

# *Intro to Theory of Computation*

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CS  
332

## **LECTURE 6**

**Last time:**

- Pumping lemma
- Proving a language is not regular

**Today:**

- Pushdown automata (PDAs)
- Context-free grammars (CFGs)

**On Friday: Homework 2 due  
Homework 3 out**

**Sofya Raskhodnikova**

MODEL OF A PROBLEM: LANGUAGE

MODEL OF A PROGRAM: DFA

EQUIVALENT MODELS: NFA, REGEXP

PROBLEMS THAT A DFA CAN'T SOLVE

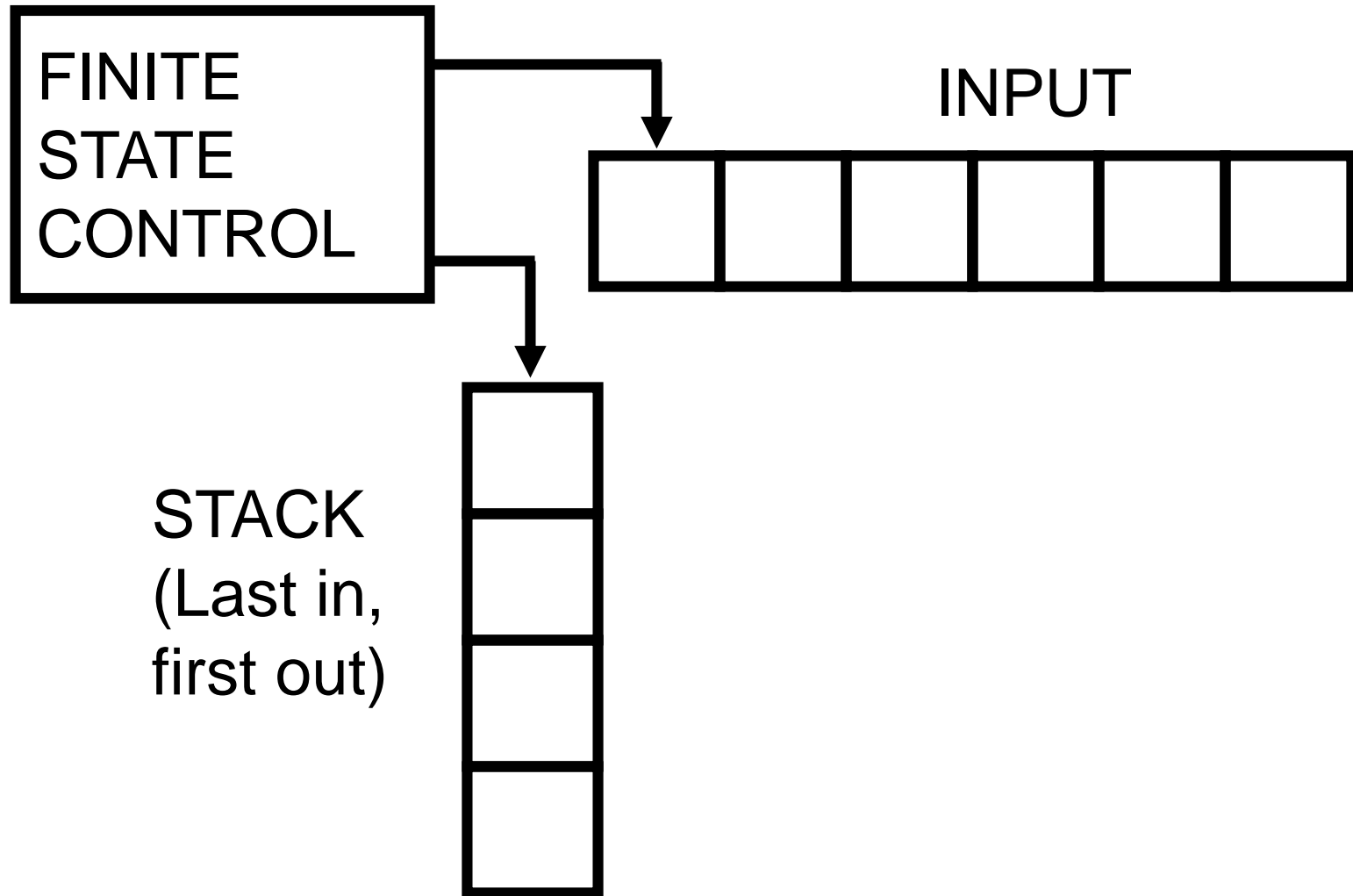
**ARE WE DONE?**

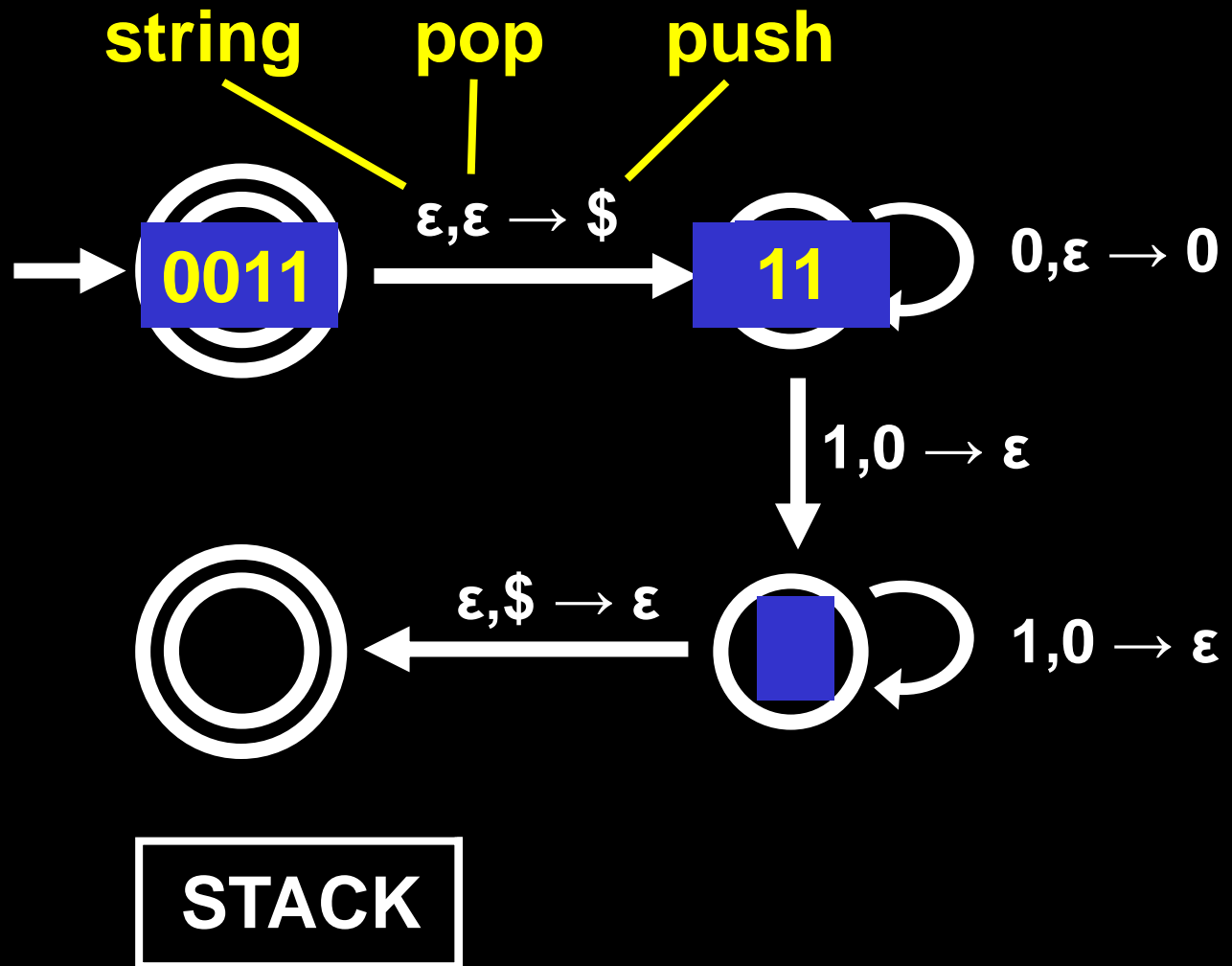
# NONE OF THESE ARE REGULAR

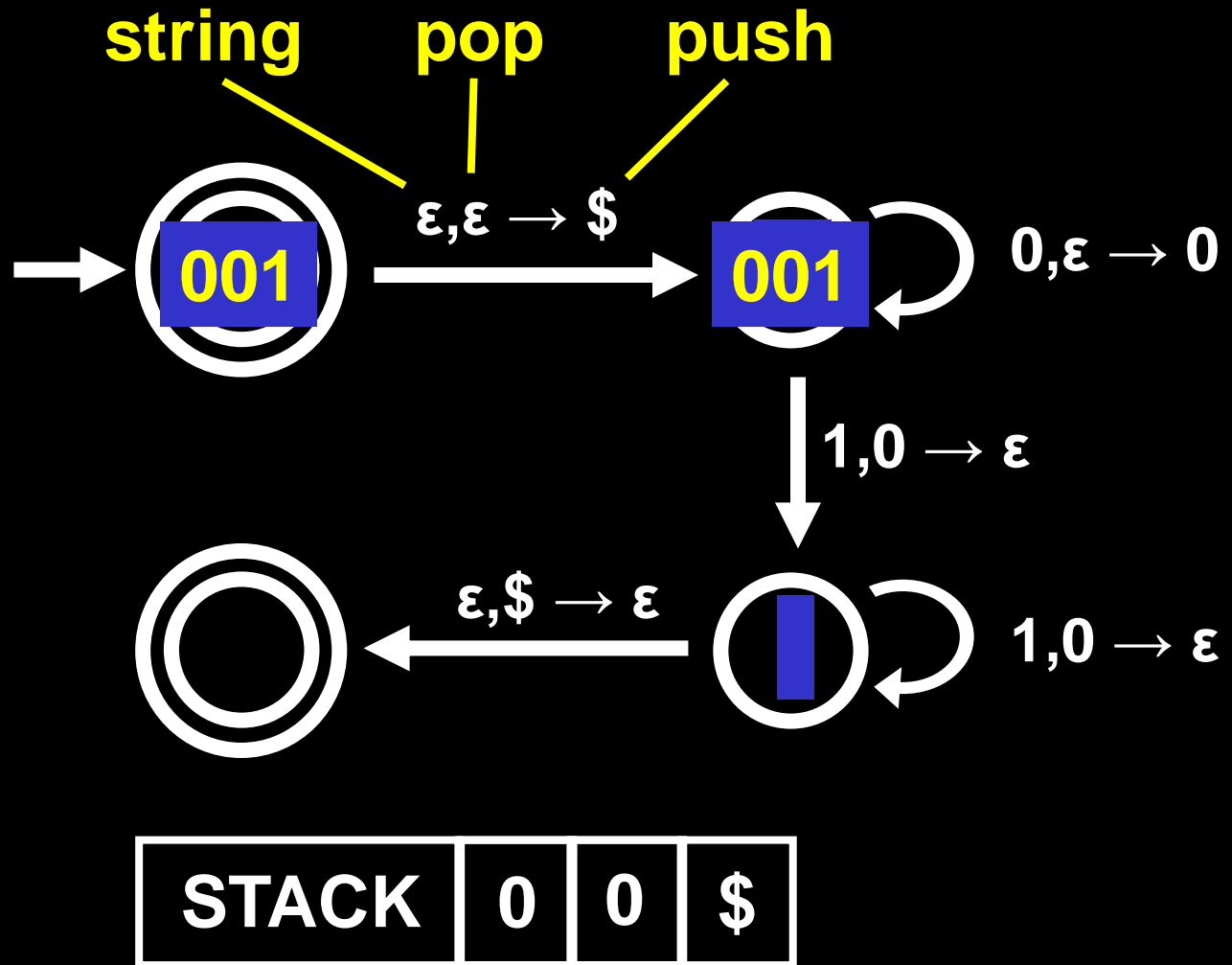
- $\Sigma = \{0, 1\}$ ,  $L = \{ 0^n 1^n \mid n \geq 0 \}$
- $\Sigma = \{a, b, c, \dots, z\}$ ,  $L = \{ w \mid w = w^R \}$
- $\Sigma = \{ (, ) \}$ ,  $L = \{ \text{balanced strings of parens} \}$

We can write a C or JAVA program for any of them!

# PUSHDOWN AUTOMATA (PDA)







**PDA to recognize  $L = \{ 0^n 1^n \mid n \geq 0 \}$**

# Formal Definition

A **PDA** is a 6-tuple  $\mathbf{P} = (Q, \Sigma, \Gamma, \delta, q_0, F)$

$Q$  is a finite set of states

$\Sigma$  is the alphabet

$\Gamma$  is the stack alphabet

$\delta : Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow \mathbf{P}(Q \times \Gamma_\epsilon)$  is the transition function

$q_0 \in Q$  is the start state

$F \subseteq Q$  is the set of accept states

$\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$  and  $\mathbf{P}(Q \times \Gamma_\epsilon)$  is the set of subsets of  $Q \times \Gamma_\epsilon$

**Note:** A PDA is defined to be nondeterministic.

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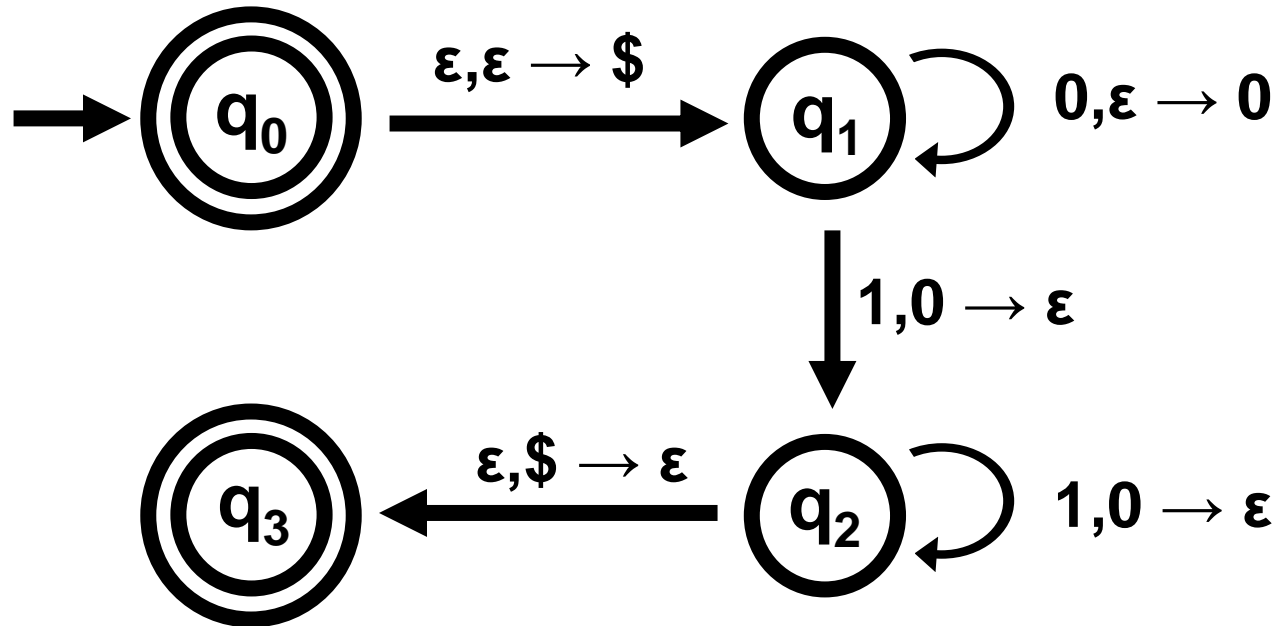
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$F \subseteq Q$  is the set of accept states

A PDA starts with an *empty* stack.

It **accepts** a string if *at least one* of its computational branches reads *all the input* and gets into an *accept state* at the end of it.

# An example PDA

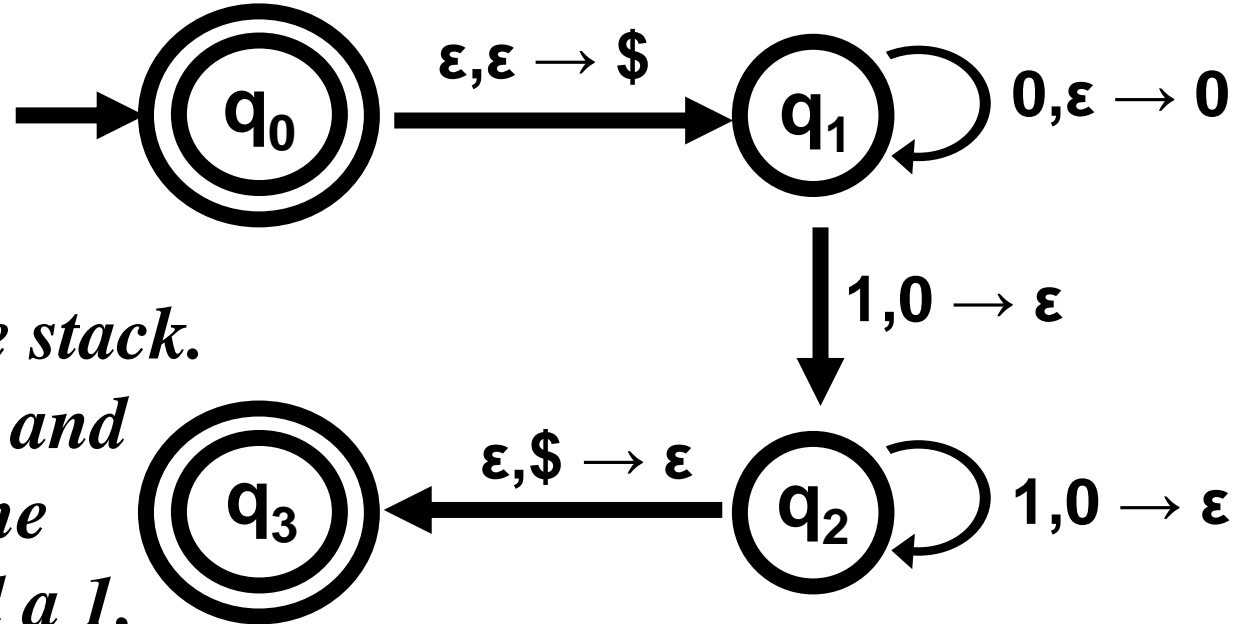


$$Q = \{q_0, q_1, q_2, q_3\} \quad \Sigma = \{0, 1\} \quad \Gamma = \{\$, 0\}$$

$$\delta : Q \times \Sigma_{\epsilon} \times \Gamma_{\epsilon} \rightarrow P(Q \times \Gamma_{\epsilon})$$

$$\delta(q_1, 1, 0) = \{(q_2, \epsilon)\} \quad \delta(q_2, 1, 1) = \emptyset$$

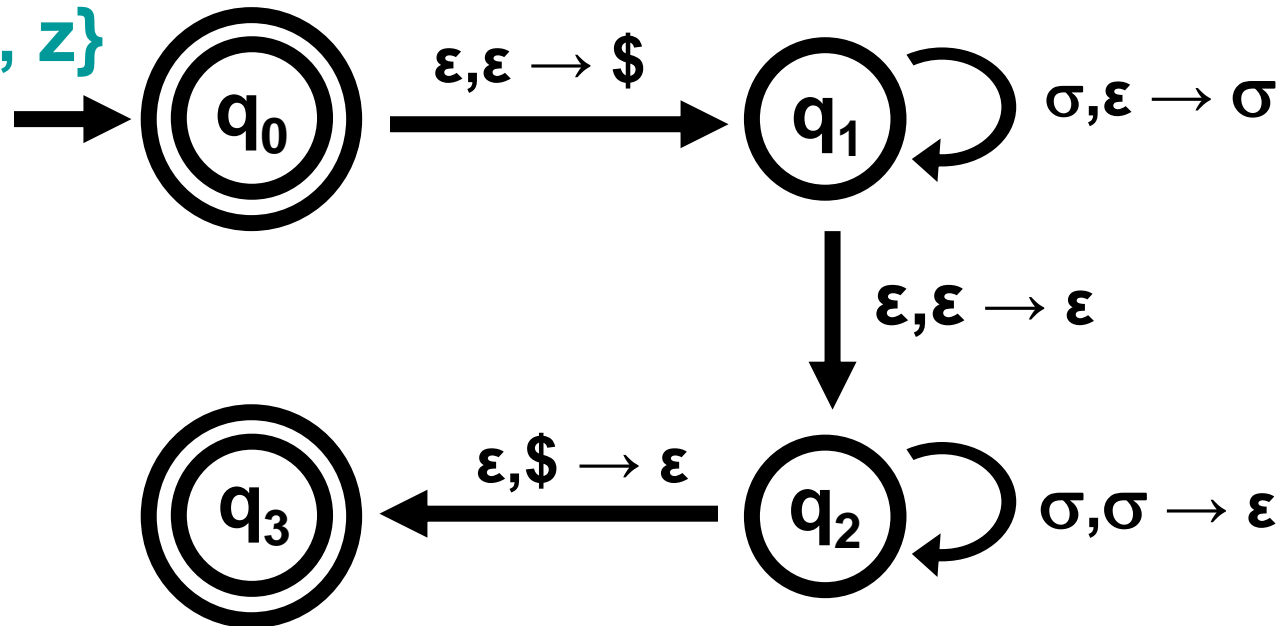
# PDA: algorithmic description



1. *Place the marker symbol \$ onto the stack.*
2. *Keep reading a 0 and pushing it onto the stack. If you read a 1, pop a 0 and go to the next step.*
3. *Nondeterministically keep reading a 1 and popping a 0 or go to the next step.*
4. *If the top of the stack is \$, enter the accept state. (Then PDA accepts if the input has been read).*

What strings are accepted by this PDA?

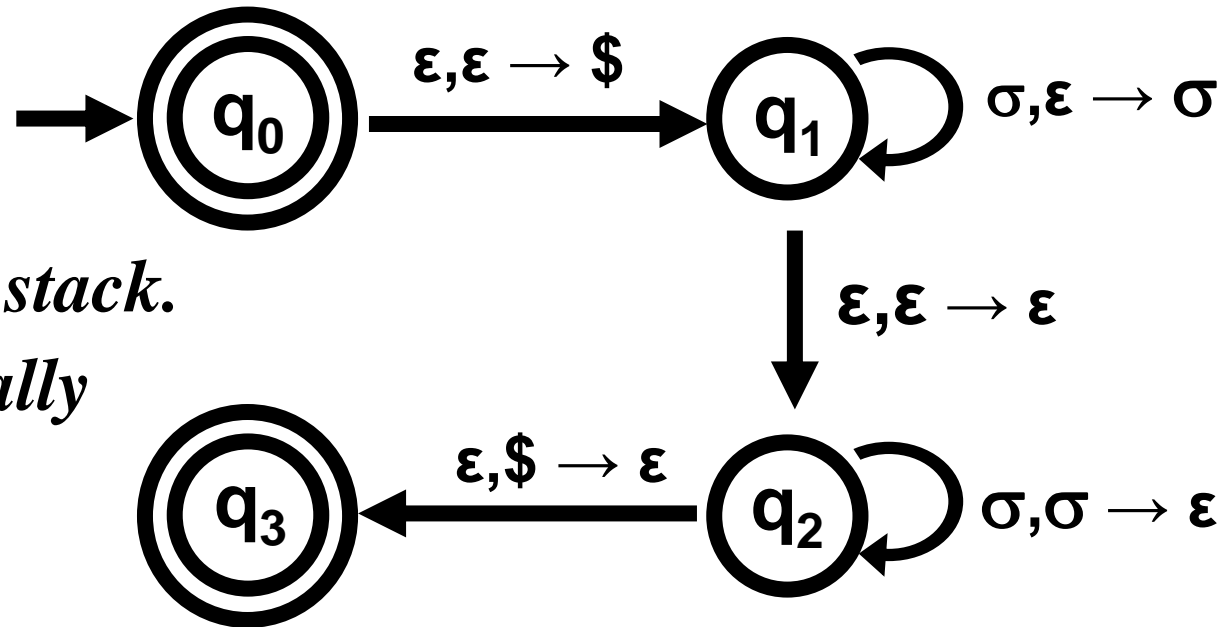
$\Sigma = \{a, b, c, \dots, z\}$



- A. Only  $\epsilon$
- B. Palindromes
- C. Even-length palindromes
- D. All strings that start and end with the same letter
- E. None of the above

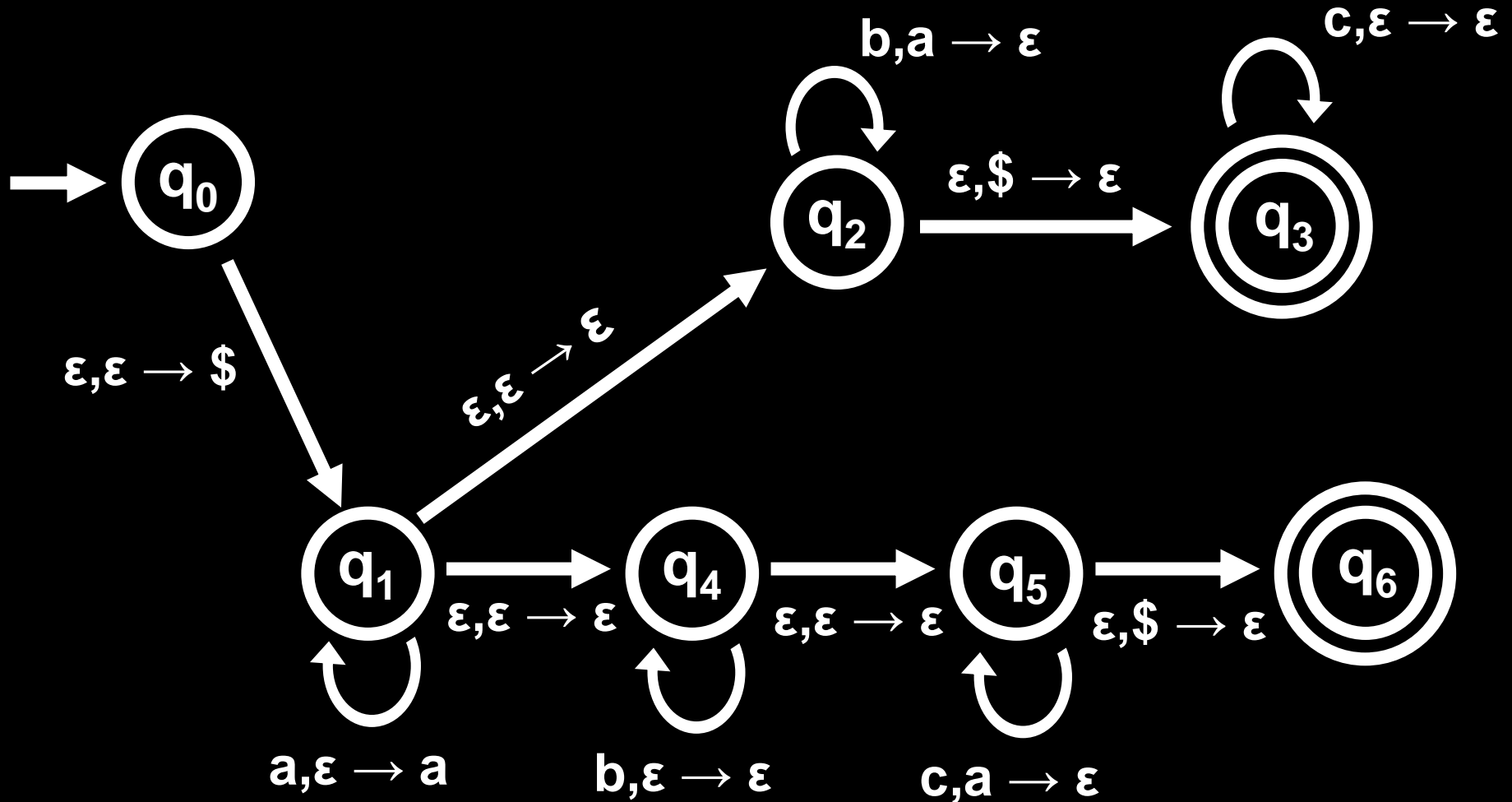
Give an **ALGORITHMIC**  
description

$$\Sigma = \{a, b, c, \dots, z\}$$

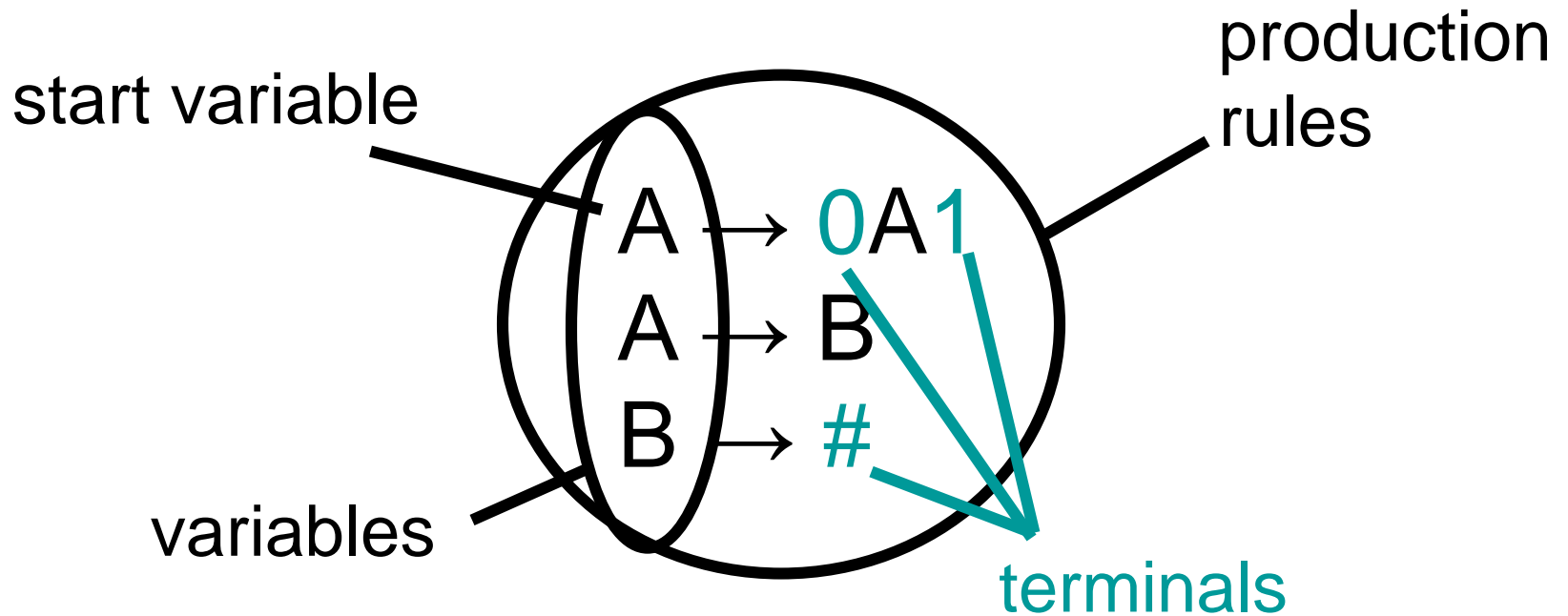


1. *Place the marker symbol  $\$$  onto the stack.*
2. *Nondeterministically keep reading a character and pushing it onto the stack or go to the next step.*
3. *Nondeterministically keep reading and popping a matching character or go to the next step.*
4. *If the top of the stack is  $\epsilon$ , enter the accept state.*

Build a PDA to recognize  
 $L = \{ a^i b^j c^k \mid i, j, k \geq 0 \text{ and } (i = j \text{ or } i = k) \}$



# CONTEXT-FREE GRAMMARS (CFGs)



$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 00B11 \Rightarrow 00\#11$

<PHRASE>  $\rightarrow$  <FILLER><PHRASE>

<PHRASE>  $\rightarrow$  <START><END>

<FILLER>  $\rightarrow$  LIKE

<FILLER>  $\rightarrow$  UMM

<START>  $\rightarrow$  YOU KNOW

<START>  $\rightarrow \epsilon$

<END>  $\rightarrow$  GAG ME WITH A SPOON

<END>  $\rightarrow$  AS IF

<END>  $\rightarrow$  WHATEVER

<END>  $\rightarrow$  LOSER

# VALLEY GIRL GRAMMAR

$\langle \text{PHRASE} \rangle \rightarrow \langle \text{FILLER} \rangle \langle \text{PHRASE} \rangle \mid \langle \text{START} \rangle \langle \text{END} \rangle$

$\langle \text{FILLER} \rangle \rightarrow \text{LIKE} \mid \text{UMM}$

$\langle \text{START} \rangle \rightarrow \text{YOU KNOW} \mid \epsilon$

$\langle \text{END} \rangle \rightarrow \text{GAG ME WITH A SPOON} \mid \text{AS IF} \mid \text{WHATEVER} \mid \text{LOSER}$

# Formal Definition

A **CFG** is a 4-tuple  $G = (V, \Sigma, R, S)$

$V$  is a finite set of variables

$\Sigma$  is a finite set of terminals (disjoint from  $V$ )

$R$  is set of production rules of the form  $A \rightarrow W$ ,  
where  $A \in V$  and  $W \in (V \cup \Sigma)^*$

$S \in V$  is the start variable

$L(G)$  is the set of strings generated by  $G$

A language is **context-free**  
if it is generated by some **CFG**.

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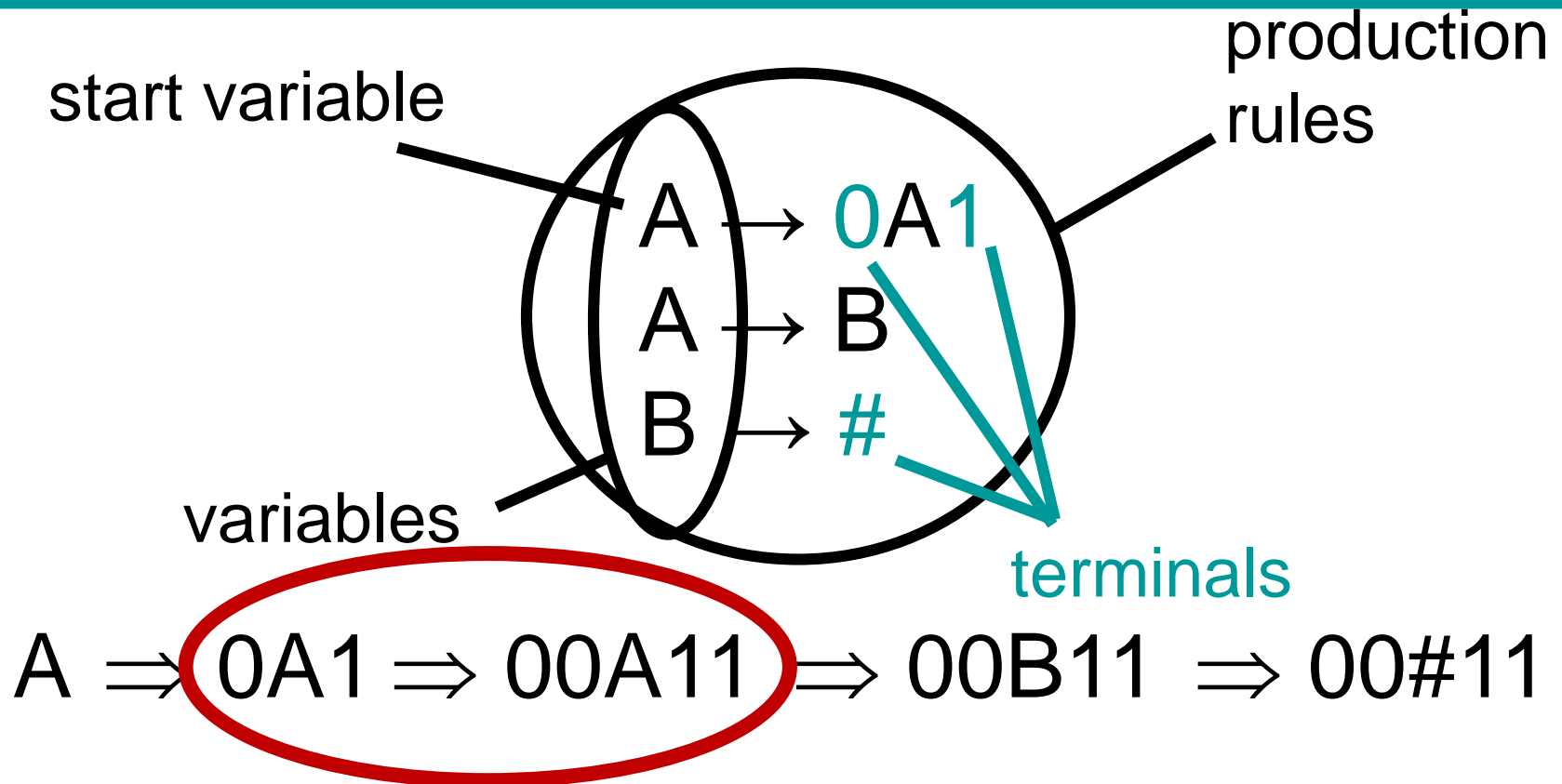
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where  $A \in V$  and  $W \in (V \cup \Sigma)^*$

$S \in V$  is the start variable

**Example:**  $G = \{\{S\}, \{0,1\}, R, S\}$     $R = \{ S \rightarrow 0S1, S \rightarrow \varepsilon \}$

$$L(G) = \{ 0^n 1^n \mid n \geq 0 \}$$

# CFG terminology



$uVw$  **yields**  $uvw$  if  $(V \rightarrow v) \in R$ .

$A$  **derives**  $00\#11$  in 4 steps.

# GIVE A CFG FOR EVEN-LENGTH PALINDROMES

$$S \rightarrow \sigma S \sigma \text{ for all } \sigma \in \Sigma$$

$$S \rightarrow \varepsilon$$

**GIVE A CFG FOR THE EMPTY SET**

$$G = \{ \{S\}, \Sigma, \emptyset, S \}$$

## GIVE A CFG FOR...

$$L_3 = \{ \text{strings of balanced parens} \}$$
$$L_4 = \{ a^i b^j c^k \mid i, j, k \geq 0 \text{ and } (i = j \text{ or } j = k) \}$$

# CFGs in the real world

The syntactic grammar for the Java programming language

*BasicForStatement:*

```
for ( ; ; ) Statement  
for ( ; ; ForUpdate ) Statement  
for ( ; Expression ; ) Statement  
for ( ; Expression ; ForUpdate ) Statement  
for ( ForInit ; ; ) Statement  
for ( ForInit ; ; ForUpdate ) Statement  
for ( ForInit ; Expression ; ) Statement  
for ( ForInit ; Expression ; ForUpdate ) Statement
```

# COMPILER MODULES

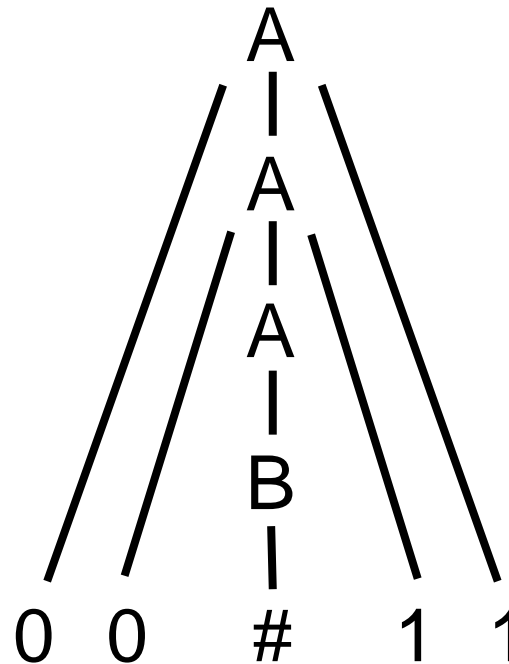
LEXER

PARSER

SEMANTIC ANALYZER

TRANSLATOR/INTERPRETER

# Parse trees


$$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 00B11 \Rightarrow 00\#11$$

# Equivalence of CFGs & PDAs

A language is generated by a CFG



It is recognized by a PDA