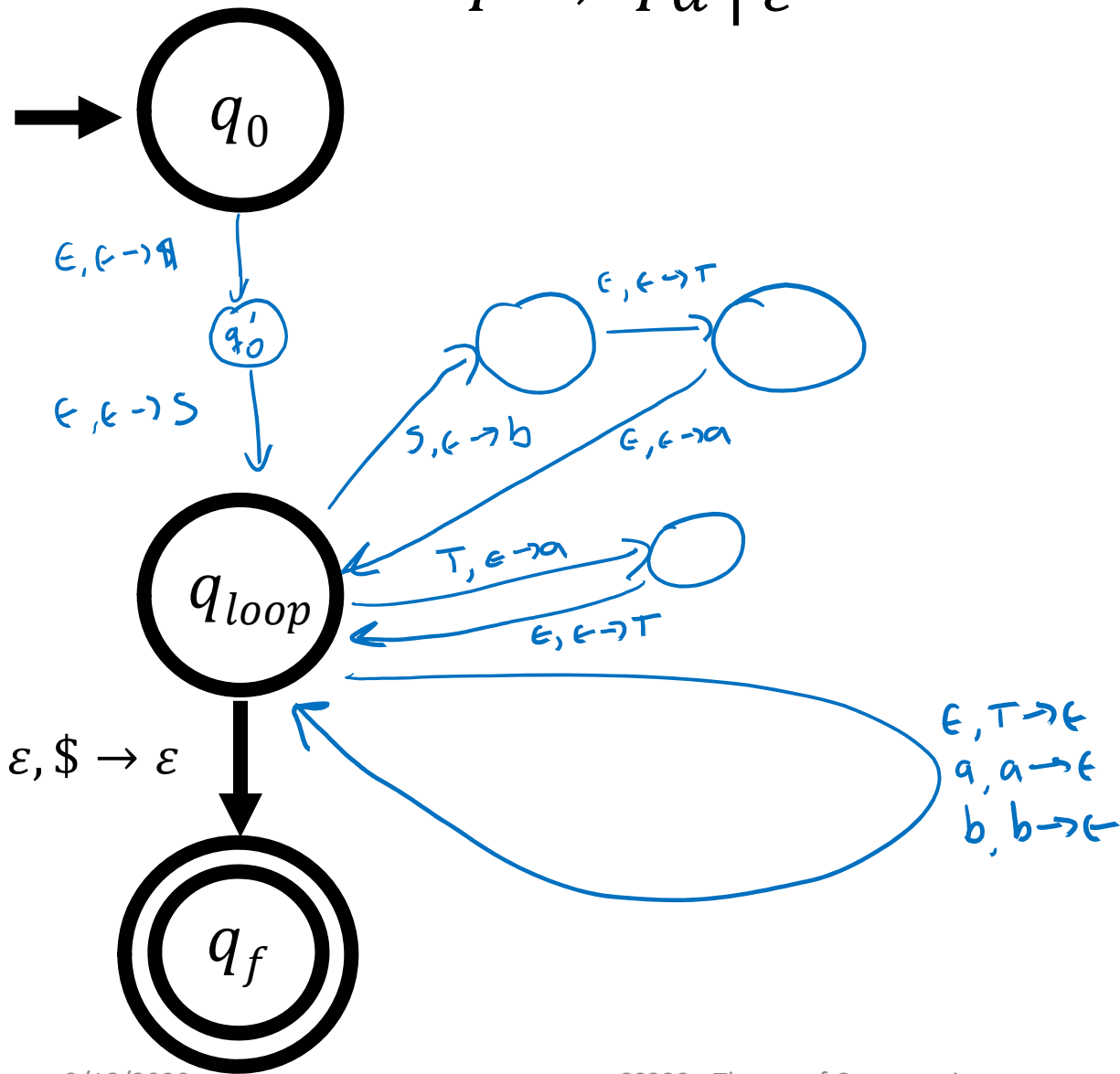
Input aab



Example

$$S \rightarrow aTb$$

$$T \rightarrow Ta \mid \epsilon$$



PDA \rightarrow CFG

PDA \rightarrow CFG Conversion

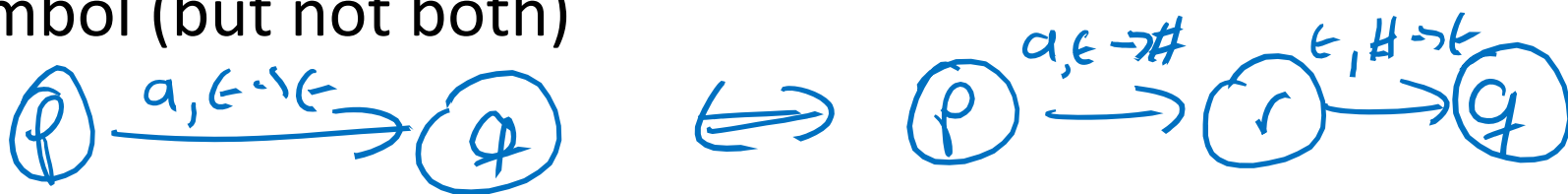
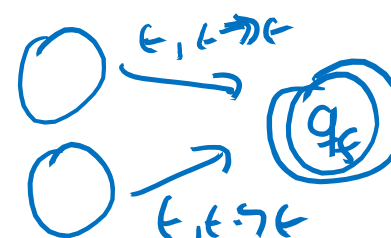
Theorem 2: Every PDA has an equivalent CFG

Suppose L is recognized by PDA $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$

Goal: Construct a CFG $G = (V, \Sigma, R, S)$ generating L

First simplify M so that:

1. It has a single accept state q_f
2. It empties the stack before accepting
3. Every transition either pushes a symbol or pops a symbol (but not both)



Conversion Idea

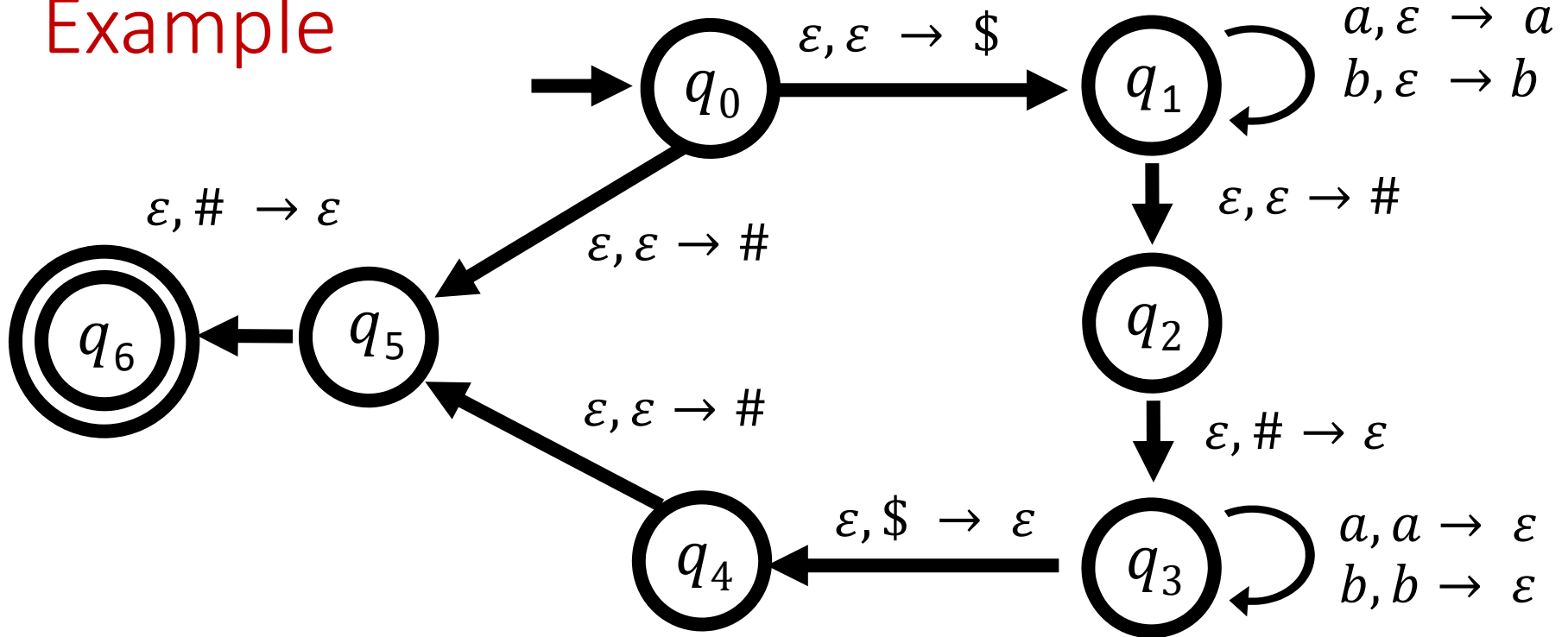
Variables: A_{pq} for every pair of states p, q in PDA M

Idea: A_{pq} generates all strings that can take M from p (with an empty stack) to q (with an empty stack)

$$V = \{ A_{pq} \mid p, q \in Q \}$$

$$S = A_{q_0 q_{final}}$$

Example



What strings should $A_{q_0q_1}$ generate?

None

What strings should $A_{q_1q_3}$ generate?



$\{ ww^R \mid w \in \Sigma_{a,b}^* \}$

What strings should $A_{q_1q_4}$ generate?

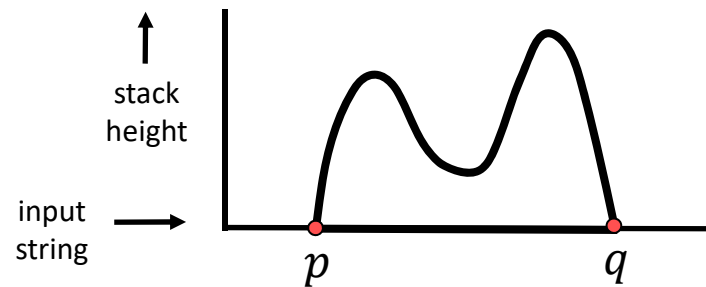
None

What rules should define A_{pq} ?

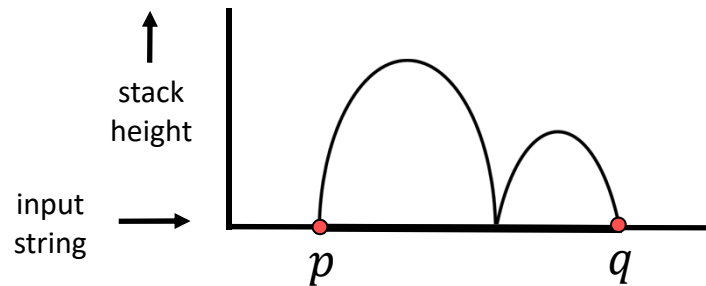
Let x be a string generated by A_{pq}

Two cases:

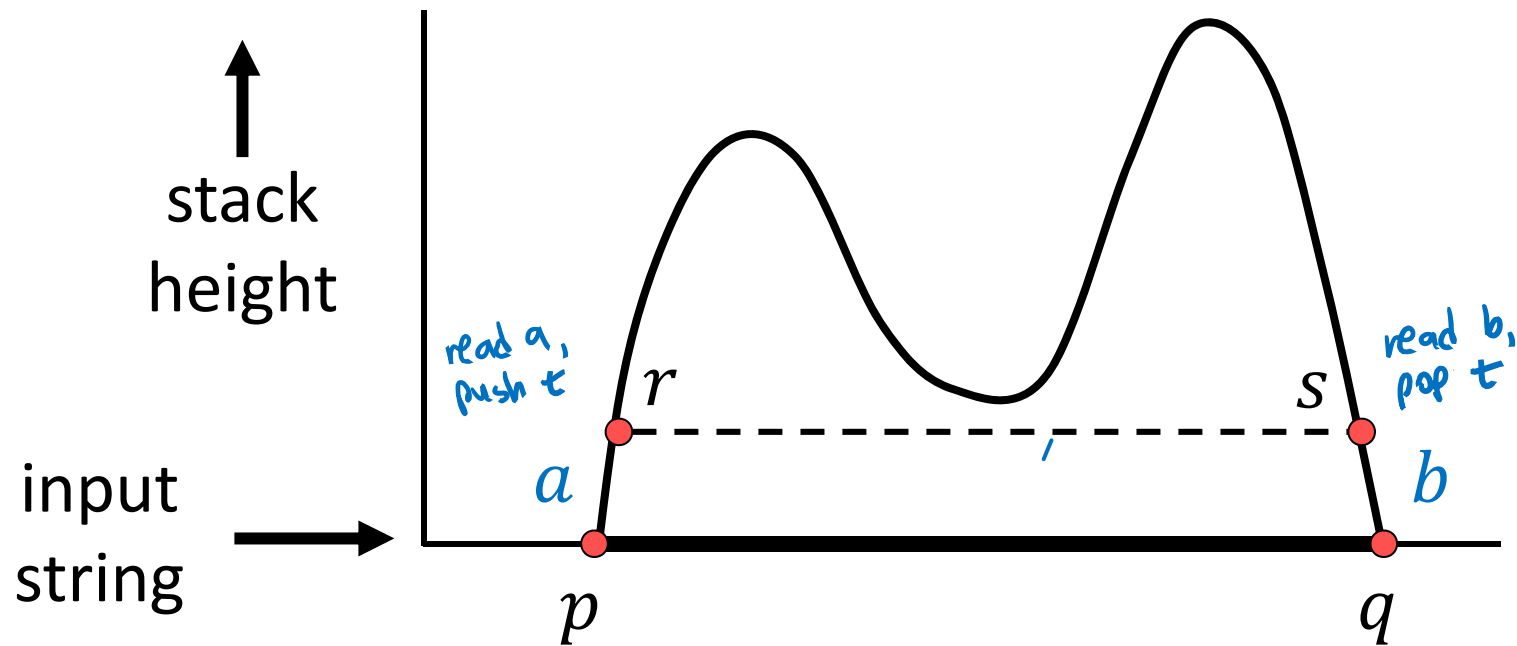
1) Stack first empties **after reading all of x**



2) Stack empties **before reaching the end of x**

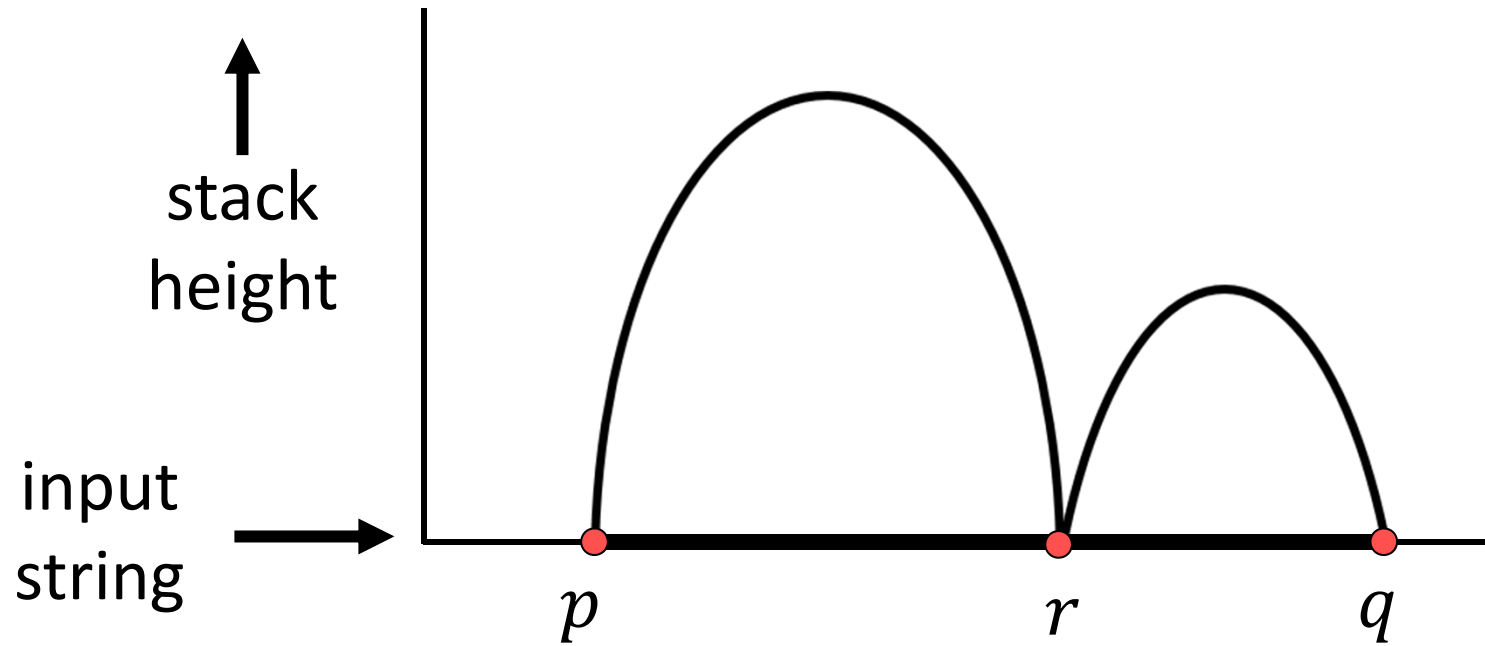


1. Stack first empties after reading all of x



Add rule $A_{pq} \rightarrow aA_{rs}b$

2. Stack empties before reaching the end of x



Add rule $A_{pq} \rightarrow A_{pr}A_{rq}$

Formal CFG Construction

$$V = \{A_{pq} \mid p, q \in Q\}$$

$$S = A_{q_0q_f}$$

Three kinds of rules:

1. For every $p, q, r, s \in Q$, $t \in \Gamma$, $a, b \in \Sigma_\varepsilon$:

If $(r, t) \in \delta(p, a, \varepsilon)$ and $(q, \varepsilon) \in \delta(s, b, t)$,
include the rule $A_{pq} \rightarrow aA_{rs}b$

Handwritten annotations:
- "new state" points to p
- "new stack" points to a
- "current state" points to r
- "stack" points to ε
- "next char" points to t

2. For every $p, q, r \in Q$, include the rule $A_{pq} \rightarrow A_{pr}A_{rq}$

3. For every $p \in Q$, include the rule $A_{pp} \rightarrow \varepsilon$

Sketch of proof that CFG generates $L(M)$

Claim: $A_{pq} \Rightarrow^* x$ if and only if x takes M from p to q , beginning and ending with empty stack

Proof idea:

\Rightarrow Induction on number of steps of derivation of x from A_{pq}

\Leftarrow Induction on number of steps of computation taking M from p to q

Closure Properties

Closure Properties

- The class of CFLs is closed under

Union

Concatenation

Star

Intersection with *regular* languages

A context-free, B regular $\Rightarrow A \cap B$ CFL

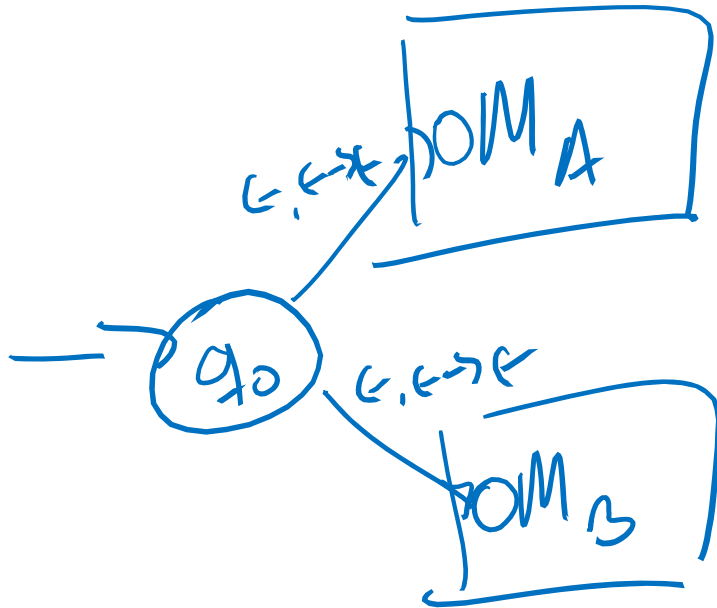
- **Beware:** It is not closed under intersection or complement
(Find counterexamples!)

A CFL, B CFL, $A \cap B$ not CFL

Closure under union (Proof 1)

Let A be a CFL recognized by PDA M_A and let B be a CFL recognized by PDA M_B

Goal: Construct a PDA recognizing $A \cup B$



Closure under union (Proof 2)

Let A be a CFL generated by CFG G_A and let B be a CFL recognized by CFG G_B

Goal: Construct a CFG G recognizing $A \cup B$

$$G_A = (V_A, \Sigma_A, R_A, S_A)$$

$$G_B = (V_B, \Sigma_B, R_B, S_B)$$

Relabel variables so V_A and V_B are disjoint

Let $G = (V, \Sigma, R, S)$



$$R = R_A \cup R_B \cup \{S \rightarrow S_A, S \rightarrow S_B\}$$