

# *Intro to Theory of Computation*

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## **LECTURE 14**

### **Last time**

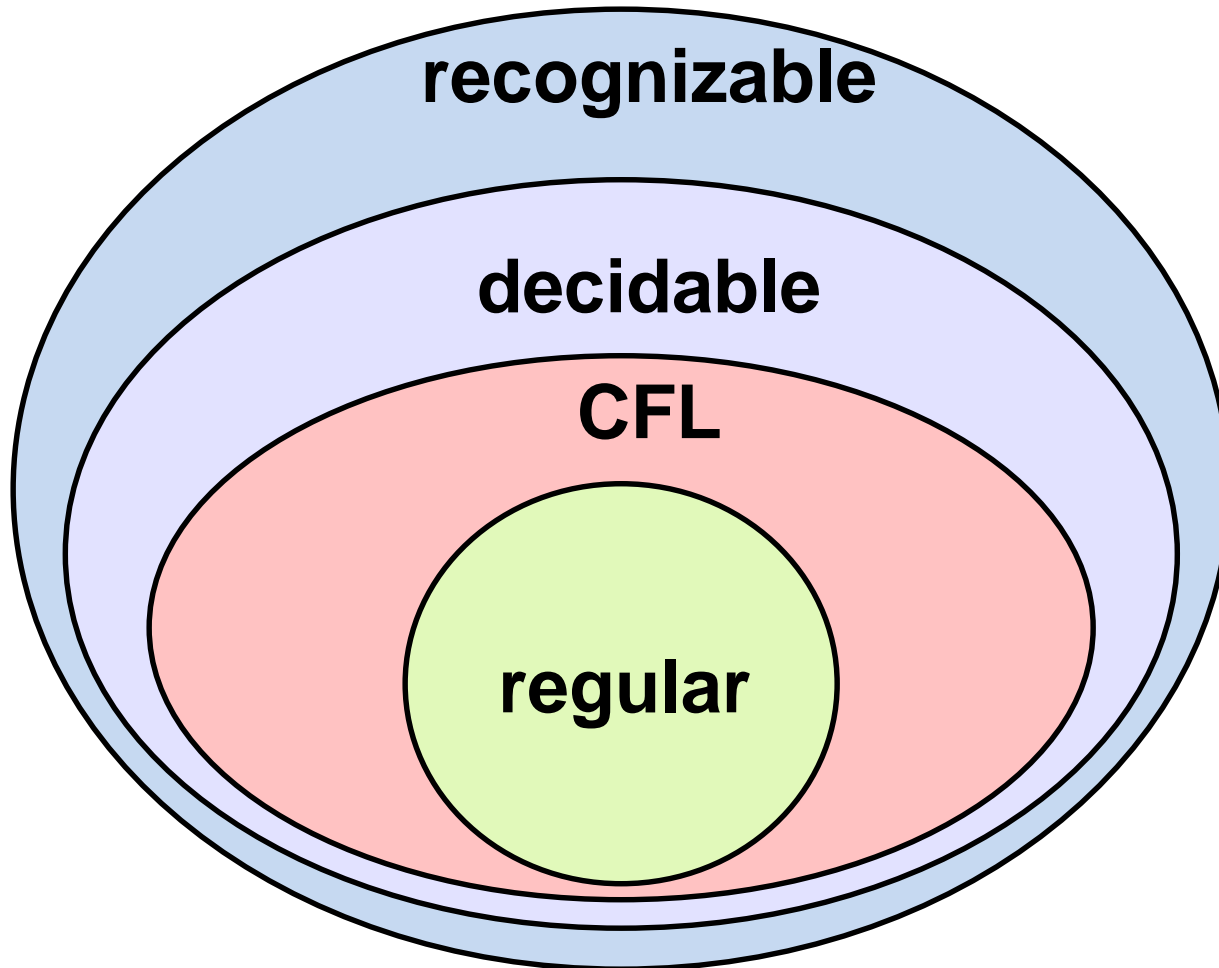
- Decidable languages
- Designing deciders

### **Today**

- Undecidable languages
- Diagonalization

**Sofya Raskhodnikova**

# Classes of languages



# Problems in language theory

|                                |                               |                       |
|--------------------------------|-------------------------------|-----------------------|
| $A_{\text{DFA}}$<br>decidable  | $A_{\text{CFG}}$<br>decidable | $A_{\text{TM}}$<br>?  |
| $E_{\text{DFA}}$<br>decidable  | $E_{\text{CFG}}$<br>decidable | $E_{\text{TM}}$<br>?  |
| $EQ_{\text{DFA}}$<br>decidable | $EQ_{\text{CFG}}$<br>?        | $EQ_{\text{TM}}$<br>? |

We will prove that there are some undecidable languages:

- i.e., problems a computer cannot solve no matter how long it computes

**The proof idea is “simple:”**

**There are more languages than there are Turing Machines.**

A language  $L$  is **undecidable** if

**there is no TM that decides  $L$ .**

If  $L$  is undecidable, then every TM must either:

1. **Accept (infinitely many) strings  $s \notin L$ .**
2. **Reject (infinitely many) strings  $s \in L$ .**
3. **Loop forever on (infinitely many) strings.**

Let  $\mathbb{N} = \{1, 2, \dots\}$  be the natural numbers.

Let  $E = \{2, 4, 6, \dots\}$  be the even natural numbers.

Let  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$  be the integers.

**Which one is largest?**

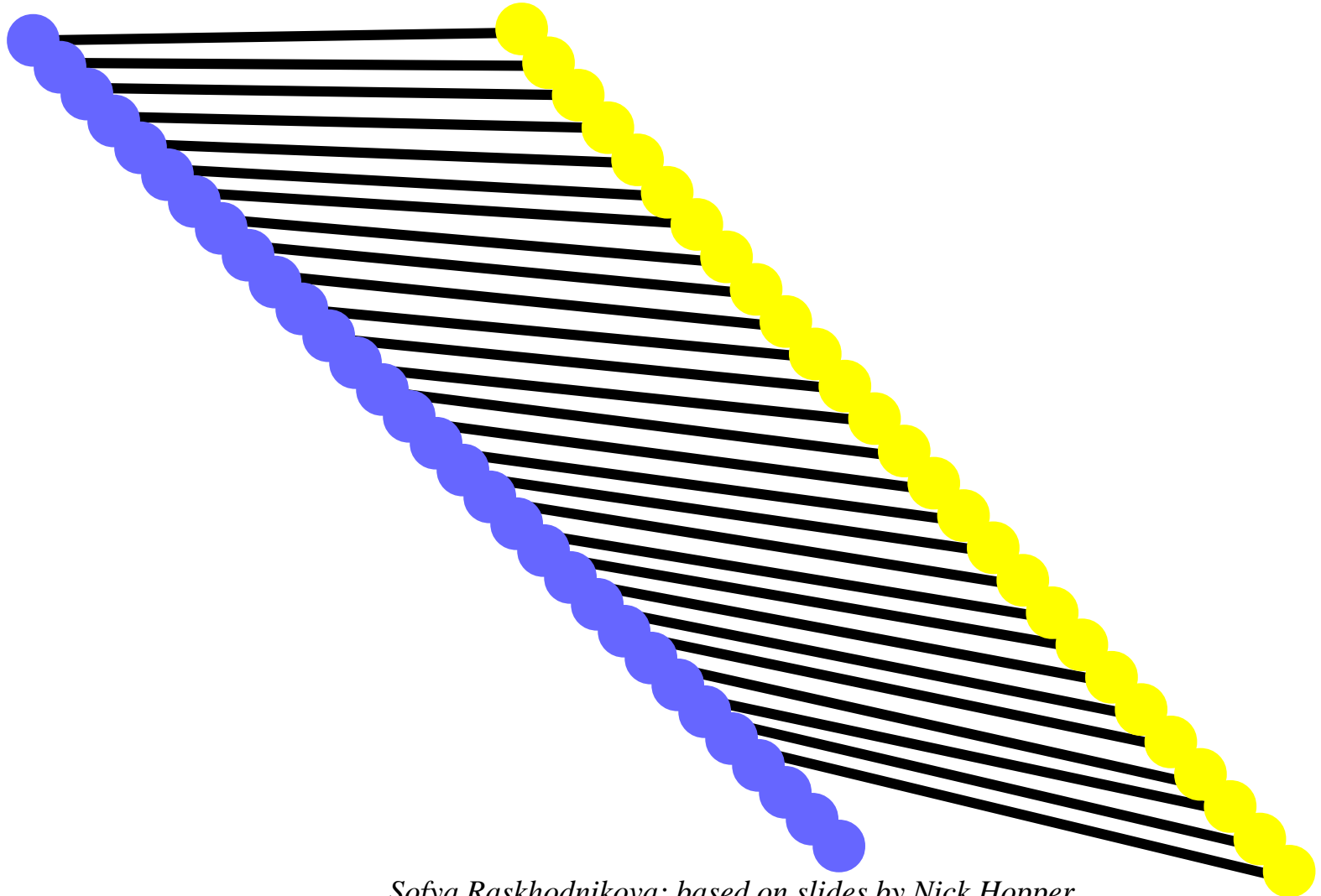
A.  $\mathbb{N}$

B.  $E$

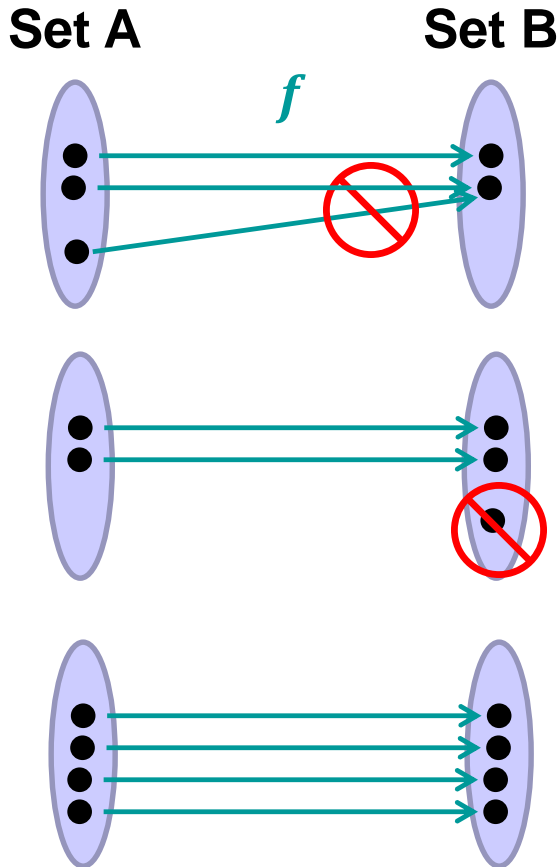
C.  $\mathbb{Z}$

D. the same size.

# Are there more **blue** or **yellow** dots?



# Set Theory 101



A function  $f: A \rightarrow B$  is

- **1-to-1** (or *injective*) if  
 $f(a) \neq f(b)$  for  $a \neq b$ .
- **onto** (or *surjective*) if for all  $b \in B$ ,  
some  $a \in A$  maps to  $b$ :  $f(a) = b$ .
- **correspondence** (or *bijective*) if  
it is 1-to-1 and onto, i.e.,  
each  $a \in A$  maps to a unique  $b \in B$ ,  
and each  $b \in B$  has a unique  $a \in A$   
mapping to it.



# How to compare sizes of infinite sets?

- Two sets are **the same size** if there is a bijection between them.
- A set is **countable** if it is
  - finite or
  - it has the same size as  $\mathbb{N}$ , the set of natural numbers

# Examples of countable sets

$\emptyset, \{0\}, \{0,1\}, \{0,1, \dots, 255\}$

$E = \{2,4,6,\dots\}$

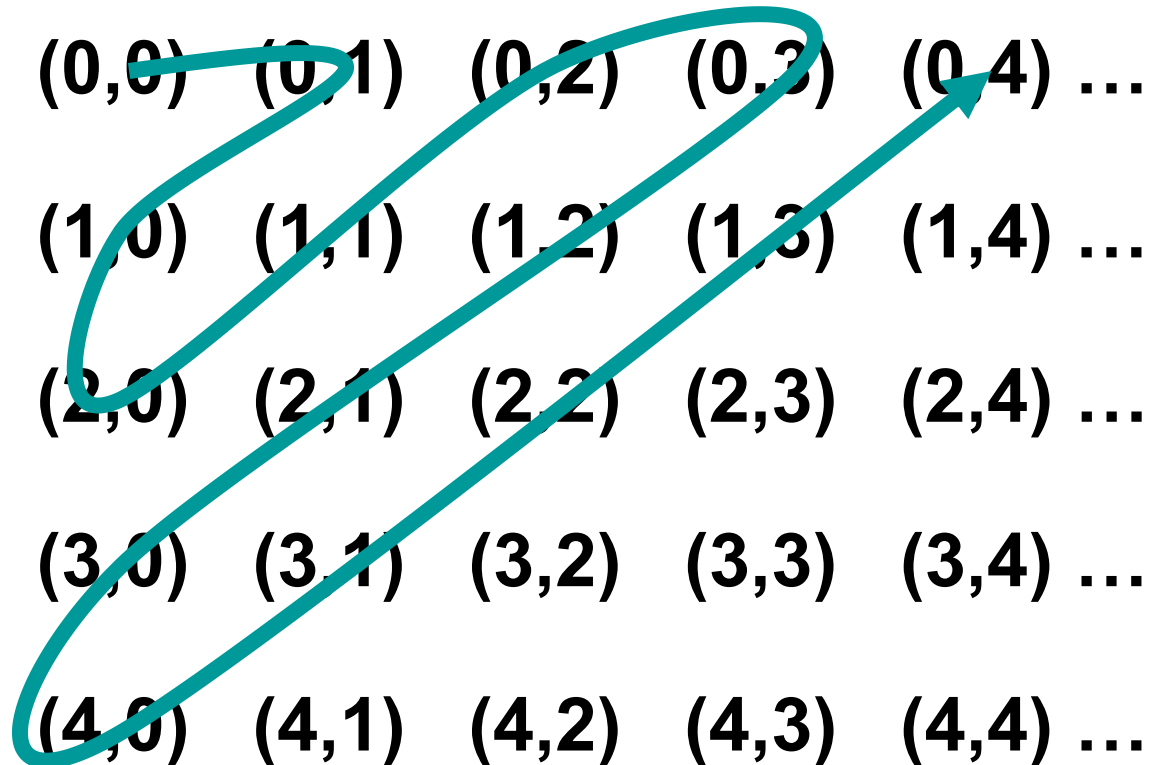
$O = \{1,3,5,7,\dots\}$

$\text{SQUARES} = \{1,4,9,16,25,\dots\}$

$\text{POWERS} = \{1,2,4,8,16,32,\dots\}$

$|\text{POWERS}| = |\text{SQUARES}| = |E| = |O| = |\mathbb{N}|$

There is a bijection between  $\mathbb{N}$  and  $\mathbb{N} \times \mathbb{N}$ .



**$\{0,1\}^*$  is countable**

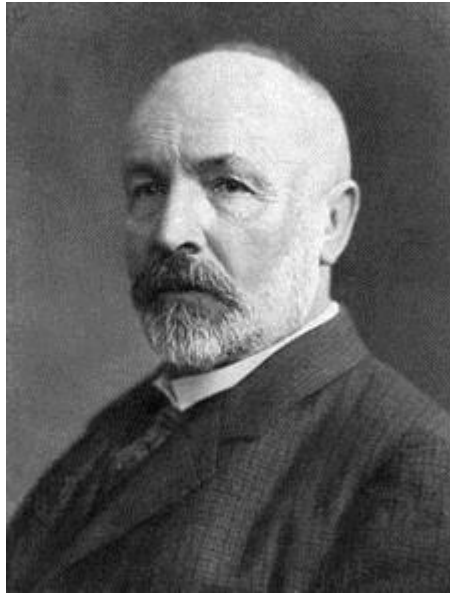
**$\{ \langle M \rangle \mid M \text{ is a TM} \}$  is countable**

**$Q^+ = \{ p/q \mid p, q \in \mathbb{Z}^+ \}$  is countable!**

**Is any set *uncountable*?**

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# Creator of Set Theory



Georg Cantor  
1845--1918

**Theorem.** There is no bijection from the positive integers to the real interval  $(0,1)$

**Proof:** Suppose  $f$  is such a function:

| $n$      | $f(n)$                 |
|----------|------------------------|
| 1        | 0. <b>2</b> 8347279... |
| 2        | 0.8 <b>8</b> 388384... |
| 3        | 0.77 <b>6</b> 35284... |
| 4        | 0.111 <b>1</b> 1111... |
| 5        | 0.1234 <b>5</b> 678... |
| $\vdots$ | $\vdots$               |

**Construct  $b \in (0, 1)$  that does not appear in the table.**

**$b = 0.d_1d_2d_3 \dots$ , where  $d_i \neq \text{digit } i \text{ of } f(i)$ .**

# Diagonalization

The process of constructing a counterexample by  
“contradicting the diagonal” is called  
**DIAGONALIZATION**

# What if we try this argument on $\mathbb{Q}$ instead of $\mathbb{R}$ ?

**Proof:** Suppose  $f$  is such a function:

| $n$ | $f(n)$                 |
|-----|------------------------|
| 1   | 0. <b>2</b> 8347279... |
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| :   | :                      |

**Construct  $b \in (0, 1)$  that does not appear in the table.**

**$b = 0.d_1d_2d_3 \dots$ , where  $d_i \neq \text{digit } i \text{ of } f(i)$ .**



## What if we try Cantor's diagonalization argument on $\mathbb{Q}$ instead of $\mathbb{R}$ ?

- A. It works.
- B. It fails because there are some rational numbers that cannot be represented in decimal point notation.
- C. It fails because the  $i$ -th number might have no digit in the  $i$ -th position after the decimal point.
- D. It fails because the constructed number is not rational.
- E. None of the above.

Let  $L$  be any set and  
 $P(L)$  be the power set of  $L$

**Theorem:** There is no bijection from  $L$  to  $P(L)$

**Proof:** Assume, for a contradiction, that there is bijection  $f : L \rightarrow P(L)$

We construct a set  $S$  that cannot be the output,  $f(y)$ , for any  $y \in L$ .

# Use diagonalization

| <b>x</b>             | $y_1 \in f(x)?$ | $y_2 \in f(x)?$ | $y_3 \in f(x)?$ | $y_4 \in f(x)?$ | ...      |
|----------------------|-----------------|-----------------|-----------------|-----------------|----------|
| <b>y<sub>1</sub></b> | <b>Y</b>        | <b>N</b>        | <b>Y</b>        | <b>Y</b>        |          |
| <b>y<sub>2</sub></b> | <b>N</b>        | <b>Y</b>        | <b>N</b>        | <b>Y</b>        |          |
| <b>y<sub>3</sub></b> | <b>N</b>        | <b>N</b>        | <b>N</b>        | <b>N</b>        |          |
| <b>y<sub>4</sub></b> | <b>Y</b>        | <b>N</b>        | <b>N</b>        | <b>Y</b>        |          |
| <b>⋮</b>             |                 |                 |                 |                 | <b>⋮</b> |

Define set **S** by flipping the diagonal:  
 $(y_i \in S) = \mathbf{Y}$  iff  $(y_i \in f(y_i)) = \mathbf{N}$

# EXAMPLE

Let  $L = \{0,1,2\}$ . Then  $P(L) =$   
 $\{\emptyset, \{0\}, \{1\}, \{2\}, \{0,1\}, \{0,2\}, \{1,2\}, \{0,1,2\}\}$

Let  $f(0) = \{1\}$ ,  $f(1) = \emptyset$ ,  $f(2) = \{0,2\}$ . Then:

| x | $0 \in f(x)?$ | $1 \in f(x)?$ | $2 \in f(x)?$ |
|---|---------------|---------------|---------------|
| 0 | N             | Y             | N             |
| 1 | N             | N             | N             |
| 2 | Y             | N             | Y             |

$$S = \{0,1\}$$

Let  $L$  be any set and  
 $P(L)$  be the power set of  $L$

**Theorem:** There is no bijection from  $L$  to  $P(L)$

**Proof:** Assume, for a contradiction, that  
there is bijection  $f : L \rightarrow P(L)$

We construct a set  $S$  that cannot be the output,  
 $f(y)$ , for any  $y \in L$ .

$$\text{Let } S = \{ x \in L \mid x \notin f(x) \}$$

If  $S = f(y)$  then  $y \in S$  if and only if  $y \notin S$



**For all sets  $L$ ,  
 $P(L)$  has more elements than  $L$**

# Not all languages over $\{0,1\}$ are decidable

**TM Deciders**

**Languages over  $\{0,1\}$**

**Strings of 0s and 1s**

**Sets of strings of  
0s and 1s**

**L**

**P(L)**

## Turing Machines

Strings of 0s and 1s

**L**

Languages over  $\{0,1\}$

Sets of strings of  
0s and 1s

**P(L)**



# A specific undecidable language

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM, } w \text{ is a string,} \\ \text{and } M \text{ accepts } w \}$$

# Theorem. $A_{TM}$ is undecidable.

**Proof:** For contradiction, suppose a TM  $H$  decides  $A_{TM}$ .

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w \\ \text{reject} & \text{if } M \text{ doesn't accept } w \end{cases}$$

**Idea:** Use  $H$  to check what TM  $M$  does on its own description (and do the opposite).

**TM  $D$  =** “ On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. **Accept** if it rejects. O.w. **reject**.”

**$D$  is a decider. What does it do on  $\langle D \rangle$ ?**



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## Is it diagonalization again?

### Does $M$ accept $\langle M \rangle$ ?

| TMs   | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | ... |
|-------|-----------------------|-----------------------|-----------------------|-----------------------|-----|
| $M_1$ | Y                     | N                     | Y                     | Y                     |     |
| $M_2$ | N                     | Y                     | N                     | Y                     |     |
| $M_3$ | N                     | N                     | N                     | N                     |     |
| $M_4$ | Y                     | N                     | N                     | Y                     |     |
| ⋮     |                       |                       |                       |                       | ⋮   |

**D** accepts  $\langle M_i \rangle$  iff entry  $(i, i)$  is **N**.

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## Is it diagonalization again?

### Does $M$ accept $\langle M \rangle$ ?

| TMs   | $\langle M_1 \rangle$ | $\langle M_2 \rangle$ | $\langle M_3 \rangle$ | $\langle M_4 \rangle$ | ... | $\langle D \rangle$ | ... |
|-------|-----------------------|-----------------------|-----------------------|-----------------------|-----|---------------------|-----|
| $M_1$ | Y                     | N                     | Y                     | Y                     |     |                     |     |
| $M_2$ | N                     | Y                     | N                     | Y                     |     |                     |     |
| $M_3$ | N                     | N                     | N                     | N                     |     |                     |     |
| $M_4$ | Y                     | N                     | N                     | Y                     |     |                     |     |
| ⋮     |                       |                       |                       |                       | ⋮   |                     |     |
| $D$   |                       |                       |                       |                       |     | ?                   |     |
| ⋮     |                       |                       |                       |                       |     |                     | ⋮   |

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# A movie about undecidability of the Halting Problem

<https://www.youtube.com/watch?v=92WHN-pAFCs>