

# BU CS 332 – Theory of Computation

## Lecture 16:

- Mapping Reducibility

Reading:

Sipser Ch 5.3

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# Problems in language theory

$A_{\text{DFA}}$ decidable	$A_{\text{CFG}}$ decidable	$A_{\text{TM}}$ undecidable
$E_{\text{DFA}}$ decidable	$E_{\text{CFG}}$ decidable	$E_{\text{TM}}$ undecidable
$EQ_{\text{DFA}}$ decidable	$EQ_{\text{CFG}}$ ?	$EQ_{\text{TM}}$ ?

reduction  
↓

# Reductions

A **reduction** from problem  $A$  to problem  $B$  is an algorithm for problem  $A$  which uses an algorithm for problem  $B$  as a subroutine

If such a reduction exists, we say “ $A$  reduces to  $B$ ”

# Reminder: Using reductions to prove undecidability

If  $A$  reduces to  $B$  and  $A$  is undecidable, then  $B$  is also undecidable  $E_{TM}$   $A_{TM}$

Proof template:

1. Suppose to the contrary that  $B$  is decidable
2. Using  $B$  as a subroutine, construct an algorithm deciding  $A$
3. But  $A$  is undecidable. Contradiction!



# Equality Testing for TMs

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $EQ_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :  $A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM which accepts on input } w \}$

1. Construct TMs  $M_1, M_2$  as follows:

Idea:  $L(M_1) = L(M_2) \iff M \text{ accepts input } w$

$$L(M_1) = \begin{cases} \emptyset & \text{if } M \text{ does not accept } w \\ \Sigma^* & \text{if } M \text{ accepts } w \end{cases} \quad \left| \quad L(M_2) = \Sigma^*$$

2. Run  $R$  on input  $\langle M_1, M_2 \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

This is a reduction from  $A_{TM}$  to  $EQ_{TM}$

# Equality Testing for TMs

Claim:  $L(M_1) = L(M_2) \Leftrightarrow M \text{ accepts } w$   
 $L(M_1) \neq \Sigma^* \Leftrightarrow M \text{ accepts } w$

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $EQ_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1 =$  " On input  $x$  :  
1) Ignore  $x$   
2) Run  $M$  on input  $w$   
3) If  $M$  accepts, accept  
   If  $M$  rejects, reject

$M_2 =$  " On input  $x$  :  
1) Ignore  $x$ , and accept"

$L(M_1) = \begin{cases} \emptyset & \text{if } M \text{ does not accept } w \\ \Sigma^* & \text{if } M \text{ accepts } w \end{cases}$   
 $M_1$  only recognizes one of these langs.

2. Run  $R$  on input  $\langle M_1, M_2 \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

This is a reduction from  $A_{TM}$  to  $EQ_{TM}$

# Problems in language theory

$A_{\text{DFA}}$ decidable	$A_{\text{CFG}}$ decidable	$A_{\text{TM}}$ undecidable
$E_{\text{DFA}}$ decidable	$E_{\text{CFG}}$ decidable	$E_{\text{TM}}$ undecidable
$EQ_{\text{DFA}}$ decidable	$EQ_{\text{CFG}}$ ?	$EQ_{\text{TM}}$ undecidable

# Context-free language testing for TMs

$$CFL_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is context free}\}$$

**Theorem:**  $CFL_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $CFL_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct a TM  $M'$  as follows:

Idea:  $L(M')$  is context free  $\iff M$  accepts  $w$

$$L(M') = \begin{cases} \Sigma^* & \text{if } M \text{ accepts } w \\ B & \text{if } M \text{ does not accept } w \end{cases}$$

$B$  is your favorite non-context-free language



2. Run  $R$  on input  $\langle M' \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**

This is a reduction from  $A_{TM}$  to  $CFL_{TM}$



RE G PDA?

# Context-free language testing for TMs

$$CFL_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is context free}\}$$

**Theorem:**  $CFL_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $CFL_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

1. Construct a TM  $M'$  as follows:

$M' =$  "On input  $x$ ,

1. If  $x \in \{0^n 1^n 2^n \mid n \geq 0\}$ , **accept**
2. Run TM  $M$  on input  $w$
3. If  $M$  accepts, **accept**."

$M$  does not accept  $w$ :  
 $M' \text{ Accept } x \iff x \in B$

$M$  does accept  $w$ :  
 $M' \text{ Accept all } x \implies L(M') = \Sigma^*$

$M$  accepts  $w \iff L(M')$  is a CFL

2. Run  $R$  on input  $\langle M' \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**

Description of machine deciding  $A_{TM}$

This is a reduction from  $A_{TM}$  to  $CFL_{TM}$

# Mapping Reductions

"Many-to-one reductions"

## Warning

We know  $A_{TM}$  is recognizable

What's wrong with the following "proof"?

**Bogus "Theorem":**  $A_{TM}$  is not Turing-recognizable

**Bogus "Proof":** Suppose for contradiction that there exists a recognizer  $R$  for  $A_{TM}$ . We construct a recognizer for  $\overline{A_{TM}}$ :

doesn't exist

On input  $\langle M, w \rangle$ :

1. Run  $R$  on input  $\langle M, w \rangle$
2. If  $R$  accepts, **reject**. Otherwise, **accept**.

Problem:  $R$  loops forever on  $\langle M, w \rangle \Rightarrow$  new machine also loops forever

This sure looks like a reduction from  $\overline{A_{TM}}$  to  $A_{TM}$

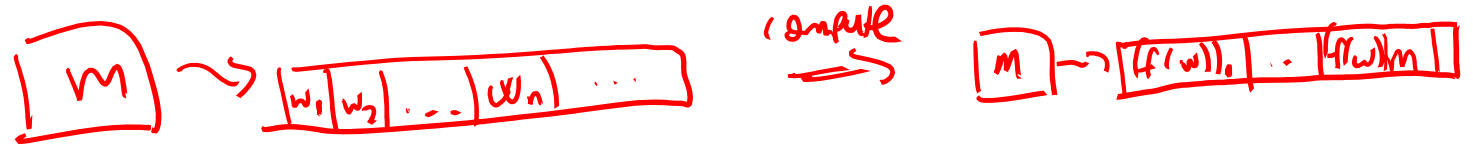
# Mapping Reductions: Motivation

1. How do we formalize the notion of a reduction?
2. How do we use reductions to show that languages are unrecognizable?
3. How do we protect ourselves from accidentally “proving” bogus theorems about recognizability?

# Computable Functions

## Definition:

A function  $f: \Sigma^* \rightarrow \Sigma^*$  is **computable** if there is a TM  $M$  which, given as input any  $w \in \Sigma^*$ , halts with only  $f(w)$  on its tape.



**Example 1:**  $f(\langle x, y \rangle) = x + y$

$x, y \in \{0, 1\}^*$   
 $\langle x, y \rangle = x \# y$   
example encoding

**Example 2:**  $f(\langle M, w \rangle) = \langle M' \rangle$  where  $M$  is a TM,  $w$  is a string, and  $M'$  is a TM that ignores its input and simulates running  $M$  on  $w$

$M' =$  "On input  $x$ :"  
1) Ignore  $x$   
2) Run  $M$  on  $w$   
3) If  $M$  accepts, accept, else ..  
reject

# Mapping Reductions

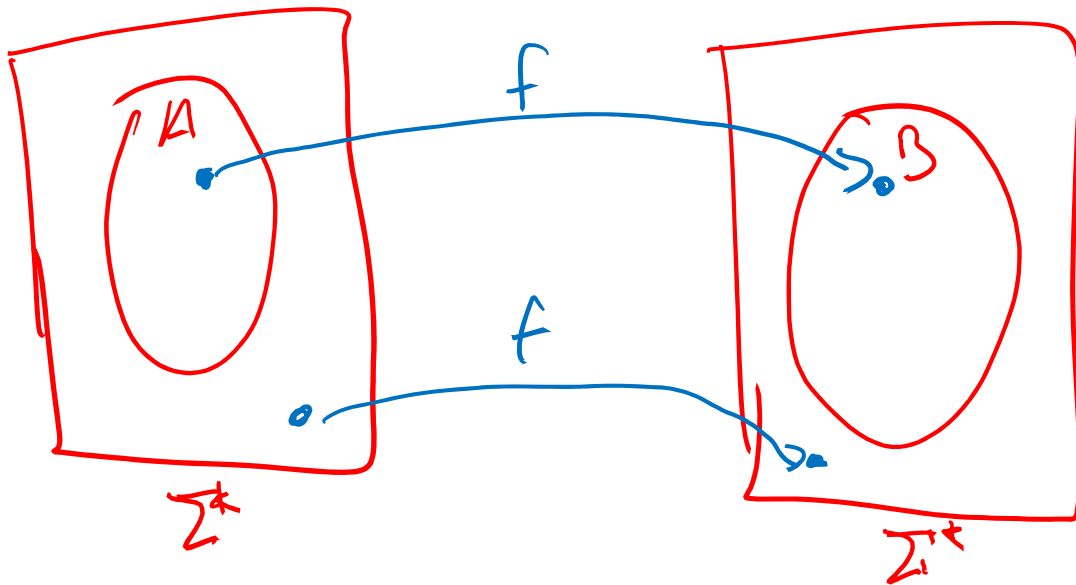
"A is no harder than B"

Definition:

Language  $A$  is **mapping reducible** to language  $B$ , written

$$A \leq_m B$$

if there is a computable function  $f: \Sigma^* \rightarrow \Sigma^*$  such that for all strings  $w \in \Sigma^*$ , we have  $w \in A \iff f(w) \in B$



$f$  is also a mapping reduction from  $A$  to  $B$ ,  
i.e.  $\overline{A} \leq_m \overline{B}$

# Decidability

**Theorem:** If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is also decidable

**Proof:** Let  $M$  be a decider for  $B$  and let  $f: \Sigma^* \rightarrow \Sigma^*$  be a mapping reduction from  $A$  to  $B$ . Construct a decider for  $A$  as follows:

On input  $w$ :

1. Compute  $f(w) \in B$
2. Run  $M$  on input  $f(w)$
3. If  $M$  accepts, **accept**. Otherwise, **reject**.

$w \in A \Rightarrow f(w) \in B$   
 $\Rightarrow M$  accepts  $f(w)$   
 $\Rightarrow$  Accept

$w \notin A \Rightarrow f(w) \notin B$   
 $\Rightarrow M$  rejects  $f(w)$   
 $\Rightarrow$  Reject

# Undecidability

**Theorem:** If  $A \leq_m B$  and  $B$  is decidable, then  $A$  is also decidable

**Corollary:** If  $A \leq_m B$  and  $A$  is undecidable, then  $B$  is also undecidable

*E.g.  $A \leq_m B \Rightarrow B$  undecidable*



# Old Proof: Equality Testing for TMs

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $EQ_{TM}$  is undecidable

**Proof:** Suppose for contradiction that there exists a decider  $R$  for  $EQ_{TM}$ . We construct a decider for  $A_{TM}$  as follows:

On input  $\langle M, w \rangle$ :

*Mapping reduction  $f$*

1. Construct TMs  $M_1, M_2$  as follows:

$M_1$  = "On input  $x$ ,

1. Ignore  $x$
2. Run  $M$  on input  $w$
3. If  $M$  accepts, **accept**.  
Otherwise, **reject**."

$M_2$  = "On input  $x$ ,

1. Ignore  $x$  and **accept**"

2. Run  $R$  on input  $\langle M_1, M_2 \rangle$

3. If  $R$  accepts, **accept**. Otherwise, **reject**.

# New Proof: Equality Testing for TMs

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $A_{TM} \leq_m EQ_{TM}$  hence  $EQ_{TM}$  is undecidable

**Proof:** The following TM computes the reduction:

$$f : A_{TM} \rightarrow EQ_{TM}, \quad f : \overline{A_{TM}} \rightarrow \overline{EQ_{TM}}$$

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1 =$  "On input  $x$ ,

1. Ignore  $x$
2. Run  $M$  on input  $w$
3. If  $M$  accepts, **accept**.  
Otherwise, **reject**."

$M_2 =$  "On input  $x$ ,

1. Ignore  $x$  and **accept**"

2. **Output**  $\langle M_1, M_2 \rangle$

TM computing reduction  $f$

# Mapping Reductions: Recognizability

**Theorem:** If  $A \leq_m B$  and  $B$  is recognizable, then  $A$  is also recognizable

**Proof:** Let  $M$  be a recognizer for  $B$  and let  $f: \Sigma^* \rightarrow \Sigma^*$  be a mapping reduction from  $A$  to  $B$ . Construct a recognizer for  $A$  as follows:

On input  $w$ :

1. Compute  $f(w)$
2. Run  $M$  on input  $f(w)$
3. If  $M$  accepts, accept. Otherwise, reject.

# Unrecognizability

**Theorem:** If  $A \leq_m B$  and  $B$  is recognizable, then  $A$  is also recognizable

**Corollary:** If  $A \leq_m B$  and  $A$  is unrecognizable, then  $B$  is also unrecognizable

**Corollary:** If  $\overline{A_{TM}} \leq_m B$ , then  $B$  is unrecognizable

# Recognizability and $A_{TM}$

Theorem: A language  $L$  is recognizable if and only if  $L \leq_m A_{TM}$

Proof.  $\Leftarrow$  Follows from theorem on slide 20 and fact that  $A_{TM}$  is recognizable

$\Rightarrow$  Suppose  $L$  is recognizable and let  $M$  be a TM recognizing  $L$ . We want to show  $L \leq_m A_{TM}$ .

Here is the mapping reduction:  $f(w) = \langle M, w \rangle$

Why does this work?

1) If  $w \in L$ , then  $M$  accepts on input  $w$ , so  $\langle M, w \rangle \in A_{TM}$

2) If  $w \notin L$ , then  $M$  does not accept on input  $w$ ,

so  $\langle M, w \rangle \notin A_{TM}$

Hence  $f$  is indeed a mapping reduction.

Takeaway:  $A_{TM}$  is the "hardest" recognizable language

## Consequences of $A_{\text{TM}} \leq_m EQ_{\text{TM}}$

1. Since  $A_{\text{TM}}$  is undecidable,  $EQ_{\text{TM}}$  is also undecidable
2.  $A_{\text{TM}} \leq_m EQ_{\text{TM}}$  implies  $\overline{A_{\text{TM}}} \leq_m \overline{EQ_{\text{TM}}}$   
Since  $\overline{A_{\text{TM}}}$  is unrecognizable,  $\overline{EQ_{\text{TM}}}$  is unrecognizable

## $EQ_{TM}$ itself is also unrecognizable

$$EQ_{TM} = \{\langle M_1, M_2 \rangle \mid M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

**Theorem:**  $\overline{A_{TM}} \leq_m EQ_{TM}$  hence  $EQ_{TM}$  is unrecognizable

**Proof:** The following TM computes the reduction:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1, M_2$  as follows:

$M_1$  = "On input  $x$ ,

1. Ignore  $x$
2. Run  $M$  on input  $w$
3. If  $M$  accepts, **accept**.  
Otherwise, **reject**."

$M_2$  = "On input  $x$ , **reject**

1. Ignore  $x$  and ~~accept~~"

2. **Output**  $\langle M_1, M_2 \rangle$

# More on Reductions and Undecidability



# Problems in language theory

$A_{\text{DFA}}$ decidable	$A_{\text{CFG}}$ decidable	$A_{\text{TM}}$ undecidable
$E_{\text{DFA}}$ decidable	$E_{\text{CFG}}$ decidable	$E_{\text{TM}}$ undecidable
$EQ_{\text{DFA}}$ decidable	$EQ_{\text{CFG}}$ ?	$EQ_{\text{TM}}$ undecidable

# $ALL_{CFG}$ is undecidable

$$ALL_{CFG} = \{ \langle G \rangle \mid G \text{ is a CFG with terminal set } \Sigma \text{ and } L(G) = \Sigma^* \}$$

Theorem:  $\overline{A_{TM}} \leq_m \overline{EQ_{CFG}}$  hence  $\overline{EQ_{CFG}}$  is undecidable

Proof idea: "Computation history method"

On input  $\langle M, w \rangle$ :

1. Construct a CFG  $G$  such that:

$$L(G) = \Sigma^* \iff M \text{ does not accept } w$$

$L(G) = \{ w \mid w \text{ is not a "computation history" showing that } M \text{ accepts } w \}$

Accepting computation history:  $c_1 \# c_2 \# c_3 \# c_4 \# \dots \# c_{i-1} \# c_i$

- Each  $c_i$  is a configuration of  $M$

-  $c_i$  yields  $c_{i+1}$  in  $M$

-  $c_1$  = start configuration and  $c_n$  is an accepting configuration

Reverses are needed to ensure this can be generated by a PDA/CFG

2. Output  $\langle G \rangle$

# Problems in language theory

$A_{\text{DFA}}$ decidable	$A_{\text{CFG}}$ decidable	$A_{\text{TM}}$ undecidable
$E_{\text{DFA}}$ decidable	$E_{\text{CFG}}$ decidable	$E_{\text{TM}}$ undecidable
$EQ_{\text{DFA}}$ decidable	$EQ_{\text{CFG}}$ undecidable	$EQ_{\text{TM}}$ undecidable

↑  
Proved by reduction from ALL CFG  
Exercise 5.1 in Sipser

# Undecidable problems outside language theory

## Post Correspondence Problem (PCP):

**Domino:**  $\left[ \begin{array}{c} a \\ ab \end{array} \right]$ . Top and bottom are strings.

**Input:** Collection of dominos.

$$\left[ \begin{array}{c} aa \\ aba \end{array} \right], \left[ \begin{array}{c} ab \\ aba \end{array} \right], \left[ \begin{array}{c} ba \\ aa \end{array} \right], \left[ \begin{array}{c} abab \\ b \end{array} \right]$$

**Match:** List of some of the input dominos (repetitions allowed) where top = bottom

$$\left[ \begin{array}{c} ab \\ aba \end{array} \right], \left[ \begin{array}{c} aa \\ aba \end{array} \right], \left[ \begin{array}{c} ba \\ aa \end{array} \right], \left[ \begin{array}{c} aa \\ aba \end{array} \right], \left[ \begin{array}{c} abab \\ b \end{array} \right]$$

**Problem:** Does a match exist?

This is **undecidable**