

# CS 535: Complexity Theory, Fall 2020

## Homework 6

Due: 8:00PM, Friday, October 23, 2020.

**Reminder.** Homework must be typeset with  $\text{\LaTeX}$  preferred. Make sure you understand the course collaboration and honesty policy before beginning this assignment. Collaboration is permitted, but you must write the solutions *by yourself without assistance*. You must also identify your collaborators. Assignments missing a collaboration statement will not be accepted. Getting solutions from outside sources such as the Web or students not enrolled in the class is strictly forbidden.

**Problem 0** (Term Paper). Now would be a good time to start thinking about the term paper assignment: what topic/paper you might be interested in writing about, and who you might want to work with. Instructions for the term paper are here: [https://cs-people.bu.edu/mbun/courses/535\\_F20/handouts/term\\_paper.pdf](https://cs-people.bu.edu/mbun/courses/535_F20/handouts/term_paper.pdf) and a list of suggested topics is here: <https://piazza.com/class/keda2wyieyz10e?cid=277>.

**Problem 1** (Exponential-Size Circuits for Every Function). In this problem, you will prove that every Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  can be computed by a circuit of size  $O(2^n/n)$ . As we'll see, this is essentially tight: in fact, "most" functions require circuits of size  $\Omega(2^n/n)$ .

- (a) First show that every Boolean function  $f(x_1, \dots, x_n)$  can be written in the form  $(\overline{x_n} \wedge f_0(x_1, \dots, x_{n-1})) \vee (x_n \wedge f_1(x_1, \dots, x_{n-1}))$  for some functions  $f_0, f_1 : \{0, 1\}^{n-1} \rightarrow \{0, 1\}$ . (2 points)
- (b) Use part (a) recursively to show that every function  $f : \{0, 1\}^k \rightarrow \{0, 1\}$  is computed by a circuit of size  $O(2^k)$ . (2 points)
- (c) There are exactly  $2^{2^k}$  different functions  $f : \{0, 1\}^k \rightarrow \{0, 1\}$ . Combine this fact with part (b) and another recursive application of part (a) to show that every function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  for  $n \geq k$  can be computed a circuit of size  $O(2^{n-k}) + O(2^k \cdot 2^{2^k})$ . Hint: You can assume each gate has unbounded fan-out, so you can "reuse" the output of a subcircuit as many times as you want. (4 points)
- (d) Set  $k$  appropriately in part (c) to conclude that every Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is computed by a circuit of size  $O(2^n/n)$ . (2 points)

**Problem 2** (More Time-Space Tradeoffs). In class (and in Arora-Barak) we saw that  $\text{NTIME}(n) \not\subseteq \text{TISP}(n^{1.2}, n^{0.2})$ , and hence  $\text{SAT}$  cannot be solved by a deterministic TM running in, say, time  $O(n^{1.1})$  and space  $O(n^{0.1})$  simultaneously. In this problem, you'll see how far you can push the technique to get different tradeoffs. Assume every function you encounter is time- and space-constructible.

- (a) Generalize Claim 5.11.1 in Arora-Barak to prove that for  $T(n) \geq n^2$  and  $S(n) \geq \log n$ , we have  $\mathbf{TISP}(T, S) \subseteq \Sigma_2\mathbf{TIME}(\sqrt{TS})$ . (3 points)
- (b) Generalize Claim 5.11.2 in Arora-Barak to prove that if  $\mathbf{NTIME}(n) \subseteq \mathbf{DTIME}(n^c)$  for some  $c > 1$ , then  $\Sigma_2\mathbf{TIME}(f(n)) \subseteq \mathbf{NTIME}((f(n))^c)$ . (3 points)
- (c) First we'll see how large we can make the time requirement. Use parts (a) and (b) to prove that for every  $c < \sqrt{2}$ , there exists a  $\delta > 0$  such that  $\mathbf{NTIME}(n) \not\subseteq \mathbf{TISP}(n^c, n^\delta)$ . You don't have to show it, but this implies that **SAT** cannot be solved by an algorithm using  $O(n^{1.41\dots})$  time and  $n^{o(1)}$  space. Hint: Note that  $\delta$  is allowed to depend on  $c$ . You'll want to choose  $\delta$  small enough so that  $c(c + \delta) < 2$ . (2 points)
- (d) Now we'll see how far we can push the space requirement. Prove that for every  $c < 1$ , there exists a  $\delta > 0$  such that  $\mathbf{NTIME}(n) \not\subseteq \mathbf{TISP}(n^{1+\delta}, n^c)$ . This result implies that **SAT** cannot be solved by an algorithm using  $n^{1+o(1)}$  time and  $O(n^{0.999})$  space. Hint: This time, choose  $\delta$  small enough so that  $(c + 1 + \delta)(1 + \delta) < 2$ . (2 points)

**Problem 3** (\*Bonus\* Improved Time-Space Tradeoffs). Now let's see how we can get even better tradeoffs by repeatedly trading alternations for time. Note that by combining Problems 2(a) and (b), we get the statement: If  $\mathbf{NTIME}(n) \subseteq \mathbf{DTIME}(n^c)$  for some  $c > 1$ , then  $\mathbf{TISP}(T, S) \subseteq \mathbf{NTIME}((TS)^{c/2})$ .

- (a) Suppose  $\mathbf{NTIME}(n) \subseteq \mathbf{DTIME}(n^c)$  for some  $c > 1$ . Use the above statement to show that  $\mathbf{TISP}(T, S) \subseteq \mathbf{coNTIME}((TS^2)^{c^2/(2+c)})$ . Hint: Let  $C_0, C_f$  be the start and accept configurations of a deterministic TM running in time  $T$ . Then  $C_f$  is reachable from  $C_0$  in  $T$  time steps iff for all  $C' \neq C_f$ , we have that  $C'$  is *not* reachable from  $C_0$  in  $T$  time steps.
- (b) Conclude that  $\mathbf{NTIME}(n) \not\subseteq \mathbf{TISP}(n^c, n^{o(1)})$  whenever  $c^3 < 2 + c$ , i.e.,  $c < 1.521\dots$
- (c) Generalize the above argument inductively to show that  $\mathbf{NTIME}(n) \not\subseteq \mathbf{TISP}(n^c, n^{o(1)})$  whenever  $c(c - 1) < 1$ , i.e.,  $c < \phi = 1.618\dots$