## BU CS 332 – Theory of Computation

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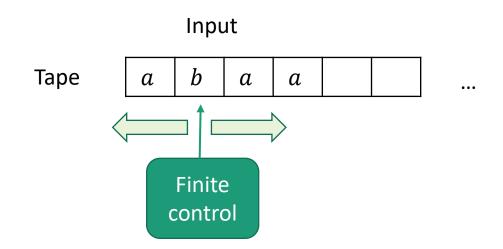
Lecture 10:

- Turing Machines
- TM Variants and Closure Properties

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Reading: Sipser Ch 3.1-3.3

## The Basic Turing Machine (TM)



- Input is written on an infinitely long tape
- Head can both read and write, and move in both directions
- Computation halts as soon as control reaches "accept" or "reject" state

Three Levels of Abstraction

High-Level Description An algorithm (like CS 330)

Implementation-Level Description

Describe (in English) the instructions for a TM

- How to move the head
- What to write on the tape

#### Low-Level Description

State diagram or formal specification

#### Example

# Determine if a string $w \in \{0\}^*$ is in the language $A = \{0^{2^n} \mid n \ge 0\}$

**High-Level Description** 

Repeat the following forever:

- If there is exactly one 0 in w, accept
- If there is an odd (> 1) number of 0s in w, reject
- Delete half of the 0s in w

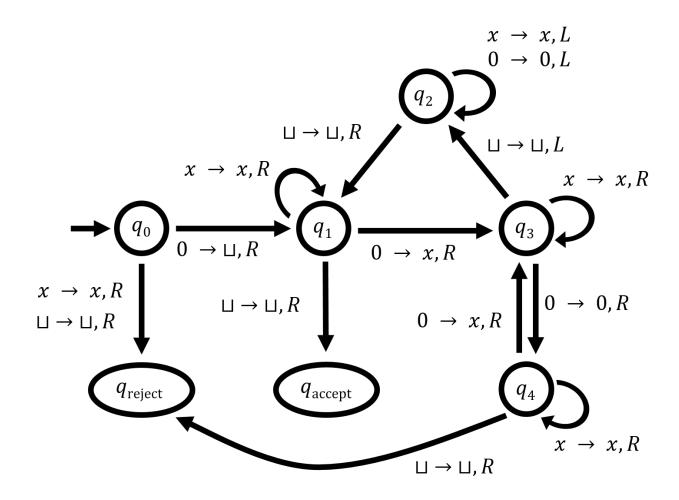
#### Example

Determine if a string  $w \in \{0\}^*$  is in the language  $A = \{0^{2^n} \mid n \ge 0\}$ 

Implementation-Level Description

- 1. While moving the tape head left-to-right:
  - a) Cross off every other 0
  - b) If there is exactly one 0 when we reach the first blank symbol, accept
  - c) If there is an odd (> 1) number of 0s when we reach first blank symbol, reject
- 2. Return the head to the left end of the tape
- 3. Go back to step 1

#### Example Determine if a string $w \in A = \{0^{2^n} | n \ge 0\}$ Low-Level Description



#### Differences between TMs and Finite Automata

#### Formal Definition of a TM

A TM is a 7-tuple  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ 

- *Q* is a finite set of states
- $\Sigma$  is the input alphabet (does **not** include  $\sqcup$ )
- $\Gamma$  is the tape alphabet (contains  $\sqcup$  and  $\Sigma$ )
- $\delta$  is the transition function

...more on this later

- $q_0 \in Q$  is the start state
- $q_{\text{accept}} \in Q$  is the accept state
- $q_{\text{reject}} \in Q$  is the reject state ( $q_{\text{reject}} \neq q_{\text{accept}}$ )

#### TM Transition Function $\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$

*L* means "move left" and *R* means "move right"  $\delta(p, a) = (q, b, R)$  means:

- Replace *a* with *b* in current cell
- Transition from state *p* to state *q*
- Move tape head right

 $\delta(p, a) = (q, b, L)$  means:

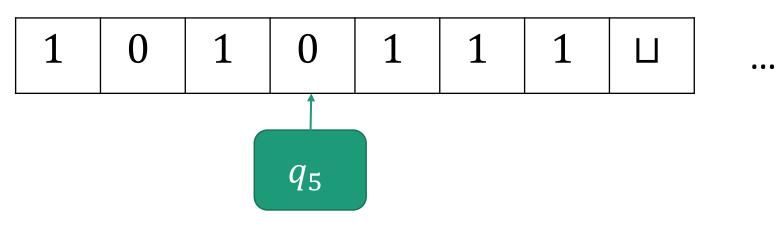
- Replace *a* with *b* in current cell
- Transition from state *p* to state *q*
- Move tape head left UNLESS we are at left end of tape, in which case don't move

## Configuration of a TM: Formally

A configuration is a string uqv where  $q \in Q$  and  $u, v \in \Gamma^*$ 

- Tape contents = uv (followed by infinitely many blanks  $\sqcup$ )
- Current state = q
- Tape head on first symbol of v

#### Example: $101q_50111$



#### How a TM Computes



Start configuration:  $q_0 w$ 

In one step of computation:

- If  $\delta(q, b) = (q', c, R)$ , then  $ua \ q \ bv$  yields  $uac \ q' \ v$
- If  $\delta(q, b) = (q', c, L)$ , then  $ua \ q \ bv$  yields  $u \ q' \ acv$
- If we are at the left end of the tape in configuration q bv, what configuration do we reach if  $\delta(q, b) = (q', c, L)$ ?

#### How a TM Computes

**Start** configuration:  $q_0 w$ 

In one step of computation:

- If  $\delta(q, b) = (q', c, R)$ , then  $ua \ q \ bv$  yields  $uac \ q' \ v$
- If  $\delta(q, b) = (q', c, L)$ , then  $ua \ q \ bv$  yields  $u \ q' \ acv$
- If  $\delta(q, b) = (q', c, L)$ , then q bv yields q' cv

Accepting configuration:  $q = q_{accept}$ Rejecting configuration:  $q = q_{reject}$ 

#### How a TM Computes

*M* accepts input *w* if there exists a sequence of configurations  $C_1, \ldots, C_k$  such that:

- $C_1 = q_0 w$
- $C_i$  yields  $C_{i+1}$  for every i
- $C_k$  is an accepting configuration

#### L(M) = the set of all strings w which M accepts A is Turing-recognizable if A = L(M) for some TM M:

- $w \in A \implies M$  halts on w in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on w in state  $q_{reject}$  OR M runs forever on w

#### Recognizers vs. Deciders

L(M) = the set of all strings w which M accepts

A is Turing-recognizable if A = L(M) for some TM M:

- $w \in A \implies M$  halts on w in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on w in state  $q_{reject}$  OR M runs forever on w
- A is (Turing-)decidable if A = L(M) for some TM M which halts on every input
- $w \in A \implies M$  halts on w in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on w in state  $q_{reject}$



Which of the following is true about the relationship between decidable and recognizable languages?

- a) The decidable languages are a subset of the recognizable languages
- b) The recognizable languages are a subset of the decidable languages
- c) They are incomparable: There might be decidable languages which are not recognizable and vice versa

#### Example: Arithmetic on a TM

The following TM decides MULT =  $\{a^i b^j c^k \mid i \times j = k\}$ :

On input string w:

- 1. Check *w* is formatted correctly
- 2. For each *a* appearing in *w*:
- 3. For each *b* appearing in *w*:
- 4. Attempt to cross off a *c*. If none exist, reject.
- 5. If all *c*'s are crossed off, accept. Else, reject.

#### Example: Arithmetic on a TM

The following TM decides MULT =  $\{a^i b^j c^k \mid i \times j = k\}$ : On input string *w*:

- 1. Scan the input from left to right to determine whether it is a member of  $L(a^*b^*c^*)$
- 2. Return head to left end of tape
- 3. Cross off an *a* if one exists. Scan right until a *b* occurs. Shuttle between *b*'s and *c*'s crossing off one of each until all *b*'s are gone. Reject if all *c*'s are gone but some *b*'s remain.
- 4. Restore crossed off *b*'s. If any *a*'s remain, repeat step 3.
- 5. If all *c*'s are crossed off, accept. Else, reject.

## Back to Hilbert's Tenth Problem

**Computational Problem:** Given a Diophantine equation, does it have a solution over the integers?

L =

• *L* is Turing-recognizable

• *L* is not decidable (1949-70)









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## **TM Variants**

#### How Robust is the TM Model?

Does changing the model result in different languages being recognizable / decidable?

So far we've seen...

- Adding nondeterminism does not change the languages recognized by finite automata
- We can require that NFAs have a single accept state

Other modifications possible too: E.g., allowing DFAs to have multiple passes over their input does not increase their power

#### Turing machines have an astonishing level of robustness

#### TMs are equivalent to...

- TMs with "stay put"
- TMs with 2-way infinite tapes
- Multi-tape TMs
- Nondeterministic TMs
- Random access TMs
- Enumerators
- Finite automata with access to an unbounded queue
- Primitive recursive functions
- Cellular automata

. . .

## Equivalent TM models



 TMs that are allowed to "stay put" instead of moving left or right

 $\delta: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$ 

TMs with stay put are *at least* as powerful as basic TMs

(Every basic TM is a TM with stay put that never stays put)

How would you show that TMs with stay put are *no more* powerful than basic TMs?

- a) Convert any basic TM into an equivalent TM with stay put
- b) Convert any TM with stay put into an equivalent basic TM
- c) Construct a language that is recognizable by a TM with stay put, but not by any basic TM
- d) Construct a language that is recognizable by a basic TM, but not by any TM with stay put

#### Equivalent TM models

• TMs that are allowed to "stay put" instead of moving left or right

$$\delta: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$$

**Proof** that TMs with stay put are no more powerful:

Simulation: Our goal is to convert any TM M with stay put into an equivalent basic TM M'

How? Replace every stay put instruction in M with a move right instruction, followed by a move left instruction in M'

## Equivalent TM models

• TMs with a 2-way infinite tape, unbounded left to right

InputTape...ababa...

**Proof** that TMs with 2-way infinite tapes are no more powerful:

Simulation: Convert any TM M with 2-way infinite tape into a 1-way infinite TM M' with a "two-track tape"

#### Implementation-Level Simulation

Given 2-way TM M construct a basic TM M' as follows. TM M' = "On input  $w = w_1 w_2 \dots w_n$ :

1. Format 2-track tape with contents  $(w_1,\sqcup), (w_2,\sqcup), \dots, (w_n,\sqcup)$ 

2. To simulate one move of M:

a) If working on upper track, read/write to the first position of cell under tape head, and move in the same direction as M

b) If working on lower track, read/write to second position of cell under tape head, and move in the opposite direction as *M* 

c) If move results in hitting \$, switch to the other track. "

#### Formalizing the Simulation

Given 2-way TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , construct  $M' = (Q', \Sigma, \Gamma', \delta', q'_0, q'_{\text{accept}}, q'_{\text{reject}})$ 

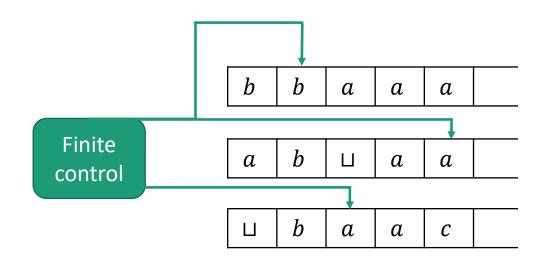
New tape alphabet:  $\Gamma' = (\Gamma \times \Gamma) \cup \{\$\}$ New state set:  $Q' = Q \times \{+, -\}$ 

(q, +) means "in state q and working on upper track" (q, -) means "in state q and working on lower track" New transitions:

If  $\delta(p, a_{-}) = (q, b, L)$ , let  $\delta'((p, -), (a_{-}, a_{+})) = ((q, -), (b, a_{+}), R)$ Also need new transitions for moving right, lower track, hitting \$, initializing input into 2-track format



#### Multi-Tape TMs



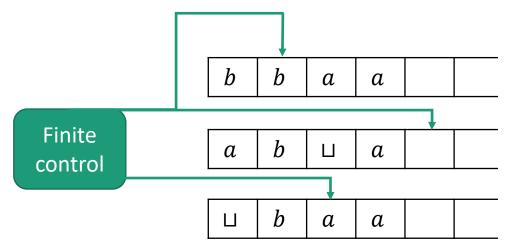
Fixed number of tapes *k* 

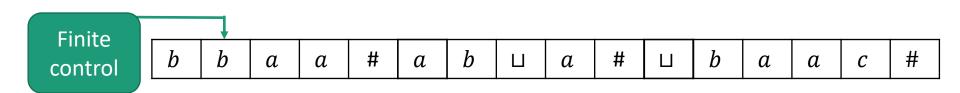
(k can't depend on input or change during computation)

Transition function  $\delta : Q \times \Gamma^k \to Q \times \Gamma^k \times \{L, R, S\}^k$ 

Multi-Tape TMs are Equivalent to Single-Tape TMs

Theorem: Every k-tape TM M with can be simulated by an equivalent single-tape TM M'





#### Simulating Multiple Tapes

Implementation-Level Description

On input  $w = w_1 w_2 \dots w_n$ 

- 1. Format tape into  $\# \dot{w_1} w_2 \dots w_n \# \dot{\sqcup} \# \dot{\sqcup} \# \dots \#$
- 2. For each move of *M*:

Scan left-to-right, finding current symbols Scan left-to-right, writing new symbols, Scan left-to-right, moving each tape head

If a tape head goes off the right end, insert blank If a tape head goes off left end, move back right

#### Why are Multi-Tape TMs Helpful?

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Often easier to construct multi-tape TMs Ex. Decider for  $\{a^i b^j | i > j\}$ 

#### Why are Multi-Tape TMs Helpful?

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Very helpful for proving closure properties

**Ex.** Closure of recognizable languages under union. Suppose  $M_1$  is a single-tape TM recognizing  $L_1$ ,  $M_2$  is a single-tape TM recognizing  $L_2$ 

#### **Closure Properties**

The Turing-decidable languages are closed under:

- Union
- Concatenation
- Star

- Intersection
- Reverse
- Complement

The Turing-recognizable languages are closed under:

- Union
- Concatenation
- Star

- Intersection
- Reverse