

# BU CS 332 – Theory of Computation

## Lecture 20:

- Time/Space Hierarchies
- Complexity Class P

Reading:

Sipser Ch 9.1, 7.2

Mark Bun

April 7, 2021

# Last Time

- Asymptotic notation
- Analyzing time / space usage of Turing machines (algorithms)
- Multi-tape TMs can solve problems faster than single-tape TMs

# Time complexity

**Time complexity** of a TM (algorithm) = maximum number of steps it takes on a worst-case input

Formally: Let  $f : \mathbb{N} \rightarrow \mathbb{N}$ . A TM  $M$  runs in time  $f(n)$  if on every input  $w \in \Sigma^n$ ,  $M$  halts on  $w$  within at most  $f(n)$  steps

A language  $A \in \text{TIME}(f(n))$  if there exists a basic single-tape (deterministic) TM  $M$  that

- 1) Decides  $A$ , and
- 2) Runs in time  $O(f(n))$

# Single vs. Multi-Tape

**Theorem:** Let  $t(n) \geq n$  be a function. Every multi-tape TM running in time  $t(n)$  has an equivalent single-tape TM running in time  $O(t(n)^2)$

**Proof idea:**

We already saw how to **simulate** a multi-tape TM with a single-tape TM

Need a runtime analysis of this construction

# Applying the simulation theorem

Suppose  $B$  is decidable in time  $O(n^2)$  on a 42-tape TM.  
Then a basic single-tape TM can decide  $B$  in time...

hidden in  $O$   
after simulation

a)  $O(n^2)$

b)  $O(n^4)$

c)  $O(n^{84})$

d)  $2^{O(n)}$

all of these are true

$f(n) = 2^{O(n)} \iff \exists g(n) = O(n) \text{ s.t. } f(n) = 2^{g(n)}$   
Ex  $4^n = 2^{O(n)}$  [since  $4^n = 2^{2n}$  and  $2n = O(n)$ ]  
but  $4^n \neq O(2^n)$



# Simulating Multiple Tapes

(Implementation-Level Description)

On input  $w = w_1w_2 \dots w_n$

1. Format tape into  $\# \underbrace{w_1w_2 \dots w_n}_{O(n) \text{ time}} \# \underbrace{\dot{\square} \# \dot{\square} \# \dots \#}_{O(n) \text{ time}}$

←  $O(n+k)$  time

2. For each move of  $M$ :  $t(n)$  moves to simulate

Scan left-to-right, finding current symbols

Scan left-to-right, writing new symbols,

Scan left-to-right, moving each tape head

Each move takes

$O(k \cdot t(n))$

time

If a tape head goes off the right end, insert blank

If a tape head goes off left end, move back right

# Single vs. Multi-Tape

**Theorem:** Let  $t(n) \geq n$  be a function. Every multi-tape TM running in time  $t(n)$  has an equivalent single-tape TM running in time  $O(t(n)^2)$

**Proof:** Time analysis of simulation

- Time to initialize (i.e., format tape):  $O(n + k)$
- Time to simulate one step of multi-tape TM:  $O(k \cdot t(n))$   
*why?* Each simulated tape consists of  $\leq t(n)$  cells, since  $M$  runs in time  $t(n)$  (and hence space)  $t(n)$   
 $\Rightarrow$  scanning tape takes time  $O(k \cdot t(n))$
- Number of steps to simulate:  $t(n)$   
*constant indep. of  $n$*

$\Rightarrow$  Total time:  $\underbrace{O(n+k)}_{\text{step 1}} + \underbrace{t(n)}_{\# \text{ moves}} \cdot \underbrace{O(k \cdot t(n))}_{\text{time per move}} = O(k \cdot (t(n))^2)$

# Extended Church-Turing Thesis

Every “reasonable” (physically realizable) model of computation can be simulated by a basic, single-tape TM with only a **polynomial** slowdown.

E.g., doubly infinite TMs, multi-tape TMs, RAM TMs

Does **not** include nondeterministic TMs (not reasonable)

**Possible counterexamples?** Randomized computation, parallel computation, DNA computing, quantum computation

*More believable: Restrict ECT to deterministic, sequential models of computation*



# Space complexity

**Space complexity** of a TM (algorithm) = maximum number of tape cells it uses on a worst-case input

Formally: Let  $f : \mathbb{N} \rightarrow \mathbb{N}$ . A TM  $M$  runs in space  $f(n)$  if on every input  $w \in \Sigma^n$ ,  $M$  halts on  $w$  using at most  $f(n)$  cells

A language  $A \in \text{SPACE}(f(n))$  if there exists a basic single-tape (deterministic) TM  $M$  that

- 1) Decides  $A$ , and
- 2) Runs in space  $O(f(n))$

# How does space relate to time?

Which of the following is true for every function

$$f(n) \geq n?$$

- a)  $TIME(f(n)) \subseteq SPACE(f(n))$
- s b)  $SPACE(f(n)) \subseteq TIME(f(n))$
- ↑ c)  $TIME(f(n)) = SPACE(f(n))$
- d) None of the above

False.  
Hopcroft - Paul-Valiant '77

M runs in time  $f(n)$   
 $\Rightarrow$  M touches  $\leq f(n)$   
cells on every input of  
length  $n$   
 $\Rightarrow$  M runs in space  $f(n)$   
 $TIME(f(n)) \subseteq SPACE(f(n))$



# Back to our examples

Linear bounded automaton

$$A = \{0^m 1^m \mid m \geq 0\}$$

$\in \text{SPACE}(n)$

0000 1111

$O(n)$  space algorithm

$M =$  "An input  $x$ "

1) check  $x \in L(0^* 1^*)$

2) Repeat edily (cross off one 0 and one 1 until impossible)

3) Accept if everything crossed off

**Theorem:** Let  $s(n) \geq n$  be a function. Every multi-tape TM running in ~~time~~<sup>space</sup>  $s(n)$  has an equivalent single-tape TM running in ~~time~~<sup>space</sup>  $O(s(n))$

# Hierarchy Theorems

## More time, more problems

We know, e.g., that  $TIME(n^2) \subseteq TIME(n^3)$

(Anything we can do in quadratic time we can do in cubic time)

**Question:** Are there problems that we can solve in cubic time that we cannot solve in quadratic time?

**Theorem:** There is a language  $L \in TIME(n^3)$ ,  
but  $L \notin TIME(n^2)$

“Time hierarchy”:

$TIME(n) \subsetneq TIME(n^2) \subsetneq TIME(n^3) \subsetneq TIME(n^4) \dots$

# Diagonalization redux

TM $M$	$M(\langle M_1 \rangle)?$	$M(\langle M_2 \rangle)?$	$M(\langle M_3 \rangle)?$	$M(\langle M_4 \rangle)?$		$D(\langle D \rangle)?$
$M_1$	<del>Y</del> N	N	Y	Y	...	
$M_2$	N	<del>N</del> Y	Y	Y		
$M_3$	Y	Y	<del>Y</del> N	N		
$M_4$	N	N	Y	<del>N</del> Y		
$\vdots$					$\ddots$	
$D$						

$UD = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle\}$

$L = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle$   
**within  $n^{2.5}$  steps**  $n = |\langle M \rangle|$

# An explicit separating language

**Theorem:**  $L = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle \text{ within } n^{2.5} \text{ steps}\}$

is in  $TIME(n^3)$ , but not in  $TIME(n^2)$

**Proof:** In  $TIME(n^3)$

On input  $\langle M \rangle$ :

Argue that UTM can simulate  $M(\langle M \rangle)$  w/  
low "overhead"

1. Simulate  $M$  on input  $\langle M \rangle$  for  $n^{2.5}$  steps  $\Rightarrow O(n^3)$  time algorithm
2. If  $M$  accepts, **reject**. If  $M$  rejects or did not yet halt, **accept**.

# An explicit separating language

**Theorem:**  $L = \{\langle M \rangle \mid M \text{ is a TM that does not accept input } \langle M \rangle \text{ within } n^{2.5} \text{ steps}\}$

is in  $TIME(n^3)$ , but not in  $TIME(n^2)$

**Proof:** Not in  $TIME(n^2)$

*simplifying assumption time  $n^2$*

Suppose for contradiction that  $D$  decides  $L$  in time  $O(n^2)$

$D$  accepts input  $\langle D \rangle$ :  $D$  is a TM accepting  $\langle D \rangle$  w/in  $n^2$  steps  
 $\Rightarrow D \notin L$  ✗

$D$  rejects input  $\langle D \rangle$ :  $D$  rejects  $\langle D \rangle$  w/in  $n^2$  steps  
 $\Rightarrow D \in L$  ✗



# Time and space hierarchy theorems

- For any\* function  $t(n) \geq n \log n$ , a language exists that is decidable in  $t(n)$  time, but not in  $o\left(\frac{t(n)}{\log t(n)}\right)$  time.

ex:  $t(n) = n \log^2 n$

$$\text{TIME}(n \log^2 n) \not\subseteq \text{TIME}(n)$$

- For any\* function  $s(n) \geq \log n$ , a language exists that is decidable in  $s(n)$  space, but not in  $o(s(n))$  space.

\*“time constructible” and “space constructible”, respectively

# Complexity Class P

# Time and space complexity

## The basic questions

1. How do we measure complexity?
2. Asymptotic notation
3. How robust is the TM model when we care about measuring complexity?
4. How do we mathematically capture our intuitive notion of “efficient algorithms”?

# Complexity class P

**Definition:** P is the class of languages decidable in polynomial time on a basic single-tape (deterministic) TM

$$P = \bigcup_{k=1}^{\infty} \text{TIME}(n^k)$$
$$\approx \text{TIME}(n) \cup \text{TIME}(n^2) \cup \text{TIME}(n^3) \cup \dots$$

- Class doesn't change if we substitute in another reasonable deterministic model (Extended Church-Turing)
- **Cobham-Edmonds Thesis:** Roughly captures class of problems that are feasible to solve on computers

# Check your type checker: P

$$L = \{ \langle x, y, x+y \rangle \mid x, y \in \mathbb{N} \}$$

$L \in P$

Consider the following computational problem: Given two numbers  $x, y$  (written in binary), output their sum

$x + y$  (in binary). Which of the following is true?

$P$  is a set of languages

language  $L$  is a set of strings



$x \in L$  if answer to instance  $x$  is "yes"  
 $x \notin L$  if answer to instance  $x$  is "no"

- a) This is a problem in P
- b) This problem is not in P because it cannot be solved by a Turing machine (i.e., it's undecidable)
- c) This problem is not in P because it cannot be solved in polynomial time
- d) This problem is not in P because it is not a decision problem (i.e., a language)

# A note about encodings

We'll still use the notation  $\langle \cdot \rangle$  for "any reasonable" encoding of the input to a TM...but now we have to be more careful about what we mean by "reasonable"

How long is the encoding of a  $V$ -vertex,  $E$ -edge graph...

... as an adjacency matrix?  $O(V^2)$

... as an adjacency list?  $O(E + V)$

Encoding doesn't really matter for measuring runtime up to polynomials

How long is the encoding of a natural number  $k$

... in binary?

$$n = \log_2 k$$

... in decimal?

$$n = \log_{10} k$$

Same up to constant

... in unary?

$$n = k$$

← dramatic difference



# When the encoding matters

The “same” algorithm can have wildly different runtimes depending on how the input is encoded! *x encodes nat. # 5*

*101 n = log 5*  
 $M =$  “On input  $x$  (a natural number encoded in binary):

1. List the binary numbers  $1, 2, \dots, x$  and **accept.**”

Runtime:  $O(2^n)$  numbers listed

*11111 n = 5*  
 $M' =$  “On input  $x$  (a natural number encoded in unary):

1. List the binary numbers  $1, 2, \dots, x$  and **accept.**”

Runtime:  $O(n)$  numbers listed