

# BU CS 332 – Theory of Computation

## Lecture 23:

- Savitch's Theorem
- PSPACE-Completeness
- Unconditional Hardness
- Course Evaluations

Reading:

Sipser Ch 8.1-8.3, 9.1

Final, 48-hour take-home  
out 5:00 Tu 5/5, Due 5:00 Th 5/7  
Mark Bun

Later today: practice final  
Tomorrow/wed: reviewing  
April 27, 2020

# Space analysis

Space complexity of a TM (algorithm) = maximum number of tape~~s~~cell it uses on a worst-case input

Formally: Let  $f : \mathbb{N} \rightarrow \mathbb{N}$ . A TM  $M$  runs in space  $f(n)$  if on every input  $w \in \Sigma^*$ ,  $M$  halts on  $w$  using at most  $f(n)$  cells

For nondeterministic machines: Let  $f : \mathbb{N} \rightarrow \mathbb{N}$ . An NTM  $N$  runs in space  $f(n)$  if on every input  $w \in \Sigma^*$ ,  $N$  halts on  $w$  using at most  $f(n)$  cells on every computational branch

# Space complexity classes

Let  $f : \mathbb{N} \rightarrow \mathbb{N}$

A language  $A \in \text{SPACE}(f(n))$  if there exists a basic single-tape (deterministic) TM  $M$  that

- 1) Decides  $A$ , and
- 2) Runs in space  $O(f(n))$

A language  $A \in \text{NSPACE}(f(n))$  if there exists a single-tape **nondeterministic** TM  $N$  that

- 1) Decides  $A$ , and
- 2) Runs in space  $O(f(n))$

# Savitch's Theorem

# Savitch's Theorem: Deterministic vs. Nondeterministic Space

Contrast to time  
 $\text{NTIME}(f(n)) \subseteq \text{TIME}(2^{O(f(n))})$

**Theorem:** Let  $f$  be a function with  $f(n) \geq n$ . Then

$$\text{NSPACE}(f(n)) \subseteq \text{SPACE}\left(\left(f(n)\right)^2\right).$$

Nondeterministic space can be simulated by deterministic space w. quadratic overhead  
Proof idea:

- Let  $N$  be an NTM deciding  $A$  in space  $f(n)$
- We construct a TM  $M$  deciding  $A$  in space  $O\left(\left(f(n)\right)^2\right)$
- Actually solve a more general problem:
  - Given configurations  $c_1, c_2$  of  $N$  and natural number  $t$ , decide whether  $N$  can go from  $c_1$  to  $c_2$  in  $\leq t$  steps on some nondeterministic path.
  - Design procedure  $\text{CANYIELD}(c_1, c_2, t)$

How do we bound  $t$ ?  $t = 2^{O(f(n))}$

Deciding whether  $w \in A$   
 $\text{CANYIELD}(c_0, \text{accept}, t)$   
where  $t$  is a bound on  $N$ 's runtime

# Savitch's Theorem

**Theorem:** Let  $f$  be a function with  $f(n) \geq n$ . Then

$$NSPACE(f(n)) \subseteq SPACE((f(n))^2).$$

**Proof idea:**

- Let  $N$  be an NTM deciding  $A$  in space  $f(n)$

$M$  = “On input  $w$ :

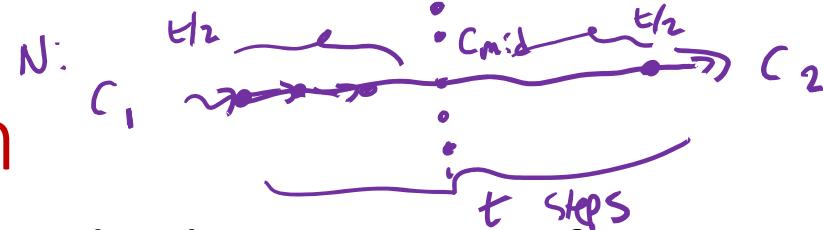
- Output the result of  $CANYIELD(c_1, c_2, 2^{df(n)})$

$c_1$  = start configuration  
 $c_2$  = accept config.

$\uparrow$   
d constant chosen s.t.  $N$  halts in time  $2^{df(n)}$

where  $CANYIELD(c_1, c_2, t)$  decides whether  $N$  can go from configuration  $c_1$  to  $c_2$  in  $\leq t$  steps on some nondeterministic path

# Savitch's Theorem



$\text{CANYIELD}(c_1, c_2, t)$  decides whether  $N$  can go from configuration  $c_1$  to  $c_2$  in  $\leq t$  steps on some nondeterministic path:

Idea: Divide and conquer

$$\begin{aligned} \text{Final space: } S(2^{df(n)}) &= df(n) \cdot \log(2^{df(n)}) \\ &= df(n)^2 \end{aligned}$$

$\text{CANYIELD}(c_1, c_2, t) =$

1. If  $t = 1$ , accept if  $c_1 = c_2$  or  $c_1$  yields  $c_2$  in one transition.  
Else, reject.
2. If  $t > 1$ , then for each config  $c_{mid}$  of  $N$  with  $\leq f(n)$  cells:
  3. Run  $\text{CANYIELD}(\langle c_1, c_{mid}, t/2 \rangle)$ .
  4. Run  $\text{CANYIELD}(\langle c_{mid}, c_2, t/2 \rangle)$ .
  5. If both runs accept, accept.
  6. Reject.

Space complexity:  $S(t) = \text{space required for } \text{CANYIELD}(c_1, c_2, t)$   
base case:  $S(1) = n$

$$S(t) = O(f(n)) + S(t/2)$$

$$\Rightarrow S(t) = O(f(n) \cdot \log(t))$$

# Complexity class PSPACE

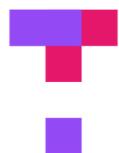
**Definition:** PSPACE is the class of languages decidable in polynomial space on a basic single-tape (deterministic) TM

$$\text{PSPACE} = \bigcup_{k=1}^{\infty} \text{SPACE}(n^k)$$

**Definition:** NPSPACE is the class of languages decidable in polynomial space on a single-tape (nondeterministic) TM

$$\text{NPSPACE} = \bigcup_{k=1}^{\infty} \text{NSPACE}(n^k)$$

$$\text{PSPACE} = \text{NPSPACE} \subsetneq \text{EXPSPACE}$$



# Relationships between complexity classes

1.  $P \subseteq NP \subseteq PSPACE \subseteq EXP$

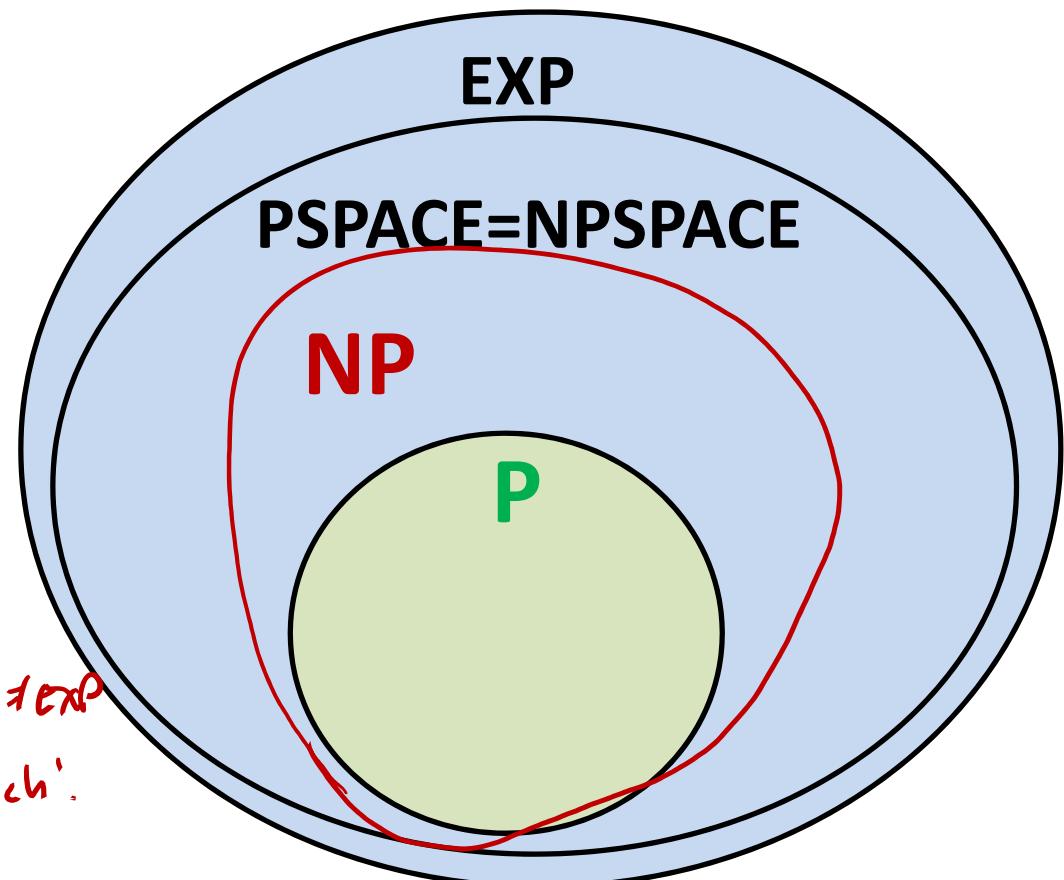
since  $SPACE(f(n)) \subseteq TIME(2^{O(f(n))})$

2.  $P \neq EXP$  (~~MANY~~<sup>Today</sup>)

Which containments  
in (1) are proper?

**Unknown!**

At least one of  
 $P \neq NP$ ,  $NP \neq PSPACE$ , or  $PSPACE \neq EXP$   
but we don't know which.



# PSPACE-Completeness

# What happens in a world where $P \neq PSPACE$ ?

Even more believable than  $P \neq NP$ , but still(!) very far from proving it

**Question:** What would  $P \neq PSPACE$  allow us to conclude about problems we care about?

**PSPACE-completeness:** Find the “hardest” problems in PSPACE

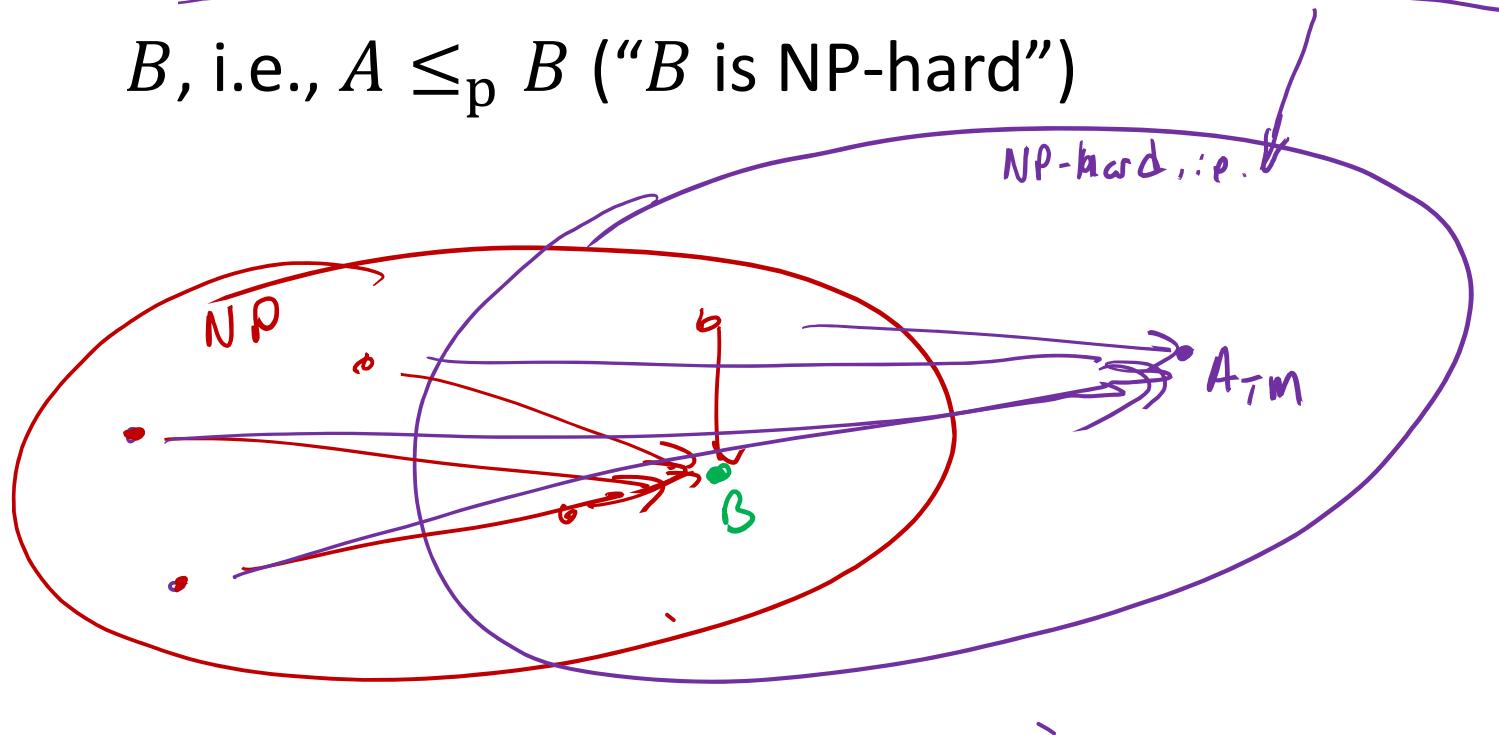
Find  $L \in PSPACE$  such that  $L \in P$    iff    $P = PSPACE$

*Poly-time reductions*

# Reminder: NP-completeness

**Definition:** A language  $B$  is NP-complete if

- 1)  $B \in \text{NP}$ , and
- 2) Every language  $A \in \text{NP}$  is poly-time reducible to  $B$ , i.e.,  $A \leq_p B$  (" $B$  is NP-hard")



# PSPACE-completeness

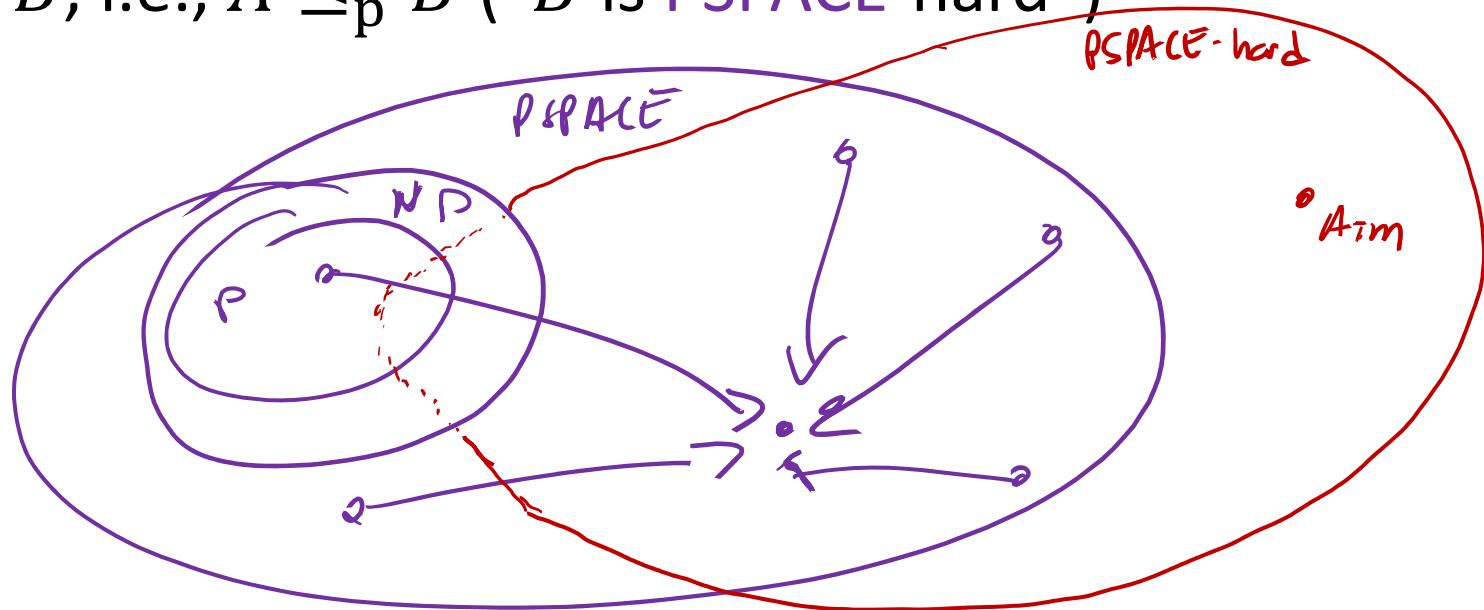
**Definition:** A language  $B$  is **PSPACE-complete** if

1)  $B \in \text{PSPACE}$ , and

$$P: \text{PSPACE} \Leftrightarrow B \in P$$

2) **Every** language  $A \in \text{PSPACE}$  is poly-time reducible to

$B$ , i.e.,  $A \leq_p B$  (" $B$  is **PSPACE-hard**")



# A PSPACE-complete problem: TQBF

"The quantified Boolean formula"

"Is a fully quantified logical formula true?"

- **Boolean variable:** Variable that can take on the value true/false (encoded as 0/1)
- **Boolean operations:**  $\wedge$  (AND),  $\vee$  (OR),  $\neg$  (NOT)
- **Boolean formula:** Expression made of Boolean variables and operations. Ex:  $(x_1 \vee \bar{x}_2) \wedge x_3$
- **Fully quantified Boolean formula:** Boolean formula with all variables quantified ( $\forall, \exists$ ) Ex:  $\forall x_1 \exists x_3 \forall x_2 (x_1 \vee \bar{x}_2) \wedge x_3$
- Every fully quantified Boolean formula is either true or false  
 $SAT = \{(\varphi) \mid \varphi \text{ is a TQBF, and all quantifiers are } \exists\}$
- **TQBF** =  $\{\langle \varphi \rangle \mid \varphi \text{ is a true fully quantified formula}\}$

# Theorem: TQBF is PSPACE-complete

Need to prove two things...



- 1)  $TQBF \in \text{PSPACE}$
- 2) Every problem in PSPACE is poly-time reducible to  $TQBF$  ( $TQBF$  is PSPACE-hard)

# 1) TQBF is in PSPACE

$T$  = “On input  $\langle \varphi \rangle$ ,

$$\varphi = \exists x_1 \forall x_2 \exists x_3 \forall x_4 \dots (x_1 \vee x_2) \wedge x_3 \vee$$

where  $\varphi$  is a fully quantified Boolean formula:

1. If  $\varphi$  has no quantifiers, it has only constants (and no variables). Evaluate  $\varphi$ .  
If true, accept; else, reject.  
 $e.g. (1 \vee 0) \wedge \overline{1 \wedge 1}$
2. If  $\varphi$  is of the form  $\exists x \psi$ , recursively call  $T$  on  $\psi$  with  $x = 0$  and then on  $\psi$  with  $x = 1$ .  
If either call accepts, accept; else, reject.  
 $S(n) = O(n)$
3. If  $\varphi$  is of the form  $\forall x \psi$ , recursively call  $T$  on  $\psi$  with  $x = 0$  and then on  $\psi$  with  $x = 1$ .  
If both calls accept, accept; else, reject.”  
 $S(n) = O(n) + O(n)$   
 $\Rightarrow S(n) = O(n)$

## 2) TQBF is PSPACE-hard

**Theorem:** Every language  $A \in \text{PSPACE}$  is poly-time reducible to  $TQBF$

**Proof idea:**

Let  $A \in \text{PSPACE}$  be decided by a poly-space deterministic TM  $M$ . Using proof of Cook-Levin Theorem,

$M$  accepts input  $w \Leftrightarrow$  formula  $\varphi_{M,w}$  is true

$M$  space-bounded  $\Rightarrow \varphi_{M,w}$  might have exponential size

Using idea of Savitch's Theorem, replace  $\varphi_{M,w}$  with a quantified formula of poly-size that can be computed in poly-time

# Unconditional Hardness

# Hardness results so far

- If  $P \neq NP$ , then  $3SAT \notin P$       [  $3SAT$   $NP$ -complete ]
- If  $P \neq PSPACE$ , then  $TQBF \notin P$       [  $TQBF$   $PSPACE$ -complete ]

Question: Are there decidable languages that we can show are not in  $P$ ? w/o using unproven assumptions

# Diagonalization redux

(we'd better to show  $\overline{\text{SAT}_\text{TM}}$  undecidable)

TM $M$						
$M_1$						
$M_2$						
$M_3$						
$M_4$						
:						

# Diagonalization redux

TM $M$	$M(\langle M_1 \rangle) ?$	$M(\langle M_2 \rangle) ?$	$M(\langle M_3 \rangle) ?$	$M(\langle M_4 \rangle) ?$		$D(\langle D \rangle) ?$
$M_1$	<del>N</del> N	N	Y	Y	...	
$M_2$	N	<del>Y</del> Y	Y	Y		
$M_3$	Y	Y	<del>N</del> N	N		
$M_4$	N	N	Y	<del>Y</del> Y		
:					..	
$D$						<del>N</del> N <del>Y</del> Y

$\overline{SA_{TM}} = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle\}$   
 $\overline{SA_{TM,EXP}} = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle$   
 suppose  $D$  decides  $\overleftarrow{SA_{TM,EXP}}$  in  $\text{within } 2^{|M|} \text{ steps}$   
 poly time

# An explicit undecidable language

- Theorem:  $L = \overline{SA_{TM, EXP}} = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle \text{ within } 2^{|\langle M \rangle|} \text{ steps}\}$   
is in EXP, but not in P  $P \neq EXP$

Proof:

- In EXP: Simulate  $M$  on input  $\langle M \rangle$  for  $2^{|\langle M \rangle|}$  steps and flip its decision  
 $(2^n \text{ steps})$

- Not in P: Suppose for contradiction that  $D$  decides  $L$  in time  $n^k$

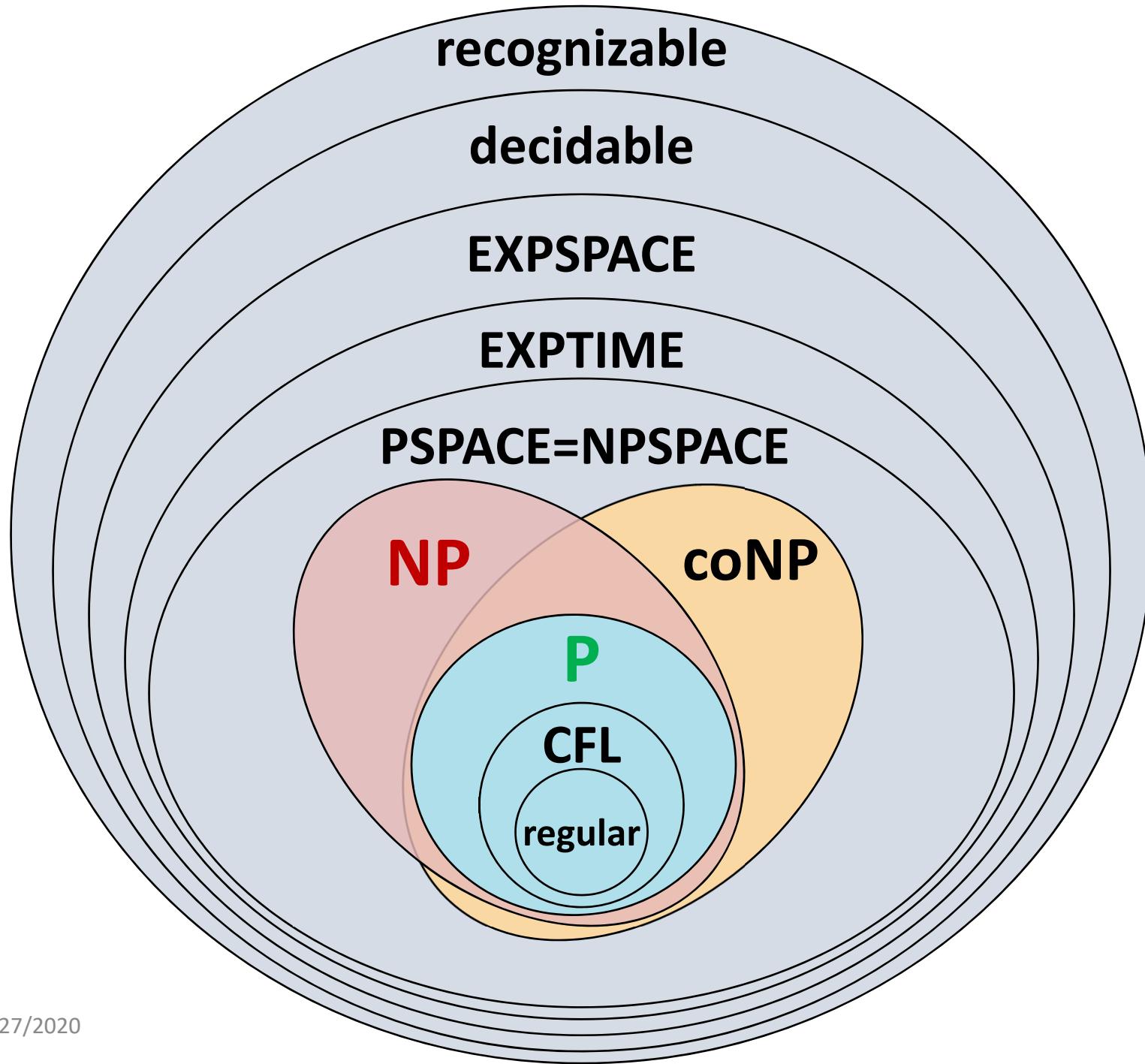
$\langle D \rangle \in L \Rightarrow D(\langle D \rangle) \text{ rejects in the } 1^{|\langle D \rangle|^k} \leq 2^{|\langle D \rangle|} \times$

$\langle D \rangle \notin L \Rightarrow D(\langle D \rangle) \text{ accepts in the } "$   $\times$

# Time and space hierarchy theorems

- For any\* function  $f(n) \geq n \log n$ , a language exists that is decidable in  $\underline{f(n)}$  time, but not in  $\underline{o\left(\frac{f(n)}{\log f(n)}\right)}$  time.
- For any\* function  $f(n) \geq n \log n$ , a language exists that is decidable in  $f(n)$  space, but not in  $o(f(n))$  space.

\*time constructible and space constructible, respectively  
 $\exists \text{ TM that on input } 1^n \text{ outputs } 1^{f(n)}$  in  $O(f(n))$  steps



# Course evaluations

535 - Grad Complexity Fall 2020

SNAR6 =

Succinct non-interacble argument

- Verifier only needs polylog n communication from prover
- Substitute "soundness" for "computational soundness"

<https://bu.campuslabs.com/courseeval>

$$2^{\log^2 n} = n^{\log n}$$

NP-intermediate : Neither in P nor NP-complete

(candidates)

- Variant of integer factoring

- Graph isomorphism [Babai 2016]

GI  $\in$  quasi-P

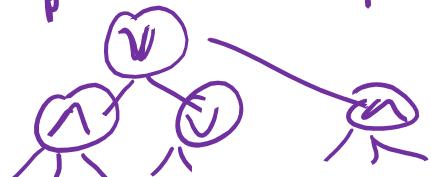
Probably  $GI \in P$ ?

GI  $\in$  TIME( $2^{\log^c n}$ )

for some const c

Logarithmic?

How powerful are "simple" circuit models?



constant depth, polynomial size  
 $AC^0 \nsubseteq PARITY$  [80's]

$ACC^0 = AC^0 + \text{mod}_p$  gates  
very recent: 2011 - ongoing

$NQP \not\subseteq ACC^0$   
NQP = nondet. quasi-P time