#### *Sublinear Algorithms*

# **LECTURE 17**

### **Last time**



- Lower bound for testing triangle-freeness
- Canonical testers for the dense graph model

## **Today**

• Approximating the average degree

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### *Graph Models for Sublinear Algorithms*

#### Dense Graph Model

- Input is represented by adjacency matrix
- Access: Adjacency queries: Is  $(i, j)$  an edge?
- For property testing, distance is normalized by  $n^2$  or  $\overline{n}$ 2

#### Bounded Degree Model

- Input is represented by adjacency lists of length  $\Delta$  (degree bound)
- Access: Neighbor queries: What is the *i*th neighbor of vertex  $v$ ?
- For property testing, distance is normalized by  $\Delta n$

#### General Graph Model

- Input is represented by adjacency lists and adjacency matrix, sometimes with additional data structures
- Access: adjacency, neighbor and degree queries
- For property testing, distance is normalized by  $m$

#### *Approximating the Average Degree*

Input: parameters  $\varepsilon$ ,  $n$ , access to an undirected  $n$ -node graph  $G = (V, E)$  represented by *adjacency lists*. **Queries** 

- **Degree queries:** given vertex  $v$ , return its degree  $d(v)$
- **Neighbor queries:** given  $(v, i)$ , return the *i*-th neighbor of  $v$

Goal: Return, w.p. at least  $2/3$ , an estimate  $\ddot{d}$ for the average degree  $\bar{d}=\frac{1}{n}$  $\frac{1}{n} \sum_{v \in V} d(v)$ 

Estimating the average degree is equivalent to estimating the number of edges:  $\bar{d} =$  $2m$  $\boldsymbol{n}$ 

#### *Estimating the Average Degree: Results*

- An estimate  $\hat{d}$  is a c-approximation for  $\bar{d}$  if  $\bar{d} \leq \hat{d} \leq c \cdot \bar{d}$
- Assumption:  $d \geq 1$
- [Feige 06]:  $(2 + \varepsilon)$ -approximation with  $\tilde{O}(\sqrt{n})$  degree queries Need  $\Omega(n)$  degree queries to get better than 2-approximation
- [Goldreich Ron 08]:  $(1 + \varepsilon)$ -approximation with  $\tilde{O}(\sqrt{n})$  degree and neighbor queries

### *Simple Lower Bounds*

Need  $\Omega(n)$  queries to get a c-approximation to the average of numbers  $x_1, ..., x_n \in \{0, 1, ..., n-1\}$  for any constant c

Proof: Use Yao's Minimax. To distinguish between

– all numbers are 1

the average is 1

- random  $c$  numbers are  $n-1$  and the rest are 1

```
the average is >c
```
we need 
$$
\Omega\left(\frac{n}{c}\right) = \Omega(n)
$$
 queries.

But degree sequences are special!

 $1 1 1 1 1 1 1 1 1 1 n-1 n-1$  is not a degree sequence

### *Simple Lower Bounds*

- Need  $\Omega(\sqrt{n})$  degree queries to get a c-approximation for any constant  $c$ Proof: Use Yao's Minimax. To distinguish between random isomorphisms of
	- a matching of  $n/2$  edges



 $-\sqrt{cn}$ -clique and a matching on remaining nodes



we need  $\Omega\left(\frac{\sqrt{n}}{\sqrt{a}}\right)$  $\overline{c}$  $\Omega(\sqrt{n})$  queries

#### *Average: Degree Approximation Guarantee*

- Pr $[|\hat{d} \bar{d}| \geq \varepsilon \cdot \bar{d}] \leq \frac{1}{2}$ 3
- In particular,  $\hat{d}$  is an *unbiased* estimator:  $\mathbb{E}\big[\hat{d}\big] = \bar{d}$
- The approximation guarantee is equivalent to  $(1 + \varepsilon)$ -approximation

$$
1 - \varepsilon) \cdot \bar{d} \le \hat{d} \le (1 + \varepsilon) \cdot \bar{d}
$$

$$
\bar{d} \le \frac{\hat{d}}{1 - \varepsilon} \le \frac{1 + \varepsilon}{1 - \varepsilon} \cdot \bar{d}
$$

$$
\frac{1+\varepsilon}{1-\varepsilon} \le 1 + \frac{2\varepsilon}{1-\varepsilon} \le 1 + 4\varepsilon \text{ for } \varepsilon \le 1/2
$$

Conclusion:  $\frac{\hat{d}}{1}$  $1-\varepsilon$ gives a  $(1 + \epsilon')$ -approximation, where  $\epsilon' = 4\varepsilon$ 

• Amplification of success probability: If we want error probability  $\delta$ , we repeat the algorithm  $\Theta\left(\log\frac{1}{s}\right)$  $\delta$ and output the median answer.

### *Average Degree Estimation* **[Eden Ron Seshadhri]**

Main idea: To reduce variance, we will count each edge towards its endpoint with smaller degree.

- Define ordering on V: for  $u, v \in V$ , we say  $u \prec v$  if  $d(u) < d(v)$  or if  $d(u) = d(v)$  and  $id(u) < id(v)$ . to break ties
- Corient" the edges towards higher-ID nodes
- Define  $N(v)$  to be the set of neighbors of  $v$ .

Algorithm (Input:  $\varepsilon$ , *n*; degree and neighbor query access to  $G=(V,E)$ )

1. Set 
$$
k = \frac{12}{\varepsilon^2} \cdot \sqrt{n}
$$
 and initialize  $X_i = 0$  for all  $i \in [k]$ 

- 2. For  $i = 1$  to  $k$  do
	- a. Sample a vertex  $u \in V$  u.i.r. and query its degree  $d(u)$
	- b. Sample a vertex  $v \in N(u)$  u.i.r. by making a neighbor query to  $v$ .

c. If 
$$
u < v
$$
, set  $X_i = 2d(u)$ 

3. Return  $\hat{d} = \frac{1}{b}$  $\frac{1}{k} \cdot \sum_{i \in [k]} X_i$ 

#### *Analysis: Expectation*

Algorithm (**Input:**  $\varepsilon$ ,  $n$ ; vertex and neighbor query access to  $G=(V,E)$ )

- 1. Set  $k = \frac{12}{3^2}$  $\frac{12}{\varepsilon^2} \cdot \sqrt{n}$  and initialize  $X_i = 0$  for all  $i \in [k]$
- 2. For  $i=1$  to  $k$  do
	- a. Sample a vertex  $u \in V$  u.i.r. and query its degree  $d(u)$
	- b. Sample a vertex  $v \in N(u)$  u.i.r. by making a neighbor query to  $v$ .

c. If 
$$
u < v
$$
, set  $X_i = 2d(u)$ 

3. Return 
$$
\hat{d} = \frac{1}{k} \cdot \sum_{i \in [k]} X_i
$$

- Let  $d^+(u)$  denote the number of neighbors v of u with  $u \lt v$ .
- Let X denote one of the variables  $X_i$ . (They all have the same distribution.)
- Let U denote the random variable equal to the node  $u$  sampled in Step 2a.  $\mathbb{E}[X] = \mathbb{E}[\mathbb{E}[X|U]]$  $\mathbb{E}[X|U] = \frac{d^+(U)}{d(U)}$  $d(U$  $\cdot$  2d(U) = 2d<sup>+</sup>(U).  $d^+(U)$  is # of neighbors v of U for  $\mathbb{E}[X] = \mathbb{E}[2d^+(U)] = 2$  $u \in V$ 1  $\overline{n}$  $\cdot d^+(u) =$  $2m$  $\overline{n}$  $=\bar{d}$ By the compact form of the Law of Total Expectation which  $X = 2d(U)$

### *Observation about Degrees*

- Let  $d^+(u)$  denote the number of neighbors v of u with  $u \prec v$ .
- Let  $H \subseteq V$  be the set of the  $\sqrt{2m}$  vertices with highest rank according to  $\prec$ .
- Let  $L = V \backslash H$ .

#### **Observation**

- 1. For all  $v \in H$ ,  $d^+(v) < \sqrt{2m}$ .
- $2.$ For all  $v \in L$ ,  $d(v) < \sqrt{2m}$ .

#### Proof:





- 1.  $d^+(v)$  is the number of neighbors of v of rank higher than v. If  $v\in H$ , it is among the  $\sqrt{2m}$  vertices of the highest rank, so  $d^+(v)<\sqrt{2m}$
- 2. Consider  $v \in L$ . All  $u \in H$ , by definition, have degree at least  $d(v)$ .

Then the sum of all degrees, 2m, is greater than  $\sqrt{2m} \cdot d(\nu)$ .

That is, 
$$
d(v) < \frac{2m}{\sqrt{2m}} = \sqrt{2m}
$$

#### *Analysis: Variance* •  $Var[X] = E[X^2] - (E[X])^2 < E[X^2]$ •  $\mathbb{E}[X^2] = |\mathbb{E}[X^2|U]|$  By the compact form of the Law of Total Expectation  $\mathbb{E}[X^2|U] = \frac{d^+(U)}{d(U)}$  $d(U)$  $\cdot$  (2d(U) 2  $= 4d^+(U) \cdot d(U).$  $\mathbb{E}[X^2] = \mathbb{E}[4d^+(U) \cdot d(U)] = 4$  $u \in V$ 1  $\overline{n}$  $\cdot d^+(u) \cdot d(u)$ = 4  $\overline{n}$  $\sum$ u∈H  $d^+(u) \cdot d(u) + \sum$ u∈L  $d^+(u) \cdot d(u)$ ≤ 4  $\overline{n}$  $\sum$  $u \in H$  $2m \cdot d(u) + \sum$  $u \in L$  $d^+(u)\cdot\sqrt{2m}$ ≤  $4\sqrt{2}m$  $\overline{n}$  $\sum$  $u \in H$  $d(u) + \sum$  $u \in L$  $d(u)$  =  $4\sqrt{2m} \cdot \bar{d}$ Reminders:  $d^+(u) =$  the # of neighbors v of u with  $u \lt v$ .  $RV X$  denotes  $X_i$ .  $RV U =$  the node u sampled in Step 2a. **Observation**  $\forall v \in H$ ,  $d^+(v) < \sqrt{2m}$ .  $\forall v \in L, d(v) < \sqrt{2m}.$

### *Analysis: Putting It All Together*



### *Approximating the Average Degree: Run Time*

Algorithm (Input:  $\varepsilon$ ,  $n$ ; vertex and neighbor query access to  $G=(V,E)$ )

- 1. Set  $k = \frac{12}{32}$  $\frac{12}{\varepsilon^2} \cdot \sqrt{n}$  and initialize  $X_i = 0$  for all  $i \in [k]$
- 2. For  $i=1$  to  $k$  do
	- a. Sample a vertex  $u \in V$  u.i.r. and query its degree  $d(u)$
	- b. Sample a vertex  $v \in N(u)$  u.i.r. by making a neighbor query to  $v$ .
	- c. If  $u \lt v$ , set  $X_i = 2d(u)$

3. Return 
$$
\hat{d} = \frac{1}{k} \cdot \sum_{i \in [k]} X_i
$$

Running time:

$$
O\left(\frac{\sqrt{n}}{\varepsilon^2}\right)
$$

to get 
$$
Pr[|\hat{d} - \bar{d}| \ge \varepsilon \cdot \bar{d}] \le \frac{1}{3}
$$