

BU CS 332 – Theory of Computation

Lecture 24:

- Final review

Final Exam:
5 pm 5/5 – 5 pm 5/7

Extra office hours 5/4, 5/5 TBA

Reading:

Sipser Ch 7.1-8.3, 9.1

Mark Bun

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Final Topics

Everything from Midterms 1 and 2

- **Midterm 1 topics:** DFAs, NFAs, regular expressions, pumping lemma, context-free grammars, pushdown automata, pumping lemma for CFLs
(more detail in lecture 9 notes)
- **Midterm 2 topics:** Turing machines, TM variants, Church-Turing thesis, decidable languages, countable and uncountable sets, undecidability, reductions, unrecognizability, mapping reductions
(more detail in lecture 17 notes)

Time Complexity (7.1)

- Asymptotic notation: Big-Oh, little-oh, Big-Omega, little-omega, Theta
- Know the definition of running time for a TM and of time complexity classes (TIME / NTIME)
- Understand how to simulate multi-tape TMs and NTMs using single-tape TMs and know how to analyze the running time overhead

P and NP (7.2, 7.3)

- Know the definitions of P and NP as time complexity classes
TIME / NTIME
- Know how to analyze the running time of algorithms to show that languages are in P / NP
- Understand the verifier interpretation of NP and why it is equivalent to the NTM definition
- Know how to construct verifiers and analyze their runtime
- Understand the surprising implications of P = NP, esp. how to show that search problems can be solved in poly-time
P = NP \Rightarrow can find large cliques, satisfying assignments, ...

NP-Completeness (7.4, 7.5)

- Know the definition of poly-time reducibility
- Understand the definitions of NP-hardness and NP-completeness $\text{NP-complete} = \text{in NP} + \text{NP-hard}$
- Understand the statement of the Cook-Levin theorem (don't need to know its proof)
- Understand several canonical NP-complete problems and the relevant reductions: SAT, 3SAT, CLIQUE, INDEPENDENT-SET, VERTEX-COVER, HAMPATH, SUBSET-SUM

Space Complexity (8.1)

- Know the definition of running space for a TM and of space complexity classes (SPACE / NSPACE)
- Understand how to analyze the space complexity of algorithms (including SAT, NFA analysis)

PSPACE and PSPACE-Completeness (8.2, 8.3)

- Know the definitions of PSPACE and NPSPACE
- Know why they're equivalent (statement of Savitch's Theorem)
- Understand how to show that languages are in PSPACE
- Know the definition of PSPACE-completeness *ε PSPACE
+
PSPACE-hard*
- You will not be asked anything about the PSPACE-complete language TQBF, or to show that any specific language is PSPACE-complete

Hierarchy Theorems (9.1)

- Know that we can prove, unconditionally, that $P \neq EXP$ and that $PSPACE \neq EXPSPACE$
- You will not be asked about the formal statements of the time/space hierarchy theorems, but should understand how they generalize the above statements

Things we didn't get to talk about

(in complexity theory)

- Additional classes between NP and PSPACE (polynomial hierarchy)
- Logarithmic space {streaming algorithms}
- Relativization and the limits of diagonalization
- Boolean circuits
- Randomized algorithms / complexity classes (randomization)
- Interactive proof systems (generalization of NP) $\text{IP} = \text{PSPACE}$
- Complexity of counting

https://cs-people.bu.edu/mbun/courses/535_F20/

Tips for Preparing Exam Solutions

Designing (nondeterministic) time/space-bounded deciders

The following algorithm decides EC in polynomial time:

“On input $\langle A, C, e, p \rangle$, four binary integers:

1. Let $r \leftarrow 1$.

...

6. If $C = r \bmod p$, accept; otherwise reject.”

The algorithm is called *repeated squaring*.

Let $T(d)$ denote a polynomial upper bound on the running time of basic procedures for multiplication and modular operations on d -bit numbers. Then steps 4 and 5 of the algorithm each take at most $O(T(\log A) + T(\log p))$ time because r is never larger than p . In addition, the total number of multiplication and modular operations is $O(k) = O(\log e)$. Therefore, the total running time of the algorithm is polynomial in $O((\log e) \cdot (T(\log A) + T(\log p)))$ which is polynomial in n . Hence, the total running time is polynomial. Note that without performing $\bmod p$ operation in Steps 4 and 5,

- Key components: High-level description of algorithm, analysis of running time and/or space usage
- A good idea: Explain correctness of your algorithm

Designing NP verifiers

*Make sure alg.
runs in poly-time
as function of
input length*

We give a poly-time verifier for *TEAM*. A certificate c for our verifier is a subset of M of size k .

“On input $\langle n, X, Y, Z, M, k; c \rangle$ where $\langle n, X, Y, Z, M, k \rangle$ is a *TEAM* instance and c is a certificate:

1. If $k > \min(n, |M|)$, *reject*.
2. Check whether $|c| = k$ and $c \subseteq M$.
3. Check whether all elements of triples in c are different.
4. If any of these checks fails, *reject*; otherwise, *accept*.”

Step 1 is performed to ensure that the running time is polynomial in n even for large k . Step 2 can be run in $O(k \cdot |M|) = O(|M|^2)$ time, by iterating through M and marking elements. Step 3 can be implemented to run in $O(|c| \log |c|)$ time by first sorting the elements of c . This verifier runs in polynomial time; hence, $\text{TEAM} \in \text{NP}$.

- Key components: Description of certificate, high-level description of algorithm, analysis of running time
- A good idea: Explain correctness of your algorithm

NP-completeness proofs

To show a language L is NP-complete:

- 1) Show L is in NP (follow guidelines from previous two slides)
- 2) Show L is NP-hard (usually) by giving a poly-time reduction $A \leq_p L$ for some NP-complete language A

-
- High-level description of algorithm computing reduction
 - Explanation of correctness: Why is $w \in A$ iff $f(w) \in L$ for your reduction f ?
 - Analysis of running time

Practice Problems

$$NP = \bigcup_{k=1}^{\infty} NTIME(n^k)$$

[class of decision problems
(languages) decidable in polytime
on an NTM]

$$= \{L \mid L \text{ has a polynomial-time verifier}\}$$

An algorithm $V(\langle w, c \rangle)$ s.t. $w \in L \Leftrightarrow \exists c \text{ s.t. } V(\langle w, c \rangle) \text{ accepts}$
 V runs in time poly. in $|\langle w \rangle|$

$$\begin{aligned} NP\text{-hard} &= \{L \mid \forall A \in NP \ \exists \text{ poly-time reduction from } A \text{ to } L\} \\ &= \{L \mid \forall A \in NP \ A \leq_p L\} \end{aligned}$$

$$NP\text{-complete} = NP \cap NP\text{-hard} = \{L \mid L \in NP \text{ and } L \text{ is NP-hard}\}$$



NP-complete

PRIMES
PRIMES SEP
PRIMES is NP-hard $\Leftrightarrow P = NP$

Diagram = state
of the world if
 $P \neq NP$
PRIMES is NP-hard $\Leftrightarrow P = NP$

P

Give examples of the following languages: 1) A language in P. 2) A decidable language that is not in P. 3) A language for which it is unknown whether it is in P.

1) 2SAT, $\{1\}$, \emptyset , SOS, PRIMES

2) ~~A-TM?~~ recognizable
~~A_NFA~~ but not decidable

$SAT_{TM, EXP}$
 $A_{NFA} \in PSPACE$

Lecture 23

3) TSP

SAT

NAM PATH

3SAT

TQBF

Give an example of a problem that is solvable in polynomial-time, but which is not in PSPACE

↓
deterministic

↑
in the worst case

↑
no certificate

L a language corresponds to computational problem

"Given w , is $w \in L$?"

FP

↪ $\{s \mid s \text{ is a sorted list}\}$

1) Given a list of n numbers, sort the list

2) Compute the n th Fibonacci number (on input 1^n)
↪ $\{s \in \{1\}^n, f \mid f \mapsto \text{the } n\text{th Fibonacci number}\}$

3) Given (x, y) , compute $(x+y)$

↪ $\{(x, y, z) \mid z = x+y\}$

Let $L =$

$\{(w_1, w_2) \mid \exists \text{ strings } x, y, z \text{ such that } w_1 = xyz$
and $w_2 = xy^Rz\}.$

Show that $L \in P$.

$\overbrace{w_1}^{E.g.} = \underbrace{a b \downarrow r a}_{\text{copy}} \underline{\text{c a d a b r a}}$

$w_1 = \text{rat}$

$w_1 = \underline{t a c}$

$w_2 = \underbrace{a b \downarrow d \downarrow a c \downarrow a s \downarrow}_{\text{copy}} \underline{\text{a b r a}}$

$w_2 = \text{cat}$

$w_2 = \underline{q t c}$

$\text{copy} = \underline{\text{a b r a c a d a b r a}}$

Non-examples

$w_1 = \text{rat}$

$w_2 = \text{chicken}$

$w_1 = \text{rat}$

$w_2 = d \circ g$

Running time: $n^2 \cdot O(n) = O(n^3)$

On input (w_1, w_2) :

1. Check if $|w_1| = |w_2|$, reject if not. Let the common length of the strings be n
2. If $w_1 \neq w_2 \neq \epsilon$, accept
3. For $i = 1, \dots, n$,
4. For $j = i, \dots, n$:
 $O(n) \{$
 copy w_2 into new variable "copy" with substring between
 indices i & j reversed
 $\} 6.$
 Compare w_1 and copy. If equal, accept
7. Reject

Which of the following operations is P closed under? Union, concatenation, star, intersection, complement.

If M_1 decides L_1 and M_2 decides L_2
(each in poly-time)

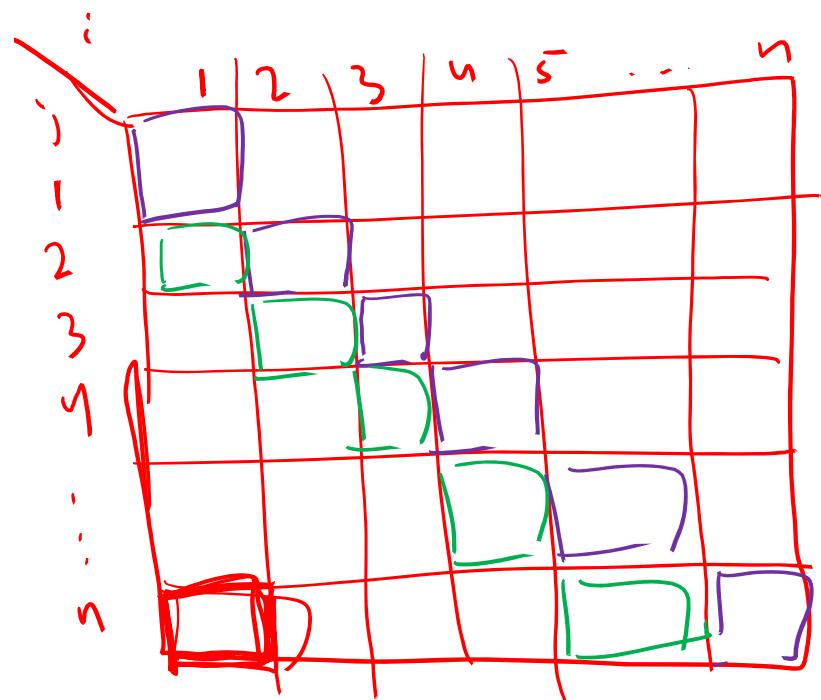
- 1) Union Run M_1 on w , run M_2 on w . If either accept, accept, else reject.
- 2) Concatenation For each index i , run M_1 on $w_1 \dots w_i$ and M_2 on $w_{i+1} \dots w_n$. Accept if both accept.
- 3) Star (?) Homework 7
- 4) Complement Dynamic programming
- 5) Intersection $(A \cap B = \overline{\overline{A} \cup \overline{B}})$ or
Run M_1 on w , run M_2 on w . If both accept, accept.
Else reject.

Star (Dynamic programming)

Let M decide A in poly-time

Table $T[i, i] = 1 \Leftrightarrow w_i, \dots, w_i \in A^*$

$w \in A \Leftrightarrow T[1, n] = 1 \Leftrightarrow w_1, \dots, w_n \in A^*$



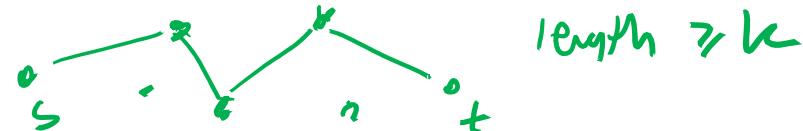
- 1) For $i = 1, \dots, n$:
Run M on w_i . If accept, set $T[i, i] = 1$
O.w. set $T[i, i] = 0$
- 2) For $l = 2, \dots, n$: $[l = \text{substring length}]$
- 3) For $i = 1, \dots, n-l+1 \leftarrow$ Test if $w_i, \dots, w_{i+l-1} \in A^*$
- 4) For $j = 1, \dots, l$:
- 5) If $T[i, j] = 1$ and
 $T[j, i+l-1] = 1$, set
 $T[i, i+l-1] = 1$
- 6) Accept iff $T[1, n] = 1$

$x \in A^* \Leftrightarrow \exists \text{ a split } x_1, \dots, x_r \in A$
 $x_{r+1}, \dots, x_m \in A$

NP and NP-completeness

Prove that $LPATH = \{\langle G, s, t, k \rangle | G \text{ is an undirected graph containing a } \underline{\text{simple}} \text{ path from } s \text{ to } t \text{ of length } \geq k\}$ is in NP

No repeated vertices



Poly-time verifier:

Certificate $c = v_1, \dots, v_m$ sequence of vertices

$\vee (\langle G, s, t, c \rangle) =$

1) check

$v \subseteq m \leq k$ vertices, reject

1st: check
k's # vertices
2nd: read c

2) check no repeated vertices in v_1, \dots, v_m

Sequentially
and reject
if # vertices
in contiguous
 $\geq k$

3) check that $v_1 = s$, $v_m = t$, and

$(v_i, v_{i+1}) \in \text{vertex set}$ $\forall i \in \{1, \dots, m-1\}$

Running time:

$O(m)$
edges to check

• time it takes to look out an edge

Prove that $L\text{PATH}$ is NP-hard

Undirected Hampath : $\{(G, s, t) \mid G \text{ is an undirected graph and } \exists \text{ a Ham. path from } s \text{ to } t \text{ in } G\}$

Sipser : UHAMPATH is NP-complete (reduction from HAMPATH)
7.55

Show UHAMPATH \leq_p LPATH [construct poly-time reduction]

Idea: G has a Ham. path $\Leftrightarrow G$ has a path of length $\geq \# \text{ vertices}$
 $i.e. (G, s, t) \in \text{UHAMPATH} \quad i.e. (G, s, t, u) \in \text{LPATH}$

On input (G, s, t) :

1. let $n = \# \text{ vertices of } G$ \Leftarrow p: yes/no principle
2. Output (G, s, t, n)

Run time: Just need to count # vertices and output it
 $\Rightarrow O(n)$ reduction

Which of the following operations is NP closed under? Union, concatenation, star, intersection, complement.

Show that if $P = NP$, there is a polynomial-time decider for $USAT = \{\langle\phi\rangle | \phi \text{ is a formula with exactly one satisfying assignment}\}$

Space Complexity

$\text{TIME}(f(n)) = \{ \text{languages } L \text{ decidable in time } O(f(n)) \}$

Which of the following statements are true?

$\text{SPACE}(f(n)) = \{ \text{languages } L \text{ decidable in space } O(f(n)) \}$

• $\text{SPACE}(2^n) = \text{SPACE}(2^{n+1})$ True

$$2^n = \Theta(2^{n+1}) \text{ since } 2^{n+1} = 2 \cdot 2^n$$

• $\text{SPACE}(2^n) = \text{SPACE}(3^n)$ False

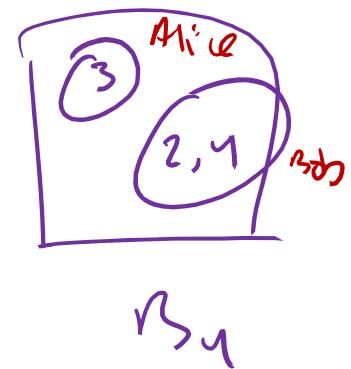
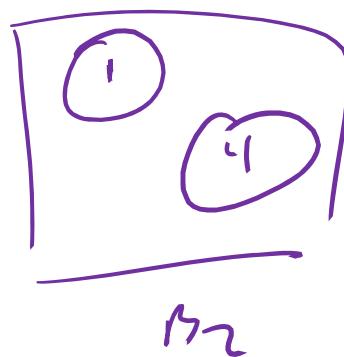
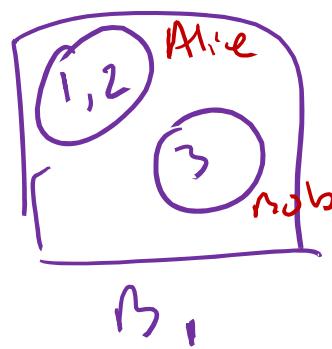
$$3^n = \omega(2^n)$$

Space hierarchy theorem: If $f(n) = o(g(n))$, then
 $\text{SPACE}(f(n)) \subsetneq \text{SPACE}(g(n))$

• $\text{NSPACE}(n^2) = \text{SPACE}(n^5)$ False

Savitch: $\text{NSPACE}(n^2) \subseteq \text{SPACE}(n^4) \subsetneq \text{SPACE}(n^5)$
(space hierarchy theorem)

Consider the inheritance problem from HW9, except Alice and Bob now take turns drawing bags from boxes. Alice's goal is to assemble a complete collection of marbles, and Bob's is to thwart her. Prove that determining whether Alice has a winning strategy is in PSPACE.



Example run of game:

1) Alice picks bag 2 in B_3

1, 4

2) Bob picks bag 2 in B_4

1, 3, 4

3) Alice picks bag 1 in B_1

1, 2, 3, 4
Alice wins

Alice has a winning strategy \Leftrightarrow

m boxes, k marble types

$$\exists (i_1, b_1) \wedge (i_2, b_2) \exists (i_3, b_3) \wedge (i_4, b_4) \dots$$

\nwarrow Alice's first move \nearrow Bob's first move \nearrow Alice's 2nd move
 Bob's $i_1, b_1 = 0$
 wears pink first
 way, $b_1 = 1$ wears
 picks second way

$\exists \forall (i_m, b_m)$ Alice gets a
 complete set
 of marbles

Looks like: TQBF

$$\exists x_1 \wedge x_2 \exists x_3 \dots \wedge x_m \varphi(x_1, \dots, x_m) \hookrightarrow$$

RSPACE alg. for TQBF: On input a TQBF

- 1) If no variables, just eval formula
- 2) If formula looks like $\exists x_i \psi(x)$:
 - i) run alg. on ψ with $x_i = 0$
 - ii) run alg. on ψ with $x_i = 1$
 - iii) If either run accept, accept, else reject

3) If formula looks like $\forall x_i \psi(x)$

i)

ii)

iii) If both run accept, accept,
 else reject

Space usage:

$T(m) = \text{amount of space used for } m \text{ quantifiers}$

$$m=0 \quad T(0)=\Theta(n)$$

$$T(m)=T(m-1)$$

$$+\Theta(1)$$

$\Theta(m+n)$
 space

PSPACE Alg. for Marbles game Recursive alg. based on # boxes

- 1) If no boxes available ($m=0$). {Base case}
 - If $k=0$: accept
 - If $k>0$: reject

- 2) If $m \geq 1$, Alice's turn :
 - [For each box $i=1..m$ and each choice of bag b_i :
 - Run alg. on game w/ 1 fewer box where Alice made that choice
 - If at least one run accepts, accept. Else reject.

- 3) If $m \geq 1$, Bob's turn:
 - //
 - If every run accepts, accept. Else reject.

Space usage: $S(0) = O(n)$ $S(m) = S(m-1) + O(\log m) + O(n)$

$\Rightarrow S(m) \approx O(m(\log m + n))$

recursive call counter for current box new instance
 create