

# BU CS 332 – Theory of Computation

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## Lecture 11:

- TM Variants
- Nondeterministic TMs
- Closure Properties

Reading:

Sipser Ch 3.2

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# Last Time

Formal definition of a TM, configurations, how a TM computes

Recognizability vs. Decidability:  $A = L(M)$ , meaning  $A$  is the language recognized by  $M$

$A$  is **Turing-recognizable** if there exists a TM  $M$  such that

- $w \in A \implies M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on  $w$  in state  $q_{\text{reject}}$  **OR**  
 $M$  runs forever on  $w$

$A$  is **(Turing-)decidable** if there exists a TM  $M$  such that

- $w \in A \implies M$  halts on  $w$  in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on  $w$  in state  $q_{\text{reject}}$

# TMs are equivalent to...

- TMs with “stay put”
- TMs with 2-way infinite tapes
- Multi-tape TMs
- Nondeterministic TMs
- Random access TMs
- Enumerators
- Finite automata with access to an unbounded queue
- Primitive recursive functions
- Cellular automata
- ...

# Equivalent TM models

- TMs that are allowed to “stay put” instead of moving left or right

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, S\}$$

TMs with stay put are *at least* as powerful as basic TMs

(Every basic TM is already a TM with stay put that never stays put)

**Proof** that TMs with stay put are *no more* powerful:

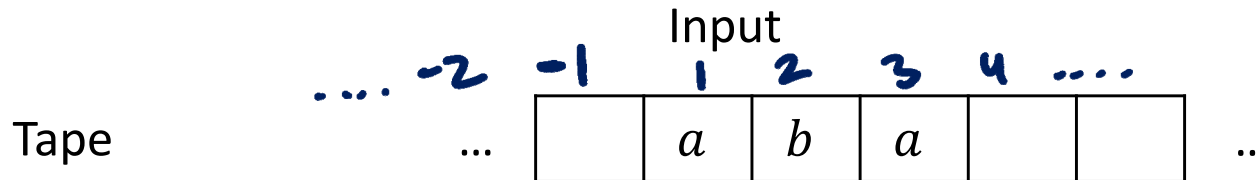
**Simulation:** Our goal is to convert any TM  $M$  with stay put into an equivalent basic TM  $M'$

How? Replace every stay put instruction in  $M$  with a move right instruction, followed by a move left instruction in  $M'$



# Equivalent TM models

- TMs with a 2-way infinite tape, unbounded left to right



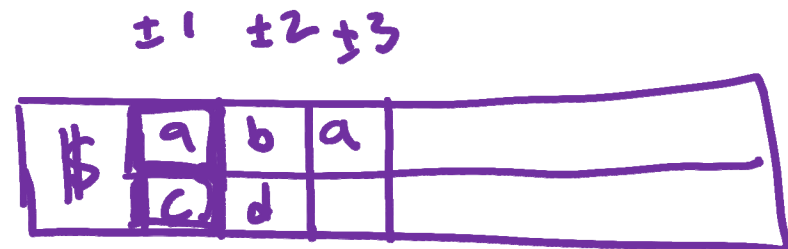
**Proof** that TMs with 2-way infinite tapes are no more powerful:

**Simulation:** Convert any TM  $M$  with 2-way infinite tape into a 1-way infinite TM  $M'$  with a “two-track tape”

$M$ :



⇒



⇒



# Implementation-Level Simulation

Given 2-way TM  $M$  construct a basic TM  $M'$  as follows.

TM  $M'$  = "On input  $w = w_1 w_2 \dots w_n$ :

1. Format 2-track tape with contents

$\$, (w_1, \sqcup), (w_2, \sqcup), \dots, (w_n, \sqcup)$



2. To simulate one move of  $M$ :

a) If working on upper track, read/write to the first position of cell under tape head, and move in the same direction as  $M$

b) If working on lower track, read/write to second position of cell under tape head, and move in the opposite direction as  $M$

c) If move results in hitting \$, switch to the other track. ”

# Formalizing the Simulation

Given 2-way TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , construct  $M' = (Q', \Sigma, \Gamma', \delta', q'_0, q'_{\text{accept}}, q'_{\text{reject}})$

**New tape alphabet:**  $\Gamma' = (\Gamma \times \Gamma) \cup \{\$\}$

*upper symbol*  
↓  
*lower symbol*

**New state set:**  $Q' = Q \times \{+, -\}$

$(q, +)$  means “in state  $q$  and working on upper track”

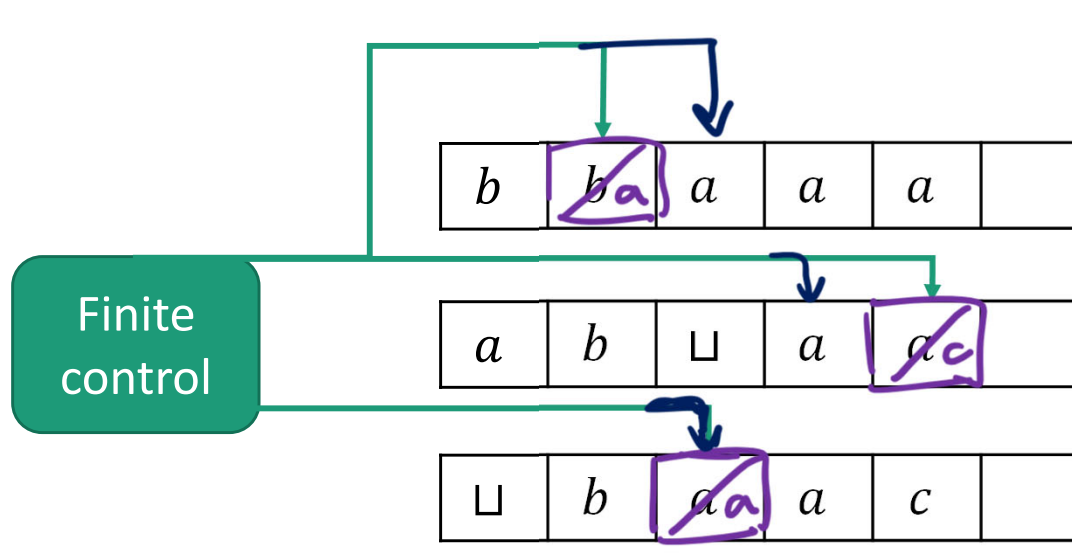
$(q, -)$  means “in state  $q$  and working on lower track”

**New transitions:**

If  $\delta(p, a_-) = (q, b, L)$ , let  $\delta'((p, -), (a_-, a_+)) = ((q, -), (b, a_+), R)$

Also need new transitions for moving right, lower track, hitting \$,  
initializing input into 2-track format

# Multi-Tape TMs



Fixed number of tapes  $k$

( $k$  can't depend on input or change during computation)

Transition function  $\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, S\}^k$

$\uparrow$  current state  
 $\uparrow$   $k$  alphabet symbols, one per tape  
 $\downarrow$  new state  
 $\leftarrow$   $k$  new symbols  
 $\underbrace{\hspace{10em}}$   $k$  movement instructions



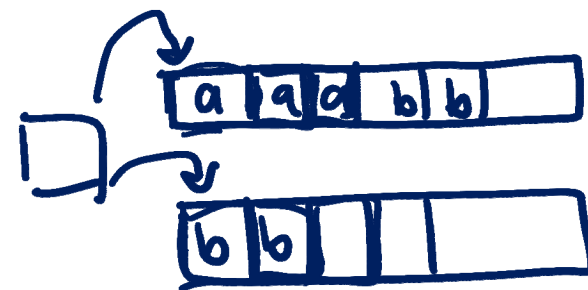
# Why are Multi-Tape TMs Helpful?

**Theorem:** Every  $k$ -tape TM  $M$  can be simulated by an equivalent single-tape TM  $M'$

⇒ To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Often easier to construct multi-tape TMs

**Ex.** Decider for  $\{a^i b^j \mid i > j\}$



On input  $w$ :

- 1) Scan tape 1 left-to-right to check that  $w \in L(a^* b^*)$
- 2) Scan tape 2 left-to-right to copy all  $b$ 's to tape 2
- 3) Starting from left ends of tapes 1 and 2, scan both tapes to check that every  $b$  on tape 2 has an accompanying  $a$  on tape 1. If not, **reject**.
- 4) Check that the first blank on tape 2 has an accompanying  $a$  on tape 1. If so, **accept**; otherwise, **reject**.

# Why are Multi-Tape TMs Helpful?

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Very helpful for proving **closure properties**

✓ recognizable.  $A, B$

$A \cup B$  is also recognizable

**Ex.** Closure of recognizable languages under union. Suppose  $M_1$  is a single-tape TM recognizing  $L_1$ ,  $M_2$  is a single-tape TM recognizing  $L_2$

Construct a multi-tape TM recognizing  $L_1 \cup L_2$

$N$ :

" On input  $w = w_1 w_2 \dots w_n$ :

1. Copy  $w$  to tape 2
2. Run  $M_1$  on tape 1. If  $M_1$  accepts, accept.
3. Run  $M_2$  on tape 2. If  $M_2$  accepts, accept.
4. Else reject.

Attempted proof of correctness:

• If  $w \in L_1 \cup L_2$ , wts that  $N$  accepts  $w$

If  $w \in L_1$ , step 2 leads  $N$  to accept ✓

If  $w \in L_2$  we could like  $N$  to accept in step 3, but might not happen if  $M_1$  loops on  $w$ !

# Why are Multi-Tape TMs Helpful?

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

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**Ex.** Closure of recognizable languages under union. Suppose  $M_1$  is a single-tape TM recognizing  $L_1$ ,  $M_2$  is a single-tape TM recognizing  $L_2$

On input  $w$ :

TM  $N$

1) Scan tapes 1, 2, and 3 left-to-right to copy  $w$  to tapes 2 and 3

2) Repeat forever:

a) Run  $M_1$  for one step on tape 2

b) Run  $M_2$  for one step on tape 3

c) If either machine accepts, **accept**

3) If both reject, reject.

• If  $w \notin L_1 \cup L_2$ , then neither  $M_1$  nor  $M_2$  will accept, so

$N$  does not accept

Proof.

• If  $w \in L_1 \cup L_2$ , then either  $w \in L_1$  or  $w \in L_2$ .

- If  $w \in L_1$ , then  $M_1$  accepts  $w$  in some finite number  $k$  of steps

$\Rightarrow N$  accepts by  $k$  with the high loop

- Similarly for  $w \in L_2$

# Closure Properties

The Turing-decidable languages are closed under:

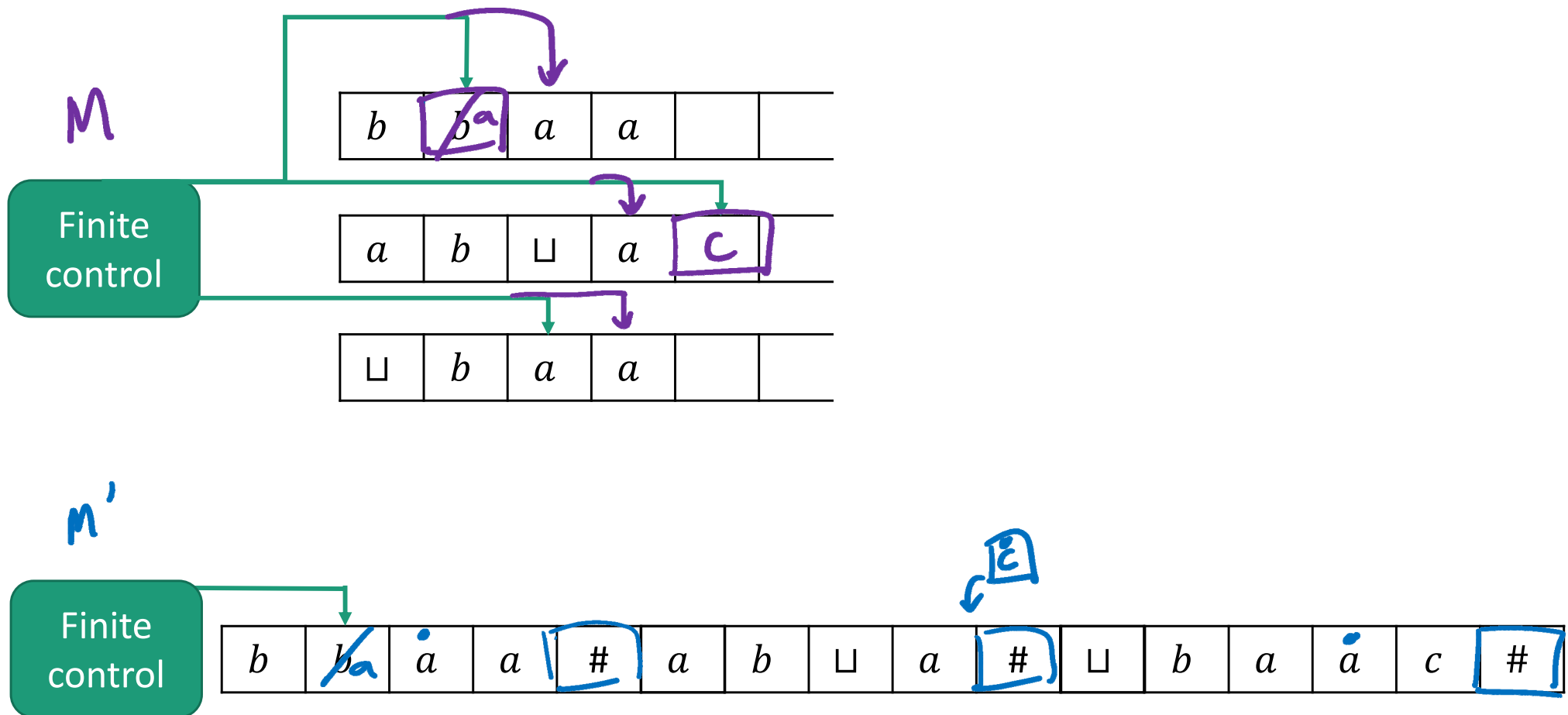
- Union
- Concatenation
- Star
- Intersection
- Reverse
- Complement

The Turing-recognizable languages are closed under:

- Union
- Concatenation
- Star
- Intersection
- Reverse
- Not closed under complement

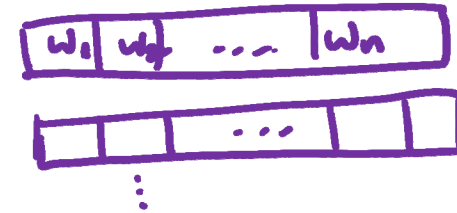
# Multi-Tape TMs are Equivalent to Single-Tape TMs

**Theorem:** Every  $k$ -tape TM  $M$  can be simulated by an equivalent single-tape TM  $M'$



# Simulating Multiple Tapes

## Implementation-Level Description



On input  $w = w_1 w_2 \dots w_n$



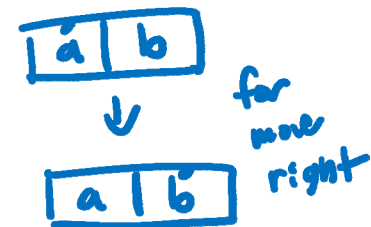
1. Format tape into  $\# w_1 w_2 \dots w_n \# \square \# \square \# \dots \#$

2. For each move of  $M$ :

→ Scan left-to-right, finding current symbols

→ Scan left-to-right, writing new symbols,

→ Scan left-to-right, moving each tape head *e.g.*



If a tape head goes off the right end, insert blank *using left-to-right pass*  
If a tape head goes off left end, move back right

# Why are Multi-Tape TMs Helpful?

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Very helpful for proving **closure properties**

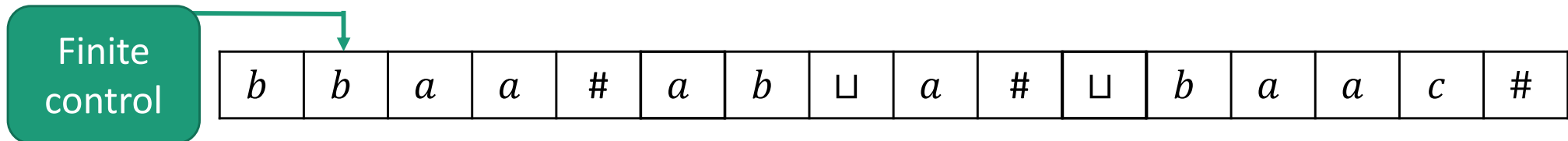
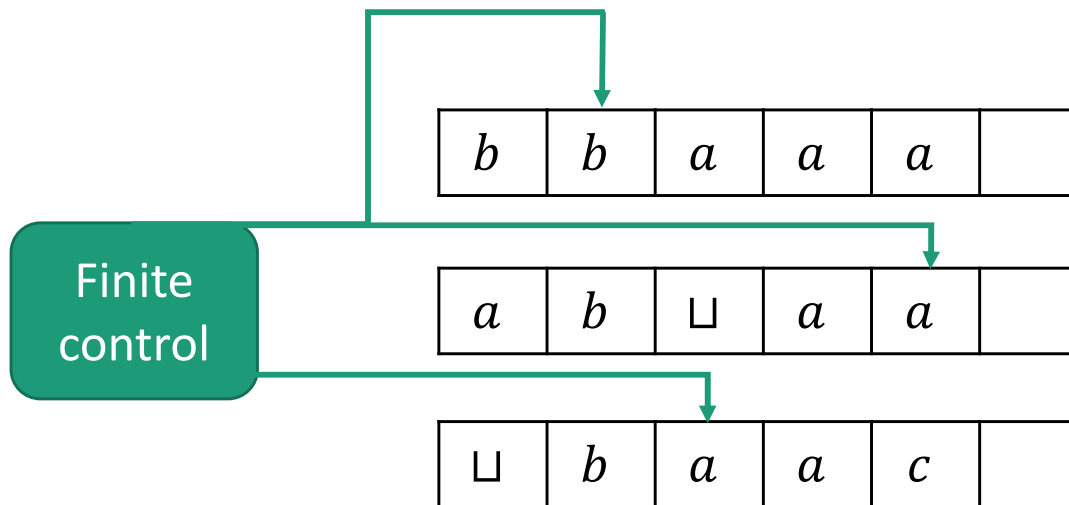
**Ex.** Closure of recognizable languages under union. Suppose  $M_1$  is a single-tape TM recognizing  $L_1$ ,  $M_2$  is a single-tape TM recognizing  $L_2$

On input  $w$ :

- 1) Scan tapes 1, 2, and 3 left-to-right to copy  $w$  to tapes 2 and 3
- 2) Repeat forever:
  - a) Run  $M_1$  for one step on tape 2
  - b) Run  $M_2$  for one step on tape 3
  - c) If either machine accepts, **accept**

# Multi-Tape TMs are Equivalent to Single-Tape TMs

**Theorem:** Every  $k$ -tape TM  $M$  can be simulated by an equivalent single-tape TM  $M'$





# How to Simulate It

*e.g. multi-tape TM*

To show that a **TM variant** is no more powerful than the **basic, single-tape TM**:

Show that if  $M$  is any variant machine, there exists a basic, single-tape TM  $M'$  that can simulate  $M$

(Usual) parts of the simulation:

- Describe how to initialize the tapes of  $M'$  based on the input to  $M$
- Describe how to simulate one step of  $M$ 's computation using (possibly many steps of)  $M'$

# Simulating Multiple Tapes

## Implementation-Level Description of $M'$

On input  $w = w_1 w_2 \dots w_n$

1. Format tape into  $\# w_1 w_2 \dots w_n \# \dot{\square} \# \dot{\square} \# \dots \#$

2. For each move of  $M$ :

Scan left-to-right, finding current symbols

Scan left-to-right, writing new symbols,

Scan left-to-right, moving each tape head

If a tape head goes off the right end, insert blank

If a tape head goes off left end, move back right

# TMs are equivalent to...

- TMs with “stay put”
- TMs with 2-way infinite tapes
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# Nondeterministic TMs

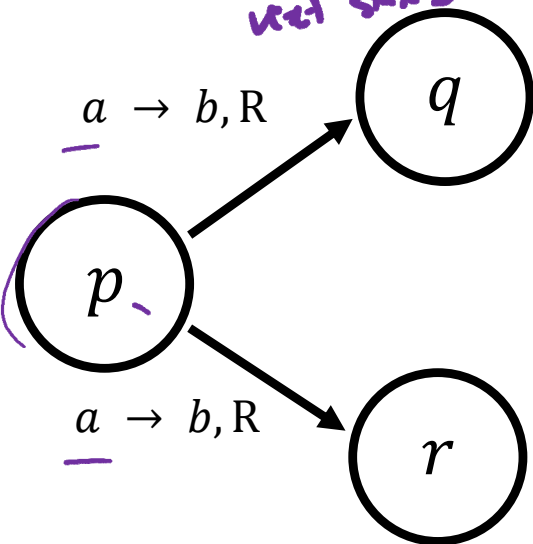
At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting branch.

Transition function  $\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R, S\})$

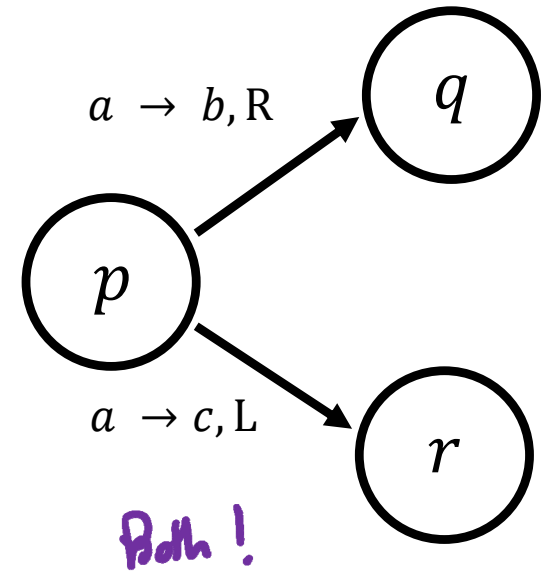
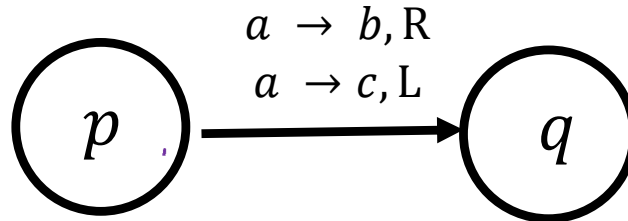
current state      curr. symbol

Set of possible  
(next state, new symbol, movement)  
triples

Multiple possible next states



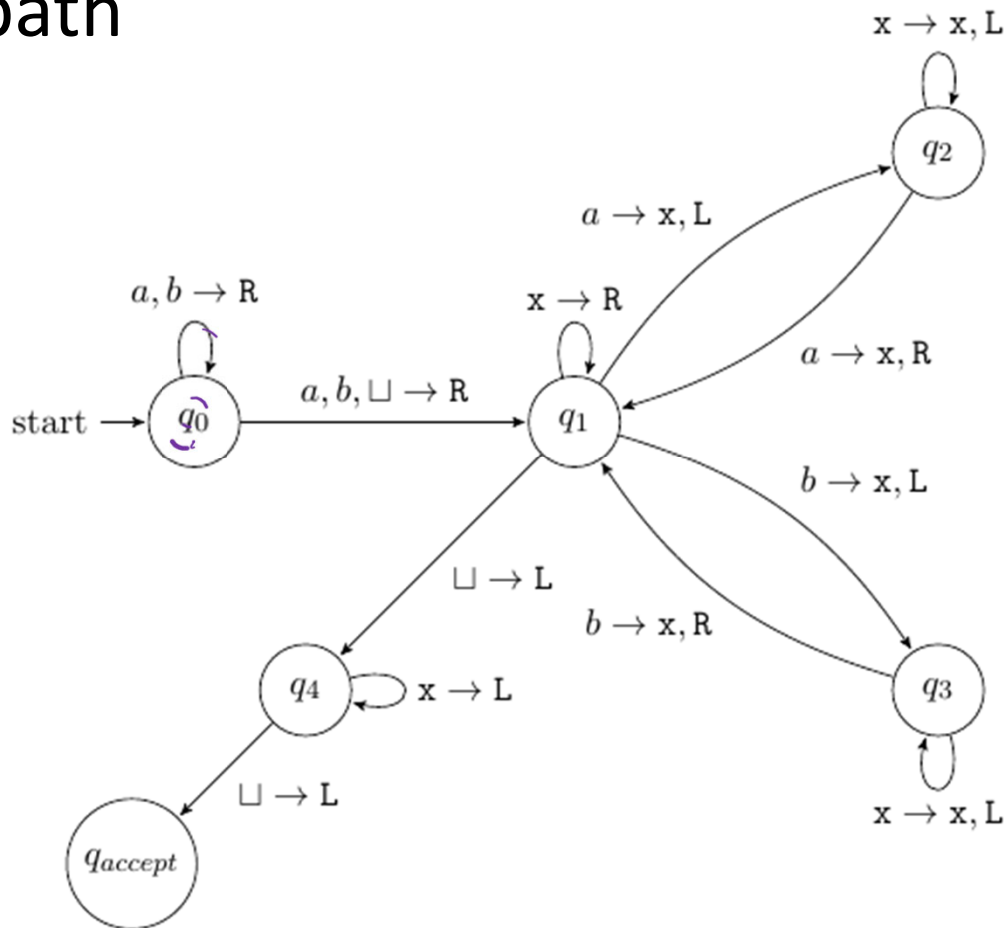
Multiple possible write/erase instructions



Both!

# Nondeterministic TMs

At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting computation path

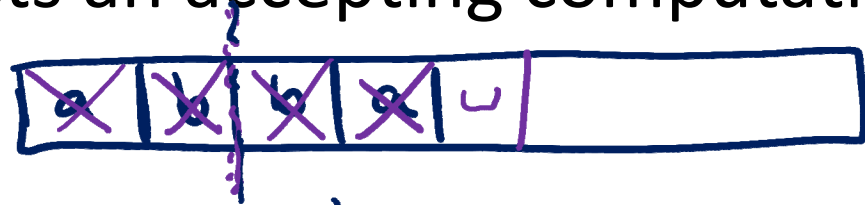


# Nondeterministic TMs

abbb a accepted overall b/c  
there exists an accepting branch

At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting computation path

Branch of computation  
leading to  
accept



Implementation-Level Description

Different  
branch:  
reject



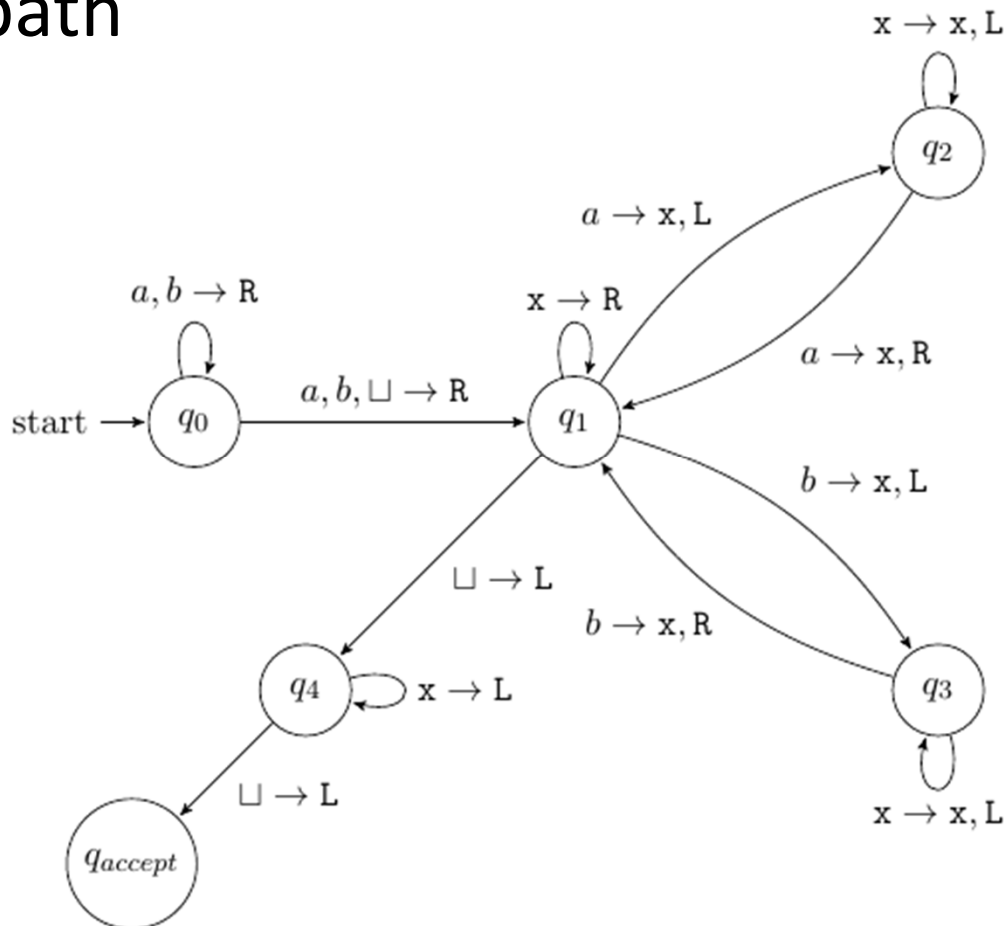
On input string w:

- 1) Scan tape left-to-right. At some point during this scan, nondeterministically go to step 2
- 2)
  - a) Read the next symbol s and cross it off
  - b) Move the head left repeatedly until a non-x symbol is found. If it matches s, cross it off. Else, **reject**.
  - c) Move the head right until a non-x symbol is found. If blank is hit, go to step 3.
  - d) Go back to 2a)
- 3) Check that the entire tape consists of x's. If so, **accept**. Else, reject.

a a a b ~~b~~ a b b b a

# Nondeterministic TMs

At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting computation path



What is the language recognized by this NTM?

- a)  $\{ ww \mid w \in \{a, b\}^* \}$
- b)  $\{ ww^R \mid w \in \{a, b\}^* \}$
- c)  $\{ ww \mid w \in \{a, b, x\}^* \}$
- d)  $\{ wx^n w^R \mid w \in \{a, b\}^*, n \geq 0 \}$