

Intro to Theory of Computation

CS
332

LECTURE 20

Last time

- Computation history method
- Review, test.

Today

- Recursion theorem
- Complexity theory

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Recursion Theorem

Making TMs that can obtain
their own descriptions
with applications to ~~computer~~TM
viruses

A TM P_w that prints w

There is a computable function q that on input w outputs $\langle P_w \rangle$, where P_w is a TM that prints w .

$P_w =$ ``Erase input.
1. Print w and halt.''

TM computing q :

``On input w ,

1. Print $\langle P_w \rangle$ and halt.''

TM S that prints $\langle S \rangle$

Theorem. There is a TM S that erases input, prints $\langle S \rangle$ and halts.

Proof:

- $q(w) = \langle P_w \rangle$

$S =$ "Erase input.

1. Run TM A .
2. Run TM B .
3. Halt."

$A = P_{\langle B \rangle}$

$B =$ "On input $\langle M \rangle$, where M is a TM,

1. Compute $\langle P_{\langle M \rangle} \rangle = q(\langle M \rangle)$.
2. Construct TM S' :

$S' =$ "Erase input.

1. Run TM $P_{\langle M \rangle}$.
2. Run TM M .
3. Halt."

3. Output $\langle S' \rangle$ and halt."

- Write this sentence.
- Write two copies of the following, the second one in quotes:
``Write two copies of the following, the second one in quotes:’’

Recursion Theorem

If there is a TM T that computes a function $t(w, \langle M \rangle)$ then there is a TM R that computes $r(w) = t(w, \langle R \rangle)$.

Punchline: *“Obtain your own description”* is a valid step in an algorithmic description of a TM.

R = “On input w ,

1. Place # after w .
2. Run TM A .
3. Run TM B .
4. Run TM T .”

$$A = P'_{\langle B, T \rangle}$$

Recursion Theorem

If there is a TM T that computes a function $t(w, \langle M \rangle)$ then there is a TM R that computes $r(w) = t(w, \langle R \rangle)$.

$R =$ "On input w ,

1. Place # after w .
2. Run TM A .
3. Run TM B .
4. Run TM T ."

$$A = P'_{\langle B, T \rangle}$$

$B =$ "On input $w\#\langle M_1, M_2 \rangle$,

where M_1, M_2 are TMs,

1. Compute $\langle P'_{\langle M_1, M_2 \rangle} \rangle = q'(\langle M_1, M_2 \rangle)$.
2. Construct TM R' :

$R' =$ "On input w ,

1. Place # after w .
2. Run TM $P'_{\langle M_1, M_2 \rangle}$.
3. Run TM M_1 .
4. Run TM M_2 ."

3. Output $w\#\langle R' \rangle$ and halt."

Recursion Theorem

Punchline: ``*Obtain your own description*'' is a valid step in an algorithmic description of a TM.

Application of recursion theorem

- Give an alternative proof that A_{TM} is undecidable.

(on the board)

Application of recursion theorem

- A TM M is **minimal** if there is no TM equivalent to M that has a shorter description than $\langle M \rangle$.
- $MIN_{TM} = \{\langle M \rangle \mid M \text{ is minimal TM}\}$.
- Show that MIN_{TM} is not Turing-recognizable.

(on the board)

Already learned

- Automata theory
- Computability theory

Last unit: **complexity theory**

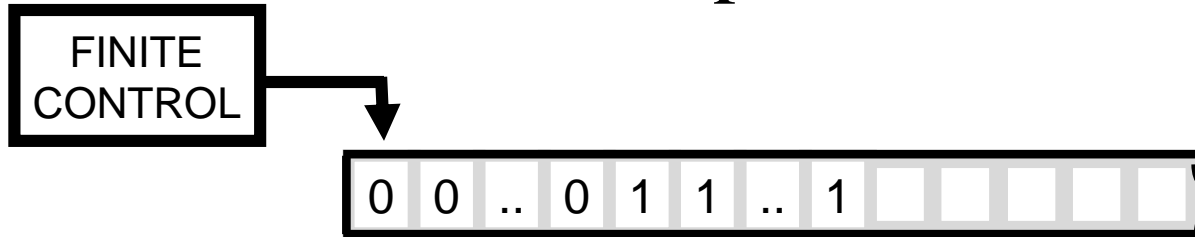
First topic: time complexity

- Measuring complexity (as in Algorithms)
- Asymptotic notation (as in Algorithms)
- Relationships between models

How much time/memory needed to decide a language?

Example: Consider $A = \{0^m 1^m \mid m \geq 0\}$.

- Time needed for 1-tape TM?



- $M_1 =$ “
 1. Scan input and reject if it is not of the form 0^*1^* .
 2. Repeat while both 0s and 1s remain on the tape:
 3. Cross off one 0 and one 1
 4. **Accept** if no 0s and no 1s left; otherwise **reject**.”

Running time analysis

If M is a TM and $f: \mathbb{N} \rightarrow \mathbb{N}$ then

“ M runs in time $f(n)$ ” means

for **every** input $w \in \Sigma^*$ of length n ,

M on w halts within $f(n)$ steps

- Focus on worst case:
 - upper bound on running time for all inputs of given length
- Exact time depends on the computer
 - instead measure **asymptotic growth**

Asymptotic notation

O -notation (upper bounds):

$f(n) = O(g(n))$ means

there exist constants $c > 0$, $n_0 > 0$ such that $0 \leq f(n) \leq cg(n)$ for all $n \geq n_0$.

EXAMPLE: $2n^2 = O(n^3)$ ($c = 2$, $n_0 = 1$)

*functions,
not values*

Asymptotic Notation

- **One-sided equality:** $T(n) = O(f(n))$.
 - Not transitive:
 - $f(n) = 5n^3$; $g(n) = 3n^2$
 - $f(n) = O(n^3)$ and $g(n) = O(n^3)$
 - but $f(n) \neq g(n)$.
 - Alternative notation: $f(n) \in O(g(n))$.

- $10^6 n^3 + 2n^2 - n + 10 = O(n^3)$
- $\sqrt{n} + \log n = O(\sqrt{n})$
- $n (\log n + \sqrt{n}) = O(n\sqrt{n})$
- $n = O(n), \text{ also } O(n^2)$

Ω -notation (lower bounds)

O -notation is an *upper-bound* notation. It makes no sense to say $f(n)$ is at least $O(n^2)$.

$f(n) = \Omega(g(n))$ means

there exist constants $c > 0$, $n_0 > 0$ such that $0 \leq cg(n) \leq f(n)$ for all $n \geq n_0$.

EXAMPLE: $\sqrt{n} = \Omega(\log n)$ ($c = 1$, $n_0 = 16$)

Ω -notation (lower bounds)

- **Be careful:** “Any comparison-based sorting algorithm requires at least $O(n \log n)$ comparisons.”
 - Meaningless!
 - Use Ω for lower bounds.

Θ -notation (tight bounds)

$\Theta(g(n))$ means both $O(g(n))$ and $\Omega(g(n))$

EXAMPLE: $\frac{1}{2}n^2 - 2n = \Theta(n^2)$

Polynomials are simple:

$$a_d n^d + a_{d-1} n^{d-1} + \cdots + a_1 n + a_0 = \Theta(n^d)$$

o -notation and ω -notation

O -notation and Ω -notation are like \leq and \geq .
 o -notation and ω -notation are like $<$ and $>$.

$f(n) = o(g(n))$ means

for **every** constant $c > 0$,
there exists a constant $n_0 > 0$
such that $0 \leq f(n) \leq cg(n)$ for all $n \geq n_0$.

EXAMPLE: $2n^2 = o(n^3)$ ($n_0 = 2/c$)

Overview

Notation	... means ...	Think...	Example	$\lim_{n \leftarrow \infty} \frac{f(n)}{g(n)}$
$f(n) = O(g(n))$	$\exists c > 0, n_0 > 0, \forall n > n_0 : 0 \leq f(n) < cg(n)$	Upper bound	$100n^2 = O(n^3)$	If it exists, it is $< \infty$
$f(n) = \Omega(g(n))$	$\exists c > 0, n_0 > 0, \forall n > n_0 : 0 \leq cg(n) < f(n)$	Lower bound	$2^n = \Omega(n^{100})$	If it exists, it is > 0
$f(n) = \Theta(g(n))$	both of the above: $f = \Omega(g)$ and $f = O(g)$	Tight bound	$\log(n!) = \Theta(n \log n)$	If it exists, it is > 0 and $< \infty$
$f(n) = o(g(n))$	$\forall c > 0, \exists n_0 > 0, \forall n > n_0 : 0 \leq f(n) < cg(n)$	Strict upper bound	$n^2 = o(2^n)$	Limit exists, $= 0$
$f(n) = \omega(g(n))$	$\forall c > 0, \exists n_0 > 0, \forall n > n_0 : 0 \leq cg(n) < f(n)$	Strict lower bound	$n^2 = \omega(\log n)$	Limit exists, $= \infty$

Common Functions: Asymptotic Bounds

- **Polynomials.** $a_0 + a_1n + \dots + a_d n^d$ is $\Theta(n^d)$ if $a_d > 0$.
- **Logarithms.** $\log_a n \stackrel{\uparrow}{=} \Theta(\log_b n)$ for all constants $a, b > 0$.

can avoid specifying the base

log grows slower than every polynomial

For every $x > 0$, $\log n = o(n^x)$.

Every exponential grows faster than every polynomial

- **Exponentials.** For all $r > 1$ and all $d > 0$, $n^d = o(r^n)$.
- **Factorial.** $n! = n(n-1) \cdots 1$.

By Sterling's formula,

$$n! = (\sqrt{2\pi n}) \left(\frac{n}{e}\right)^n (1 + o(1)) = 2^{\Theta(n \log n)}$$

Sort by asymptotic order of growth

a) $n \log n$

b) \sqrt{n}

c) $\log n$

d) n^2

e) 2^n

f) n

g) $\log \log n$

h) $n!$

i) $n^{1,000,000}$

j) $n^{1/\log(n)}$

k) $\log(n!)$

l) $\binom{n}{2}$

m) 2^{n^2}

n) 2^{2^n}

Time complexity classes

TIME($f(n)$) is a class of languages.

$A \in \text{TIME}(f(n))$ means that

some 1-tape TM M

that runs in time $O(f(n))$ decides A .

The class P

P is the class of languages decidable in polynomial time on a *deterministic* 1-tape TM:

$$P = \bigcup_k TIME(n^k).$$

- The same class even if we substitute another reasonable deterministic model.
- Roughly the class of problems realistically solvable on a computer.