

Intro to Theory of Computation

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LECTURE 24

Last time

- Polynomial-time reductions
- NP-completeness

Today

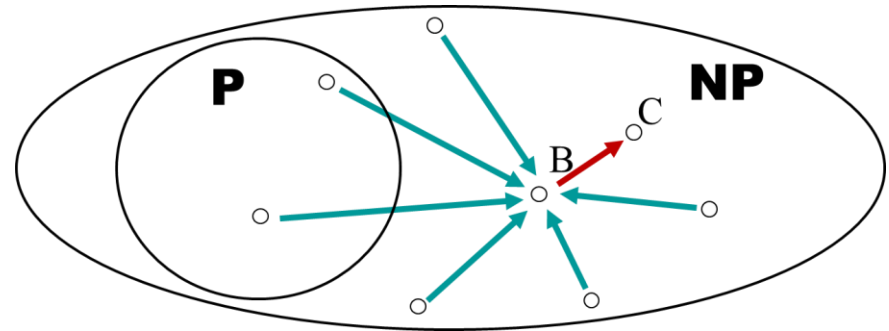
- Cook-Levin Theorem
- Examples of NP-complete languages

Sofya Raskhodnikova

Implication of poly-time reductions

Theorem. If

- B is **NP**-complete,
 - $C \in \mathbf{NP}$ and
 - $B \leq_p C$
- then C is **NP**-complete.



Theorem. If B is **NP**-complete and $B \in \mathbf{P}$ then
 $\mathbf{P} = \mathbf{NP}$.

(So, if B is **NP**-complete and $\mathbf{P} \neq \mathbf{NP}$
then there is no poly-time algorithm for B.)

The class NP

NP is the class of languages that have polynomial-time verifiers.

Recall: The running time of a verifier $V(\langle w, c \rangle)$ is measured only in terms of length of w .

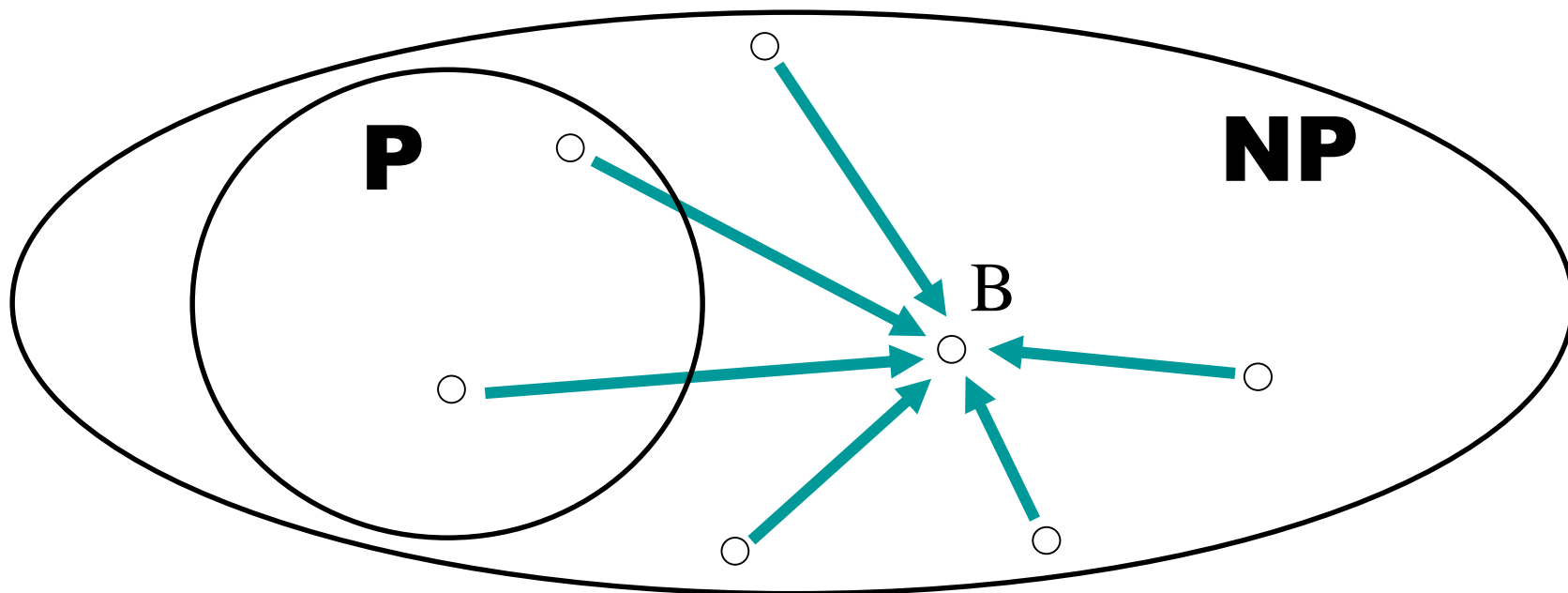
If we allowed a verifier to run in time polynomial in the length of $\langle w, c \rangle$, the class NP would

- A.** be smaller
- B.** be the same
- C.** contain more (decidable only) languages
- D.** contain more (even undecidable) languages
- E.** none of the above

Hardest problems in NP

A language B is **NP-complete** if

1. $B \in \text{NP}$
2. B is **NP-hard**, i.e., every language in NP is poly-time reducible to B.



An NP-complete problem

$BA_{NTM} = \{\langle M, x, \underline{t} \rangle \mid M \text{ is an NTM that accepts } x \text{ in at most } t \text{ steps} \}$

Technical detail: \underline{n} denotes 1^n .

Theorem. BA_{NTM} is NP-Complete.

1. $BA_{NTM} \in NP$:

The list of guesses M makes to accept x in t steps is the certificate that $\langle M, x, \underline{t} \rangle \in BA_{NTM}$.

2. For all $A \in NP$, $A \leq_P BA_{NTM}$.

$A \in NP$ iff there is an NTM N for A that runs in time $O(n^k)$.

Let $f_A(w) = \langle N, w, \underline{c} \mid \underline{|w|^k} \rangle$.

$\langle N, w, \underline{c} \mid \underline{|w|^k} \rangle \in BA_{NTM} \iff N \text{ accepts } w \iff w \in A.$

The "First" NP-Complete Problem

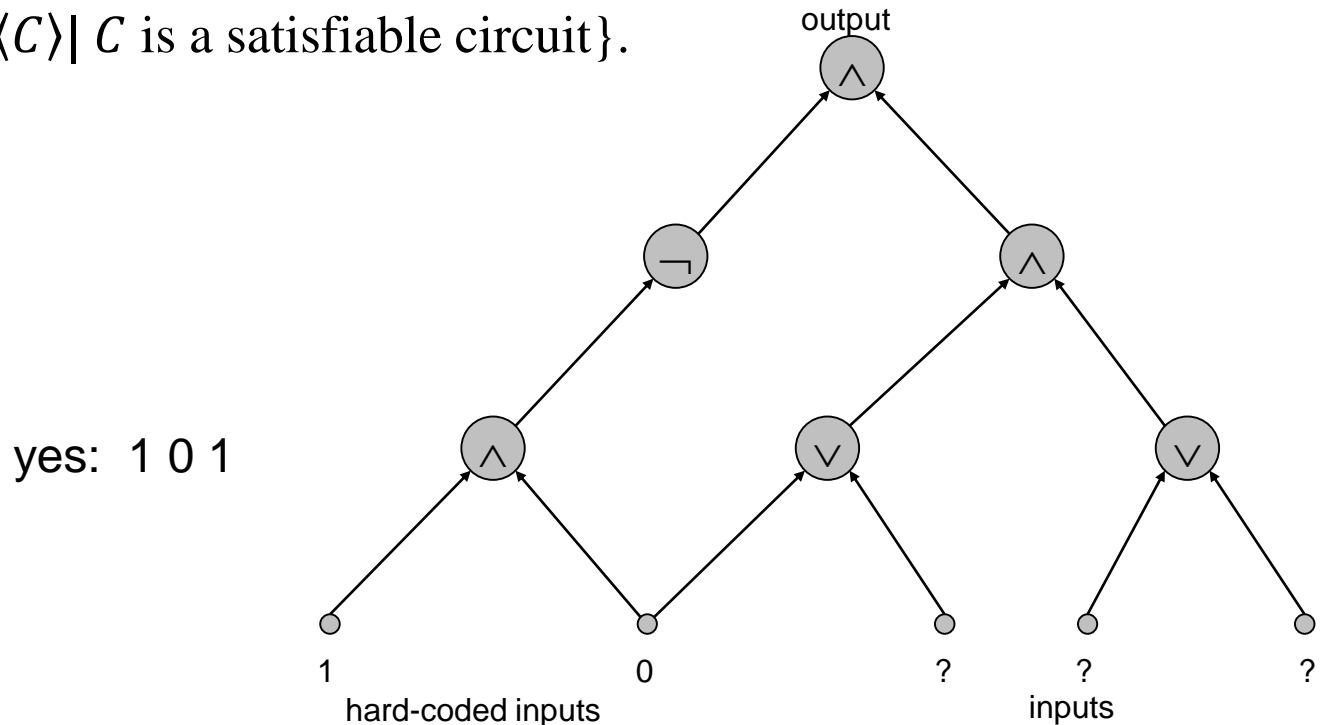
CIRCUIT-SAT is similar to 3SAT, but it is about circuits, not formulas.

Theorem. CIRCUIT-SAT is NP-complete. [Cook '71, Levin '73]

A **circuit** is built from AND, OR and NOT gates.

A circuit is **satisfiable** if one can set the circuit inputs, so that the output is 1.

$\text{CIRCUIT-SAT} = \{ \langle C \rangle \mid C \text{ is a satisfiable circuit} \}.$



Canonical NP-Complete Problem

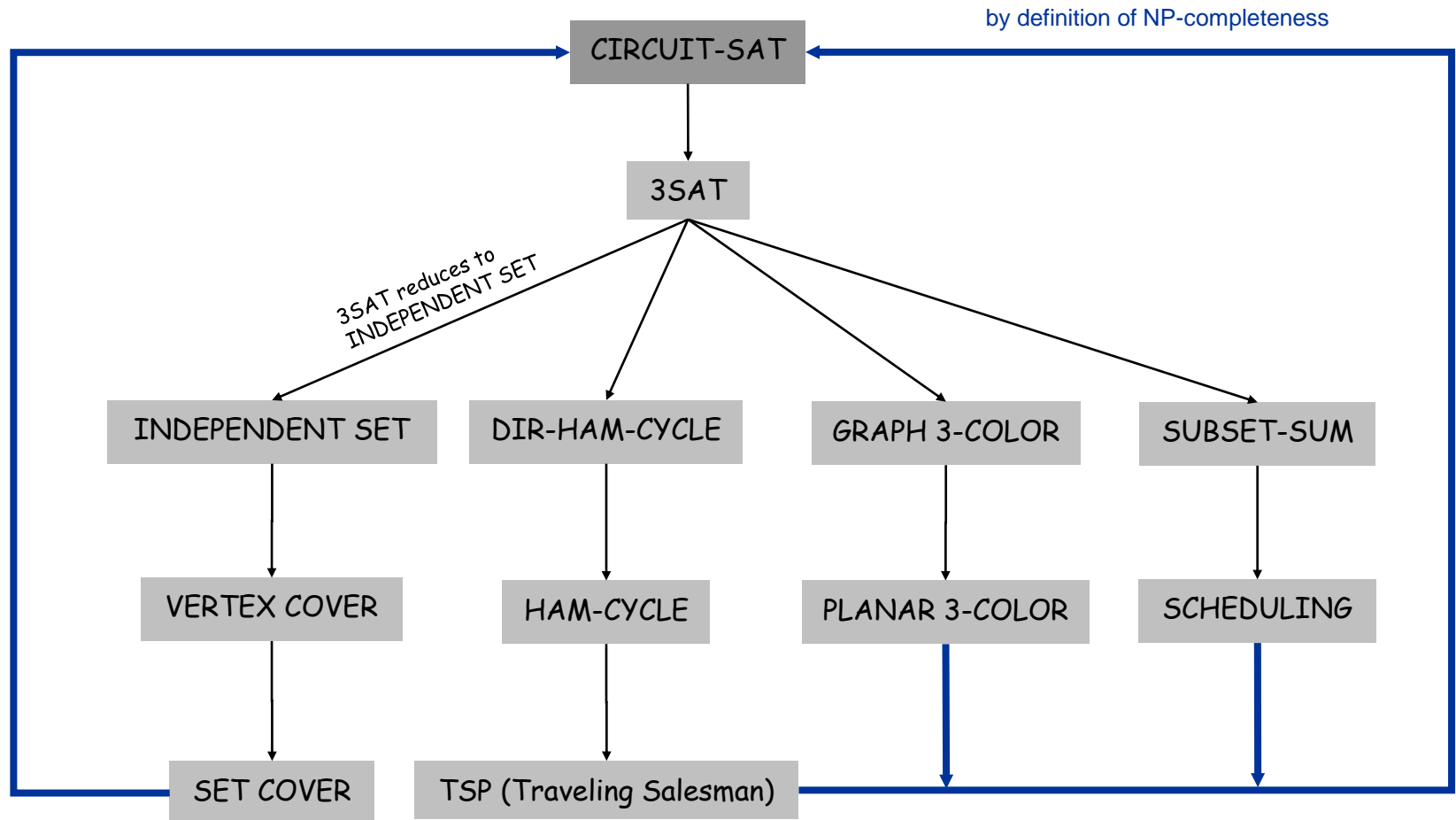
Theorem. 3SAT is NP-complete. (Book)

Establishing NP-completeness

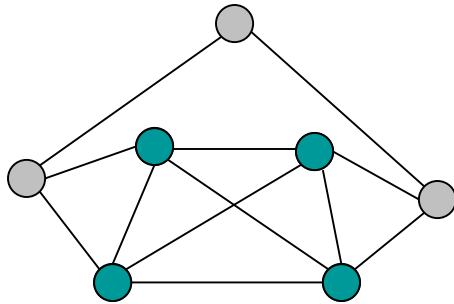
- Once we establish first "natural" NP-complete problems, others fall like dominoes.
- Recipe to establish NP-completeness of problem Y.
 - Step 1. Show that Y is in NP.
 - Step 2. Choose an NP-complete problem X (e.g., 3SAT) and prove that $X \leq_p Y$.

NP-Completeness

All problems below are NP-complete and hence poly-time reduce to one another!



- A **clique** in a graph is a set of nodes, where every two nodes are connected by an edge.



- $\text{CLIQUE} = \{ \langle G, k \rangle \mid G \text{ is an undirected graph that contains a clique with } k \text{ nodes} \}$

Prove: CLIQUE is NP-complete

- $\text{CLIQUE} = \{ \langle G, k \rangle \mid G \text{ is an undirected graph that contains a clique with } k \text{ nodes} \}$

1. Show that CLIQUE is in NP.

Certificate: clique of size k

2. Show that $3\text{SAT} \leq_p \text{CLIQUE}$.

“On input $\langle \phi \rangle$, where ϕ is a 3cnf formula,
Output a graph G and a number k , where...”

(on the board)

SUBSET-SUM

- $SSUM = \{ \langle S, t \rangle \mid S = \{x_1, \dots, x_r\},$
and for some $\{y_1, \dots, y_{r'}\} \subseteq \{x_1, \dots, x_r\},$
we have $y_1 + \dots + y_{r'} = t \}$
- Examples: $\langle \{5, 7, 23\}, 28 \rangle \in SSUM$
 $\langle \{5, 7, 25\}, 28 \rangle \notin SSUM$

Prove: *SSUM* is NP-complete

- $SSUM = \{ \langle S, t \rangle \mid S = \{x_1, \dots, x_r\}, \text{ and for some } \{y_1, \dots, y_{r'}\} \subseteq \{x_1, \dots, x_r\}, \text{ we have } y_1 + \dots + y_{r'} = t \}$

1. Show that *SSUM* is in NP.

Certificate: subset $y_1, \dots, y_{r'}$ of S that sums up to t .

2. Show that $3SAT \leq_p SSUM$.

“On input $\langle \phi \rangle$, where ϕ is a 3cnf formula, with variables x_1, \dots, x_ℓ and clauses c_1, \dots, c_k

- Output a set S of numbers and a target number $t \dots$ ”

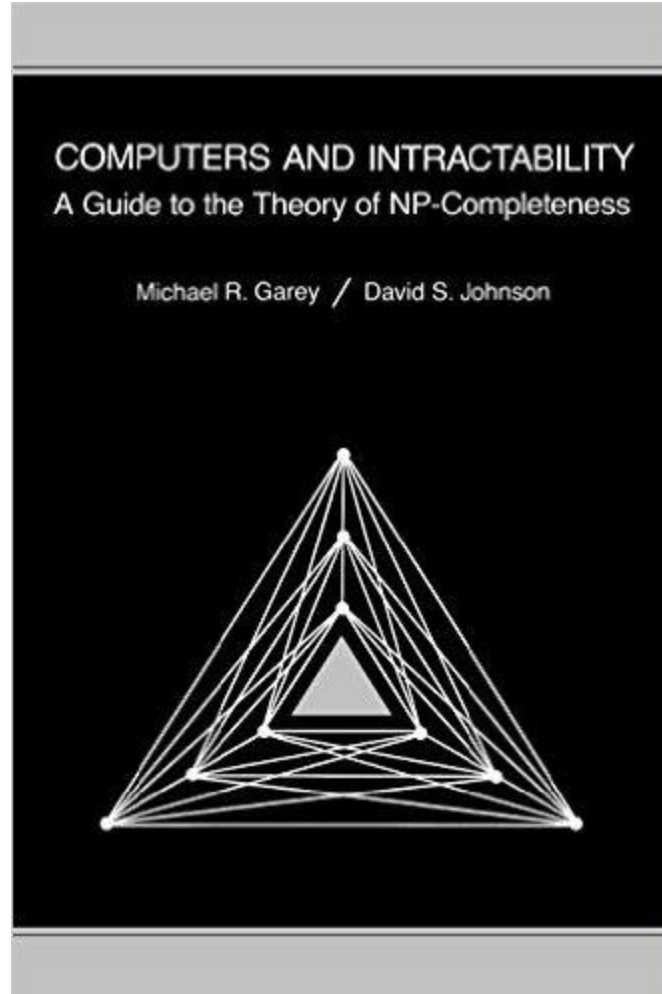
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Some NP-Complete Problems

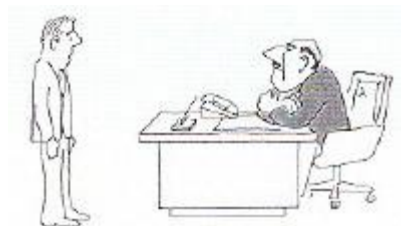
- Six basic genres of NP-complete problems and paradigmatic examples.
 - Packing problems: SET-PACKING, INDEPENDENT SET.
 - Covering problems: SET-COVER, VERTEX-COVER.
 - Constraint satisfaction problems: SAT, 3-SAT.
 - Sequencing problems: HAMILTONIAN-CYCLE, TSP.
 - Partitioning problems: 3D-MATCHING, 3-COLOR.
 - Numerical problems: SUBSET-SUM, KNAPSACK.
- Most NP problems are either known to be in P or NP-complete.
- Notable exceptions. Factoring, graph isomorphism, Nash equilibrium.

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An encyclopedia of NP-complete problems



From Garey and Jonhson



“We need an efficient algorithm that constructs a design of iThingy that meets the maximum # of requirements at lowest cost.”



“I thought about it for weeks, but I can’t come up with an efficient algorithm. I guess I’m just too dumb.”



“I can’t find an efficient algorithm, but neither can all these famous people.”

3SAT is an example of a problem that cannot be solved by an algorithm.

- A. True**
- B. False**
- C. It is an open question**
- D. None of the above**

3SAT is an example of a problem that cannot be solved by a **polynomial time** algorithm.

- A. True
- B. False
- C. It is an open question
- D. None of the above