

Intro to Theory of Computation

CS
332

LECTURE 7

Last time:

- Pushdown automata (PDAs)
- Context-free grammars (CFG)

Today:

- Pumping lemma for CFLs
- Proving that a language is not CF

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Sofya Raskhodnikova; based on slides by Nick Hopper

GIVE A CFG FOR...

$$L_3 = \{ \text{strings of balanced parens} \}$$
$$L_4 = \{ a^i b^j c^k \mid i, j, k \geq 0 \text{ and } (i = j \text{ or } j = k) \}$$

CFGs in the real world

The syntactic grammar for the Java programming language

BasicForStatement:

```
for ( ; ; ) Statement  
for ( ; ; ForUpdate ) Statement  
for ( ; Expression ; ) Statement  
for ( ; Expression ; ForUpdate ) Statement  
for ( ForInit ; ; ) Statement  
for ( ForInit ; ; ForUpdate ) Statement  
for ( ForInit ; Expression ; ) Statement  
for ( ForInit ; Expression ; ForUpdate ) Statement
```

COMPILER MODULES

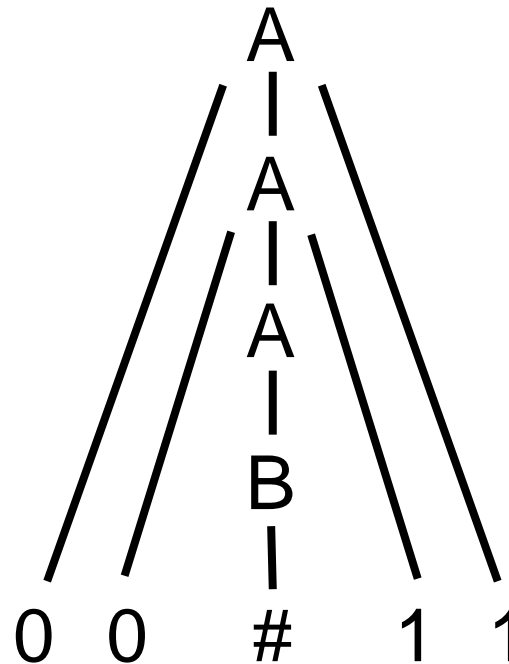
LEXER

PARSER

SEMANTIC ANALYZER

TRANSLATOR/INTERPRETER

Parse trees



$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 00B11 \Rightarrow 00\#11$

Equivalence of CFGs & PDAs

A language is generated by a CFG



It is recognized by a PDA

Context-free or not?

NOT $L_1 = \{ xy \mid x, y \in \{0,1\}^* \text{ and } x=y \}$

YES $L_2 = \{ xy \mid x, y \in \{0,1\}^*, |x|=|y| \text{ and } x \neq y \}$

Pumping lemma for CFLs

Let L be a context-free language

Then **there exists** P such that
for every $w \in L$ with $|w| \geq P$

there exist $uvxyz=w$, where:

1. $|vy| > 0$
2. $|vxy| \leq P$
3. $uv^ixy^iz \in L$ for all $i \geq 0$

there exist $uvxyz=w$, where:

Example: $L = \{ w \in \{0,1\}^* \mid w = w^R \}$.

$w = 0$; $u,v,x,y,z = ?$

$w = 010$; $u,v,x,y,z = ?$

Example: $L = \{ w \in \{a,b\}^* \mid \#a > \#b \text{ in } w \}$.

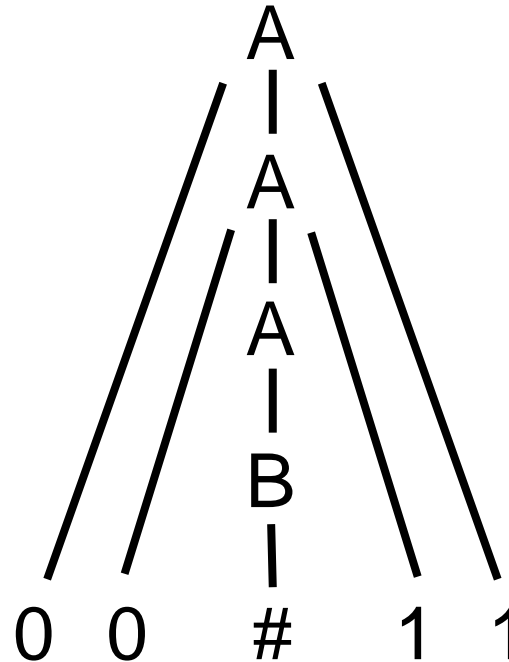
$w = a$; $u,v,x,y,z = ?$

$w = aab$; $u,v,x,y,z = ?$

Example: $L = \{ w \in \{a,b\}^* \mid \#a > \#b \text{ in } w \}$.
 $w = aab$; $u,v,x,y,z=?$

- A. $v = a, x = a, y = b$
- B. $v = aa, x = \varepsilon, y = b$
- C. $v = aa, x = \varepsilon, y = \varepsilon$
- D. More than one choice above works.
- E. None of the choices above work.

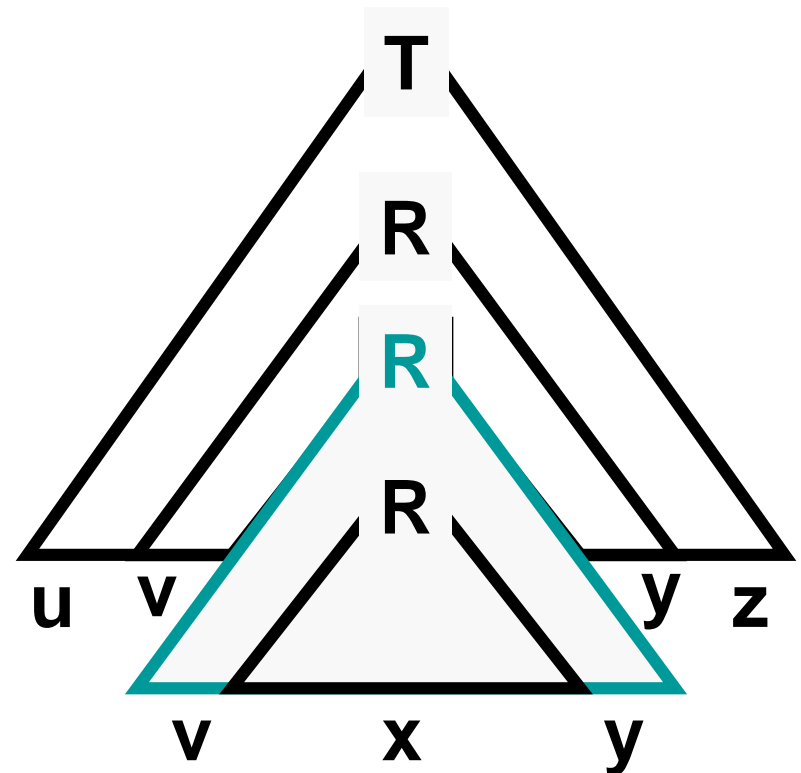
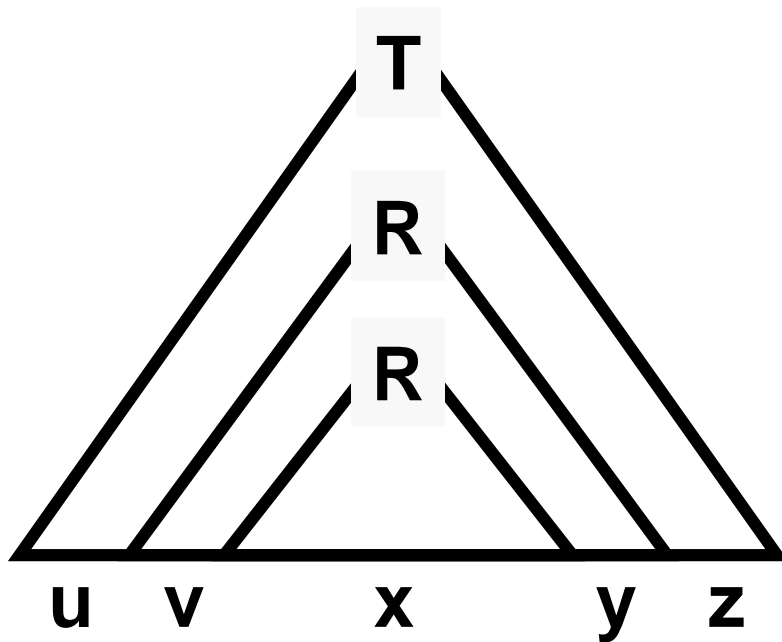
Recall: parse trees



$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 00B11 \Rightarrow 00\#11$

Pumping lemma: proof idea

If string w is long enough, then every parse tree for w must have a path that contains a variable more than once.



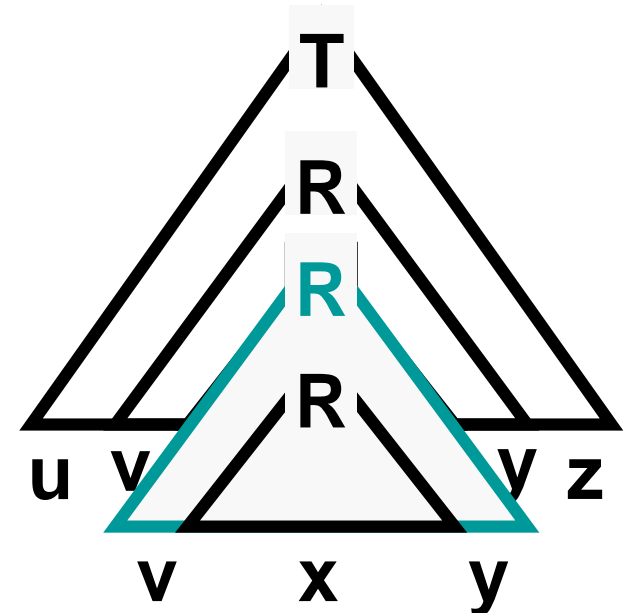
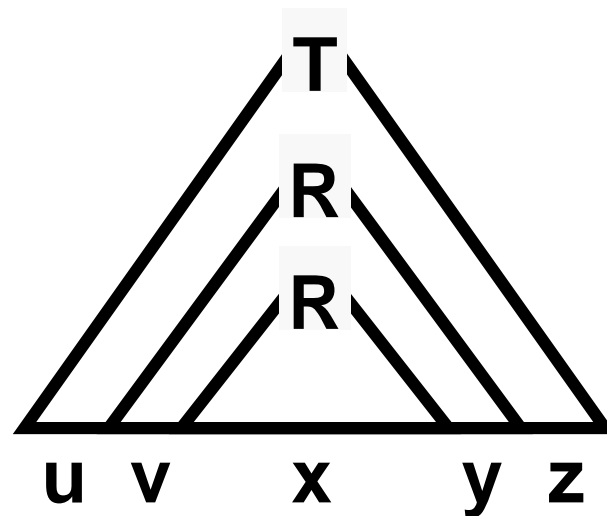
Pumping lemma: proof

- Let b be the maximum number of symbols on the right-hand side of a rule.
- If the height of a parse tree is h , the length of the string generated is at most: b^h
- Let $|V|$ be the number of variables in G .
- Define $p = b^{|V|+1}$.
- Let w be a string of length at least p .
- Let T be the parse tree for w with the smallest number of nodes.
- T must have height at least $|V|+1$.

Pumping lemma: proof

The longest path in T must have $\geq |V|+1$ variables
Select R to be the variable that repeats among
the lowest $|V|+1$ variables

1. $|vy| > 0$
2. $|vxy| \leq P$



Using the pumping lemma

Prove $L = \{ww \mid w \in \{0,1\}^*\}$ is not context-free

Assume for contradiction that L is context-free.

Then there is a pumping length P .

Pumping lemma as a game

1. **YOU** pick the language L to be proved not CFL.
2. **ADVERSARY** picks p , but doesn't reveal to YOU what p is; YOU must devise a play for all possible p 's.
3. **YOU** pick $w \in L$, which should depend on p and which must be of length at least p .
4. **ADVERSARY** divides w into u, v, x, y, z , obeying PL conditions: vy is not empty and vxy has length $\leq p$. Again, ADVERSARY does not tell YOU what u, v, x, y, z are.
5. **YOU** win by picking i , which may be a function of p, u, v, x, y, z , such that $uv^i xy^i z$ is not in L .

Prove $\{ww \mid w \in \{0,1\}^*\}$ is not context free.

Proof: Assume ... pumping length p .

What string can you choose in your next move?

- A. 0101
- B. $0^p 1^p$
- C. $(01)^p$
- D. $(01)^{2p}$
- E. $0^p 1^p 0^p 1^p$
- F. More than one choice above works.

Using the pumping lemma

Prove $L = \{ww \mid w \in \{0,1\}^*\}$ is not context-free

Assume for contradiction L is context-free.

Then there is a pumping length P .

No matter what P is, the string $s = 0^P 1^P 0^P 1^P$ has

$|s| \geq P$ and $s \in L$.

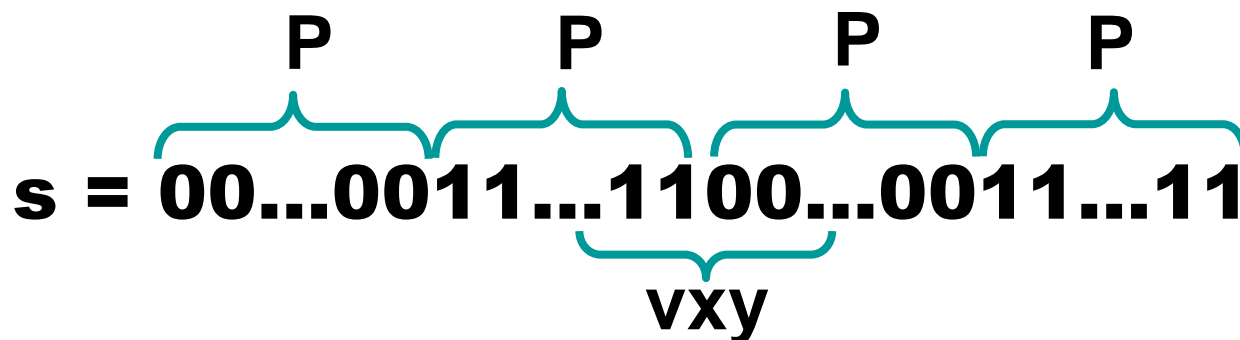
So there should be $uvxyz=s$ with:

1) $|vy| > 0$, 2) $|vxy| \leq P$, 3) $\forall i, uv^i xy^i z \in L$.

$s = 00\dots 00 \overset{P}{\underbrace{11\dots 11}} 00\dots 00 \overset{P}{\underbrace{11\dots 11}}$

$\{ww \mid w \in \{0,1\}^*\}$ is not a CFL: proof

No matter what P is, the string $s = 0^P 1^P 0^P 1^P$ has $|P|$ zeros in the first half and $|P|$ ones in the second half. Then pumping down must remove at least one 1 from the first half or one 0, e.g. $uxz = 0^{P-1} 0^P 1^P 1^P$ where vxy cannot be only in the last half since pumping up would move a 0 to the end of the first half!



To prove that a language L is not context free, we can

- A.** argue that a PDA cannot remember enough information to recognize L ;
- B.** use pumping lemma;
- C.** give a CFG and show that it does not generate L .
- D.** None of the above.
- E.** More than one choice above works.

Using the pumping lemma

Prove $L = \{w#w^R \mid w \in \{0,1\}^* \text{ and } \#1s = \#0s\}$
is not context-free

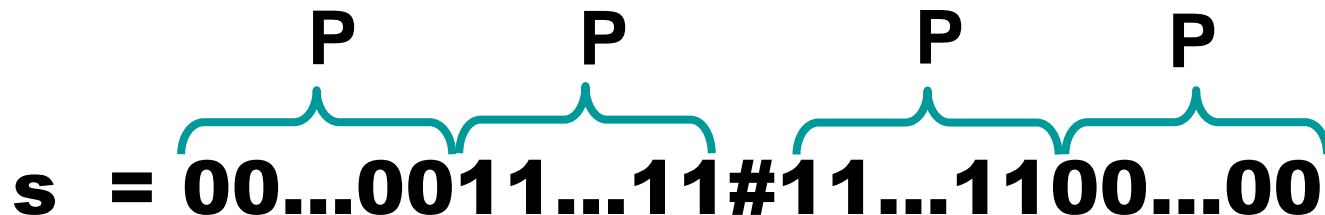
Assume L is context-free.

Then there is a pumping length P .

No matter what P is, the string $s = 0^P 1^P \# 1^P 0^P$ has
 $|s| \geq P$ and $s \in L$.

So there should be $uvxyz=s$ with:

1) $|vy| > 0$, 2) $|vxy| \leq P$, 3) $\forall i, uv^i xy^i z \in L$.



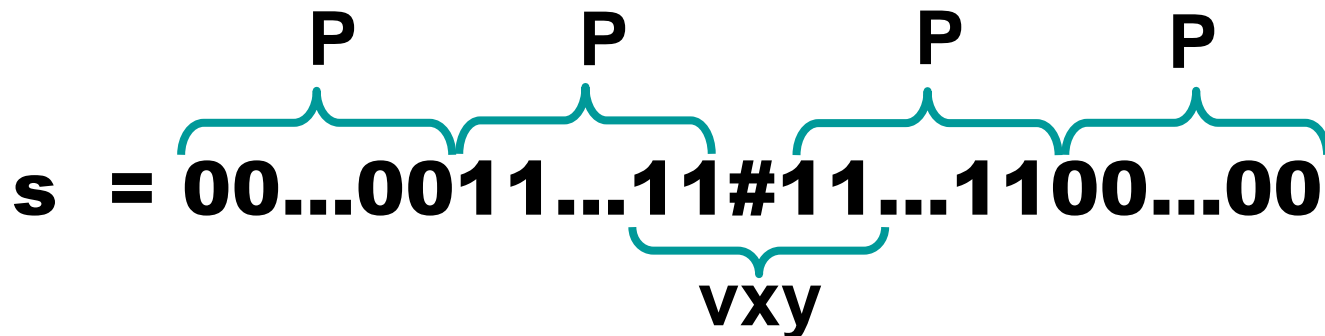
$s = \overbrace{00\dots00}^P \overbrace{11\dots11}^P \# \overbrace{11\dots11}^P \overbrace{00\dots00}^P$

$\{w#w^R \mid w \in \{0,1\}^* \text{ and } \#1s = \#0s\}$ is not a CFL: proof

Assume L is context-free.

Then there is a pumping length P.
 vxy cannot be only in either half, since pumping
 No matter what P is, the string $s = 0^P 1^P \# 1^P 0^P$ has
 would make one side of # longer than the other.
 # cannot be in y or z , since pumping would add
 Since # is in x and $|vxy| \leq P$, neither v nor y can
 too many #s. So it must be in x .
 have 0s, and at least one must have a 1.

Then uv^2xy^2z has more 1s than 0s and is not in L.



Context-free or not?

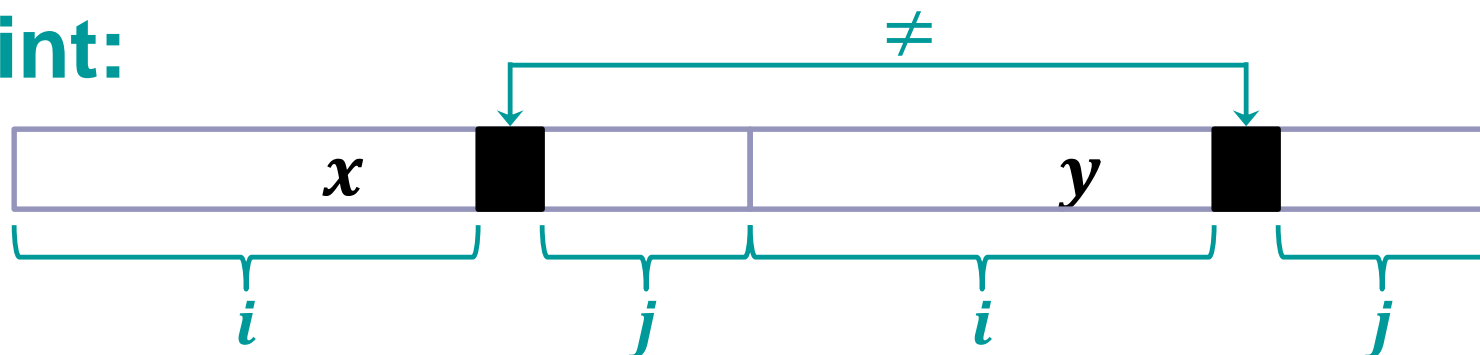
NOT $L_1 = \{ xy \mid x, y \in \{0,1\}^* \text{ and } x=y \}$

YES $L_2 = \{ xy \mid x, y \in \{0,1\}^*, |x|=|y| \text{ and } x \neq y \}$

Give an algorithmic description of a PDA for

$\{xy \mid x, y \in \{0, 1\}^* \text{ and } |x| = |y| \text{ but } x \neq y\}$.

Hint:



Give an algorithmic description of a PDA for

$\{xy \mid x, y \in \{0, 1\}^* \text{ and } |x| = |y| \text{ but } x \neq y\}$.

Hint:

