## Sublinear Algorithms

# LECTURE 2

## Last time

- Introduction
  - Basic models for sublinear-time computation
- Simple examples of sublinear algorithms
  Today
- Properties of lists and functions.
- Testing if a list is sorted/Lipschitz and if a function is monotone.



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# Reminders

HW1 is due Thursday at 10am It is posted on the course webpage: https://cs-people.bu.edu/sofya/sublinear-course/

Use Piazza for questions and discussions

Office hours (on zoom): Wednesdays, 1:00PM-2:30PM

## Testing if a List is Sorted

Input: a list of *n* numbers  $x_1, x_2, ..., x_n$ 

- Question: Is the list sorted?
  Requires reading entire list: Ω(n) time
- Approximate version: Is the list sorted or ε-far from sorted? (An ε fraction of x<sub>i</sub>'s have to be changed to make it sorted.) [Ergün Kannan Kumar Rubinfeld Viswanathan 98, Fischer 01]: O((log n)/ε) time Ω(log n) queries



• Best known bounds:

 $\Theta(\log (\epsilon n)/\epsilon)$  time

[Belovs, Chakrabarty Dixit Jha Seshadhri 15]

## **Testing Sortedness: Attempts**

1. **Test**: Pick a random *i* and reject if  $x_i > x_{i+1}$ 

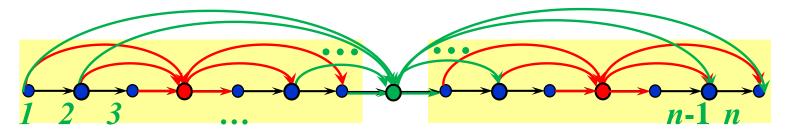
Fails on:

1 1 1 1 0 0 0 0

 $\leftarrow$  1/2-far from sorted

- 2. **Test**: Pick random i < j and reject if  $x_i > x_j$ Fails on: 1 0 2 1 3 2 4 3 5 4
- $\leftarrow$  1/2-far from sorted

Idea: Associate positions in the list with vertices of the directed line.



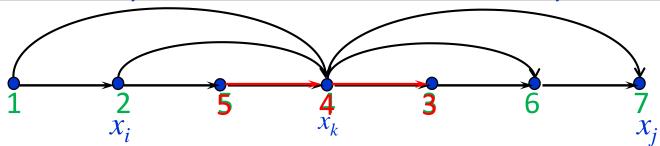
Construct a graph (2-spanner)

≤ *n* log *n* edges

- by adding a few "shortcut" edges (*i*, *j*) for *i* < *j*
- where each pair of vertices is connected by a path of length at most 2

Test [Dodis Goldreich Lehman Raskhodnikova Ron Samorodnitsky 99]

Pick a random edge  $(x_i, x_i)$  from the 2-spanner and **reject** if  $x_i > x_i$ .



#### Analysis:

- Call an edge  $(x_i, x_i)$  violated if  $x_i > x_i$ , and good otherwise.
- If x<sub>i</sub> is an endpoint of a **violated** edge, call it **bad**. Otherwise, call it **good**.

Claim 1. All good numbers x<sub>i</sub> are sorted.

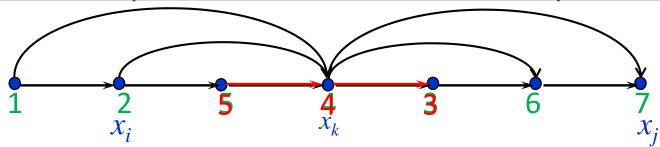
*Proof:* Consider any two good numbers,  $x_i$  and  $x_j$ .

They are connected by a path of (at most) two **good** edges  $(x_i, x_k)$ ,  $(x_k, x_j)$ .  $\Rightarrow x_i \le x_k$  and  $x_k \le x_j$ 

 $\Rightarrow x_i \leq x_j$ 

Test [Dodis Goldreich Lehman Raskhodnikova Ron Samorodnitsky 99]

Pick a random edge  $(x_i, x_i)$  from the 2-spanner and **reject** if  $x_i > x_i$ .



#### Analysis:

- Call an edge  $(x_i, x_j)$  violated if  $x_i > x_j$ , and good otherwise.
- If  $x_i$  is an endpoint of a **bad** edge, call it **bad**. Otherwise, call it **good**.

Claim 1. All good numbers x<sub>i</sub> are sorted.

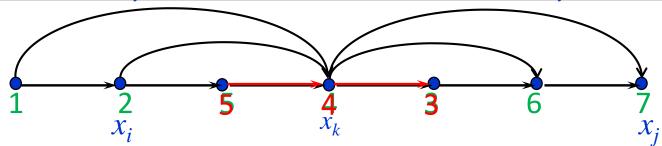
Claim 2. An  $\epsilon$ -far list violates  $\geq \epsilon / (2 \log n)$  fraction of edges in 2-spanner.

*Proof:* If a list is  $\epsilon$ -far from sorted, it has  $\geq \epsilon n$  bad numbers. (Claim 1)

- Each violated edge contributes 2 bad numbers.
- 2-spanner has  $\geq \epsilon n/2$  violated edges out of  $\leq n \log n$ .

Test [Dodis Goldreich Lehman Raskhodnikova Ron Samorodnitsky 99]

Pick a random edge  $(x_i, x_j)$  from the 2-spanner and **reject** if  $x_i > x_j$ .



#### Analysis:

• Call an edge  $(x_i, x_j)$  violated if  $x_i > x_j$ , and good otherwise.

Claim 2. An  $\epsilon$ -far list violates  $\geq \epsilon / (2 \log n)$  fraction of edges in 2-spanner.

By Witness Lemma, it suffices to sample  $(4 \log n)/\epsilon$  edges from 2-spanner.

Algorithm

Sample (4 log n)/  $\epsilon$  edges ( $x_i, x_i$ ) from the 2-spanner and reject if  $x_i > x_i$ .

*Guarantee:* All sorted lists are accepted.

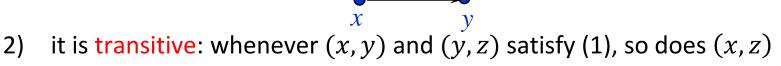
All lists that are  $\epsilon$ -far from sorted are rejected with probability  $\geq 2/3$ . Time: O((log n)/ $\epsilon$ )

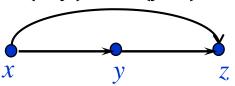
## Generalization

Observation:

The same test/analysis apply to any edge-transitive property of a list of numbers that allows extension.

- A property is edge-transitive if
  - 1) it can be expressed in terms conditions on ordered pairs of numbers



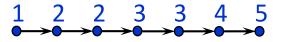


- A property allows extension if
  - 3) any function that satisfies (1) on a subset of the numbers can be extended to a function with the property

### Testing if a Function is Lipschitz [Jha R]

A function  $f : D \rightarrow R$  is Lipschitz if it has Lipschitz constant 1: that is, if for all x,y in D,  $distance_R(f(x), f(y)) \leq distance_D(x, y)$ .

Consider  $f: \{1, ..., n\} \rightarrow \mathbb{R}$ :



nodes = points in the domain; edges = points at distance 1

node labels = values of the function

The Lipschitz property is *edge-transitive*:

- 1. a pair (x, y) is good if  $|f(y)-f(x)| \le |y-x|$
- 2. (x,y) and (y,z) are good  $\Rightarrow$  (x,z) is good

It also allows extension for the range  $\mathbb{R}$ .

 $\mathbb{Z}$ :ing if a function  $f: \{1, ..., n\} \rightarrow \mathbb{R}$  is Lipschitz takes  $O((\log n)/\epsilon)$  time.

Does the spanner-based test apply if the range is  $\mathbb{R}^2$  with Euclidean distances?  $\mathbb{Z}^2$  with Euclidean distances?

- Sorted or  $\varepsilon$ -far from sorted?
- Lipschitz (does not change too drastically)
  or ε-far from satisfying the Lipschitz property?

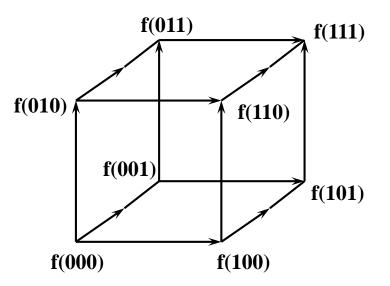
O(log n/ $\varepsilon$ ) time

This bound is tight (unless ε is really tiny w.r.t. n) [Chakrabarty Dixit Jha Seshadhri 15]

# Basic Properties of Functions

# Boolean Functions $f : \{0, 1\}^n \rightarrow \{0, 1\}$

Graph representation: *n*-dimensional hypercube



011001

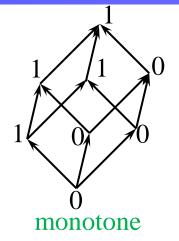
y

- vertices: bit strings of length n
- edges: (x, y) is an edge if y can be obtained from x by increasing one bit from 0 to 1 x 001001
- each vertex x is labeled with f(x)

## Monotonicity of Functions

[Goldreich Goldwasser Lehman Ron Samorodnitsky, Dodis Goldreich Lehman Raskhodnikova Ron Samorodnitsky Fischer Lehman Newman Raskhodnikova Rubinfeld Samorodnitsky]

 A function f : {0,1}<sup>n</sup> → {0,1} is monotone if increasing a bit of x does not decrease f(x).



• Is f monotone or  $\varepsilon$ -far from monotone

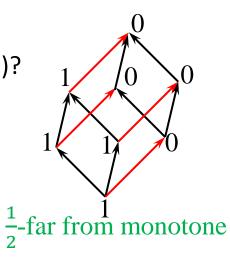
(f has to change on many points to become monontone)?

- Edge  $x \rightarrow y$  is violated by f if f(x) > f(y).

Time:

- $O(n/\varepsilon)$ , logarithmic in the size of the input,  $2^n$
- $\Omega(\sqrt{n}/\varepsilon)$  for restricted class of tests
- Advanced techniques:  $\Theta(\sqrt{n}/\epsilon^2)$  for nonadaptive tests,  $\Omega(\sqrt[3]{n})$

[Khot Minzer Safra 15, Chen De Servidio Tang 15, Chen Waingarten Xie 17]



### Monotonicity Test [GGLRS, DGLRRS]

Idea: Show that functions that are far from monotone violate many edges.

EdgeTest (f, ε)

- 1. Pick  $2n/\epsilon$  edges (x, y) uniformly at random from the hypercube.
- **2.** Reject if some (x, y) is violated (i.e. f(x) > f(y)). Otherwise, accept.

### Analysis

- If *f* is monotone, **EdgeTest** always accepts.
- If f is  $\varepsilon$ -far from monotone, by Witness Lemma, it suffices to show that  $\geq \varepsilon/n$  fraction of edges (i.e.,  $\frac{\varepsilon}{n} \cdot 2^{n-1}n = \varepsilon 2^{n-1}$  edges) are violated by f.

- Let V(f) denote the number of edges violated by f.

Contrapositive: If  $V(f) < \varepsilon 2^{n-1}$ ,

f can be made monotone by changing  $< \varepsilon 2^n$  values.

Repair Lemma

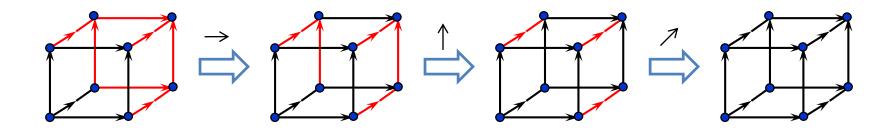
f can be made monotone by changing  $\leq 2 \cdot V(f)$  values.

### Repair Lemma: Proof Idea

Repair Lemma

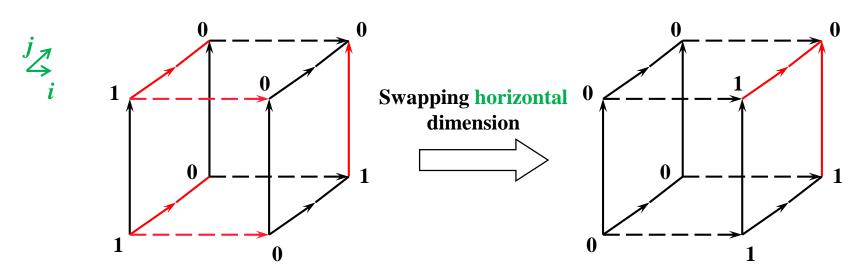
f can be made monotone by changing  $\leq 2 \cdot V(f)$  values.

Proof idea: Transform *f* into a monotone function by repairing edges in one dimension at a time.



## **Repairing Violated Edges in One Dimension**

Swap violated edges  $1 \rightarrow 0$  in one dimension to  $0 \rightarrow 1$ .

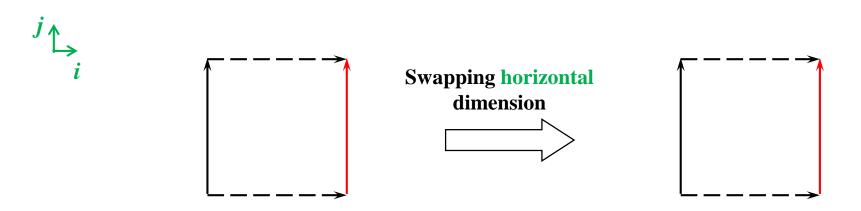


Let  $V_j$  = # of violated edges in dimension j

**Claim.** Swapping in dimension *i* does not increase  $V_i$  for all dimensions  $j \neq i$ 

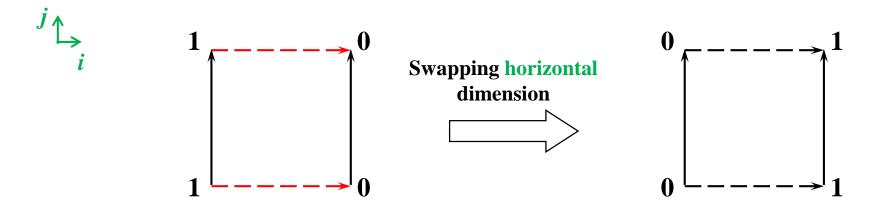
Enough to prove the claim for squares

**Claim.** Swapping in dimension *i* does not increase  $V_i$  for all dimensions  $j \neq i$ 



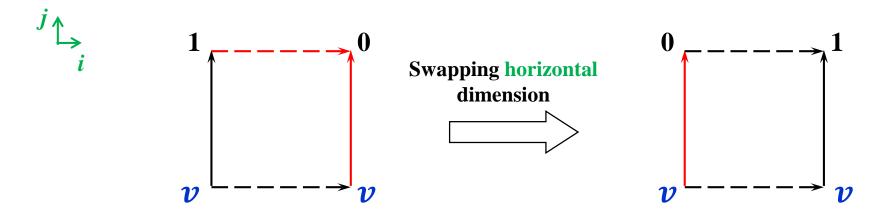
• If no horizontal edges are violated, no action is taken.

**Claim.** Swapping in dimension *i* does not increase  $V_i$  for all dimensions  $j \neq i$ 



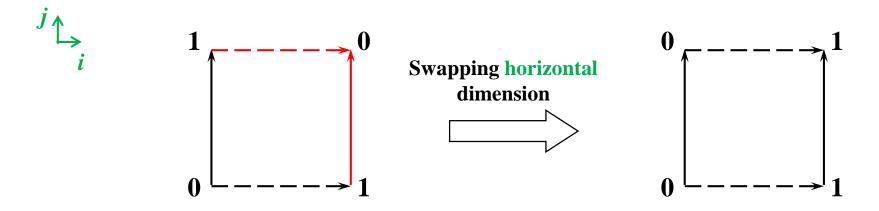
• If both horizontal edges are violated, both are swapped, so the number of vertical violated edges does not change.

**Claim.** Swapping in dimension *i* does not increase  $V_i$  for all dimensions  $j \neq i$ 



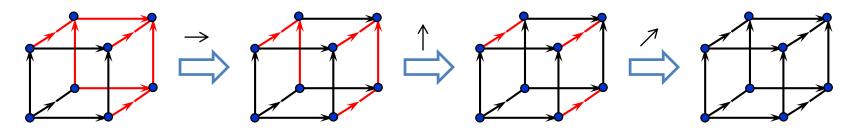
- Suppose one (say, top) horizontal edge is violated.
- If both bottom vertices have the same label, the vertical edges get swapped.

**Claim.** Swapping in dimension *i* does not increase  $V_i$  for all dimensions  $j \neq i$ 



- Suppose one (say, top) horizontal edge is violated.
- If both bottom vertices have the same label, the vertical edges get swapped.
- Otherwise, the bottom vertices are labeled 0→1, and the vertical violation is repaired.

**Claim.** Swapping in dimension *i* does not increase  $V_j$  for all dimensions  $j \neq i$ 



After we perform swaps in all dimensions:

- f becomes monotone
- # of values changed:

 $2 \cdot V_1 + 2 \cdot (\# \text{ violated edges in dim 2 after swapping dim 1})$ + 2 \cdot (# violated edges in dim 3 after swapping dim 1 and 2) + ...  $\leq 2 \cdot V_1 + 2 \cdot V_2 + \cdots 2 \cdot V_n = 2 \cdot V(f)$ 

Repair Lemma

f can be made monotone by changing  $\leq 2 \cdot V(f)$  values.

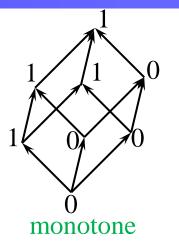


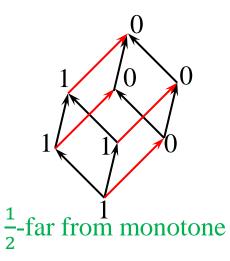
Improve the bound by a factor of 2.

### Testing if a Functions $f : \{0,1\}^n \rightarrow \{0,1\}$ is monotone

Monotone or  $\varepsilon$ -far from monotone?

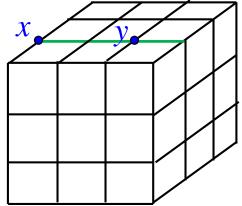
 $O(n/\varepsilon)$  time (logarithmic in the size of the input)





### **Testing Properties of High-Dimensional Functions**

In polylogarithmic time, we can test a large class of properties of functions  $f: \{1, ..., n\}^d \to \mathbb{R}$ , including:



- Lipschitz property [Jha R]
- Bounded-derivative properties [Chakrabarty Dixit Jha Seshadhri]
- Unateness [Baleshzar Chakrabarty Pallavoor **R** Seshadhri]