

# *Sublinear Algorithms*

## *Lecture 3*

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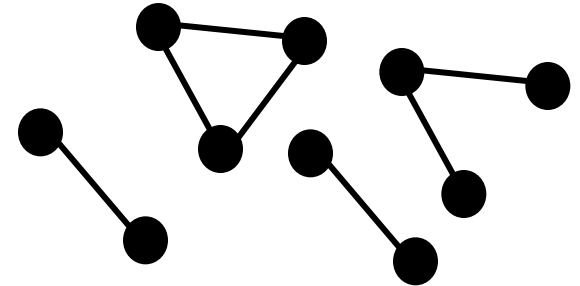
# Graph Properties

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# Testing if a Graph is Connected [Goldreich Ron]

**Input:** a graph  $G = (V, E)$  on  $n$  vertices

- in adjacency lists representation  
(a list of neighbors for each vertex)
- maximum degree  $d$ , i.e., adjacency lists of length  $d$  with some empty entries



**Query**  $(v, i)$ , where  $v \in V$  and  $i \in [d]$ : entry  $i$  of adjacency list of vertex  $v$

**Exact Answer:**  $\Omega(dn)$  time

- **Approximate version:**

Is the graph **connected** or  **$\epsilon$ -far from connected?**

$$\text{dist}(G_1, G_2) = \frac{\text{\# of entires in adjacency lists on which } G_1 \text{ and } G_2 \text{ differ}}{dn}$$

Time:  $O\left(\frac{1}{\epsilon^2 d}\right)$  today

+ improvement on HW

**No dependence on  $n$ !**

# Testing Connectedness: Algorithm

Connectedness Tester( $G, d, \epsilon$ )

1. **Repeat**  $s=16/\epsilon d$  times:
2. pick a random vertex  $u$
3. determine if connected component of  $u$  is small:  
perform BFS from  $u$ , stopping after at most  $8/\epsilon d$  new nodes
4. **Reject** if a small connected component was found, otherwise **accept**.

Run time:  $O(d/\epsilon^2 d^2) = O(1/\epsilon^2 d)$

## Analysis:

- Connected graphs are always accepted.
- Remains to show:

If a graph is  $\epsilon$ -far from connected, it is rejected with probability  $\geq \frac{2}{3}$

# Testing Connectedness: Analysis

## Claim 1

If  $G$  is  $\varepsilon$ -far from connected, it has  $\geq \frac{\varepsilon dn}{4}$  connected components.

## Claim 2

If  $G$  is  $\varepsilon$ -far from connected, it has  $\geq \frac{\varepsilon dn}{8}$  connected components of size at most  $8/\varepsilon d$ .

- If Claim 2 holds, at least  $\frac{\varepsilon dn}{8}$  nodes are in small connected components.
- By Witness lemma, it suffices to sample  $\frac{2 \cdot 8}{\varepsilon dn/n} = \frac{16}{\varepsilon d}$  nodes to detect one from a small connected component.

# Testing Connectedness: Proof of Claim 1

## Claim 1

If  $G$  is  $\varepsilon$ -far from connected, it has  $\geq \frac{\varepsilon dn}{4}$  connected components.

We prove the **contrapositive**:

If  $G$  has  $< \frac{\varepsilon dn}{4}$  connected components, one can make  $G$  connected by modifying  $< \varepsilon$  fraction of its representation, i.e.,  $< \varepsilon dn$  entries.

- If there are no degree restrictions,  $k$  components can be connected by adding  $k-1$  edges, each affecting 2 nodes. Here,  $k < \frac{\varepsilon dn}{4}$ , so  $2k-2 < \varepsilon dn$ .
- What if adjacency lists of all vertices in a component are full, i.e., all vertex degrees are  $d$ ?

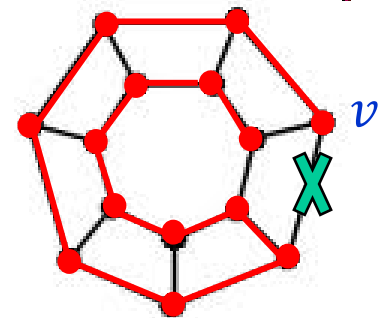
# Freeing up an Adjacency List Entry

## Claim 1

If  $G$  is  $\varepsilon$ -far from connected, it has  $\geq \frac{\varepsilon dn}{4}$  connected components.

What if adjacency lists of all vertices in a component are full,  
i.e., all vertex degrees are  $d$ ?

- Consider an **MST** of this component.
- Let  $v$  be a leaf of the MST.
- Disconnect  $v$  from a node other than its parent in the MST.
- Two entries are changed while keeping the same number of components.
- Thus,  $k$  components can be connected by adding  $2k-1$  edges, each affecting 2 nodes. Here,  $k < \frac{\varepsilon dn}{4}$ , so  $4k-2 < \varepsilon dn$ .



# Testing Connectedness: Proof of Claim 2

## Claim 1

If  $G$  is  $\varepsilon$ -far from connected, it has  $\geq \frac{\varepsilon dn}{4}$  connected components.

## Claim 2

If  $G$  is  $\varepsilon$ -far from connected, it has  $\geq \frac{\varepsilon dn}{8}$  connected components of size at most  $8/\varepsilon d$ .

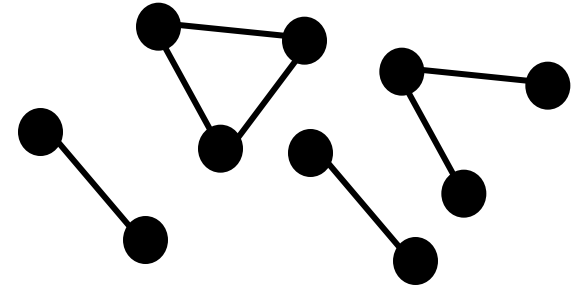
- If Claim 1 holds, there are at least  $\frac{\varepsilon dn}{4}$  connected components.
- Their average size  $\leq \frac{n}{\varepsilon dn/4} = \frac{4}{\varepsilon n}$ .
- By an averaging argument (or Markov inequality), at least half of the components are of size at most twice the average.



# Testing if a Graph is Connected [Goldreich Ron]

**Input:** a graph  $G = (V, E)$  on  $n$  vertices

- in adjacency lists representation  
(a list of neighbors for each vertex)
- maximum degree  $d$



Connected or  
 $\epsilon$ -far from connected?

$O\left(\frac{1}{\epsilon^2 d}\right)$  time ✓  
(no dependence on  $n$ )

# Randomized Approximation in sublinear time

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Simple Examples

# Randomized Approximation: a Toy Example

Input: a string  $w \in \{0,1\}^n$

0	0	0	1	...	0	1	0	0
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Goal: Estimate the fraction of 1's in  $w$  (like in polls)

It suffices to sample  $s = 1 / \epsilon^2$  positions and output the average to get the fraction of 1's  $\pm \epsilon$  (i.e., **additive error**  $\epsilon$ ) with probability  $\geq 2/3$

## Hoeffding Bound

Let  $Y_1, \dots, Y_s$  be independently distributed random variables in  $[0,1]$  and let  $Y = \sum_{i=1}^s Y_i$  (sample sum). Then  $\Pr[|Y - E[Y]| \geq \delta] \leq 2e^{-2\delta^2/s}$ .

$Y_i$  = value of sample  $i$ . Then  $E[Y] = \sum_{i=1}^s E[Y_i] = s \cdot (\text{fraction of 1's in } w)$

$$\Pr[|(\text{sample average}) - (\text{fraction of 1's in } w)| \geq \epsilon] = \Pr[|Y - E[Y]| \geq \epsilon s] \\ \leq 2e^{-2\delta^2/s} = 2e^{-2} < 1/3$$

Apply Hoeffding Bound with  $\delta = \epsilon s$

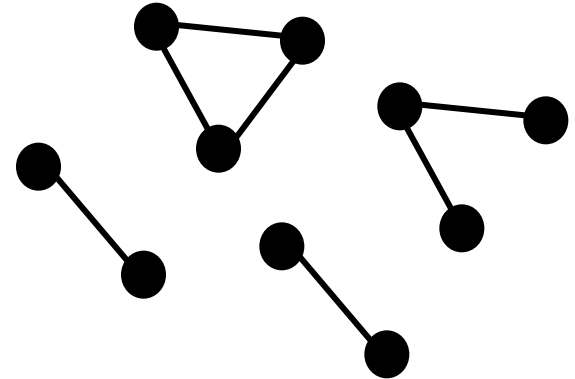
substitute  $s = 1 / \epsilon^2$

# Approximating # of Connected Components

[Chazelle Rubinfeld Trevisan]

**Input:** a graph  $G = (V, E)$  on  $n$  vertices

- in adjacency lists representation  
(a list of neighbors for each vertex)
- maximum degree  $d$



**Exact Answer:**  $\Omega(dn)$  time

**Additive approximation:** # of CC  $\pm \epsilon n$

with probability  $\geq 2/3$

**Time:**

- Known:  $O\left(\frac{d}{\epsilon^2} \log \frac{1}{\epsilon}\right), \Omega\left(\frac{d}{\epsilon^2}\right)$
- Today:  $O\left(\frac{d}{\epsilon^3}\right)$ .

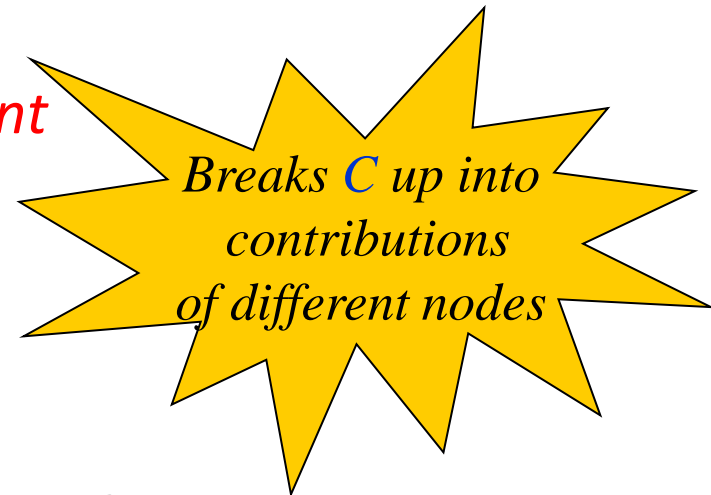


# Approximating # of CCs: Main Idea

- Let  $C$  = number of components
- For every vertex  $u$ , define  $n_u$  = number of nodes in  $u$ 's component

– for each component  $A$ :  $\sum_{u \in A} \frac{1}{n_u} = 1$

$$\sum_{u \in V} \frac{1}{n_u} = C$$



- Estimate this sum by estimating  $n_u$ 's for a few random nodes
  - If  $u$ 's component is small, its size can be computed by BFS.
  - If  $u$ 's component is big, then  $1/n_u$  is small, so it does not contribute much to the sum
  - Can stop BFS after a few steps

Similar to property tester for connectedness [Goldreich Ron]

# Approximating # of CCs: Algorithm

Estimating  $n_u$  = the number of nodes in  $u$ 's component:

- Let estimate  $\hat{n}_u = \min \left\{ n_u, \frac{2}{\varepsilon} \right\}$ 
    - When  $u$ 's component has  $\leq 2/\varepsilon$  nodes,  $\hat{n}_u = n_u$
    - Else  $\hat{n}_u = 2/\varepsilon$ , and so  $0 < \frac{1}{\hat{n}_u} - \frac{1}{n_u} < \frac{1}{\hat{n}_u} = \frac{\varepsilon}{2}$
- $$\left. \begin{array}{l} \text{When } u\text{'s component has } \leq 2/\varepsilon \text{ nodes, } \hat{n}_u = n_u \\ \text{Else } \hat{n}_u = 2/\varepsilon, \text{ and so } 0 < \frac{1}{\hat{n}_u} - \frac{1}{n_u} < \frac{1}{\hat{n}_u} = \frac{\varepsilon}{2} \end{array} \right\} \left| \frac{1}{\hat{n}_u} - \frac{1}{n_u} \right| \leq \frac{\varepsilon}{2}$$
- Corresponding estimate for C is  $\hat{C} = \sum_{u \in V} \frac{1}{\hat{n}_u}$ . It is a good estimate:

$$|\hat{C} - C| = \left| \sum_{u \in V} \frac{1}{\hat{n}_u} - \sum_{u \in V} \frac{1}{n_u} \right| \leq \sum_{u \in V} \left| \frac{1}{\hat{n}_u} - \frac{1}{n_u} \right| \leq \frac{\varepsilon n}{2}$$

## APPROX\_#\_CCs (G, d, $\varepsilon$ )

- Repeat**  $s = \Theta(1/\varepsilon^2)$  times:
- pick a random vertex  $u$
- compute  $\hat{n}_u$  via BFS from  $u$ , stopping after at most  $2/\varepsilon$  new nodes
- Return**  $\tilde{C} = (\text{average of the values } 1/\hat{n}_u) \cdot n$

Run time:  $O(d/\varepsilon^3)$

# Approximating # of CCs: Analysis

Want to show:  $\Pr \left[ |\tilde{C} - \hat{C}| > \frac{\varepsilon n}{2} \right] \leq \frac{1}{3}$  ✓

## Hoeffding Bound

Let  $Y_1, \dots, Y_s$  be independently distributed random variables in  $[0,1]$  and let  $Y = \sum_{i=1}^s Y_i$  (sample sum). Then  $\Pr[|Y - E[Y]| \geq \delta] \leq 2e^{-2\delta^2/s}$ .

Let  $Y_i = 1/\hat{n}_u$  for the  $i^{\text{th}}$  vertex  $u$  in the sample

- $Y = \sum_{i=1}^s Y_i = \frac{s\tilde{C}}{n}$  and  $E[Y] = \sum_{i=1}^s E[Y_i] = s \cdot E[Y_1] = s \cdot \frac{1}{n} \sum_{u \in V} \frac{1}{\hat{n}_u} = \frac{s\hat{C}}{n}$

$$\Pr \left[ |\tilde{C} - \hat{C}| > \frac{\varepsilon n}{2} \right] = \Pr \left[ \left| \frac{n}{s} Y - \frac{n}{s} E[Y] \right| > \frac{\varepsilon n}{2} \right] = \Pr \left[ |Y - E[Y]| > \frac{\varepsilon s}{2} \right] \leq 2e^{-\frac{\varepsilon^2 s}{2}}$$

- Need  $s = \Theta\left(\frac{1}{\varepsilon^2}\right)$  samples to get probability  $\leq \frac{1}{3}$

# Approximating # of CCs: Analysis

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So far:  $|\hat{C} - C| \leq \frac{\varepsilon n}{2}$

$$\Pr \left[ |\tilde{C} - \hat{C}| > \frac{\varepsilon n}{2} \right] \leq \frac{1}{3}$$

- With probability  $\geq \frac{2}{3}$ ,

$$|\tilde{C} - C| \leq |\tilde{C} - \hat{C}| + |\hat{C} - C| \leq \frac{\varepsilon n}{2} + \frac{\varepsilon n}{2} \leq \varepsilon n \quad \checkmark$$

## Summary:

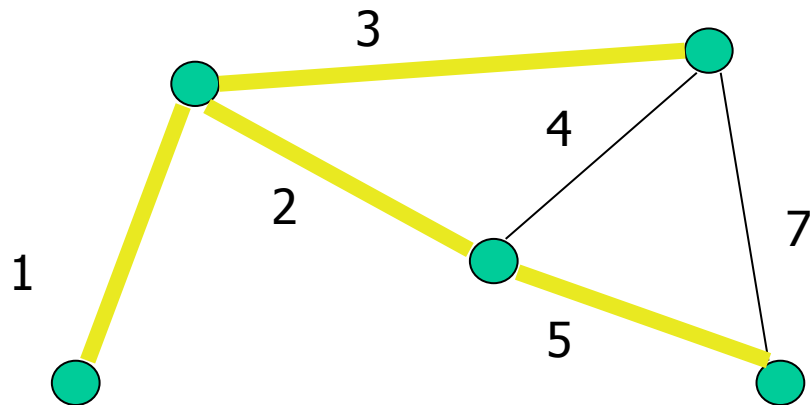
The number of connected components in  $n$ -vertex graphs of degree at most  $d$  can be estimated within  $\pm \varepsilon n$  in time  $O\left(\frac{d}{\varepsilon^3}\right)$ .



# Minimum spanning tree (MST)

- What is the cheapest way to connect all the dots?

Input: a weighted graph  
with  $n$  vertices and  $m$  edges



- Exact computation:
  - Deterministic  $O(m \cdot \text{inverse-Ackermann}(m))$  time [Chazelle]
  - Randomized  $O(m)$  time [Karger Klein Tarjan]

# Approximating MST Weight in Sublinear Time

[Chazelle Rubinfeld Trevisan]

**Input:** a graph  $G = (V, E)$  on  $n$  vertices

- in adjacency lists representation
- maximum degree  $d$  and maximum allowed weight  $w$
- weights in  $\{1, 2, \dots, w\}$

**Output:**  $(1 + \epsilon)$ -approximation to MST weight,  $w_{MST}$

**Time:**

- Known:  $O\left(\frac{dw}{\epsilon^3} \log \frac{dw}{\epsilon}\right), \Omega\left(\frac{dw}{\epsilon^2}\right)$
- Today:  $O\left(\frac{dw^3 \log w}{\epsilon^3}\right)$



# *Idea Behind Algorithm*

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- Characterize MST weight **in terms of number of connected components** in certain subgraphs of  $G$
- Already know that number of connected components can be estimated quickly

# MST and Connected Components: Warm-up

- Recall Kruskal's algorithm for computing MST exactly. 

Suppose all weights are 1 or 2. Then MST weight

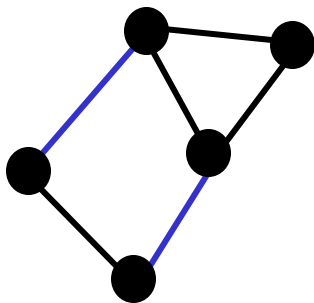
$$= (\# \text{ weight-1 edges in MST}) + 2 \cdot (\# \text{ weight-2 edges in MST})$$

$$= n - 1 + (\# \text{ of weight-2 edges in MST})$$

$$= n - 1 + (\# \text{ of CCs induced by weight-1 edges}) - 1$$

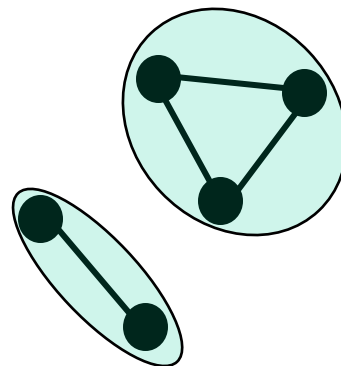
MST has  $n - 1$  edges

By Kruskal

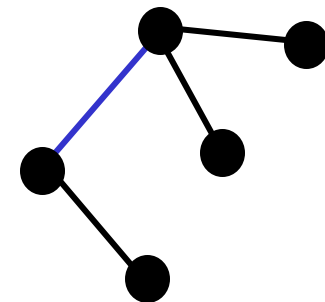


weight 1

weight 2



connected components  
induced by weight-1 edges



MST

# MST and Connected Components

In general: Let  $G_i$  = subgraph of  $G$  containing all edges of weight  $\leq i$   
 $C_i$  = number of connected components in  $G_i$

Then MST has  $C_i - 1$  edges of weight  $> i$ .

## Claim

$$w_{MST}(G) = n - w + \sum_{i=1}^{w-1} C_i$$



- Let  $\beta_i$  be the number of edges of weight  $> i$  in MST
- Each MST edge contributes 1 to  $w_{MST}$ , each MST edge of weight  $> 1$  contributes 1 more, each MST edge of weight  $> 2$  contributes one more, ...

$$w_{MST}(G) = \sum_{i=0}^{w-1} \beta_i = \sum_{i=0}^{w-1} (C_i - 1) = -w + \sum_{i=0}^{w-1} C_i = n - w + \sum_{i=1}^{w-1} C_i$$

# Algorithm for Approximating $w_{MST}$

APPROX\_MSTweight ( $G, w, d, \epsilon$ )

1. For  $i = 1$  to  $w - 1$  do:
2.      $\tilde{C}_i \leftarrow \text{APPROX\_}\#CCs(G_i, d, \epsilon/w)$ .
3. Return  $\tilde{w}_{MST} = n - w + \sum_{i=1}^{w-1} \tilde{C}_i$ .

Claim.  $w_{MST}(G) = n - w + \sum_{i=1}^{w-1} C_i$

Analysis:

- Suppose all estimates of  $C_i$ 's are good:  $|\tilde{C}_i - C_i| \leq \frac{\epsilon}{w} n$ .  
Then  $|\tilde{w}_{MST} - w_{MST}| = |\sum_{i=1}^{w-1} (\tilde{C}_i - C_i)| \leq \sum_{i=1}^{w-1} |\tilde{C}_i - C_i| \leq w \cdot \frac{\epsilon}{w} n = \epsilon n$
- $\Pr[\text{all } w - 1 \text{ estimates are good}] \geq (2/3)^{w-1}$
- Not good enough! Need error probability  $\leq \frac{1}{3w}$  for each iteration
- Then, by Union Bound,  $\Pr[\text{error}] \leq w \cdot \frac{1}{3w} = \frac{1}{3}$



Can amplify success probability of any algorithm by repeating it and taking the median answer.



Can take more samples in APPROX\_#CCs. What's the resulting run time?

# *Multiplicative Approximation for $w_{MST}$*

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For MST cost, additive approximation  $\Rightarrow$  multiplicative approximation

$$w_{MST} \geq n - 1 \quad \Rightarrow \quad w_{MST} \geq n/2 \text{ for } n \geq 2$$

- $\varepsilon n$ -additive approximation:

$$w_{MST} - \varepsilon n \leq \hat{w}_{MST} \leq w_{MST} + \varepsilon n$$

- $(1 \pm 2\varepsilon)$ -multiplicative approximation:

$$w_{MST}(1 - 2\varepsilon) \leq w_{MST} - \varepsilon n \leq \hat{w}_{MST} \leq w_{MST} + \varepsilon n \leq w_{MST}(1 + 2\varepsilon)$$