## BU CS 332 – Theory of Computation

https://forms.gle/dNF9ECAsFxeJ48dp8



#### Lecture 17:

Mapping Reductions

Reading:

Sipser Ch 5.3

Mark Bun April 3, 2024

#### Reductions

A reduction from problem A to problem B is an algorithm for problem A which uses an algorithm for problem B as a subroutine

If such a reduction exists, we say "A reduces to B"

Positive uses: If A reduces to B and B is decidable, then A is also decidable

Ex.  $E_{\text{DFA}}$  is decidable  $\Rightarrow EQ_{\text{DFA}}$  is decidable

Negative uses: If A reduces to B and A is undecidable, then B is also undecidable

Ex.  $E_{\rm TM}$  is undecidable  $\Rightarrow EQ_{\rm TM}$  is undecidable





What's wrong with the following "proof"?

Bogus "Theorem":  $A_{TM}$  is not Turing-recognizable

Bogus "Proof": Let R be an alleged recognizer for  $A_{TM}$ . We construct a recognizer S for unrecognizable language  $A_{TM}$ :

On input  $\langle M, w \rangle$ :

- 1. Run R on input  $\langle M, w \rangle$
- 2. If R accepts, reject. If R rejects, accept.

This sure looks like a reduction from  $\overline{A_{\rm TM}}$  to  $A_{\rm TM}$ 

#### Mapping Reductions: Motivation

- 1. How do we formalize the notion of a reduction?
- 2. How do we use reductions to show that languages are unrecognizable?
- 3. How do we protect ourselves from accidentally "proving" bogus statements about recognizability?

## Computable Functions

#### **Definition:**

A function  $f: \Sigma^* \to \Sigma^*$  is computable if there is a TM M which, given as input any  $w \in \Sigma^*$ , halts with only f(w) on its tape. ("Outputs f(w)")

## Computable Functions

#### **Definition:**

A function  $f: \Sigma^* \to \Sigma^*$  is computable if there is a TM M which, given as input any  $w \in \Sigma^*$ , halts with only f(w) on its tape. ("Outputs f(w)")

Example 1: f(w) = sort(w)

Example 2:  $f(\langle x, y \rangle) = x + y$ 

## Computable Functions

#### **Definition:**

A function  $f: \Sigma^* \to \Sigma^*$  is computable if there is a TM M which, given as input any  $w \in \Sigma^*$ , halts with only f(w) on its tape. ("Outputs f(w)")

Example 3:  $f(\langle M, w \rangle) = \langle M' \rangle$  where M is a TM, w is a string, and M' is a TM that ignores its input and simulates running M on w

#### Mapping Reductions

#### **Definition:**

Let  $A, B \subseteq \Sigma^*$  be languages. We say A is mapping reducible to B, written

$$A \leq_{\mathsf{m}} B$$

if there is a computable function  $f: \Sigma^* \to \Sigma^*$  such that for all strings  $w \in \Sigma^*$ , we have  $w \in A \iff f(w) \in B$ 

## Mapping Reductions

#### **Definition:**

Language A is mapping reducible to language B, written  $A \leq_{m} B$ 

if there is a computable function  $f: \Sigma^* \to \Sigma^*$  such that for all strings  $w \in \Sigma^*$ , we have  $w \in A \iff f(w) \in B$ 

If  $A \leq_{\mathrm{m}} B$ , which of the following is true?

- a)  $\bar{A} \leq_{\mathrm{m}} B$
- b)  $A \leq_{\mathrm{m}} \bar{B}$
- c)  $\bar{A} \leq_{\mathsf{m}} \bar{B}$
- d)  $\bar{B} \leq_{\rm m} \bar{A}$

## Decidability

Theorem: If  $A \leq_{\mathbf{m}} B$  and B is decidable, then A is also decidable

Proof: Let M be a decider for B and let  $f: \Sigma^* \to \Sigma^*$  be a mapping reduction from A to B. We can construct a decider N for A as follows:

#### On input w:

- 1. Compute f(w)
- 2. Run M on input f(w)
- 3. If *M* accepts, accept. If it rejects, reject.

## Undecidability

Theorem: If  $A \leq_{\mathbf{m}} B$  and B is decidable, then A is also decidable

Corollary: If  $A \leq_{\mathrm{m}} B$  and A is undecidable, then B is also undecidable

## Old Proof: Equality Testing for TMs

 $EQ_{\text{TM}} = \{ \langle M_1, M_2 \rangle | M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2) \}$ 

Theorem:  $EQ_{TM}$  is undecidable

Proof: Suppose for contradiction that there exists a decider R for  $EQ_{\rm TM}$ . We construct a decider for  $E_{\rm TM}$  as follows:

On input  $\langle M \rangle$ :

1. Construct TMs  $M_1$ ,  $M_2$  as follows:

$$M_1 = M$$

$$M_2$$
 = "On input  $x$ ,  
1. Ignore  $x$  and reject"

- 2. Run R on input  $\langle M_1, M_2 \rangle$
- 3. If *R* accepts, accept. Otherwise, reject.

This is a reduction from  $E_{\mathrm{TM}}$  to  $EQ_{\mathrm{TM}}$ 

## New Proof: Equality Testing for TMs

```
EQ_{\text{TM}} = \{ \langle M_1, M_2 \rangle | M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2) \}
```

Theorem:  $E_{TM} \leq_{\text{m}} EQ_{TM}$  (Hence  $EQ_{TM}$  is undecidable)

Proof: The following TM N computes the reduction f:

#### On input $\langle M \rangle$ :

1. Construct TMs  $M_1$ ,  $M_2$  as follows:

$$M_1 = M$$

$$M_2$$
 = "On input  $x$ ,  
1. Ignore  $x$  and reject"

2. Output  $\langle M_1, M_2 \rangle$ 

## Mapping Reductions: Recognizability

Theorem: If  $A \leq_{\mathrm{m}} B$  and B is recognizable, then A is also recognizable

Proof: Let M be a recognizer for B and let  $f: \Sigma^* \to \Sigma^*$  be a mapping reduction from A to B. Construct a recognizer N for A as follows:

#### On input w:

- 1. Compute f(w)
- 2. Run M on input f(w)
- 3. If *M* accepts, accept. If it rejects, reject.

## Unrecognizability

Theorem: If  $A \leq_{\mathrm{m}} B$  and B is recognizable, then A is also recognizable

Corollary: If  $A \leq_{\mathrm{m}} B$  and A is unrecognizable, then B is also unrecognizable

Corollary: If  $\overline{A_{TM}} \leq_m B$ , then B is unrecognizable

## Recognizability and $A_{\mathsf{TM}}$



Let L be a language. Which of the following is true?

- a) If  $L \leq_{\mathrm{m}} A_{\mathrm{TM}}$ , then L is recognizable
- b) If  $A_{TM} \leq_m L$ , then L is recognizable
- c) If L is recognizable, then  $L \leq_{\mathrm{m}} A_{\mathrm{TM}}$
- d) If L is recognizable, then  $A_{\rm TM} \leq_{\rm m} L$

Theorem: L is recognizable if and only if  $L \leq_{\mathrm{m}} A_{\mathrm{TM}}$ 

## Recognizability and $A_{\mathrm{TM}}$

Theorem: L is recognizable if and only if  $L \leq_m A_{TM}$ Proof:

# Example: Another reduction to $EQ_{\mathrm{TM}}$

 $EQ_{\text{TM}} = \{ \langle M_1, M_2 \rangle | M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2) \}$ 

Theorem:  $A_{\text{TM}} \leq_{\text{m}} EQ_{\text{TM}}$ 

Proof: The following TM N computes the reduction f:



What should the inputs and outputs to f be?

- a) f should take as input a pair  $\langle M_1, M_2 \rangle$  and output a pair  $\langle M, w \rangle$
- b) f should take as input a pair  $\langle M, w \rangle$  and output a pair  $\langle M_1, M_2 \rangle$
- c) f should take as input a pair  $\langle M_1, M_2 \rangle$  and either accept or reject
- d) f should take as input a pair  $\langle M, w \rangle$  and either accept or reject

# Example: Another reduction to $EQ_{\mathrm{TM}}$

$$EQ_{\text{TM}} = \{ \langle M_1, M_2 \rangle | M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2) \}$$

Theorem:  $A_{\text{TM}} \leq_{\text{m}} EQ_{\text{TM}}$ 

Proof: The following TM computes the reduction f:

On input  $\langle M, w \rangle$ :

1. Construct TMs  $M_1$ ,  $M_2$  as follows:

$$M_1$$
 = "On input  $x$ ,  $M_2$  = "On input  $x$ ,

2. Output  $\langle M_1, M_2 \rangle$ 

# Consequences of $A_{\rm TM} \leq_{\rm m} EQ_{\rm TM}$

1. Since  $A_{\mathrm{TM}}$  is undecidable,  $EQ_{\mathrm{TM}}$  is also undecidable

2.  $A_{\text{TM}} \leq_{\text{m}} EQ_{\text{TM}}$  implies  $\overline{A_{\text{TM}}} \leq_{\text{m}} \overline{EQ_{\text{TM}}}$ Since  $\overline{A_{\text{TM}}}$  is unrecognizable,  $\overline{EQ_{\text{TM}}}$  is unrecognizable

# $EQ_{TM}$ itself is also unrecognizable

$$EQ_{\text{TM}} = \{ \langle M_1, M_2 \rangle | M_1, M_2 \text{ are TMs and } L(M_1) = L(M_2) \}$$

Theorem:  $\overline{A_{TM}} \leq_{\text{m}} EQ_{TM}$  (Hence  $EQ_{TM}$  is unrecognizable)

Proof: The following TM computes the reduction:

#### On input $\langle M, w \rangle$ :

1. Construct TMs  $M_1$ ,  $M_2$  as follows:

$$M_1$$
 = "On input  $x$ ,

- 1. Ignore x
- 2. Run *M* on input *w*
- 3. If *M* accepts, accept. Otherwise, reject."
- 2. Output  $\langle M_1, M_2 \rangle$

$$M_2$$
 = "On input  $x$ ,

1. Ignore x and reject"