# *Sublinear Algorithms*

# **LECTURE 2**

# **Last time**

- Introduction
- Basic models for sublinear-time computation
- Simple examples of sublinear algorithms **Today**
- Properties of lists and functions.
- Testing if a list is sorted/Lipschitz and if a function is monotone.



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# Reminders

HW1 is due Thursday at 10am It is posted on the course webpage: <https://cs-people.bu.edu/sofya/sublinear-course/>

Use Piazza for questions and discussions

Office hours (on zoom): Wednesdays, 1:00PM-2:30PM

# *Testing if a List is Sorted*

Input: a list of *n* numbers  $x_1$ ,  $x_2$ ,...,  $x_n$ 

- Question: Is the list sorted? Requires reading entire list:  $\Omega(n)$  time
- Approximate version: Is the list sorted or  $\epsilon$ -far from sorted? (An  $\epsilon$  fraction of  $x_i$ 's have to be changed to make it sorted.) [Ergün Kannan Kumar Rubinfeld Viswanathan 98, Fischer 01]:  $O((\log n)/\epsilon)$  time  $\Omega$ (log n) queries



• Best known bounds:

 $\Theta(\log (εn)/ε)$  time

[Belovs, Chakrabarty Dixit Jha Seshadhri 15]

## *Testing Sortedness: Attempts*

1. **Test**: Pick a random *i* and reject if  $x_i > x_{i+1}$ 

Fails on:

**1 1 1 1 0 0 0 0**

 $\leftarrow$  1/2-far from sorted

2. **Test**: Pick random  $i < j$  and reject if  $x_i > x_j$ 

Fails on:



 $\leftarrow$  1/2-far from sorted

Idea: Associate positions in the list with vertices of the directed line.



Construct a graph (2-spanner)

≤ *n* log *n* edges

- by adding a few "shortcut" edges (*i, j*) for *i* < *j*
- where each pair of vertices is connected by a path of length at most 2

Test [Dodis Goldreich Lehman Raskhodnikova Ron Samorodnitsky 99]

Pick a random edge  $(x_i, x_j)$  from the 2-spanner and **reject** if  $x_i > x_j$ .



#### *Analysis:*

- Call an edge  $(x_i, x_j)$  violated if  $x_i > x_j$ , and good otherwise.
- If *xi* is an endpoint of a **violated** edge, call it **bad**. Otherwise, call it **good**.

Claim 1. All **good** numbers *xi* are sorted.

*Proof:* Consider any two good numbers,  $x_i$  and  $x_j$ .

They are connected by a path of (at most) two **good** edges (*xi ,x<sup>k</sup>* ), (*x<sup>k</sup> ,xj* ).  $\Rightarrow$   $x_i \le x_k$  and  $x_k \le x_j$ 

 $\Rightarrow$   $x_i \le x_j$ 

Test [Dodis Goldreich Lehman Raskhodnikova Ron Samorodnitsky 99]

Pick a random edge  $(x_i, x_j)$  from the 2-spanner and **reject** if  $x_i > x_j$ .



#### *Analysis:*

- Call an edge  $(x_i, x_j)$  violated if  $x_i > x_j$ , and good otherwise.
- If *xi* is an endpoint of a **bad** edge, call it **bad**. Otherwise, call it **good**.

Claim 1. All **good** numbers *xi* are sorted.

Claim 2. An  $\epsilon$ -far list **violates**  $\geq \epsilon$  /(2 log n) fraction of edges in 2-spanner.

*Proof:* If a list is  $\epsilon$ -far from sorted, it has  $\geq \epsilon$  n **bad** numbers. (Claim 1)

- Each **violated** edge contributes 2 **bad** numbers.
- 2-spanner has  $\geq \epsilon$  n/2 **violated** edges out of  $\leq$  n log n.

Test [Dodis Goldreich Lehman Raskhodnikova Ron Samorodnitsky 99]

Pick a random edge  $(x_i, x_j)$  from the 2-spanner and **reject** if  $x_i > x_j$ .



#### *Analysis:*

• Call an edge  $(x_i, x_j)$  violated if  $x_i > x_j$ , and good otherwise.

Claim 2. An  $\epsilon$ -far list **violates**  $\geq \epsilon$  /(2 log n) fraction of edges in 2-spanner.

By Witness Lemma, it suffices to sample  $(4 \log n)/\epsilon$  edges from 2-spanner.

Algorithm

Sample (4 log n)/  $\epsilon$  edges ( $x_i$ , $x_j$ ) from the 2-spanner and reject if  $x_i > x_j$ .

*Guarantee:* All sorted lists are accepted.

All lists that are  $\epsilon$ -far from sorted are rejected with probability  $>$ 2/3. Time:  $O((\log n)/\epsilon)$ 

# *Generalization*

*Observation:*

The same test/analysis apply to any edge-transitive property of a list of numbers that allows extension.

- A property is edge-transitive if
	- 1) it can be expressed in terms conditions on ordered pairs of numbers





- A property allows extension if
	- 3) any function that satisfies (1) on a subset of the numbers can be extended to a function with the property

## *Testing if a Function is Lipschitz* **[Jha R]**

A function  $f: D \to R$  is Lipschitz if it has Lipschitz constant 1: that is, if for all x,y in *D*,  $distance_R(f(x),f(y)) \leq distance_D(x,y).$ 

Consider  $f: \{1,...,n\} \rightarrow \mathbb{R}$ :



nodes = points in the domain; edges = points at distance 1

node labels = values of the function

The Lipschitz property is *edge-transitive*:

- 1. a pair  $(x, y)$  is *good* if  $|f(y)-f(x)| \le |y-x|$
- 2.  $(x,y)$  and  $(y,z)$  are *good*  $\Rightarrow$   $(x,z)$  is *good*

It also allows extension for the range  $\mathbb{R}$ .

*I*:ing if a function  $f$  : {1,...,n}  $\rightarrow \mathbb{R}$  is Lipschitz takes  $O((\log n)/\epsilon)$  time.

Does the spanner-based test apply if the range is  $\mathbb{R}^2$  with Euclidean distances?  $\mathbb{Z}^2$  with Euclidean distances?

- Sorted or  $\varepsilon$ -far from sorted?
- Lipschitz (does not change too drastically) or  $\varepsilon$ -far from satisfying the Lipschitz property?

O(log n/ $\varepsilon$ ) time

This bound is tight (unless  $\varepsilon$  is really tiny w.r.t. n) [Chakrabarty Dixit Jha Seshadhri 15]

# Basic Properties of Functions

# *Boolean Functions*  $f: \{0, 1\}^n \rightarrow \{0, 1\}$

Graph representation:  $n$ -dimensional hypercube



011001

 $\mathcal{Y}$ 

- $\bullet$ vertices: bit strings of length  $n$
- $\bullet$ edges:  $(x, y)$  is an edge if y can be obtained from x by increasing one bit from 0 to 1 001001  $\chi$
- each vertex x is labeled with  $f(x)$

# *Monotonicity of Functions*

[Goldreich Goldwasser Lehman Ron Samorodnitsky, Dodis Goldreich Lehman Raskhodnikova Ron Samorodnitsky Fischer Lehman Newman Raskhodnikova Rubinfeld Samorodnitsky]

• A function  $f: \{0,1\}^n \rightarrow \{0,1\}$  is monotone if increasing a bit of x does not decrease  $f(x)$ .



### • Is  $f$  monotone or  $\varepsilon$ -far from monotone

( $f$  has to change on many points to become monontone)?

- Edge  $x \rightarrow y$  is violated by f if  $f(x) > f(y)$ .

Time:

- $O(n/\varepsilon)$ , logarithmic in the size of the input,  $2^n$
- $\Omega(\sqrt{n}/\varepsilon)$  for restricted class of tests
- Advanced techniques:  $\Theta(\sqrt{n}/\varepsilon^2)$  for nonadaptive tests,  $\Omega(\sqrt[3]{n})$

[Khot Minzer Safra 15, Chen De Servidio Tang 15, Chen Waingarten Xie 17]



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### *Monotonicity Test* **[GGLRS, DGLRRS]**

Idea: Show that functions that are far from monotone violate many edges.

EdgeTest  $(f, \varepsilon)$ 

- 1. Pick  $2n/\varepsilon$  edges  $(x, y)$  uniformly at random from the hypercube.
- **2. Reject** if some  $(x, y)$  is violated (i.e.  $f(x) > f(y)$ ). Otherwise, **accept**.

### *Analysis*

- If  $f$  is monotone, EdgeTest always accepts.
- If  $f$  is  $\varepsilon$ -far from monotone, by Witness Lemma, it suffices to show that  $\geq \varepsilon/n$  fraction of edges (i.e.,  $\frac{\varepsilon}{n}$  $\boldsymbol{n}$  $\cdot 2^{n-1}n = \varepsilon 2^{n-1}$  edges) are violated by f.

- Let  $V(f)$  denote the number of edges violated by f.

Contrapositive: If  $V(f) < \varepsilon 2^{n-1}$ ,

f can be made monotone by changing  $\langle \varepsilon \rangle \leq 2^n$  values.

Repair Lemma

f can be made monotone by changing  $\leq 2 \cdot V(f)$  values.

## *Repair Lemma: Proof Idea*

Repair Lemma

can be made monotone by changing  $\leq 2 \cdot V(f)$  values.

Proof idea: Transform *f* into a monotone function by repairing edges in one dimension at a time.



# *Repairing Violated Edges in One Dimension*

**Swap violated edges**  $1 \rightarrow 0$  **in one dimension to**  $0 \rightarrow 1$ .



Let  $V_i$  = # of violated edges in dimension j

Claim. Swapping in dimension *i* does not increase  $V_j$  for all dimensions  $j \neq i$ 

Enough to prove the claim for squares

Claim. Swapping in dimension *i* does not increase  $V_j$  for all dimensions  $j \neq i$ 



• If no horizontal edges are violated, no action is taken.

Claim. Swapping in dimension *i* does not increase  $V_j$  for all dimensions  $j \neq i$ 



• If both horizontal edges are violated, both are swapped, so the number of vertical violated edges does not change.

Claim. Swapping in dimension *i* does not increase  $V_j$  for all dimensions  $j \neq i$ 



- Suppose one (say, top) horizontal edge is violated.
- If both bottom vertices have the same label, the vertical edges get swapped.

Claim. Swapping in dimension *i* does not increase  $V_j$  for all dimensions  $j \neq i$ 



- Suppose one (say, top) horizontal edge is violated.
- If both bottom vertices have the same label, the vertical edges get swapped.
- Otherwise, the bottom vertices are labeled  $0\rightarrow 1$ , and the vertical violation is repaired.

Claim. Swapping in dimension *i* does not increase  $V_j$  for all dimensions  $j \neq i$ 



After we perform swaps in all dimensions:

- $f$  becomes monotone
- # of values changed:

 $2 \cdot V_1 + 2 \cdot (#$  violated edges in dim 2 after swapping dim 1)  $+ 2 \cdot (#$  violated edges in dim 3 after swapping dim 1 and 2)  $+ ... \leq 2 \cdot V_1 + 2 \cdot V_2 + ... 2 \cdot V_n = 2 \cdot V(f)$ 

Repair Lemma

can be made monotone by changing  $\leq 2 \cdot V(f)$  values.



Improve the bound by a factor of 2.

# **Testing if a Functions**  $f : \{0,1\}^n \rightarrow \{0,1\}$  is monotone

Monotone or  $\varepsilon$ -far from monotone?



 $O(n/\varepsilon)$  time (logarithmic in the size of the input)



## *Testing Properties of High-Dimensional Functions*

In polylogarithmic time, we can test a large class of properties of functions  $f\!:\!\{1,...,n\}^d \rightarrow \mathbb{R}$ , including:



- Lipschitz property [Jha **R**]
- Bounded-derivative properties [Chakrabarty Dixit Jha Seshadhri]
- Unateness[Baleshzar Chakrabarty Pallavoor **R** Seshadhri]