# BU CS 332 – Theory of Computation

https://forms.gle/f5ApkNPUu5ucuDa49

#### Lecture 11:

- TM Variants
- Nondeterministic TMs
- Closure Properties

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Reading: Sipser Ch 3.2

#### Last Time

Formal definition of a TM, configurations, how a TM computes

#### Recognizability vs. Decidability:

A is Turing-recognizable if there exists a TM M such that

- $w \in A \implies M$  halts on w in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on w in state  $q_{reject}$  OR M runs forever on w

A is (Turing-)decidable if there exists a TM M such that

- $w \in A \implies M$  halts on w in state  $q_{\text{accept}}$
- $w \notin A \implies M$  halts on w in state  $q_{reject}$

## TMs are equivalent to...

- TMs with "stay put"
- TMs with 2-way infinite tapes
- Multi-tape TMs
- Nondeterministic TMs
- Random access TMs
- Enumerators
- Finite automata with access to an unbounded queue
- Primitive recursive functions
- Cellular automata

. . .

# Equivalent TM models

• TMs that are allowed to "stay put" instead of moving left or right

 $\delta: Q \times \Gamma \to Q \times \Gamma \times \{L, R, S\}$ 

TMs with stay put are *at least* as powerful as basic TMs

(Every basic TM is already a TM with stay put that never stays put)

Proof that TMs with stay put are *no more* powerful:

Simulation: Our goal is to convert any TM M with stay put into an equivalent basic TM M'

How? Replace every stay put instruction in M with a move right instruction, followed by a move left instruction in M'

# Equivalent TM models

• TMs with a 2-way infinite tape, unbounded left to right

InputTape...abab...

**Proof** that TMs with 2-way infinite tapes are no more powerful:

Simulation: Convert any TM M with 2-way infinite tape into a 1-way infinite TM M' with a "two-track tape"

#### Implementation-Level Simulation

Given 2-way TM M construct a basic TM M' as follows. TM M' = "On input  $w = w_1 w_2 \dots w_n$ :

1. Format 2-track tape with contents  $(w_1,\sqcup), (w_2,\sqcup), \dots, (w_n,\sqcup)$ 

2. To simulate one move of *M*:

a) If working on upper track, read/write to the first position of cell under tape head, and move in the same direction as M

b) If working on lower track, read/write to second position of cell under tape head, and move in the opposite direction as M

c) If move results in hitting \$, switch to the other track. "

## Formalizing the Simulation

Given 2-way TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , construct  $M' = (Q', \Sigma, \Gamma', \delta', q'_0, q'_{\text{accept}}, q'_{\text{reject}})$ 

New tape alphabet:  $\Gamma' = (\Gamma \times \Gamma) \cup \{\$\}$ New state set:  $Q' = Q \times \{+, -\}$ 

(q, +) means "in state q and working on upper track" (q, -) means "in state q and working on lower track" New transitions:

If  $\delta(p, a_{-}) = (q, b, L)$ , let  $\delta'((p, -), (a_{-}, a_{+})) = ((q, -), (b, a_{+}), R)$ Also need new transitions for moving right, lower track, hitting \$, initializing input into 2-track format



# Multi-Tape TMs



Fixed number of tapes *k* 

(k can't depend on input or change during computation)

Transition function  $\delta : Q \times \Gamma^k \to Q \times \Gamma^k \times \{L, R, S\}^k$ 

Theorem: Every k-tape TM M with can be simulated by an equivalent single-tape TM M'

⇒ To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Often easier to construct multi-tape TMs

**Ex.** Decider for 
$$\{a^i b^j | i > j\}$$

On input w:

- 1) Scan tape 1 left-to-right to check that  $w \in L(a^*b^*)$
- 2) Scan tape 2 left-to-right to copy all *b*'s to tape 2
- 3) Starting from left ends of tapes 1 and 2, scan both tapes to check that every *b* on tape 2 has an accompanying *a* on tape 1. If not, reject.
- 4) Check that the first blank on tape 2 has an accompanying *a* on tape 1. If so, accept; otherwise, reject.

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

Very helpful for proving closure properties

**Ex.** Closure of recognizable languages under union. Suppose  $M_1$  is a single-tape TM recognizing  $L_1$ ,  $M_2$  is a single-tape TM recognizing  $L_2$ 

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On input *w*:

- 1) Scan tapes 1, 2, and 3 left-to-right to copy w to tapes 2 and 3
- 2) Repeat forever:
  - a) Run  $M_1$  for one step on tape 2
  - b) Run  $M_2$  for one step on tape 3
  - c) If either machine accepts, accept

# **Closure Properties**

The Turing-decidable languages are closed under:

- Union
- Concatenation
- Star

- Intersection
- Reverse
- Complement

The Turing-recognizable languages are closed under:

- Union
- Concatenation
- Star

- Intersection
- Reverse

Multi-Tape TMs are Equivalent to Single-Tape TMs

Theorem: Every k-tape TM M with can be simulated by an equivalent single-tape TM M'





# Simulating Multiple Tapes

Implementation-Level Description

On input  $w = w_1 w_2 \dots w_n$ 

- 1. Format tape into  $\# \dot{w_1} w_2 \dots w_n \# \dot{\sqcup} \# \dot{\sqcup} \# \dots \#$
- 2. For each move of *M*:

Scan left-to-right, finding current symbols Scan left-to-right, writing new symbols, Scan left-to-right, moving each tape head

If a tape head goes off the right end, insert blank If a tape head goes off left end, move back right

To show a language is Turing-recognizable or decidable, it's enough to construct a multi-tape TM

#### Very helpful for proving closure properties

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#### How to Simulate It

To show that a TM variant is no more powerful than the basic, single-tape TM:

Show that if M is any variant machine, there exists a basic, single-tape TM M' that can simulate M

(Usual) parts of the simulation:

- Describe how to initialize the tapes of M' based on the input to M
- Describe how to simulate one step of M's computation using (possibly many steps of) M'

# Simulating Multiple Tapes

Implementation-Level Description of M'

On input  $w = w_1 w_2 \dots w_n$ 

- 1. Format tape into  $\# \dot{w_1} w_2 \dots w_n \# \dot{\sqcup} \# \dot{\sqcup} \# \dots \#$
- 2. For each move of *M*:

Scan left-to-right, finding current symbols Scan left-to-right, writing new symbols, Scan left-to-right, moving each tape head

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At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting branch. Transition function  $\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R, S\})$ 



At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting computation path  $x \rightarrow x, L$ 



At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting computation path

Implementation-Level Description

On input string w:

- 1) Scan tape left-to-right. At some point during this scan, nondeterministically go to step 2
- 2) a) Read the next symbol s and cross it off
  - b) Move the head left repeatedly until a non-x symbol is found. If it matches s, cross it off. Else, reject.
  - c) Move the head right until a non-x symbol is found. If blank is hit, go to step 3.
  - d) Go back to 2a)

3) Check that the entire tape consists of x's. If so, accept. Else, reject.

At any point in computation, may nondeterministically branch. Accepts iff there exists an accepting computation path  $x \rightarrow x, L$ 





What is the language recognized by this NTM?

a) 
$$\{ww \mid w \in \{a, b\}^*\}$$
  
b)  $\{ww^R \mid w \in \{a, b\}^*\}$   
c)  $\{ww \mid w \in \{a, b, x\}^*\}$   
d)  $\{wx^n w^R \mid w \in \{a, b\}^*, n \ge 0\}$ 

An NTM *N* accepts input *w* if when run on *w* it accepts on at least one computational branch

 $L(N) = \{w \mid N \text{ accepts input } w\}$ 

# An NTM N is a decider if on **every** input, it halts on **every** computational branch

**Ex.** Given TMs  $M_1$  and  $M_2$ , construct an NTM recognizing  $L(M_1) \cup L(M_2)$ 

**Ex.** NTM for  $L = \{w | w \text{ is a binary number representing the product of two integers <math>a, b \ge 2\}$ 

**High-Level Description:** 

Theorem: Every nondeterministic TM can be simulated by an equivalent deterministic TM

Proof idea: Explore "tree of possible computations"

# Simulating NTMs

Which of the following algorithms is always appropriate for searching the tree of possible computations for an accepting configuration?



- a) Depth-first search: Explore as far as possible down each branch before backtracking
- b) Breadth-first search: Explore all configurations at depth 1, then all configurations at depth 2, etc.
- c) Both algorithms will always work

Theorem: Every nondeterministic TM has an equivalent deterministic TM

Proof idea: Simulate an NTM *N* using a 3-tape TM (See Sipser for full description)

