

Intro to Theory of Computation

CS
332

LECTURE 3

Last time:

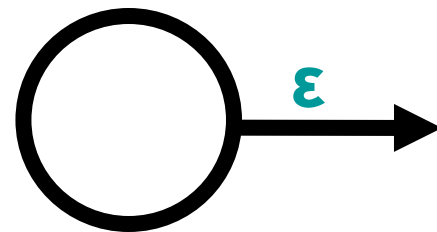
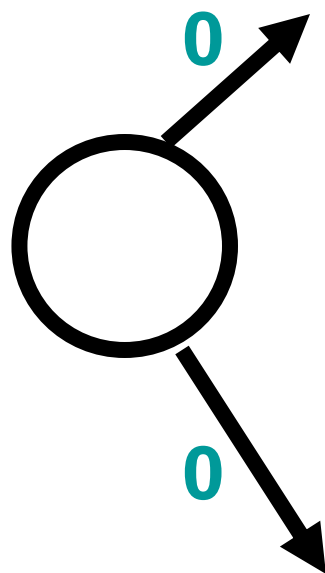
- DFAs and NFAs
- Operations on languages

Today:

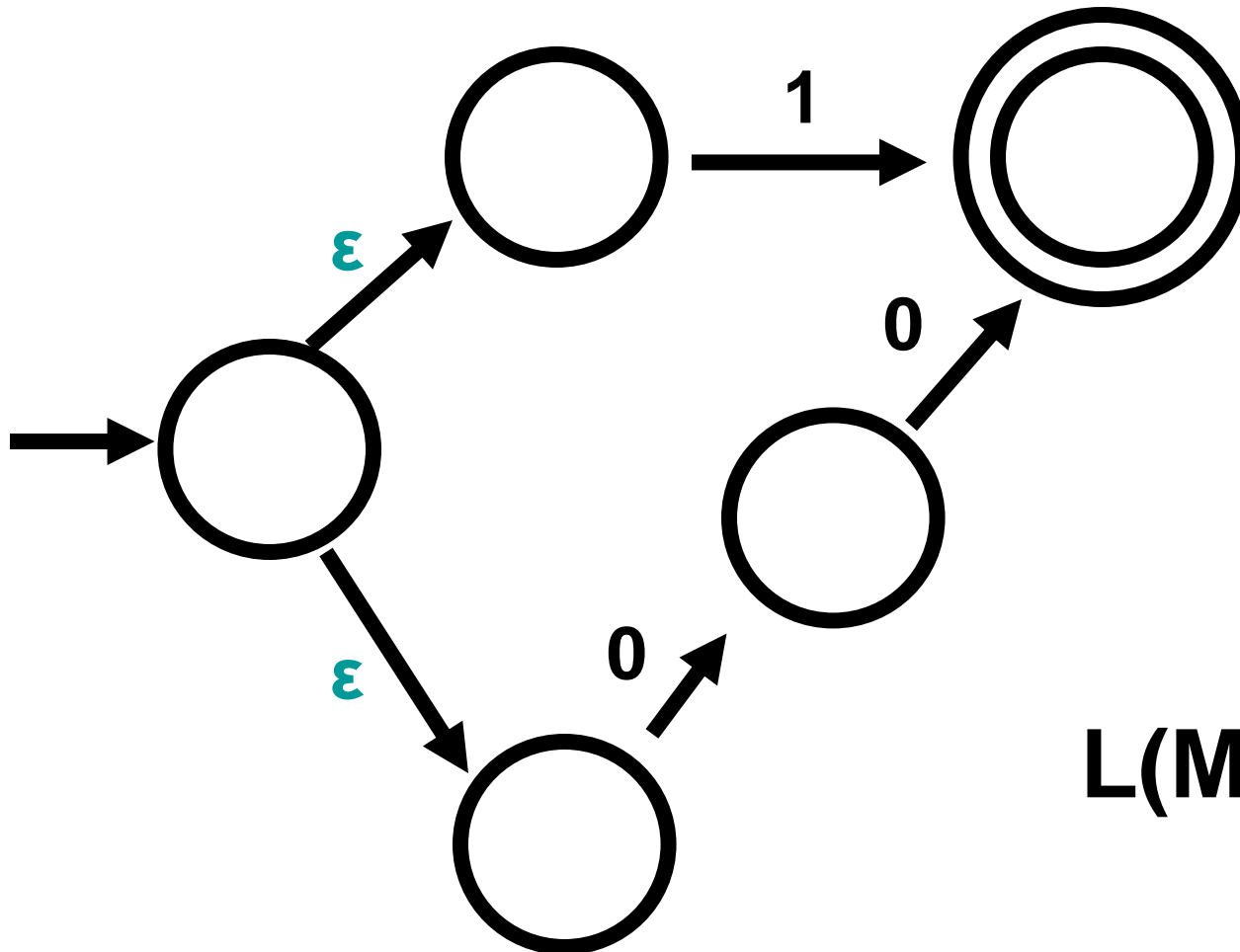
- Nondeterminism
- Equivalence of NFAs and DFAs
- Closure properties of regular languages

Sofya Raskhodnikova

Nondeterministic Finite Automaton (NFA)
accepts a string w if there is a way to
make it reach an accept state on input w .

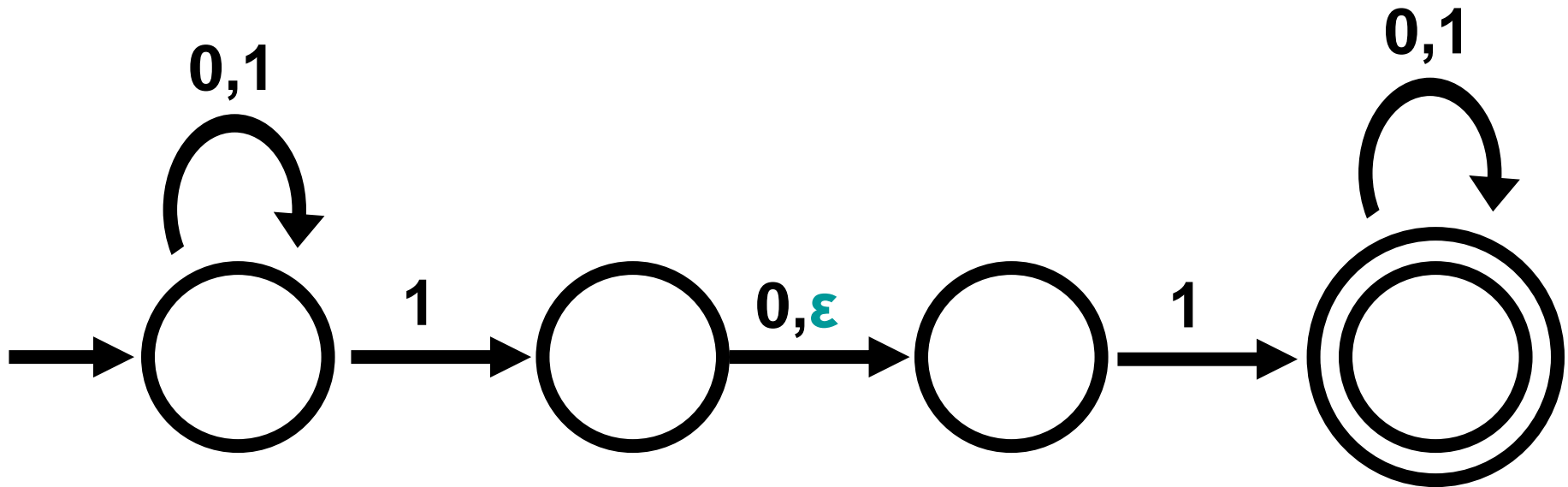


Example



$L(M) = \{1, 00\}$

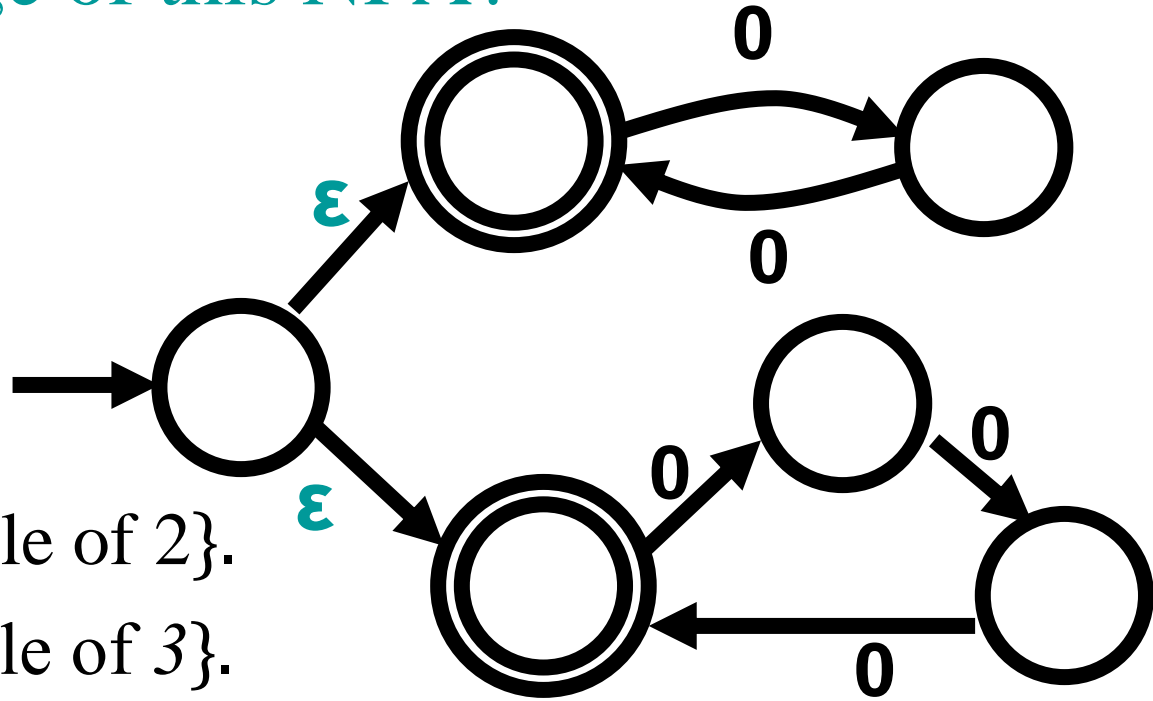
Example



$L(M) = \{w \mid w \text{ contains } 101 \text{ or } 11\}$

What is the language of this NFA?

(0^k means $\underbrace{00\dots0}_k$)

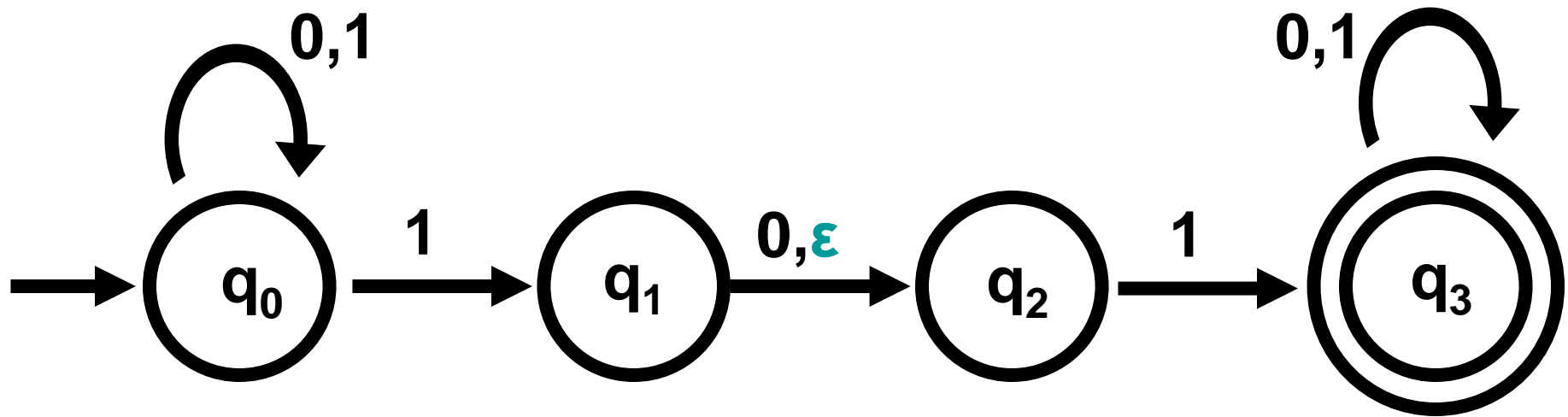


- A. $\{0^k \mid k \text{ is a multiple of } 2\}$.
- B. $\{0^k \mid k \text{ is a multiple of } 3\}$.
- C. $\{0^k \mid k \text{ is a multiple of } 6\}$.
- D. $\{0^k \mid k \text{ is a multiple of } 2 \text{ or } 3\}$.
- E. None of the above.

Formal Definition

- An **NFA** is a 5-tuple $M = (Q, \Sigma, \delta, q_0, F)$
 - Q is the set of states
 - Σ is the alphabet
 - $\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$ is the transition function
 - $q_0 \in Q$ is the start state
 - $F \subseteq Q$ is the set of accept states
- $\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$ and $P(Q)$ is the set of subsets of Q
- M **accepts** a string w if there is a path from q_0 to an accept state that w follows.

Example



$$N = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$F = \{q_3\}$$

$$\delta(q_0, 0) = \{q_0\}$$

$$\delta(q_0, 1) = \{q_0, q_1, q_2\}$$

$$\delta(q_1, \epsilon) = \{q_1, q_2\}$$

$$\delta(q_2, 0) = \emptyset$$

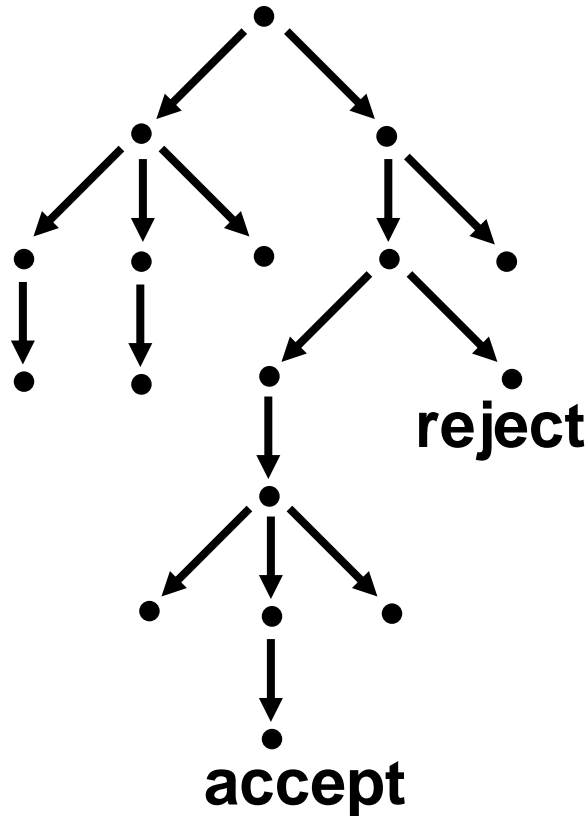
Nondeterminism

Deterministic Computation



accept or reject

Nondeterministic Computation

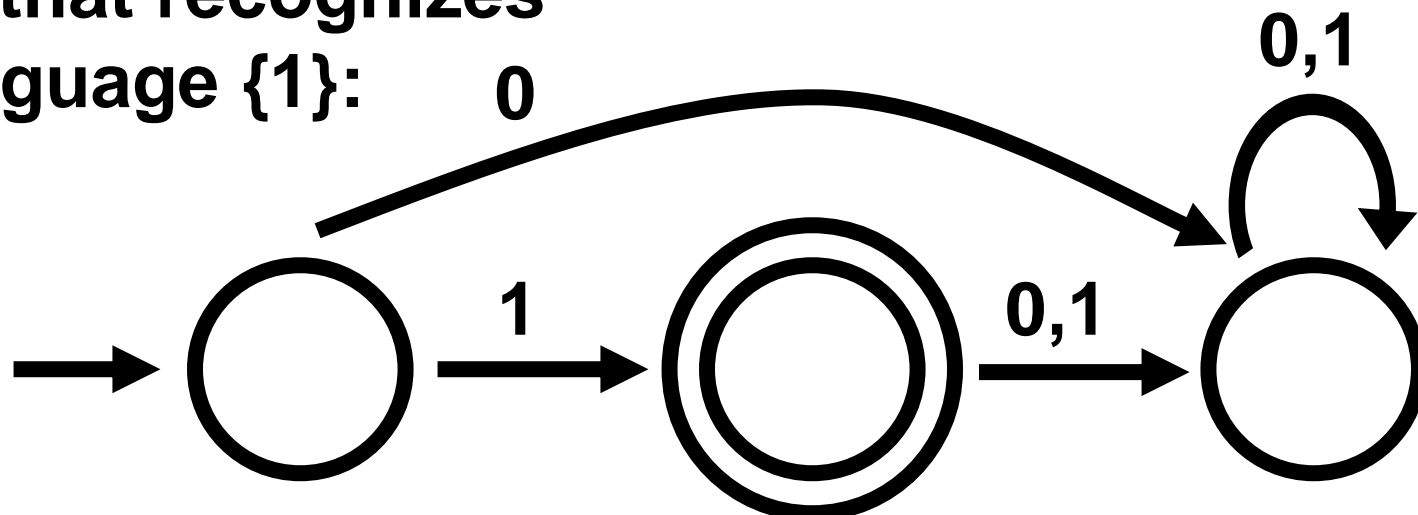


Ways to think about nondeterminism

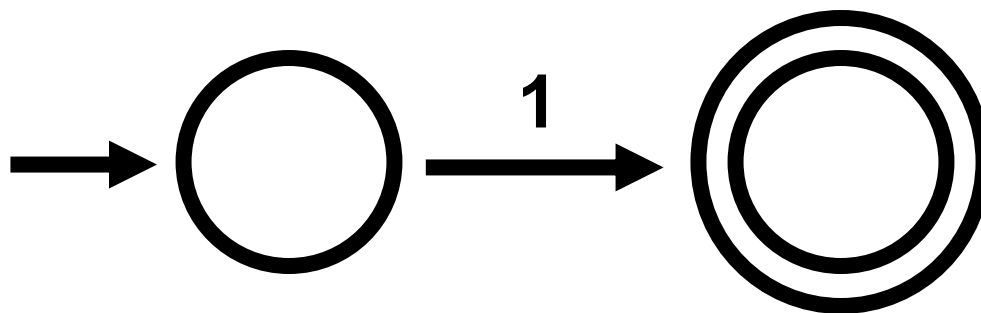
- parallel computation
- tree of possible computations
- guessing and verifying the “right” choice

NFAs ARE SIMPLER THAN DFAs

A DFA that recognizes the language $\{1\}$:



An NFA that recognizes the language $\{1\}$:



A DFA recognizing $\{1\}$

Theorem. Every DFA for language $\{1\}$ must have at least 3 states.

Proof:

Equivalence of NFAs & DFAs

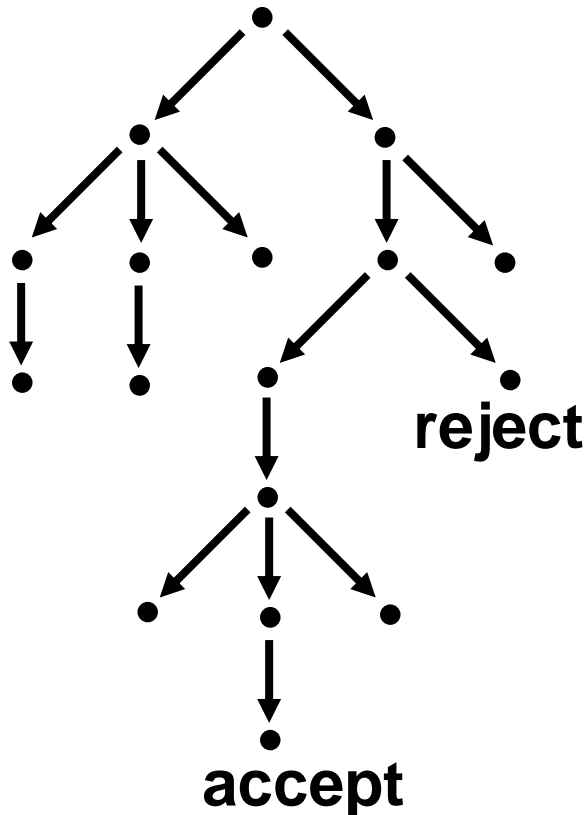
Theorem. Every NFA has an equivalent DFA.

Corollary: A language is regular iff it is recognized by an NFA.

NFA to DFA Conversion

Input: $N = (Q, \Sigma, \delta, q_0, F)$

Output: $M = (Q', \Sigma, \delta', q_0', F')$



Intuition: Do the computation in parallel, maintaining the set of states where all threads are.

Idea:

$$Q' = P(Q)$$

NFA to DFA Conversion

Input: $N = (Q, \Sigma, \delta, q_0, F)$

Output: $M = (Q', \Sigma, \delta', q_0', F')$

$Q' = P(Q)$

$\delta' : Q' \times \Sigma \rightarrow Q'$

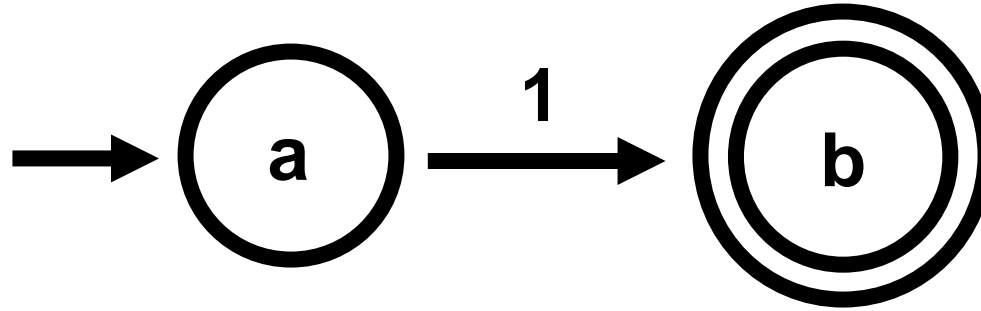
$\delta'(R, \sigma) =$ for all $R \subseteq Q$ and $\sigma \in \Sigma$.

$q_0' =$

$F' =$

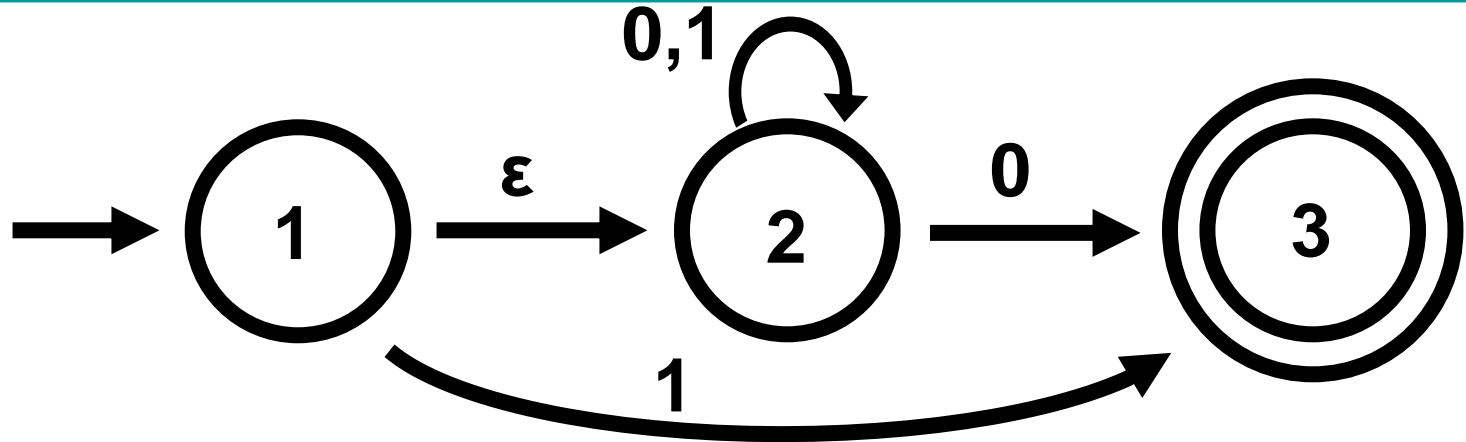
Example: NFA to DFA

1)



Examples NFA to DFA

2)



NFA to DFA Conversion

Input: $N = (Q, \Sigma, \delta, q_0, F)$

Output: $M = (Q', \Sigma, \delta', q_0', F')$

$$Q' = P(Q)$$

$$\delta' : Q' \times \Sigma \rightarrow Q'$$

For $R \subseteq Q$, let $E(R)$ be the set of states reachable by ϵ -transitions from the states in R .

$$\delta'(R, \sigma) = \bigcup_{r \in R} (\delta(r, \sigma)) \quad \text{for all } R \subseteq Q \text{ and } \sigma \in \Sigma.$$

$$q_0' = (\{q_0\})$$

$$F' = \{ R \in Q' \mid R \text{ contains some accept state of } N \}$$

Regular Operations on languages

Complement: $\neg A = \{ w \mid w \notin A \}$

Union: $A \cup B = \{ w \mid w \in A \text{ or } w \in B \}$

Intersection: $A \cap B = \{ w \mid w \in A \text{ and } w \in B \}$

Reverse: $A^R = \{ w_1 \dots w_k \mid w_k \dots w_1 \in A \}$

Concatenation: $A \circ B = \{ vw \mid v \in A \text{ and } w \in B \}$

Star: $A^* = \{ w_1 \dots w_k \mid k \geq 0 \text{ and each } w_i \in A \}$

Closure properties of the class of regular languages

THEOREM. The class of regular languages is **closed** under all 6 operations.

If A and B are regular, applying any of these operation yields a regular language.

A **palindrome** is a word or a phrase that reads the same forward and backward.

Examples

- mom
- madam
- Never odd or even.
- Stressed? No tips? Spit on desserts!

Let L be the set of words in English.

Then $L \cap L^R$ is

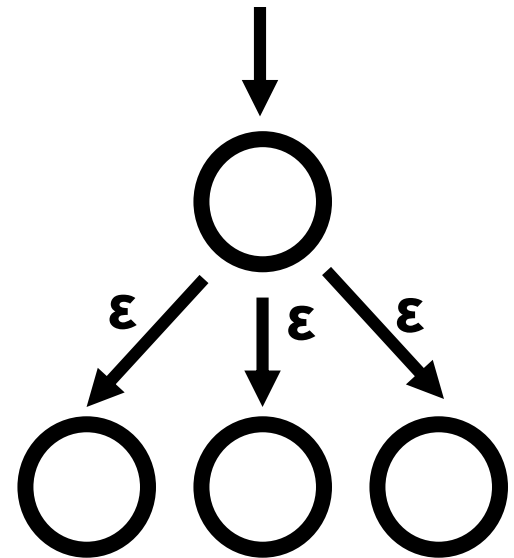
- A. The set of English words in alphabetical order, followed by the same words in reverse alphabetical order.
- B. $\{w \mid w \text{ is an English word or an English word written backwards}\}$.
- C. $\{w \mid w \text{ is an English word that is a palindrome}\}$.
- D. None of the above.

Closure under reverse

Theorem. The reverse of a regular language is also regular

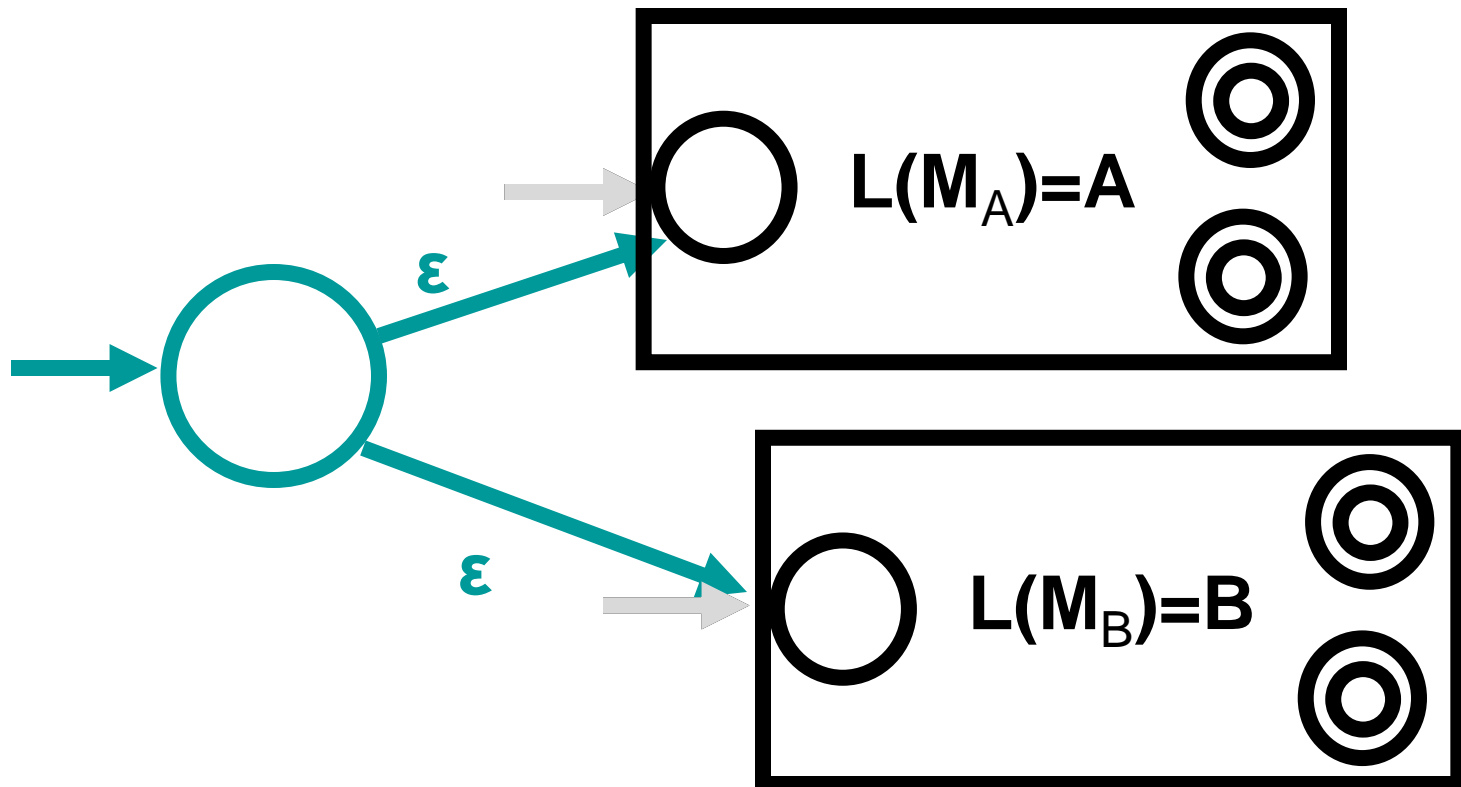
Proof: Let L be a regular language and M be a DFA that recognizes it. Construct an NFA M' recognizing L^R :

- Define M' as M with the arrows reversed.
- Make the start state of M be the accept state in M' .
- Make a new start state that goes to all accept states of M by ϵ -transitions.



New construction for $A \cup B$

Construct an NFA M :

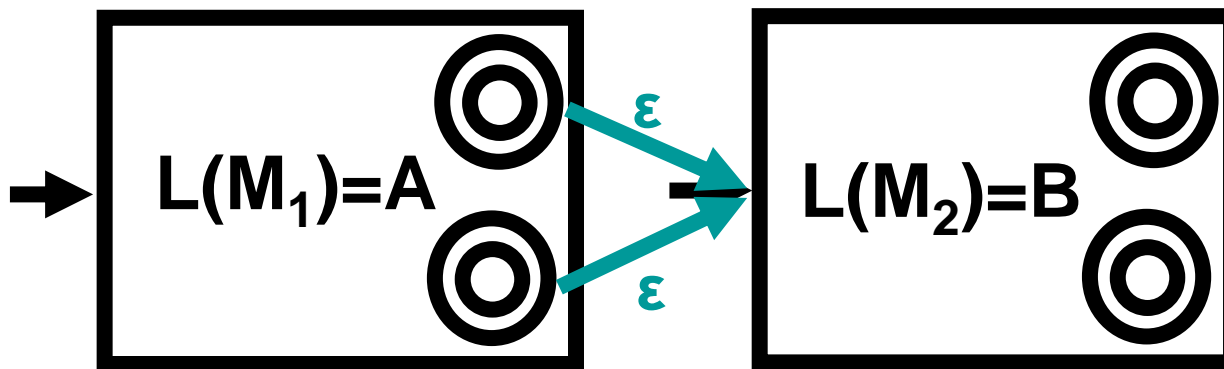


Concatenation operation

Concatenation: $A \circ B = \{ vw \mid v \in A \text{ and } w \in B \}$

Theorem. If A and B are regular, $A \circ B$ is also regular.

Proof: Given DFAs M_1 and M_2 , construct NFA by connecting all accept states in M_1 to the start state in M_2 .



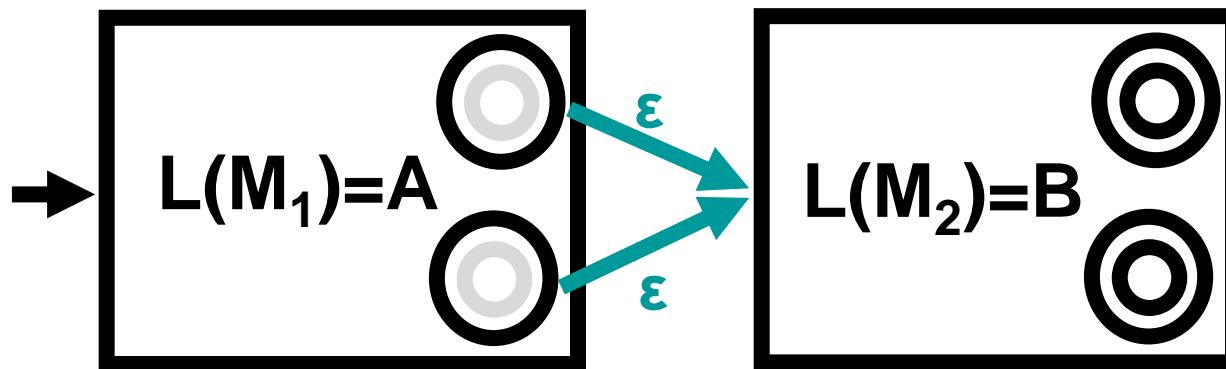
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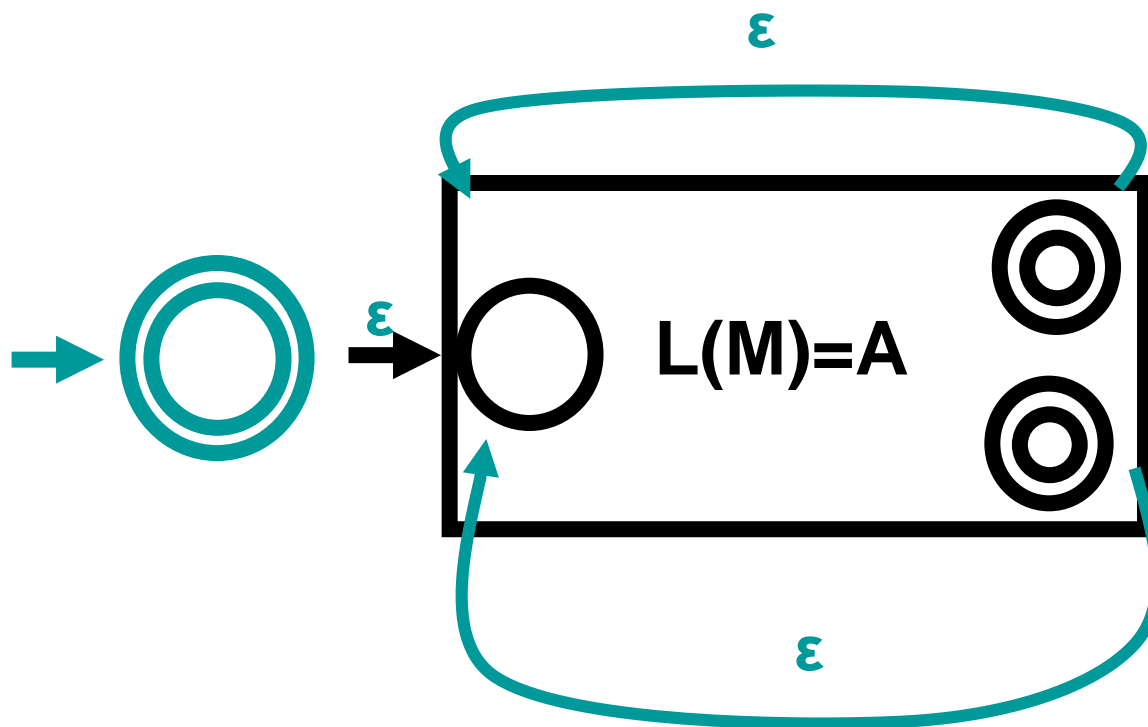
- Make all states in M_1 non-accepting.



Star operation

Star: $A^* = \{ w_1 \dots w_k \mid k \geq 0 \text{ and each } w_i \in A \}$

Theorem. If A is regular, A^* is also regular.



The class of regular languages is closed under

Regular operations

Union: $A \cup B = \{ w \mid w \in A \text{ or } w \in B \}$

Concatenation: $A \circ B = \{ vw \mid v \in A \text{ and } w \in B \}$

Star: $A^* = \{ w_1 \dots w_k \mid k \geq 0 \text{ and each } w_i \in A \}$

Other operations

Complement: $\neg A = \{ w \mid w \notin A \}$

Intersection: $A \cap B = \{ w \mid w \in A \text{ and } w \in B \}$

Reverse: $A^R = \{ w_1 \dots w_k \mid w_k \dots w_1 \in A \}$