#### Sublinear Algorithms

# LECTURE 23

# Last time

- *L*<sub>p</sub>-testing
- Today
- $L_p$ -testing of monotonicity
- Work investment strategy
- Testing via learning

**Project Reports are due December 3** 



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## L<sub>p</sub>-Testing and Tolerant L<sub>p</sub>-Testing



Functions  $f, g: D \to [0,1]$  are at distance  $\varepsilon$  if  $d_p = \frac{\|f-g\|_p}{\|\mathbf{1}\|_p} = \varepsilon$ .

#### Monotonicity

- Domain  $D=[n]^d$  (vertices of d-dim hypercube) (n, n, n)
- A function  $f: D \to \mathbb{R}$  is monotone if increasing a coordinate of x does not decrease f(x).
- Special case d = 1



 $f:[n] \to \mathbb{R}$  is monotone  $\Leftrightarrow f(1), \dots f(n)$  is sorted.

#### Monotonicity Testers: Running Time



<sup>\*</sup> Hiding some  $\log 1/\varepsilon$  dependence

#### L<sub>1</sub>-Testers from Testers for Boolean Ranges

A nonadaptive, 1-sided error  $L_0$ -test for monotonicity of

 $f: D \to \{0,1\}$  is also an  $L_1$ -test for monotonicity of  $f: D \to [0,1]$ .

# $L_0$ -Testing Monotonicity of $f: [n]^d \rightarrow \{0, 1\}$

Idea: 1. Pick axis-parallel lines  $\ell$ .

2. Sample points from each  $\ell$ , and check for violations of  $f_{|\ell}$ .



[DGLRRS 99]

- Testing sortedness: If  $f: [n] \to \{0,1\}$  is  $\varepsilon$ -far from sorted then  $O\left(\frac{1}{\varepsilon}\right)$  samples are sufficient to find a violation w/ const. prob.
- Dimension reduction: For  $f: [n]^d \rightarrow \{0,1\}$

$$\mathbb{E}\left[d_0(f_{|\ell}, M)\right] \ge \frac{d_0(f, M)}{2d}.$$

How many lines should we sample?

How many points form each line?

#### General Work Investment Problem [Goldreich 13]

- Algorithm needs to find ``evidence'' (e.g., a violation).
- It can select an element from distr.  $\Pi$  (e.g., a uniform line).
- Elements *e* have different quality  $q(e) \in [0,1]$

(e.g.,  $d_0(f_{|\ell}, M)$ ).

- Algorithm must invest more work into *e* with lower q(e) to extract evidence from *e* (e.g., need  $\Theta\left(\frac{1}{q(e)}\right)$  samples).
- $\mathbb{E}_{e \leftarrow \Pi}[q(e)] \ge \mu.$

#### What's a good work investment strategy?

Used in [Levin 85, Goldreich Levin 89], testing connectedness of a graph [Goldreich Ron 97], testing properties of images [R 03], multi-input testing problems [G13]

#### Work Investment Strategies

• ``Reverse'' Markov Inequality

For a random variable  $X \in [0,1]$  with expectation  $\mathbb{E}[X] \ge \mu$ ,  $\Pr\left[X \ge \frac{\mu}{2}\right] \ge \frac{\mu}{2}$ .

Proof: 
$$\mu \leq \mathbb{E}[X] \leq \Pr\left[X \geq \frac{\mu}{2}\right] \cdot 1 + \Pr\left[X < \frac{\mu}{2}\right] \cdot \frac{\mu}{2}$$
.

``Reverse'' Markov Strategy:

1. Sample 
$$\Theta\left(\frac{1}{\mu}\right)$$
 lines.

2. Sample 
$$\Theta\left(\frac{1}{\mu}\right)$$
 points from each line.

Cost: 
$$\Theta\left(\frac{1}{\mu^2}\right)$$
 queries.

### Work Investment Strategies

#### Bucketing idea [Levin, Goldreich 13]:

Invest in elements of quality  $q(e) \ge \frac{1}{2^i}$  separately.

Bucketing Inequality [Berman R Yaroslavtsev 14]

For a random variable 
$$X \in [0,1]$$
 with  $\mathbb{E}[X] \ge \mu$ , let  
 $p_i = \Pr\left[X \ge \frac{1}{2^i}\right]$  and  $k_i = \Theta\left(\frac{1}{2^i\mu}\right)$ .

Then 
$$\prod_{i=1}^{\log 4/\mu} (1-p_i)^{k_i} \le 1/3.$$

Bucketing Strategy: For each bucket  $i \in \left[\log \frac{4}{\mu}\right]$ 1. Sample  $k_i = \Theta\left(\frac{1}{2^i\mu}\right)$  lines. 2. Sample  $\Theta(2^i)$  points from each line. Cost:  $\Theta\left(\frac{1}{\mu}\log \frac{1}{\mu}\right)$  queries (for monotonicity,  $\mu = \frac{\varepsilon}{2d}$ )

## **Proof of Bucketing Inequality**

Bucketing Inequality [Berman R Yaroslavtsev 14]

For a random variable  $X \in [0,1]$  with  $\mathbb{E}[X] \ge \mu$ , let  $t = \log \frac{4}{\mu}, \quad p_i = \Pr\left[X \ge \frac{1}{2^i}\right], \text{ and } k_i = \Theta\left(\frac{1}{2^i\mu}\right).$ Then  $\prod_{i=1}^t (1-p_i)^{k_i} \le \delta.$ 

**Proof:** It suffices to prove  $\sum_{i \in [t]} \frac{p_i}{2^i} \ge \frac{\mu}{4}$ 

### **Proof of Bucketing Inequality (Continued)**

Bucketing Inequality [Berman R Yaroslavtsev 14]

For a random variable  $X \in [0,1]$  with  $\mathbb{E}[X] \ge \mu$ , let  $t = \log \frac{4}{\mu}, \quad p_i = \Pr\left[X \ge \frac{1}{2^i}\right], \text{ and } k_i = \Theta\left(\frac{1}{2^i\mu}\right).$ Then  $\prod_{i=1}^t (1-p_i)^{k_i} \le \delta.$ 

**Proof:** It suffices to prove  $\sum_{i \in [t]} \frac{p_i}{2^i} \ge \frac{\mu}{4}$ 

#### Monotonicity Testers: Running Time

f	$L_0$	$L_p$
[ <i>n</i> ] → {0,1}	$\Theta\left(\frac{1}{\epsilon}\right)$	$\Theta\left(\frac{1}{\boldsymbol{\varepsilon}^p}\right)$
[ <i>n</i> ] <sup><i>d</i></sup> → {0,1}	$O\left(\frac{d}{\varepsilon} \cdot \log \frac{d}{\varepsilon}\right)$	$O\left(\frac{d}{\varepsilon^{p}}\log\frac{d}{\varepsilon^{p}}\right)$ $\Omega\left(\frac{1}{\varepsilon^{p}}\log\frac{1}{\varepsilon^{p}}\right) \text{ for } d = 2$ nonadaptive 1-sided error $\Theta\left(\frac{1}{\varepsilon^{p}}\right) \text{ for constant } d$ adaptive 1-sided error

# *Testing Monotonicity of* $f: [n]^2 \rightarrow \{0, 1\}$

- For nonadaptive, 1-sided error testers,  $\Omega\left(\frac{1}{\varepsilon}\log\frac{1}{\varepsilon}\right)$  queries are needed.
- There is an adaptive, 1-sided error tester with  $O\left(\frac{1}{\varepsilon}\right)$  queries. Method: testing via learning.

# **Partial Learning**

- An  $\varepsilon$ -partial function g with domain D and range R is a function  $g: D \to R \cup \{?\}$  that satisfies  $\Pr_{x \in D}[g(x) = ?] \le \varepsilon$ .
- An  $\varepsilon$ -partial function g agrees with a function f if g(x) = f(x) for all x on which  $g(x) \neq$ ?.
- Given a function class C, let  $C_{\varepsilon}$  denote the class of  $\varepsilon$ -partial functions, each of which agrees with some function in C.
- An ε-partial learner for a function class C is an algorithm that, given a parameter ε and oracle access to a function f, outputs a hypothesis g ∈ C<sub>ε</sub> or fails.
   Moreover, if f ∈ C then it outputs g that agrees with f.

Lemma (Conversion from Learner to Tester)

If there is an  $\varepsilon$ -partial learner for a function class C that makes  $q(\varepsilon)$  queries then C can be  $\varepsilon$ -tested with 1-sided error with  $q(\varepsilon/2) + O(1/\varepsilon)$  queries.

### **Proof of the Conversion Lemma**

Lemma (Conversion from Learner to Tester)

If there is an  $\varepsilon$ -partial learner for a function class C that makes  $q(\varepsilon)$  queries then C can be  $\varepsilon$ -tested with 1-sided error with  $q(\varepsilon/2) + O(1/\varepsilon)$  queries.

## **Proof of the Conversion Lemma (continued)**

Lemma (Conversion from Learner to Tester)

If there is an  $\varepsilon$ -partial learner for a function class C that makes  $q(\varepsilon)$  queries then C can be  $\varepsilon$ -tested with 1-sided error with  $q(\varepsilon/2) + O(1/\varepsilon)$  queries.

#### Partial Learner of Monotone functions $f: [n]^2 \rightarrow \{0, 1\}$

#### Lemma

There is an  $\varepsilon$ -partial learner for the class of monotone Boolean functions over  $[n]^2$  that makes  $O(1/\varepsilon)$  queries.

#### Idea:

• Divide the grid into quarters.



- Query the bottom left and the top right corner for each quarter.
- If the value of the function is NOT determined by the corners, recurse.

Details: Keep a quad tree and stop at  $\log \frac{1}{\epsilon} + 1$  levels.

• If  $\geq 2^{j+1}$  nodes at level *j* are ?, fail.



#### Correctness of the Learner

Claim

If the input function is monotone, level j will have fewer than  $2^{j+1}$  nodes ?.

#### Monotonicity Testers: Running Time

f	$L_0$	$L_p$
[ <i>n</i> ] → {0,1}	$\Theta\left(\frac{1}{\epsilon}\right)$	$\Theta\left(\frac{1}{\boldsymbol{\varepsilon}^p}\right)$
[ <i>n</i> ] <sup><i>d</i></sup> → {0,1}	$O\left(\frac{d}{\varepsilon} \cdot \log \frac{d}{\varepsilon}\right)$	$O\left(\frac{d}{\varepsilon^{p}}\log\frac{d}{\varepsilon^{p}}\right)$ $\Omega\left(\frac{1}{\varepsilon^{p}}\log\frac{1}{\varepsilon^{p}}\right) \text{ for } d = 2$ nonadaptive 1-sided error $\Theta\left(\frac{1}{\varepsilon^{p}}\right) \text{ for constant } d$ adaptive 1-sided error

#### Monotonicity Testers: Running Time



<sup>\*</sup> Hiding some  $\log 1/\varepsilon$  dependence

### **Distance Approximation and Tolerant Testing**

#### Approximating $L_1$ -distance to monotonicity $\pm \varepsilon w. p. \geq 2/3$



• Time complexity of tolerant  $L_1$ -testing for monotonicity is

$$0\left(\frac{\boldsymbol{\varepsilon}_2}{(\boldsymbol{\varepsilon}_2-\boldsymbol{\varepsilon}_1)^2}\right)$$

## **Open Problems**

• Our L<sub>1</sub>-tester for monotonicity is nonadaptive, but adaptivity helps for Boolean range.

Is there a better adaptive tester?

- All our algorithms for L<sub>p</sub>-testing for p ≥ 1 were obtained directly from L<sub>1</sub>-testers.
   Can one design better algorithms by working directly with L<sub>p</sub>-distances?
- We designed tolerant tester only for monotonicity (d=1,2).

Tolerant testers for higher dimensions? Other properties?